



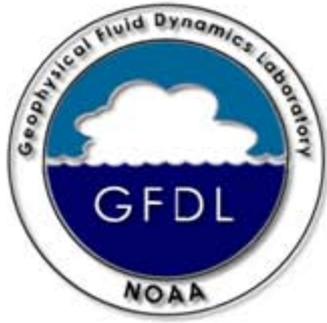
**Background Ozone in Surface Air  
over the United States:  
Variability, Climate Linkages,  
and Policy Implications**

**Arlene M. Fiore**



**Department of Environmental  
Sciences Seminar  
Rutgers University  
March 4, 2005**

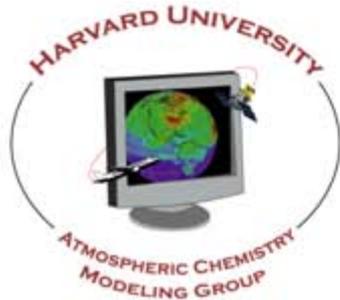
# Acknowledgments



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# What is the origin of tropospheric ozone?

Stratospheric  $O_3$

Stratosphere

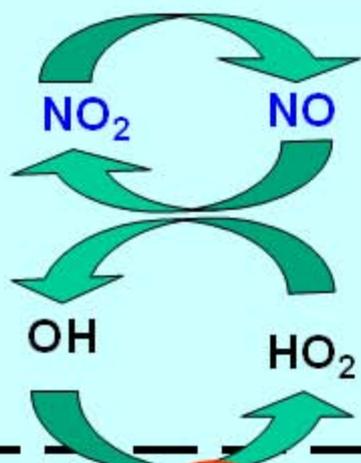
~12 km

Free Troposphere

Hemispheric Pollution

Boundary layer

(0-3 km)



$h\nu$

$O_3$

Direct Intercontinental Transport



$NO_x$   
 $VOC$

$O_3$   
air pollution (smog)



air pollution (smog)

$NO_x$   
 $VOC$

$O_3$

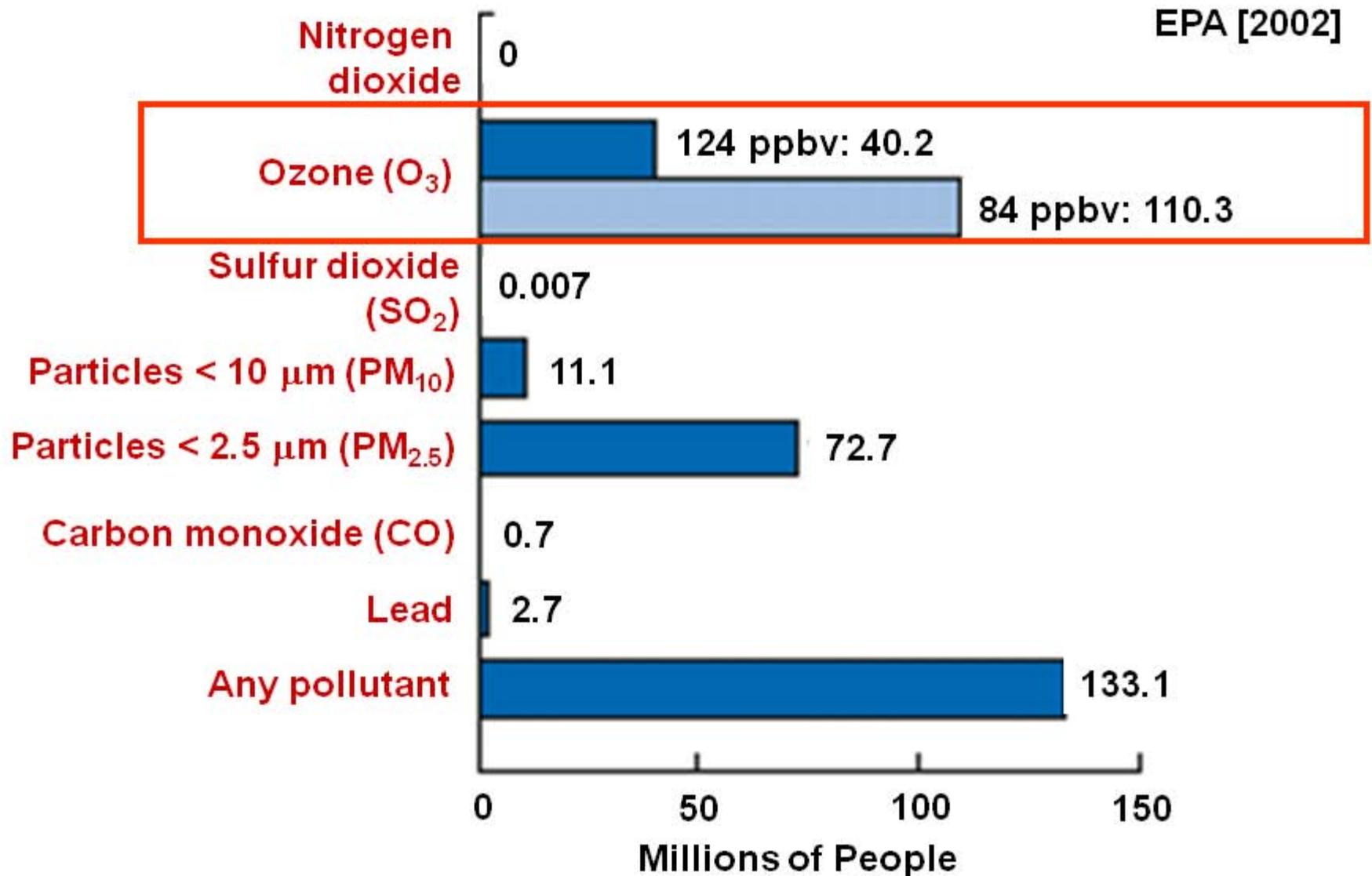
CONTINENT 1

OCEAN

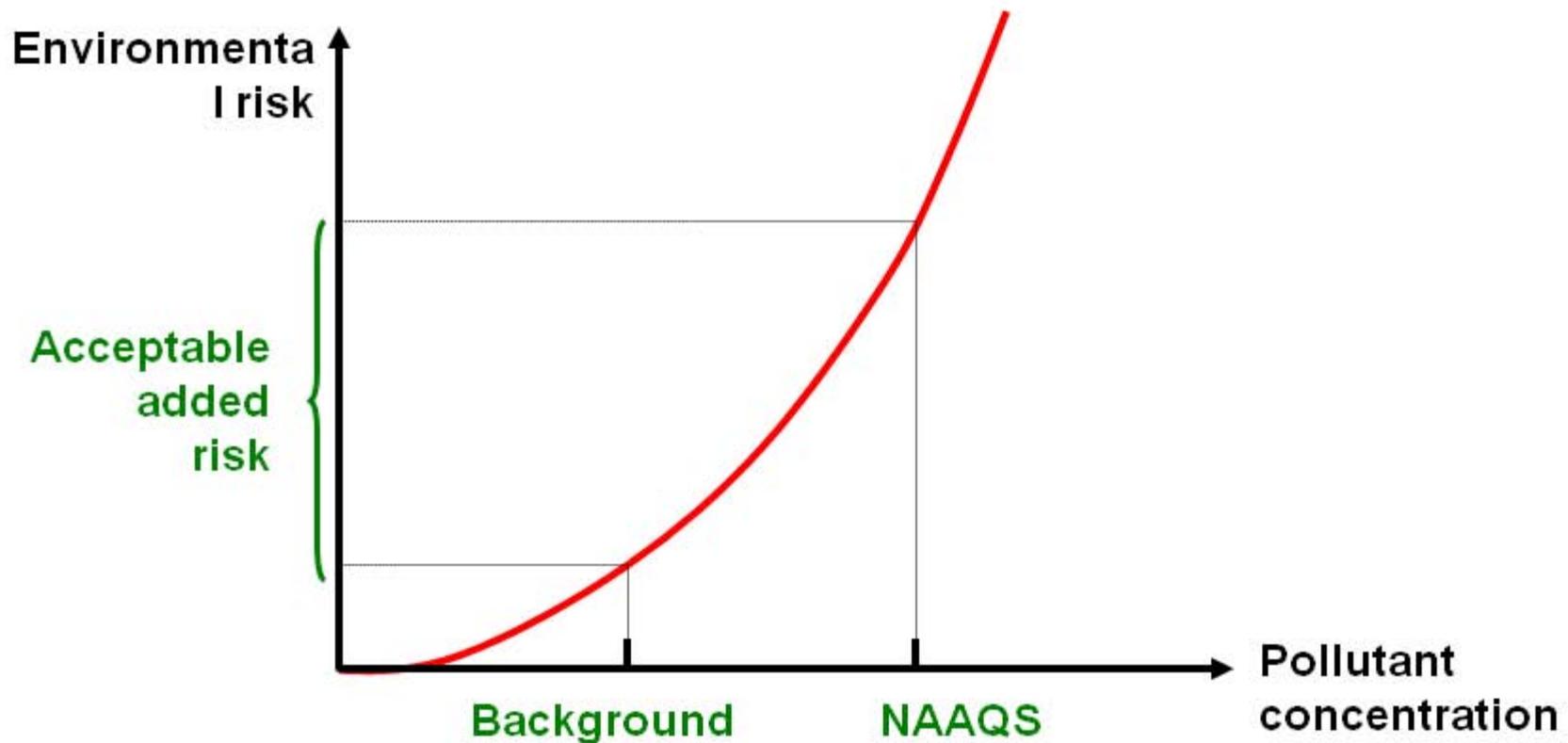
CONTINENT 2

# Number of People Living in U.S. Counties Violating National Ambient Air Quality Standards (NAAQS) in 2001

EPA [2002]



# Background Estimates are Used When Setting NAAQS



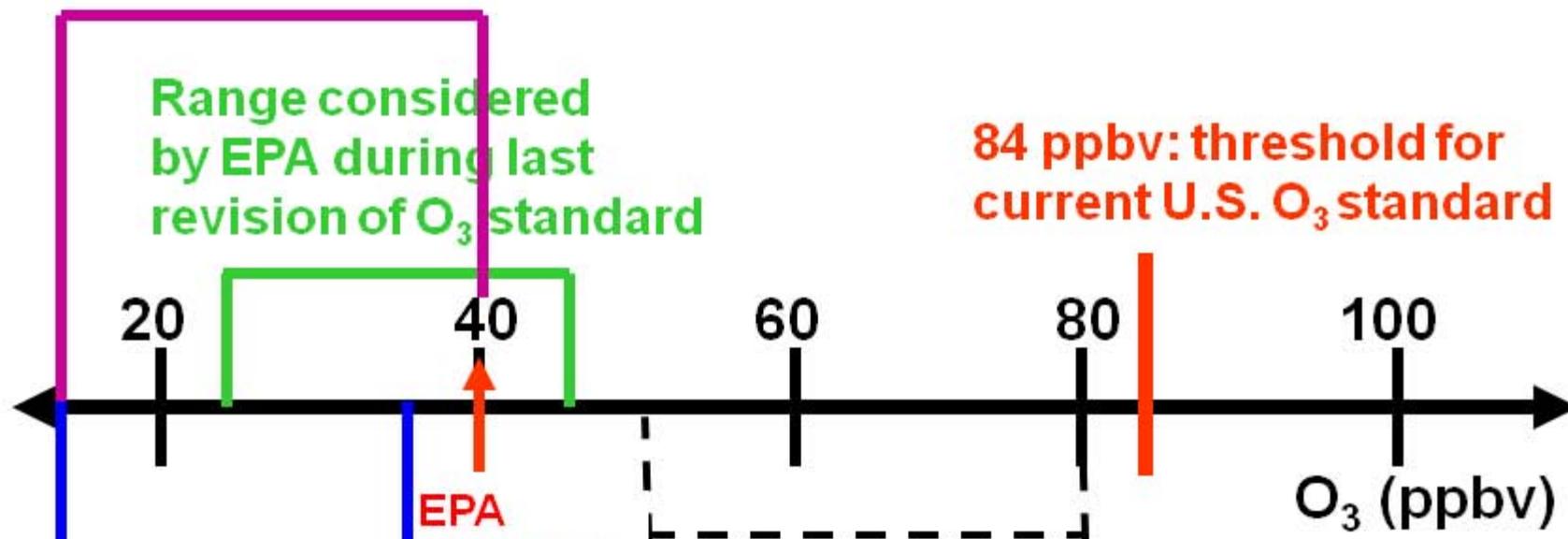
→ Risk assessments account for risks associated with exposure to the increment of ozone above the background (i.e., the risk that can potentially be reduced via North American anthropogenic emission controls)

# Range of "background O<sub>3</sub>" estimates in U.S. surface air

Range from prior global modeling studies

Range considered by EPA during last revision of O<sub>3</sub> standard

84 ppbv: threshold for current U.S. O<sub>3</sub> standard



EPA assumed background

Frequent observations previously attributed to natural background

[Lefohn et al., JGR 106, 9945-9958, 2001]

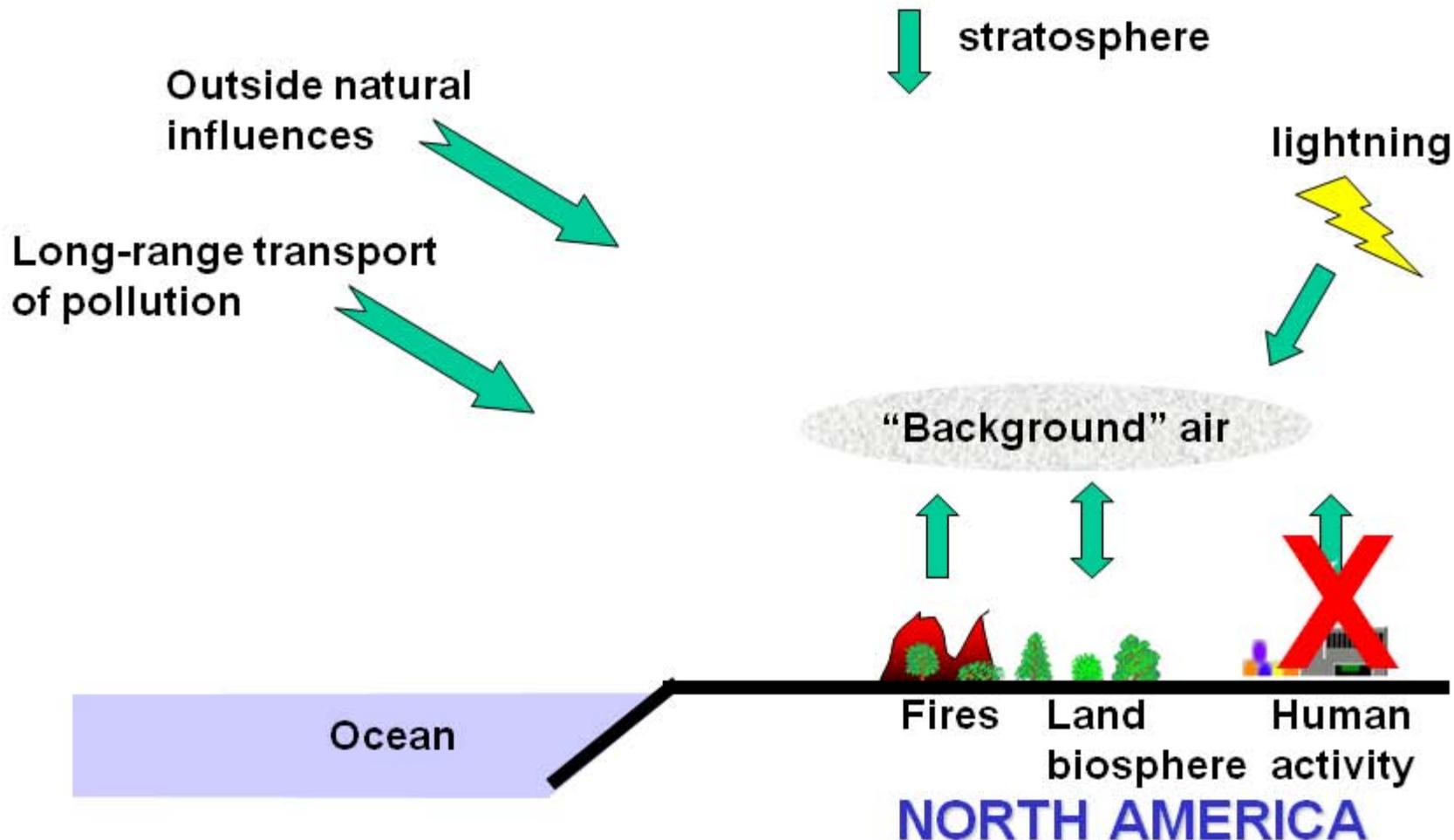
Range from this work

[Fiore et al., JGR 108, 4787, 2003]

**The U.S. EPA considers background levels when setting the NAAQS**

# “POLICY RELEVANT BACKGROUND” OZONE:

Ozone concentrations that would exist in the absence of anthropogenic emissions from North America [EPA CD, 2005]



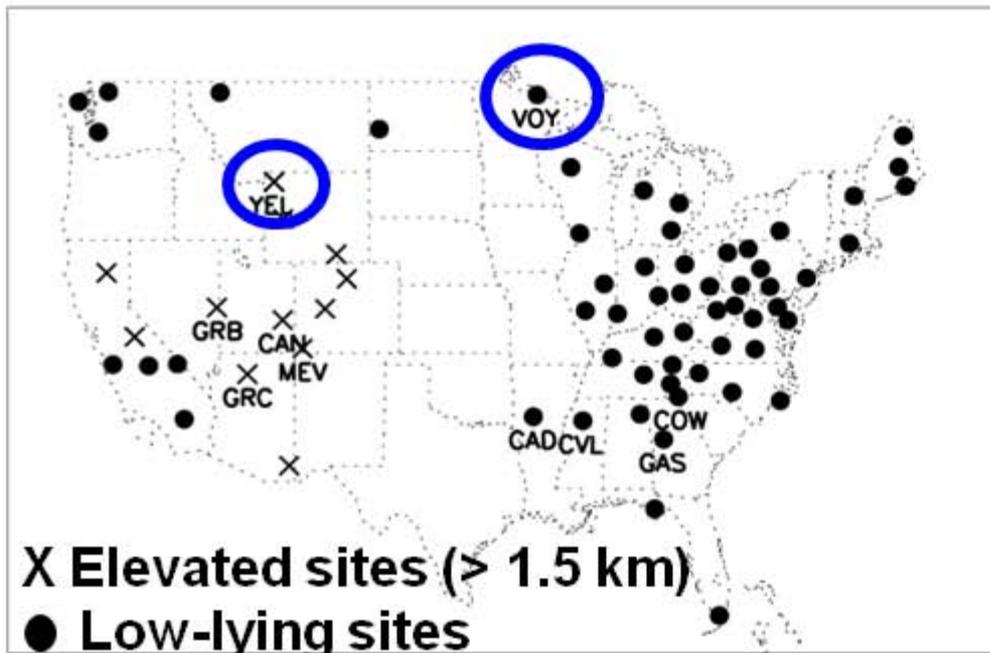
**Policy Relevant Background is not directly observable  
→ Must be estimated with models**

**APPROACH:** Use **2001 CASTNet data** in conjunction with **GEOS-CHEM** to

1. quantify background O<sub>3</sub> and its various sources
2. diagnose origin of springtime high-O<sub>3</sub> events at remote U.S. sites, previously attributed to natural, stratospheric influence

**Observations:**

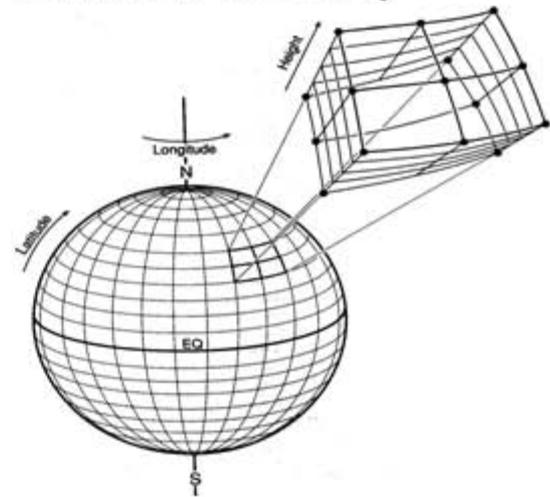
**CASTNet Stations**  
(EPA, Nat'l Park Service)



**MODEL:**

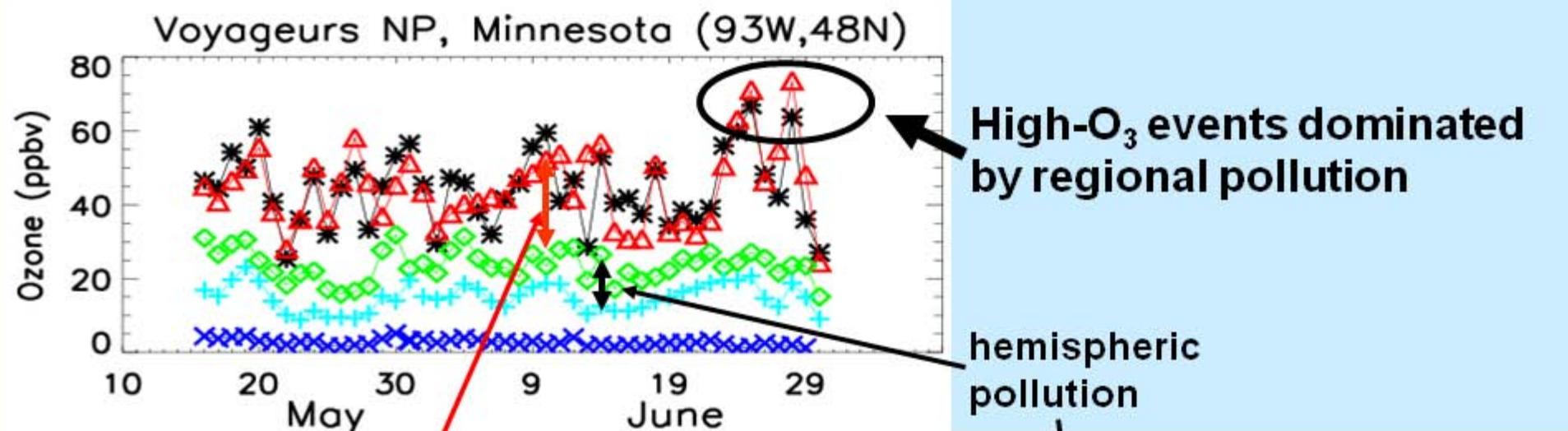
**GEOS-CHEM 3D Tropospheric Chemistry Model**

[*Bey et al., 2001*] (uses assimilated meteorology; 48  $\sigma$ ; 4°x5° or 2°x2.5° horiz. resn., 24 tracers)

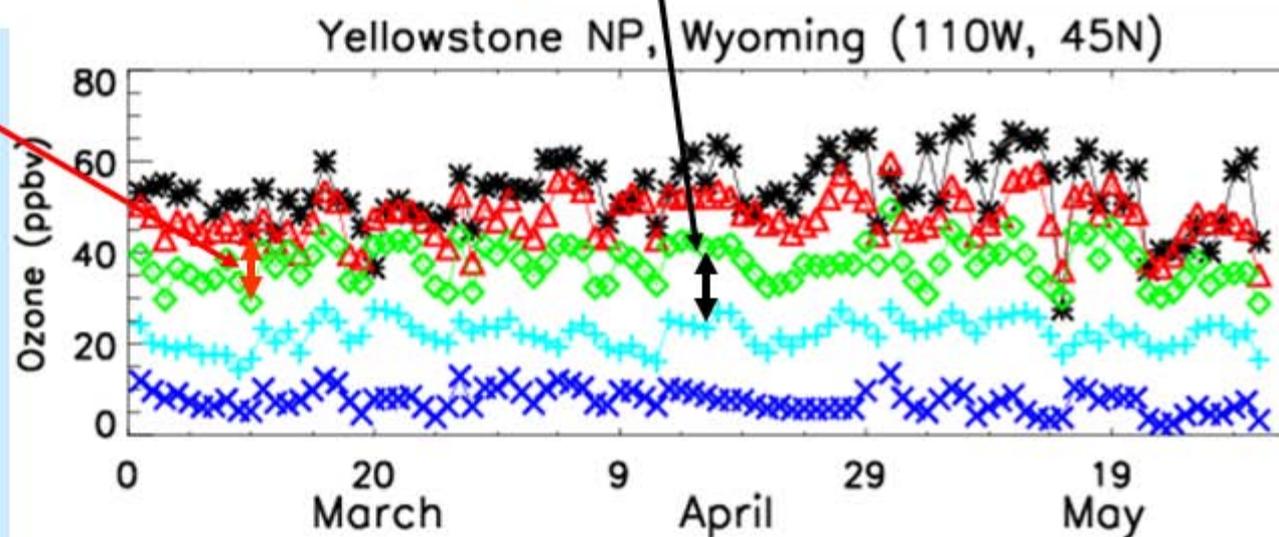


# Case Studies: Ozone Time Series at Sites used in *Lefohn et al.* [2001]

\* CASTNet observations    ▲ Model Base Case (2001)    X Stratospheric influence  
◆ Background (no N. Amer. Anthrop emissions; present-day CH<sub>4</sub>)  
+ Natural O<sub>3</sub> level (no global anthrop. Emissions; preindustrial CH<sub>4</sub>)



Background at high-altitude site (2.5 km) not representative of contribution at low-lying sites



- \* CASTNet sites
- ▲ Model
- ◆ Background
- + Natural O<sub>3</sub> level
- X Stratospheric

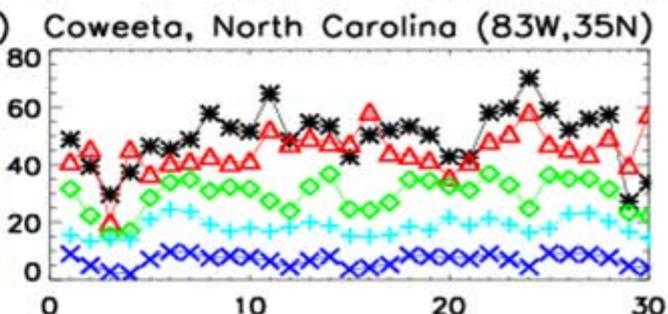
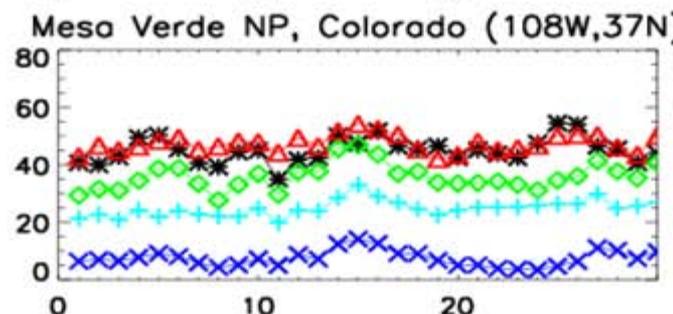
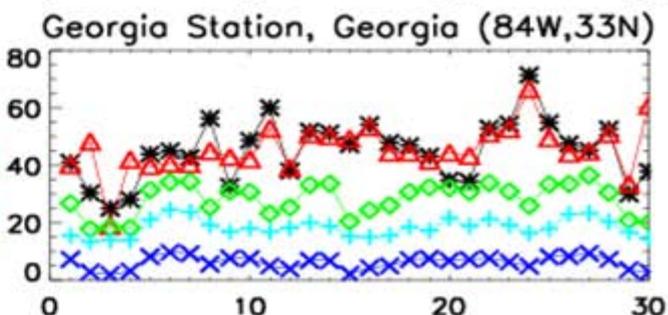
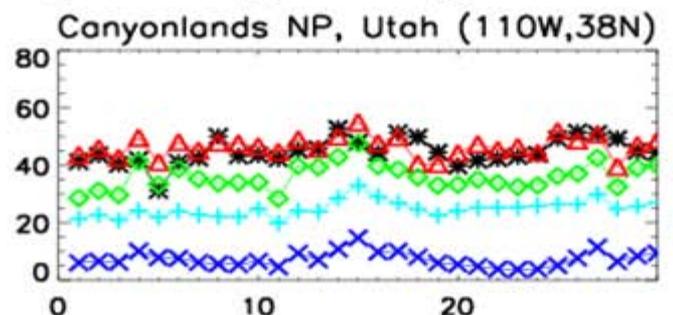
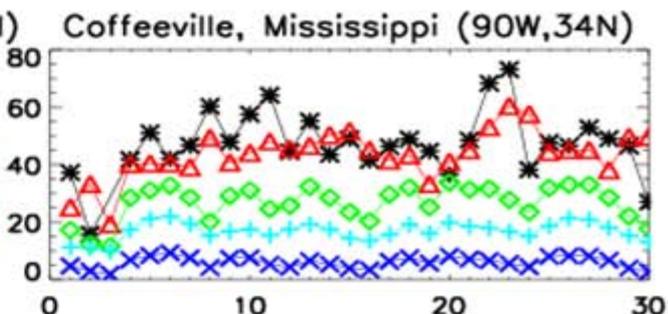
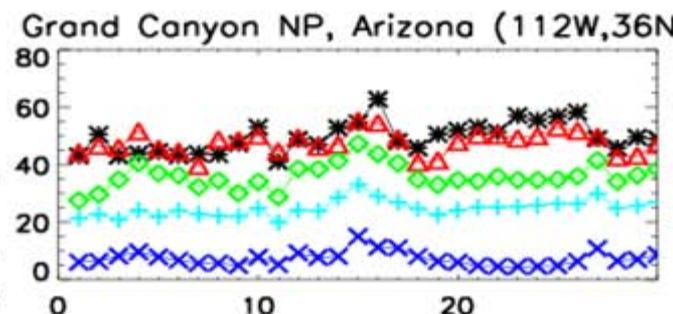
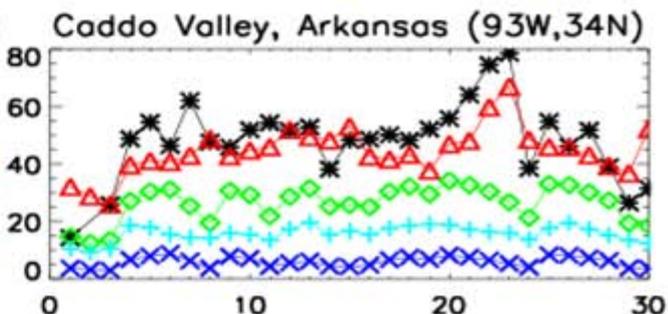
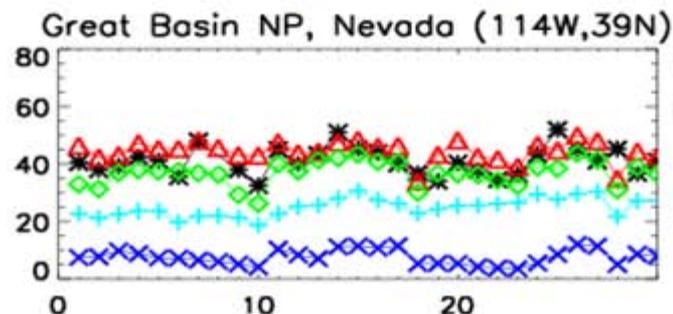
### West (>1.5 km)

### Southeast (<1.5 km)

Background O<sub>3</sub>  
higher at high-altitude  
western sites

Ozone (ppbv)

Background O<sub>3</sub>  
lower at low-lying  
southeastern sites;  
decreases with  
highest observed O<sub>3</sub>

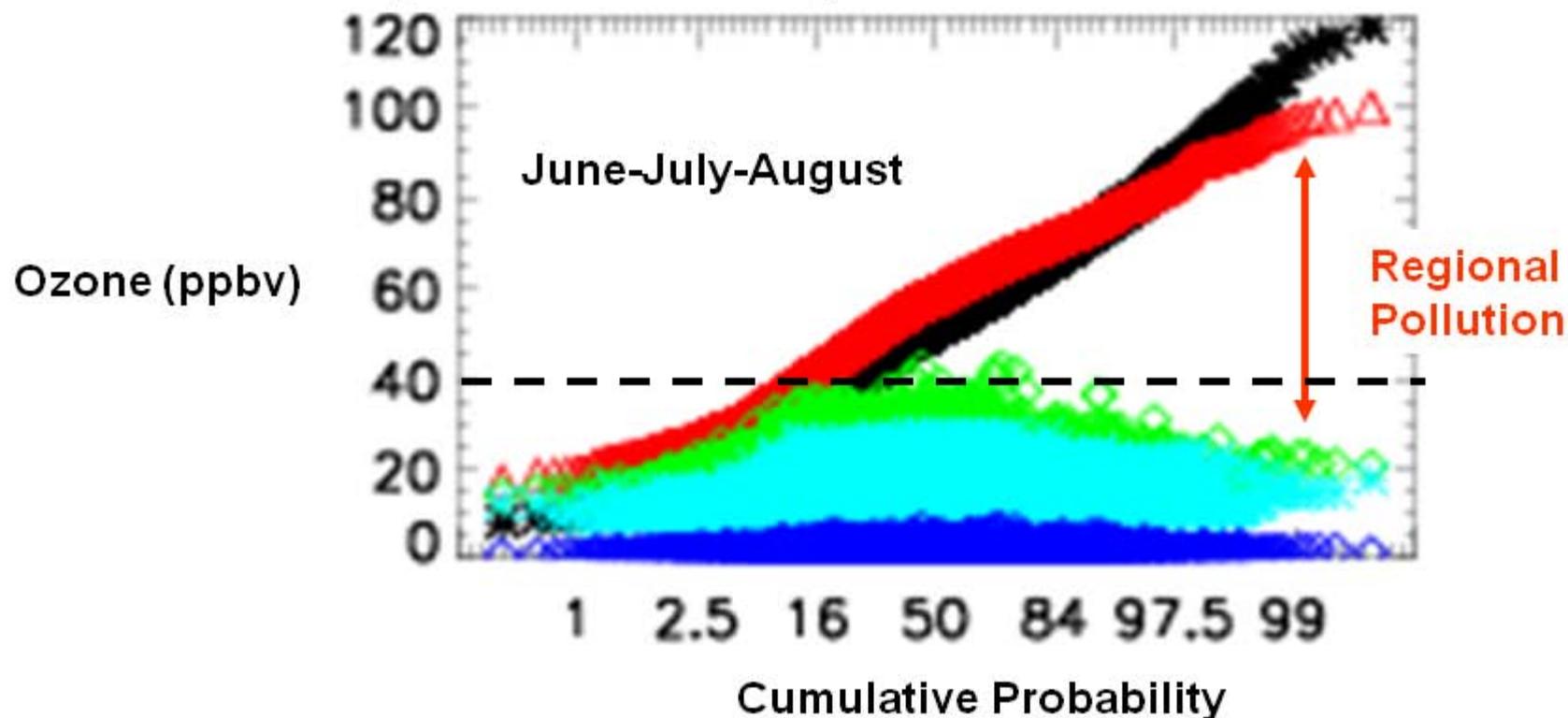


Days in March 2001

- \* CASTNet sites
- ▲ Model
- ◆ Background
- + Natural O<sub>3</sub> level
- ◆ Stratospheric

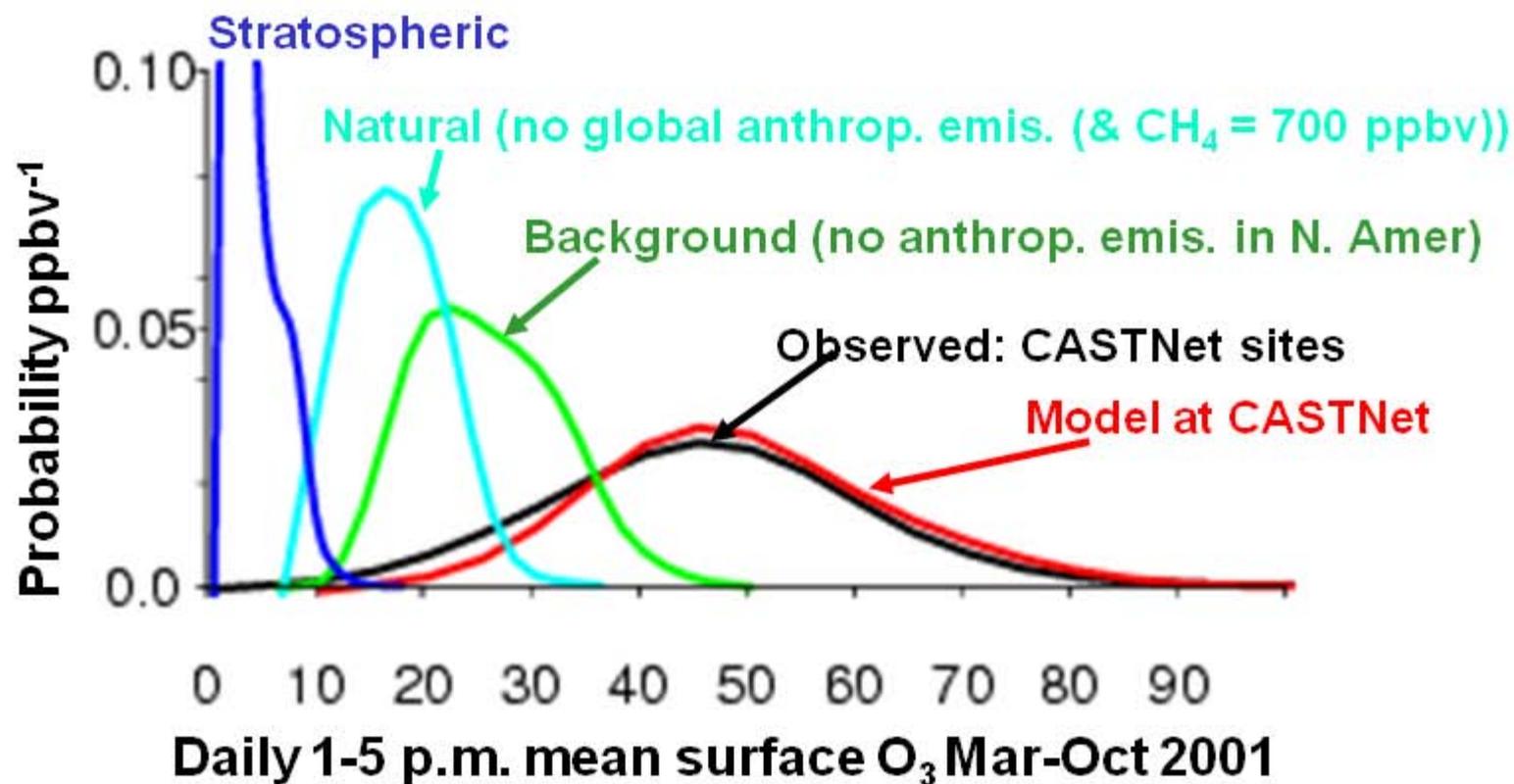
## Background O<sub>3</sub> even lower under polluted conditions

Daily mean afternoon O<sub>3</sub> at 58 low-elevation U.S. CASTNet sites



**Background on polluted days well below 40 ppbv assumed by EPA  
 → health risks underestimated with current (1996) approach**

## Compiling Results from all (71) CASTNet sites: Natural vs. Anthropogenic Contributions

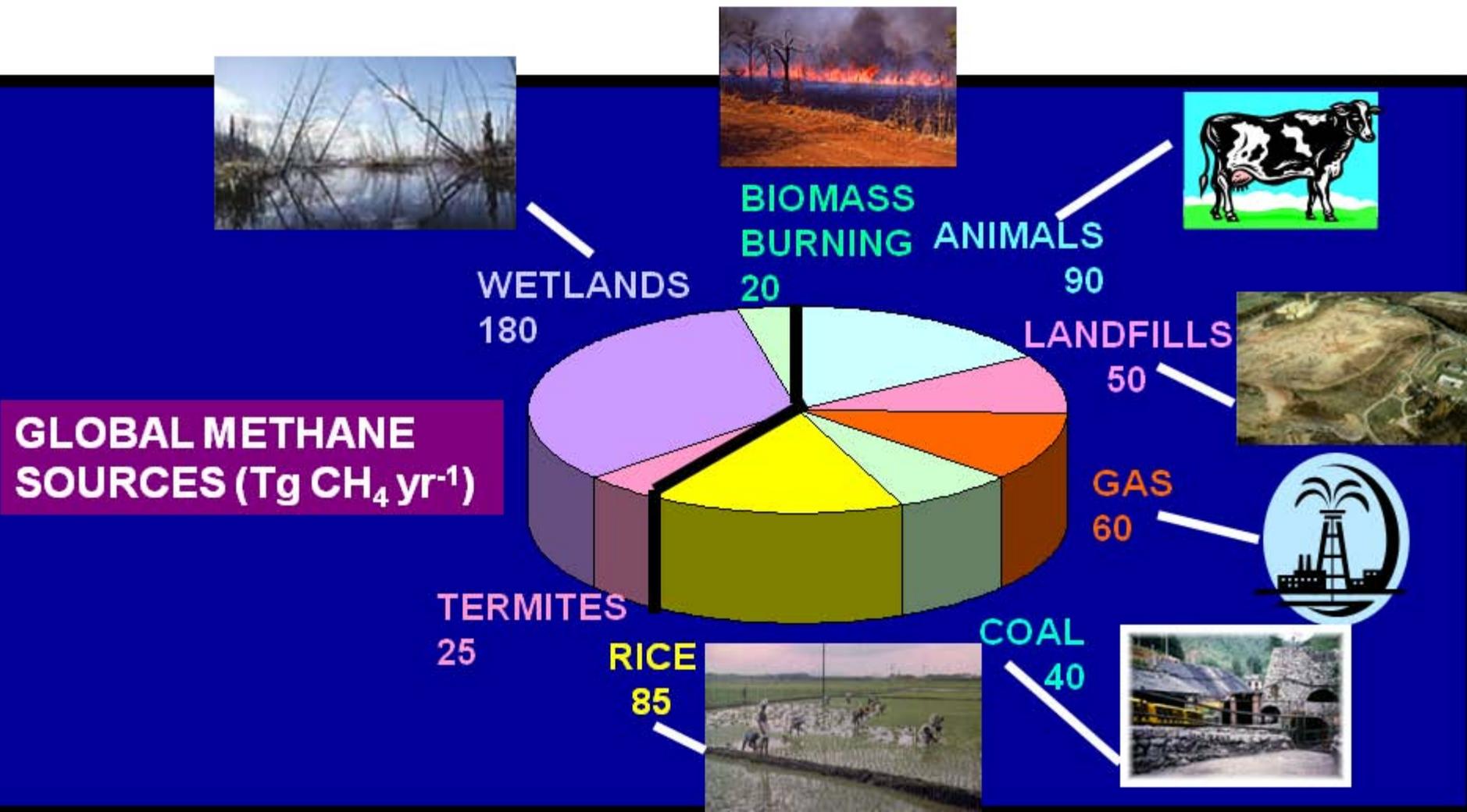


Typical ozone values in U.S. surface air:

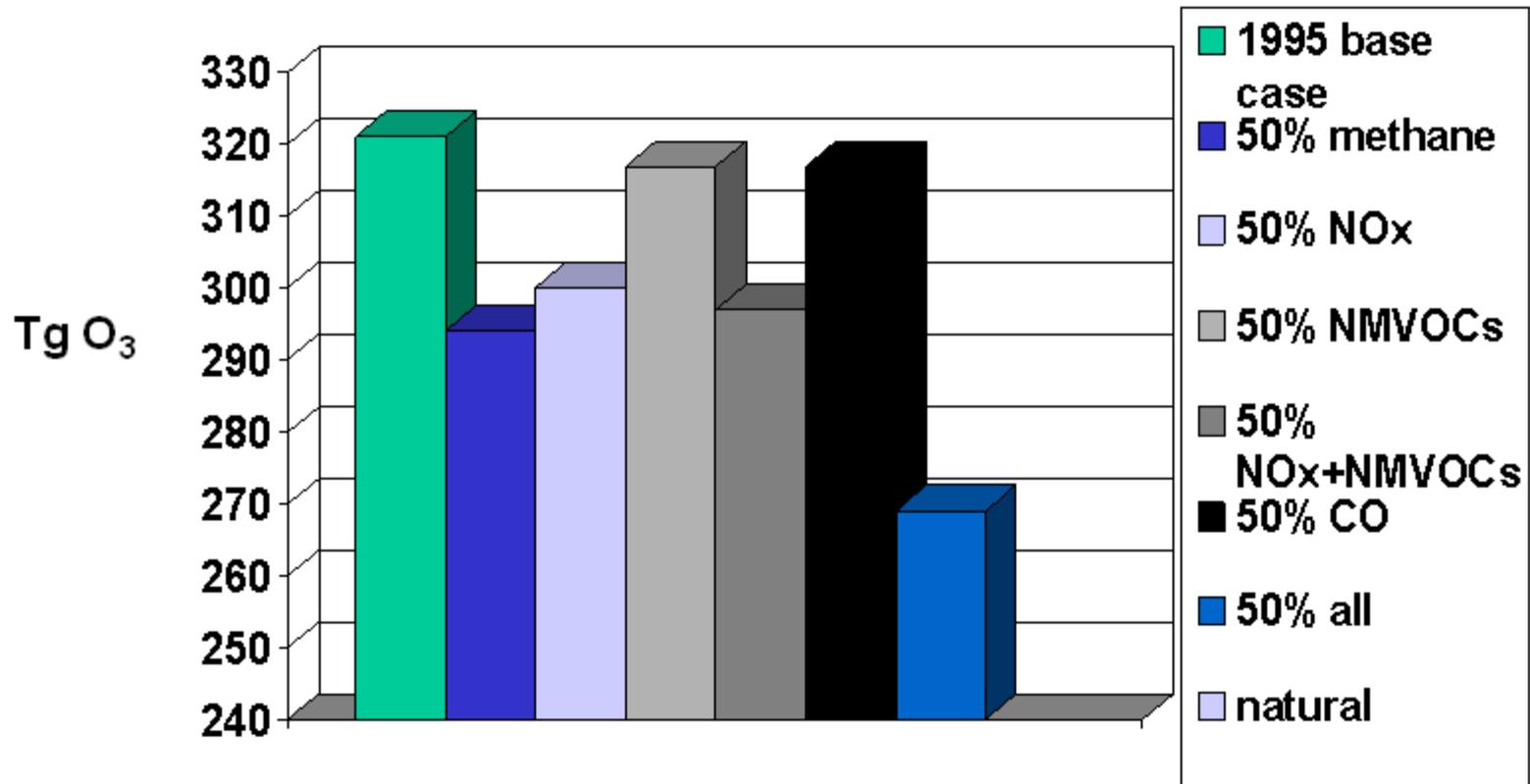
**Background 15-35 ppbv; Natural 10-25 ppbv; Stratosphere < 20 ppbv**

**Anthropogenic methane enhances “background” above “natural”**

# More than half of global methane emissions are influenced by human activities



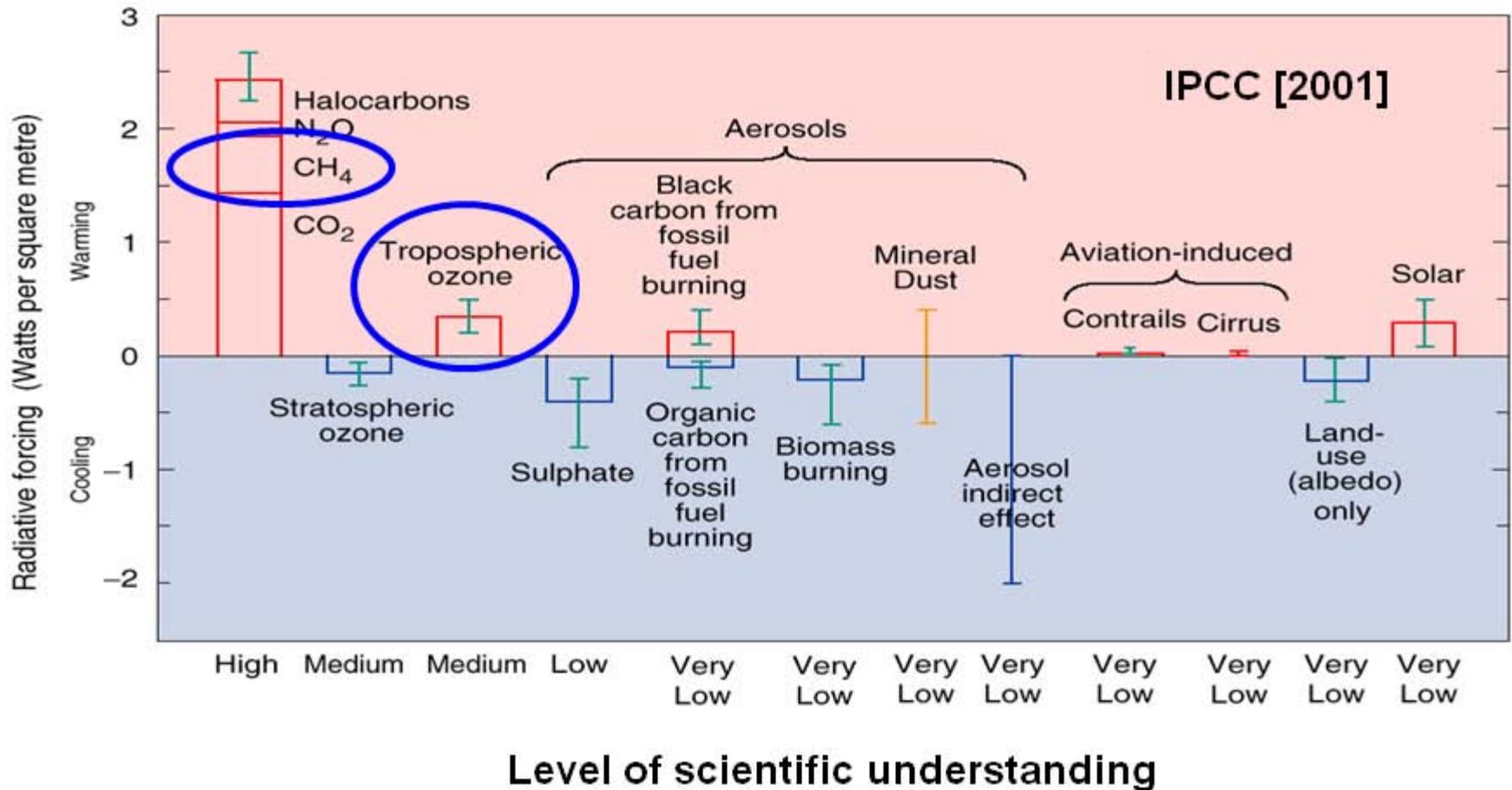
# Anthropogenic Methane Emissions Enhance the Tropospheric Ozone Background



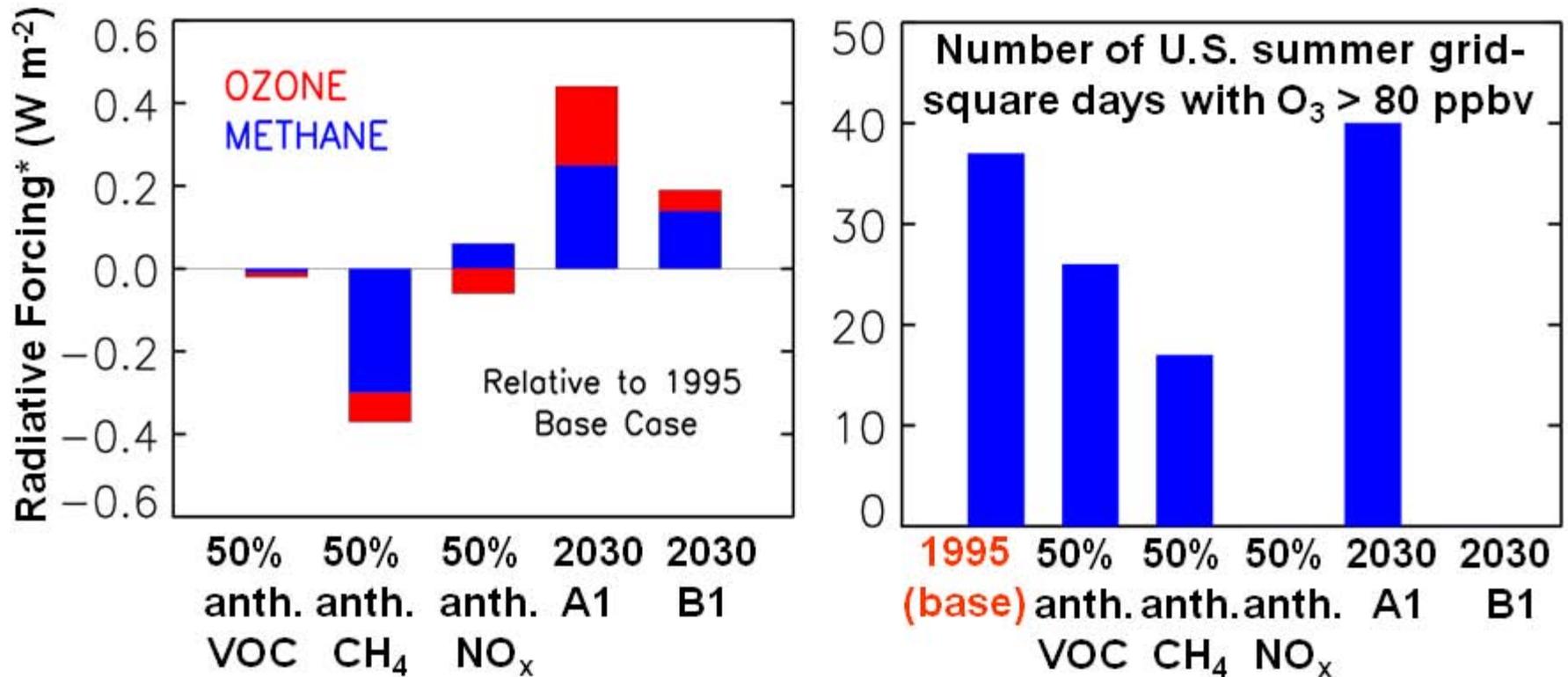
Sensitivity of global tropospheric ozone inventory in GEOS-CHEM to 50% global reductions in anthropogenic emissions

→ Anthropogenic emissions of NO<sub>x</sub> and methane have largest influence on tropospheric ozone.... climate? air pollution?

# Radiative Forcing of Climate, 1750-Present: Important Contributions from Methane and Ozone



## Double dividend of Methane Controls: Decreased greenhouse warming and improved air quality



IPCC scenario	Anthrop. NO <sub>x</sub> emissions (2030 vs. present)		Methane emissions (2030 vs. present)
	Global	U.S.	
A1	+80%	-20%	+30%
B1	-5%	-50%	+12%

**CH<sub>4</sub> links air quality & climate via background O<sub>3</sub>**

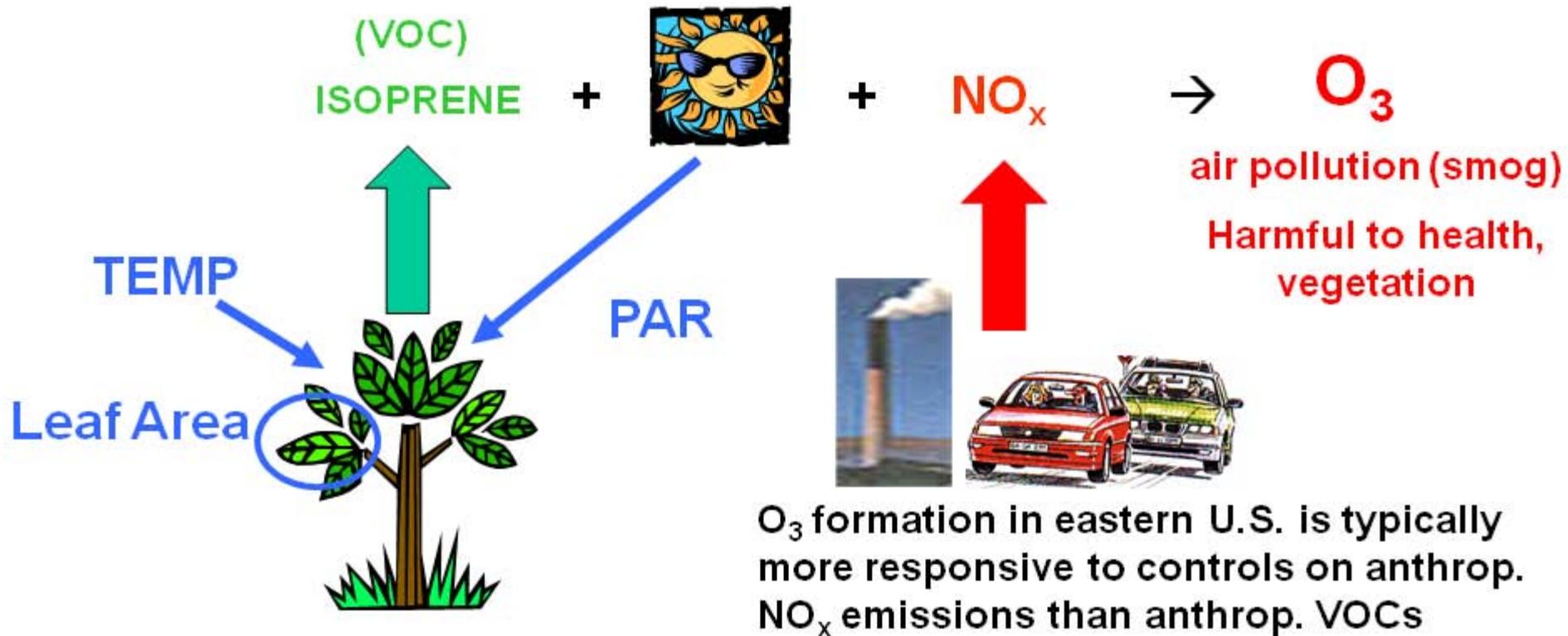
*Fiore et al., GRL, 2002*

## CONCLUSIONS ... and their implications for public policy

- 1. Background O<sub>3</sub> is typically less than 40 ppbv; even lower under polluted conditions**
  - health risk from O<sub>3</sub> underestimated in 1996 EPA risk assessments
- 2. Pollution from North America contributes to high-O<sub>3</sub> events at remote U.S. sites in spring**
  - these events do not represent U.S. background conditions and should not be used to challenge legitimacy of O<sub>3</sub> NAAQS
- 3. Hemispheric pollution enhances U.S. background**
  - international agreements to reduce hemispheric background should improve air quality & facilitate compliance w/ more stringent standards
  - reducing CH<sub>4</sub> decreases both background O<sub>3</sub> and greenhouse forcing; enables simultaneous pursuit of air quality & climate goals
  - global CH<sub>4</sub> controls are viable [J. West seminar next Friday!] and complement local-to-regional NO<sub>x</sub> & NMVOC controls

**What is the role of uncertainties/changes in “natural” emissions?  
First step: BVOC emissions**

**Isoprene Emissions are generally thought to contribute to  $O_3$  production over the eastern United States [e.g. Trainer et al., 1987; NRC, 1991]**



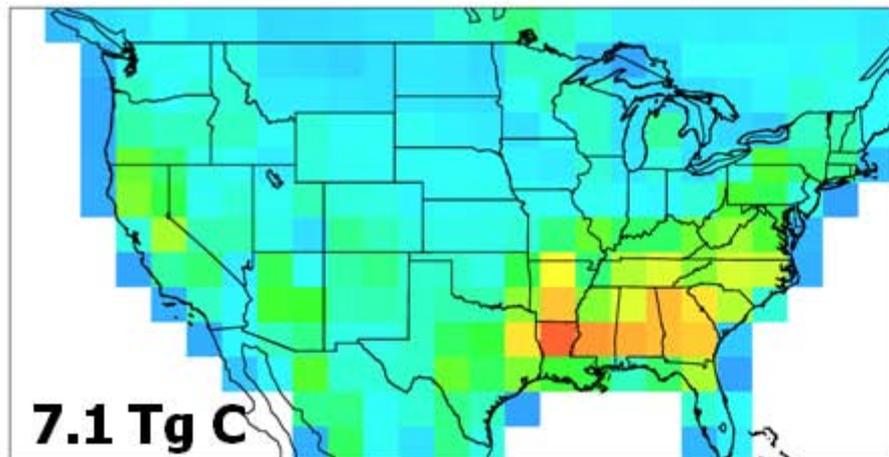
Vegetation changes → Impact on  $O_3$ ?

- Climate
- Land-use

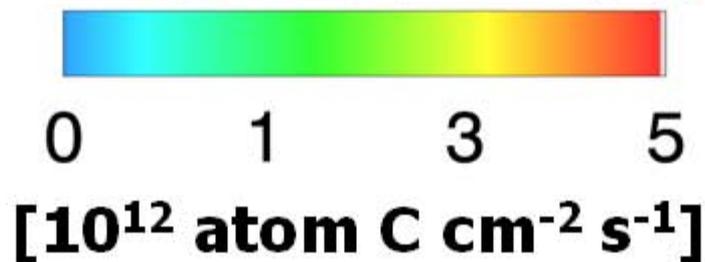
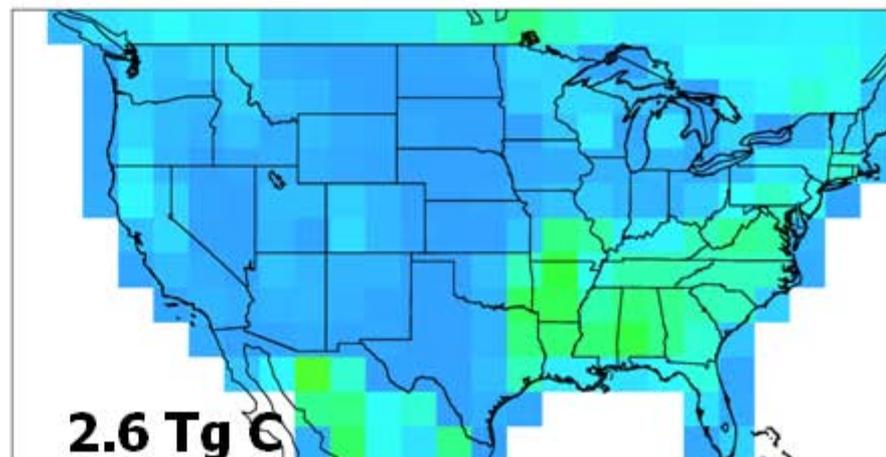
# Isoprene Emission Inventories uncertain by at least a factor of 2

## Isoprene emissions – July 1996

**GEIA: Global Isoprene  
Emission Inventory**



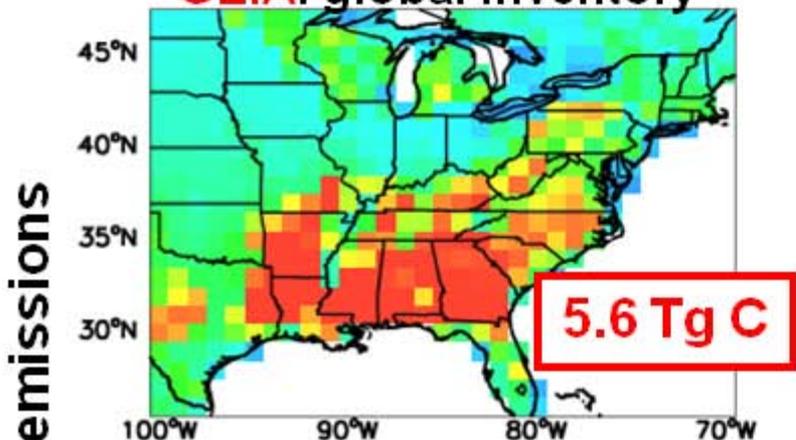
**BEIS2: Regional Isoprene  
Emission Inventory**



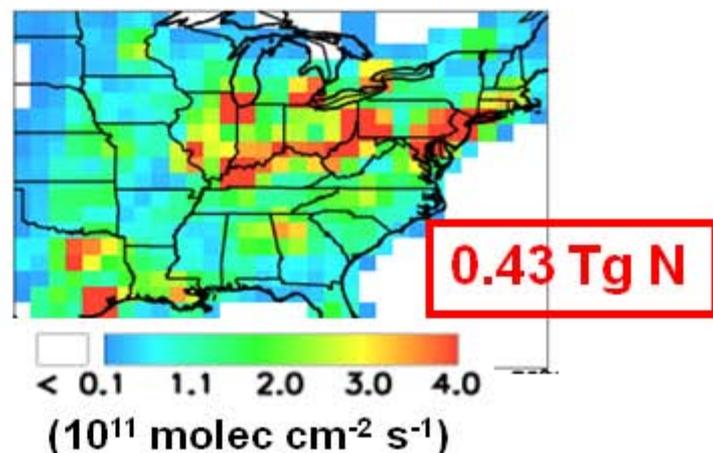
[from Paul Palmer]

# Choice of isoprene inventory critical for predicting base-case O<sub>3</sub> (2001 meteorology; 1x1 nested GEOS-CHEM [Wang et al., 2004; Li et al., 2004])

**GEIA: global inventory**

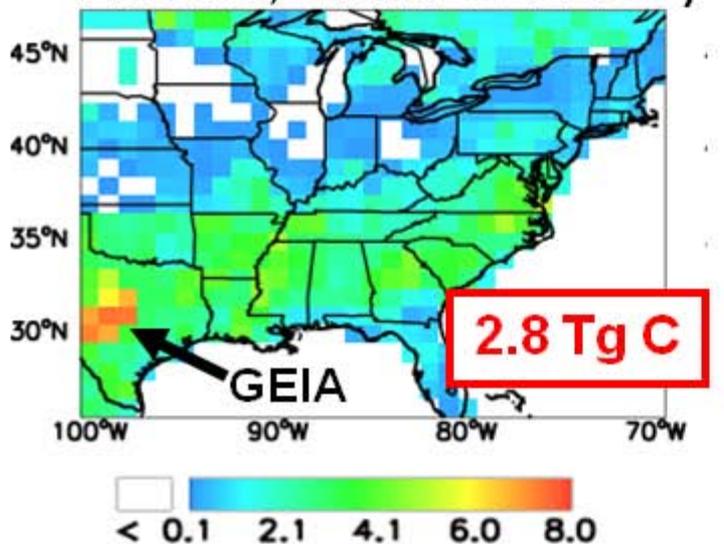


**July Anthrop. NO<sub>x</sub> emissions**

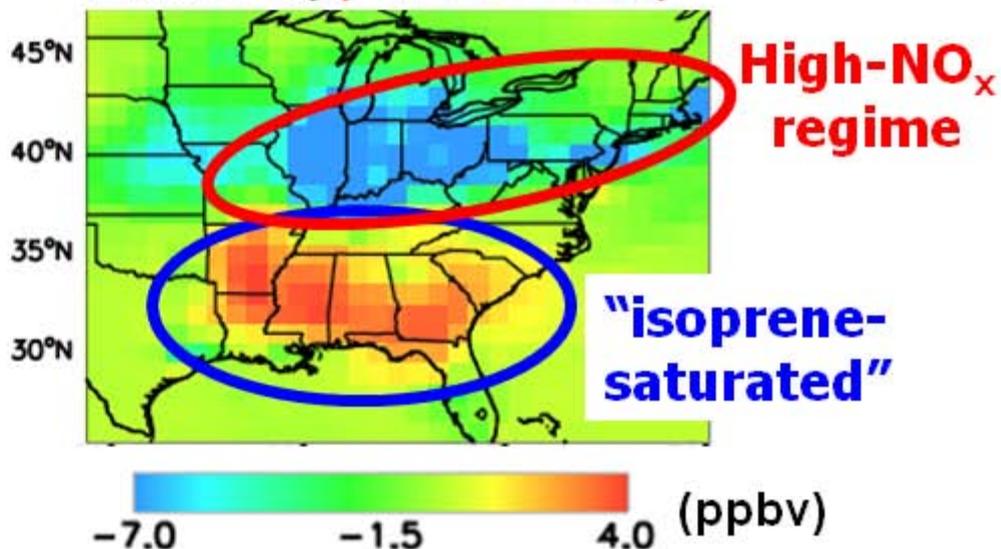


July isoprene emissions

**Purves et al., [2004]** (based on FIA data; similar to BEIS-2)



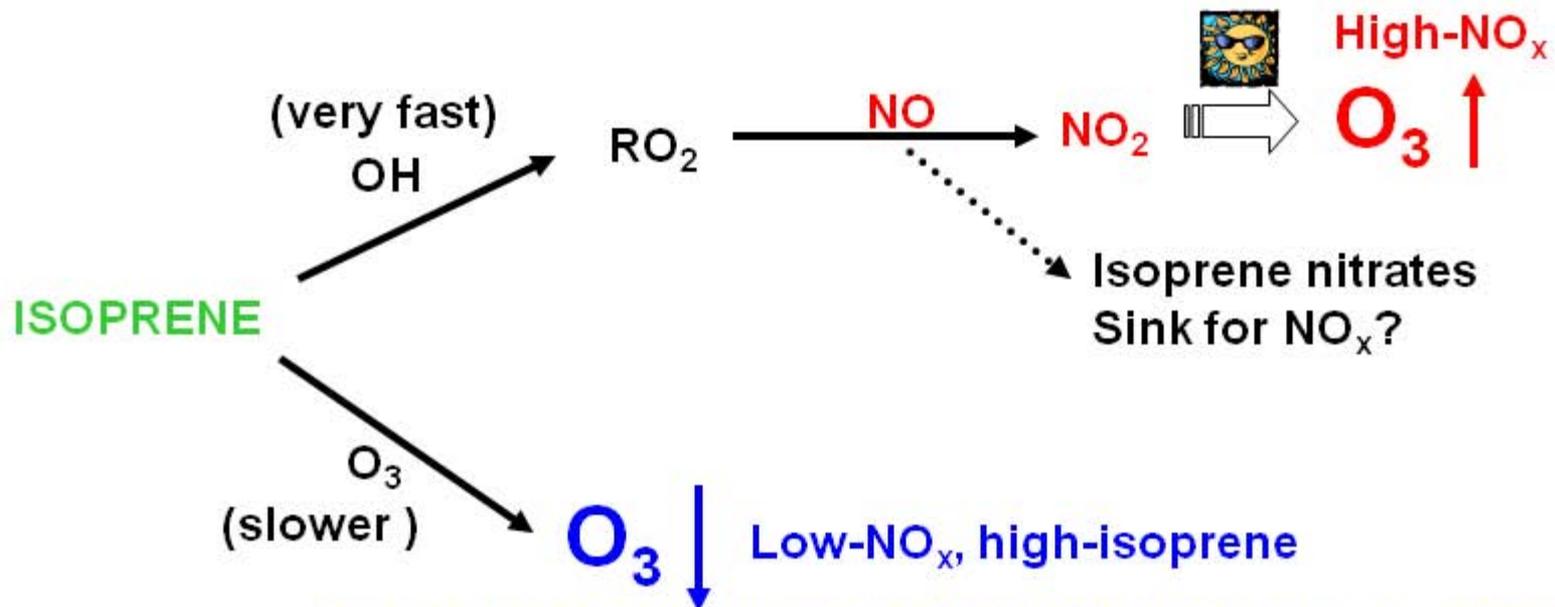
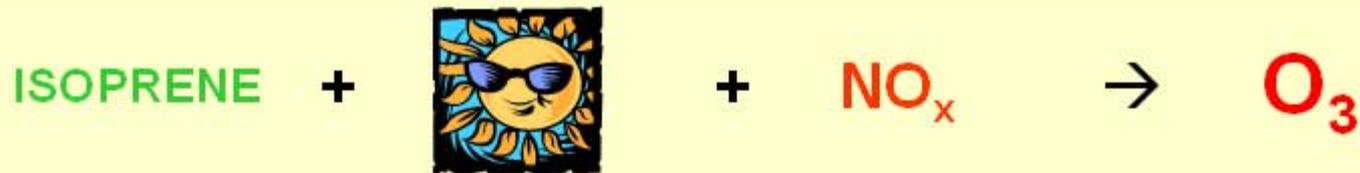
**Difference in July 1-5 p.m. surface O<sub>3</sub> (Purves-GEIA)**



(10<sup>11</sup> molecules isoprene cm<sup>-2</sup> s<sup>-1</sup>)

(ppbv)

# Complicated Chemistry: Isoprene may also decrease surface $O_3$ in low- $NO_x$ , high isoprene settings

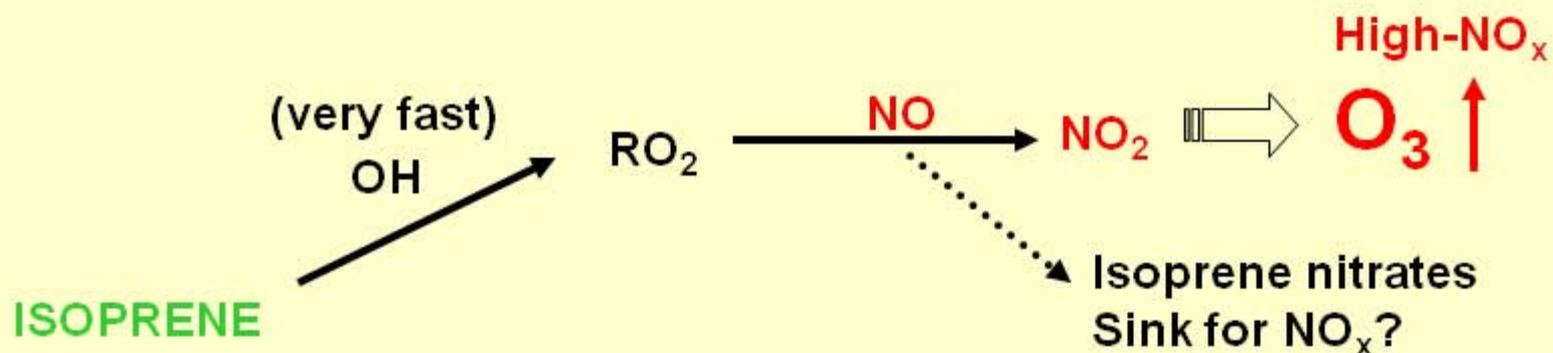


Thought to occur in pre-industrial [Mickley et al., 2001];  
and present-day tropical regions [von Kuhlmann et al., 2004]

Isoprene does react directly with  $O_3$  in our SE US GEIA simulation:  
 $O_3$ +biogenics (10d) comparable to  $O_3$ + $HO_x$  (16d),  $O_3$ + $h\nu$  → OH (11d)

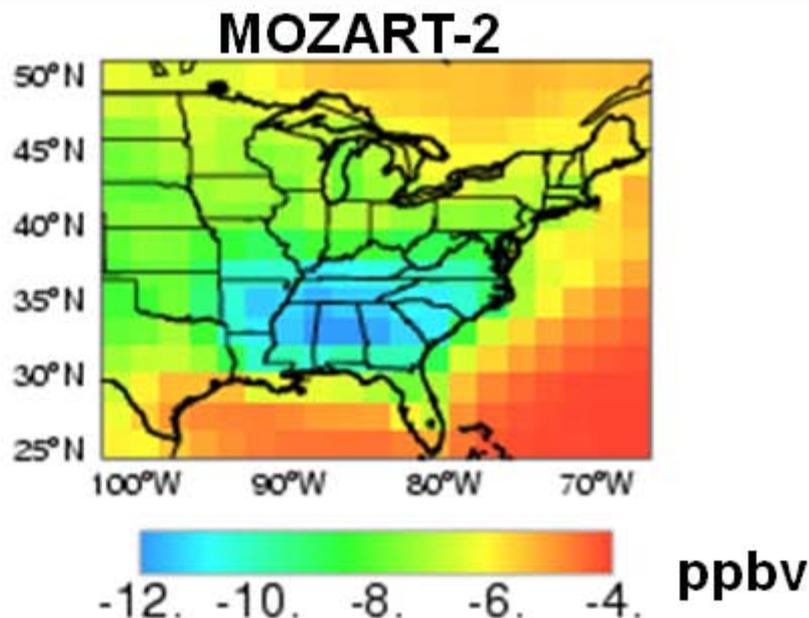


# What is the $O_3$ sensitivity to the uncertain fate of organic isoprene nitrates?



Change in July mean 1-5 p.m. surface  $O_3$  when isoprene nitrates act as a  $NO_x$  sink

→ 6-12 ppbv impact!

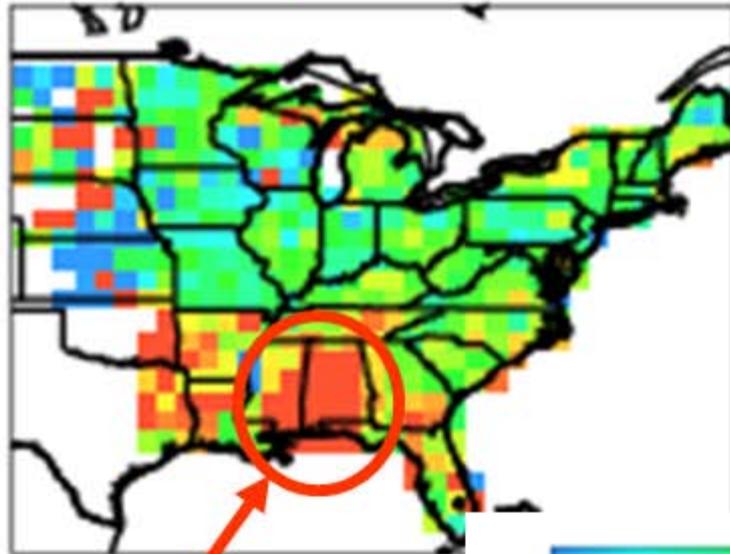


## Recent Changes in Biogenic VOC Emissions

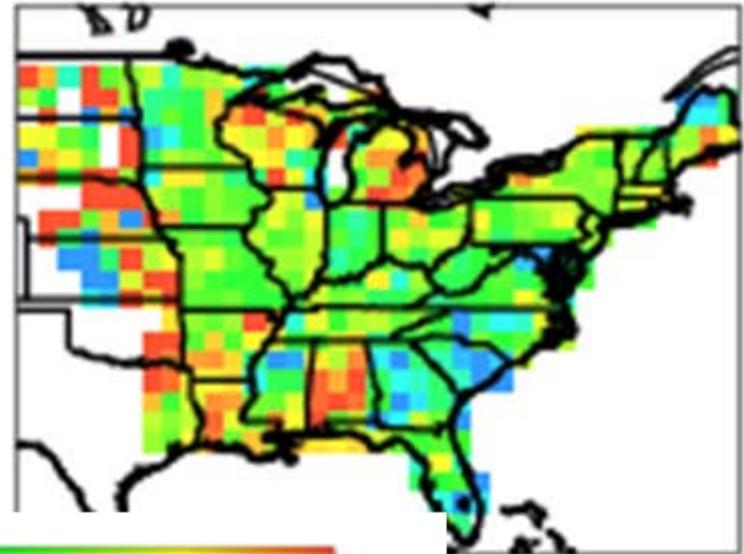
[Purves et al., *Global Change Biology*, 2004]

- Substantial isoprene increases in southeastern USA largely driven by human land-use decisions
- Land-use changes not presently considered in CTMs

**Isoprene**



**Monoterpenes**



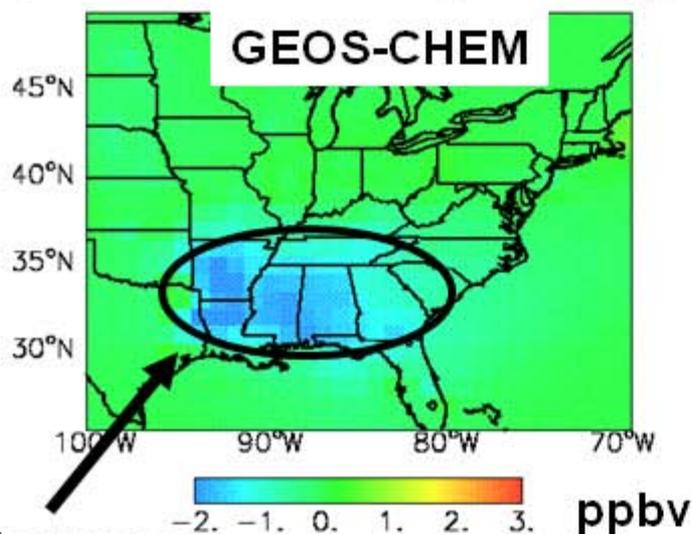
-20 -10 0 +10 +20 +30

Percent Change mid-1980s to mid-1990s

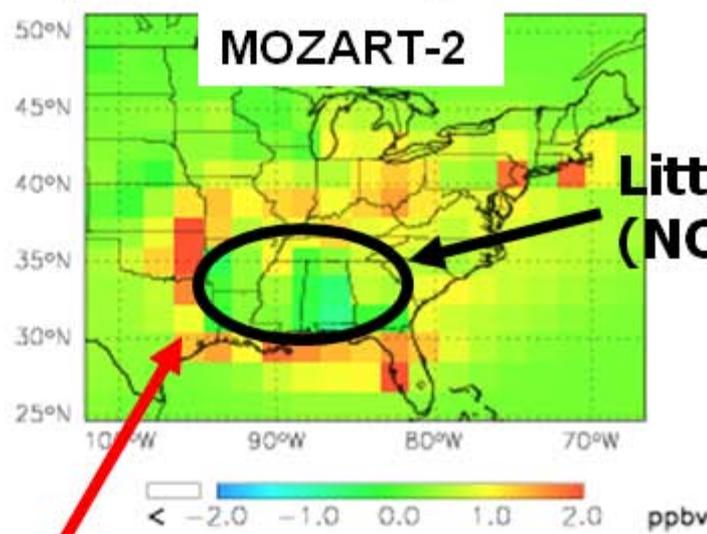
Sweetgum  
Invasion of  
Pine plantations

# Do these recent changes in isoprene emissions influence surface $O_3$ ? A Two-Model Perspective

## Change in July 1-5 p.m. surface $O_3$

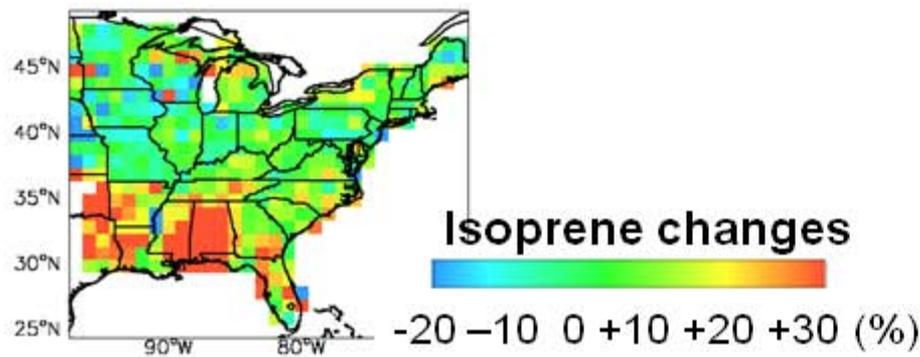


$O_3$  decreases  
(same as previous result)



$O_3$  increases  
(1-2 ppbv)

Little change  
( $NO_x$ -sensitive)



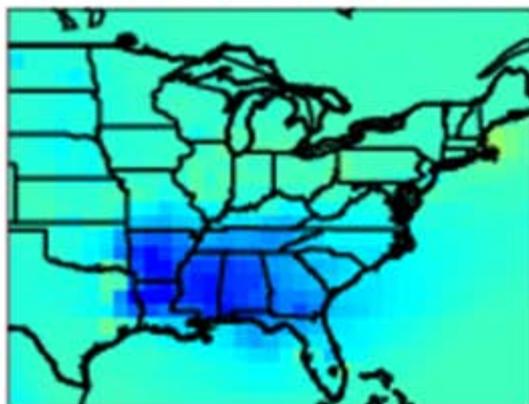
**Isoprene nitrates are not a  $NO_x$  sink in standard MOZART-2; Results are sensitive to this assumption!**

**Chemical uncertainty: MOZART-2 shows similar results to GEOS-CHEM  
if isoprene nitrates are a  $\text{NO}_x$  sink**

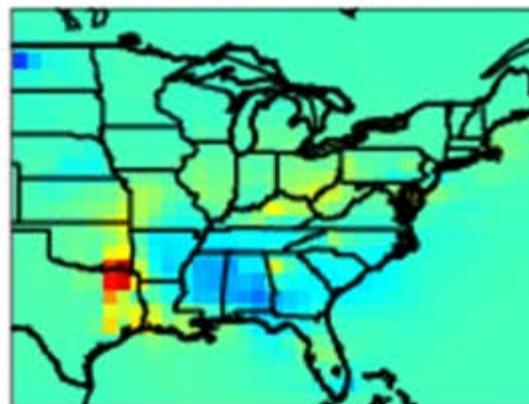
Change in July 1-5 p.m. surface  $\text{O}_3$  (ppbv)  
(due to isoprene emissions changes from mid-1980s to mid-1990s)

With 12% yield of isoprene nitrates

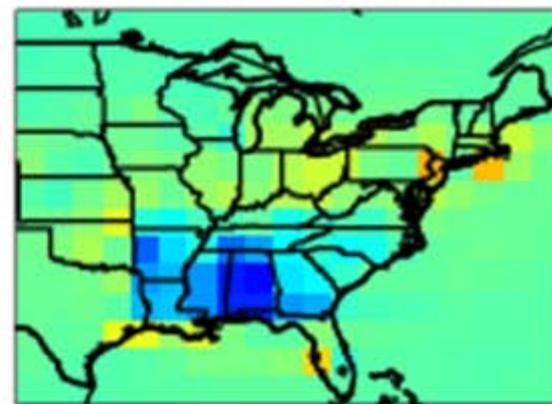
**GEOS-CHEM: GEIA**



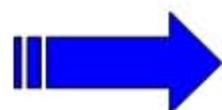
**GEOS-CHEM: Purves**



**MOZART-2: GEIA**



-2. -1. 0. 1. 2. 3. ppbv



**Understanding fate of isop. nitrates essential for predicting  
sign of response to changes in isoprene emissions**

# Conclusions and Remaining Challenges

- Better constrained isoprene emissions are needed to quantify:
  1. isoprene contribution to Eastern U.S. surface  $O_3$
  2. how  $O_3$  responds to both anthrop. and biogenic emission changes
  - Utility of satellite  $CH_2O$  columns?
  - New inventories (MEGAN, BEIS-3) more accurate?
  - Insights from aircraft campaigns?
- Recent isoprene increases may have reduced surface  $O_3$  in the SE
  - Does this regime actually exist?
  - Fate of organic nitrates produced during isoprene oxidation?

*Fiore et al., JGR, 2005*