

1 **On the Seasonality of Arctic Haze**

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ABSTRACT

9 Arctic haze has a distinct seasonality with peak concentrations in winter but
10 pristine conditions in summer. It is demonstrated that the Geophysical Fluid
11 Dynamics Laboratory (GFDL) atmospheric general circulation model AM3
12 can reproduce the observed seasonality of Arctic black carbon (BC), an im-
13 portant component of Arctic haze. We use the model to study how large-scale
14 circulation and removal drive the seasonal cycle of Arctic BC. It is found that
15 despite large seasonal shifts in the general circulation pattern, the transport
16 of BC into the Arctic varies little throughout the year. The seasonal cycle of
17 Arctic BC is attributed mostly to variations in the controlling factors of wet
18 removal, namely the hydrophilic fraction of BC and wet deposition efficiency
19 of hydrophilic BC. Specifically, a confluence of low hydrophilic fraction and
20 weak wet deposition, owing to slower aging process and less efficient mixed-
21 phase cloud scavenging, respectively, is responsible for the wintertime peak
22 of BC. The transition to low BC in summer is the consequence of a gradual
23 increase in the wet deposition efficiency, while the increase of BC in late fall
24 can be explained by a sharp decrease in the hydrophilic fraction. The results
25 presented here suggest that future changes in the aging and wet deposition
26 processes can potentially alter the concentrations of Arctic aerosols and their
27 climate effects.

28 **1. Introduction**

29 The accumulation of visibility-reducing aerosols in the Arctic during late winter and early spring
30 (known as Arctic haze) was first discovered in the 1950s (Mitchell 1957). The haze has its root
31 cause in the long-range transport of air pollution originating from the mid-latitude industrial re-
32 gions (Barrie 1986), and can have an influence on Arctic climate (Law and Stohl 2007). The haze
33 is a mixture of both light-scattering and light-absorbing aerosols. The aerosols pose strong radi-
34 tive perturbations by scattering and/or absorbing solar radiation, by interacting with clouds, and
35 by reducing the surface albedo when deposited onto snow and ice (Quinn et al. 2007). The surface
36 temperature in the Arctic increased more than the global average since the late 20th century, coin-
37 ciding with a rapid decline of sea ice (Bindoff et al. 2013). Besides greenhouse gases, decreased
38 (increased) scattering (absorbing) aerosols were postulated to have contributed to this amplified
39 Arctic climate change (Shindell and Faluvegi 2009).

40 Sulfate and black carbon (BC) are the dominant scattering and absorbing aerosols in the Arctic,
41 respectively (Law and Stohl 2007). Distinct seasonal cycles of Arctic sulfate and BC concentra-
42 tions are present in measurements. Surface observations at Alert and Barrow show that BC con-
43 centrations tend to peak during late winter and early spring before starting to decline in April, and
44 reach a minimum during summer (Sharma et al. 2006). Aircraft measurements of sulfate reveal
45 similar seasonal variations, with aerosol layers at higher altitudes persisting into May (Scheuer
46 2003).

47 Different hypotheses have been posited to explain the seasonal cycle of Arctic haze. Previous
48 studies have shown that European emissions dominate Arctic aerosols, with smaller contributions
49 from East Asia and North America (e.g., Stohl 2006; Shindell et al. 2008). A dynamically oriented
50 view holds that during the haze season (winter and spring), meridional transport from mid-latitude

51 source regions to the Arctic is stronger due to vigorous large-scale circulation. The presence of
52 Siberian high pressure helps steer polluted European air into the Arctic by transient and stationary
53 eddies (Barrie 1986; Iversen and Joranger 1985). The diabatic cooling of air traveling over ice and
54 snow also facilitates transport to the Arctic lower troposphere. In contrast, pollution is diabatically
55 transported to higher altitudes and diluted in summer (Klonecki et al. 2003).

56 A competing theory is that Arctic haze occurs in winter owing to slower removal. As a major
57 sink term for aerosols, wet scavenging by precipitation (rain and snow) is modulated heavily by
58 cloud microphysics. Aerosols are not effectively removed by ice clouds since soluble aerosols
59 such as sulfate are generally poor ice nuclei (IN). BC particles become effective IN only when
60 the temperature is below ~ 240 K (Friedman et al. 2011). In mixed-phase clouds, the Bergeron
61 process (i.e. evaporation of liquid droplets in the presence of ice crystals) releases aerosols con-
62 tained in cloud droplets back into air (Cozic et al. 2008), so the wet scavenging in mixed-phase
63 clouds is much less efficient than in liquid clouds (Liu et al. 2011; Browse et al. 2012). The low
64 efficiency of ice cloud and mixed-phase cloud scavenging favors accumulation of aerosols at cold
65 temperatures. Dry deposition also has seasonal variations and is weaker in winter when the stable
66 boundary layer inhibits turbulent mixing (Quinn et al. 2007). It, however, accounts for only a small
67 portion of the total removal and affects mainly the surface concentrations of Arctic haze (Liu et al.
68 2011). Another key factor unique to BC is the aging process, which refers to the transformation
69 from hydrophobic to hydrophilic aerosols due to coating by soluble species (Petters et al. 2006).
70 Only aged BC particles can act as cloud condensation nuclei (CCN) and be removed by in-cloud
71 scavenging. As a result, the aging rate has a large effect on global BC concentrations and distribu-
72 tions. Experimental studies have found that condensation of gaseous sulfuric acid (H_2SO_4) is an
73 effective aging mechanism for BC particles (Zhang et al. 2008); reduced concentrations of H_2SO_4

74 due to slower oxidation of SO₂ by the hydroxyl radical (OH) in winter results in a lower aging rate
75 and thus weaker wet deposition (Liu et al. 2011).

76 It is important to note that the aforementioned mechanisms (namely large-scale circulation,
77 cloud microphysics and aging) are not mutually exclusive; they could all act to induce season-
78 ality. Yet, the relative importance of these mechanisms in shaping the pronounced seasonal cycle
79 of Arctic haze remains unclear. While it is hard to separate these factors cleanly using observations
80 alone, we approach the issue here using a comprehensive global model. In this study we apply
81 an atmospheric general circulation model (AGCM) to analyze the factors controlling the seasonal
82 cycle of Arctic haze with a focus on BC, an important constituent of Arctic haze with a potentially
83 important influence on Arctic climate.

84 **2. Model Description**

85 This study uses the Geophysical Fluid Dynamics Laboratory (GFDL) AM3 AGCM (Donner
86 et al. 2011) with a cubed-sphere grid resolution of ~ 100 km and 48 hybrid vertical levels from
87 the surface to ~ 1 Pa. We conduct a six-year hindcast simulation (2008-2013), following one year
88 of spin-up. The emission inventories reflect 2008-2013 conditions. Anthropogenic emissions of
89 aerosol and ozone precursors with seasonal variations are based on Hemispheric Transport of Air
90 Pollution (HTAP) v2 - a mosaic of regional and global emission inventories for the years 2008 and
91 2010 (Janssens-Maenhout et al. 2015), and are held constant after 2010. Daily-resolving biomass
92 burning emissions for 2008-2013 are adopted from the Fire Inventory from National Center for
93 Atmospheric Research (NCAR) (Wiedinmyer et al. 2011) and emitted in the model surface layer.
94 The model is forced with observed sea surface temperatures and sea ice, and horizontal winds are
95 nudged to the reanalyses from the National Centers for Environmental Prediction (NCEP) Global
96 Forecasting System at approximately $1.4^\circ \times 1.4^\circ$ horizontal resolution using a pressure-dependent

97 nudging technique (Lin et al. 2012). The latter makes it possible to compare model simulations
 98 with observations for specific flight campaigns.

99 The treatment of BC in AM3 used in this study differs from that described by Donner et al.
 100 (2011), and has been discussed extensively by Liu et al. (2011) and Fan et al. (2012); here we
 101 summarize briefly the key features. AM3 includes two types of BC: hydrophobic (BCpo) and
 102 hydrophilic (BCpi). 80% (40%) of BC emitted from anthropogenic (biomass burning) sources
 103 is assumed to be hydrophobic. The hydrophobic BC is then converted to the hydrophilic form
 104 at a variable aging rate (Liu et al. 2011), which depends on condensation of sulfuric acid (the
 105 rate of which is assumed to be proportional to OH concentrations) and other processes such as
 106 coagulation (represented by adding a small constant term to the aging rate coefficient, see Section
 107 6 for more discussion). Only hydrophilic BC can be removed by in-cloud scavenging, which is
 108 parameterized using a first-order rate coefficient (k_{scav} , s^{-1}) (Fan et al. 2012):

$$k_{scav} = \frac{F_{scav,1}P_{rain} + F_{scav,2}(1 - f_{berg})P_{snow} + F_{scav,3}f_{berg}P_{snow}}{Q_{liq} + Q_{ice}}, \quad (1)$$

109 where P_{rain} and P_{snow} are the 3-dimensional rain and snow rates ($kg\ m^{-3}\ s^{-1}$), respectively, and
 110 Q_{liq} and Q_{ice} the liquid and ice cloud water contents ($kg\ m^{-3}$), respectively. $F_{scav,i}$ is the scaveng-
 111 ing efficiency (i.e. the fraction of BC that is incorporated into cloud droplets or ice crystals and
 112 removed by precipitation) for precipitation type i ($i=1, 2, 3$). f_{berg} is the fraction of snow produced
 113 by the Bergeron process. In this study, $F_{scav,1}$ and $F_{scav,2}$ are set to 0.2, and $F_{scav,3}$ is set to 0.01
 114 to account for the less efficient removal of aerosols by snow produced by the Bergeron process
 115 than by rain and snow produced by riming and homogeneous freezing. Both hydrophobic and hy-
 116 drophilic BC can be removed by below-cloud scavenging and dry deposition. The dry deposition
 117 velocity is calculated using the empirical resistance-in-series method with a surface-dependent

118 collection efficiency (Gallagher 2002), resulting in a much smaller dry deposition velocity over
119 snow and ice than over land surfaces (soils and canopy).

120 **3. Simulated and observed seasonal cycle of Arctic BC**

121 Simulating Arctic BC, especially its seasonal cycle, remains a challenge for the current gener-
122 ation of models. Many models underestimate Arctic BC concentrations in winter by more than
123 an order of magnitude and thus fail to reproduce the observed seasonal variations (Shindell et al.
124 2008). Figure 1 shows the AM3 simulated and observed monthly mean BC surface concentrations
125 at Alert, Barrow, and Zeppelin (Eleftheriadis et al. 2009; Sharma 2004; Sharma et al. 2006). Mea-
126 sured BC exhibits similar seasonal variations at all three stations. Its concentrations peak in winter
127 or early spring, followed by a rather precipitous decrease in April and May. The lowest concentra-
128 tions occur from June to October. On average, BC concentrations in winter (DJF) are higher than
129 in summer (JJA) by a factor of 3-4. The model is able to capture the seasonal cycle, but appears
130 to underestimate BC at Alert and Barrow by a factor of 2-3 throughout the year. This discrepancy
131 may result from model deficiencies, including uncertainties in BC emissions and deposition, or
132 from observational errors, as some of the measurements are indirect and may be subject to rather
133 large biases (Bond et al. 2013).

134 Figure 1 also compares the simulated BC vertical profiles at high latitudes (66° - 85° N) with the
135 aircraft measurements made during the HIAPER Pole-to-Pole Observations (HIPPO) campaigns
136 (Schwarz et al. 2013; Wofsy 2011). The seasonal variations are evident in the data. In January,
137 high concentrations of BC are confined within the boundary layer (HIPPO1). During early spring,
138 there are enhancements of BC at higher altitudes (HIPPO3). BC concentrations at all altitudes start
139 to decrease in June, and remain low throughout summer (HIPPO4 and HIPPO5). Despite overes-

140 timates in the free troposphere for some flights, the model generally performs well in simulating
141 BC vertical profiles during all seasons.

142 Based on the above comparisons, we conclude that the model is capable of simulating the sea-
143 sonal cycle of Arctic BC, and thus can be used to study its underlying mechanisms. This improve-
144 ment in AM3 simulated Arctic BC is attributed to the modified BC-related processes (aging, wet
145 removal and dry deposition) in the model, and Liu et al. (2011) discussed the sensitivity of BC
146 simulation to each process in detail. Since observations show similar seasonality of Arctic BC at
147 the surface and in the free troposphere, we will focus on the BC column burden averaged over the
148 Arctic for the rest of the paper. This allows us to take full advantage of the model and generalize
149 the results to the entire Arctic.

150 **4. Controlling factors of Arctic BC**

151 We employ a box model of the Arctic region to quantify the key factors controlling Arctic BC
152 concentrations. Given the relatively small emissions from local sources, the prevailing balance is
153 between the meridional BC transport into the Arctic and local deposition. One can write the rate
154 of change in the average BC column burden (C , kg m^{-2}) as:

$$\frac{dC}{dt} = \frac{F}{S} - W - D, \quad (2)$$

155 where F is the total meridional flux into the Arctic (kg s^{-1}), S the Arctic surface area (m^2), and
156 W and D the average wet and dry deposition rates ($\text{kg m}^{-2} \text{s}^{-1}$), respectively. Since the dry
157 deposition of BC and the below-cloud scavenging of BC_{po} are small (less than 10% of the total
158 BC deposition in the model simulation) and can be neglected, Eq. (2) can be simplified as:

$$\frac{dC}{dt} = \frac{F}{S} - W_{pi}, \quad (3)$$

159 where W_{pi} is the average BCpi wet deposition rate ($\text{kg m}^{-2} \text{s}^{-1}$). W_{pi} can be written as $r \cdot w \cdot C$,
 160 where r represents the dimensionless hydrophilic fraction of BC (C_{pi}/C , C_{pi} being the average
 161 BCpi column burden) and w represents the wet deposition efficiency of BCpi (W_{pi}/C_{pi} , s^{-1}),
 162 which can be thought of as the BC concentration-weighted in-cloud scavenging rate coefficient
 163 (k_{scav}) defined in Eq. (1), and is different from the wet scavenging efficiency ($F_{scav,i}$). As the
 164 AM3 simulated residence time of Arctic BC ranges from 6-20 days depending on the season (not
 165 shown), we assume steady state on the monthly time scale and arrive at an expression for C :

$$C = \frac{F}{S \cdot r \cdot w}. \quad (4)$$

166 An inspection of Eq. (4) suggests that elevated BC concentrations could result from stronger
 167 transport from mid-latitude source regions and/or weaker wet removal. General circulation pat-
 168 terns are important for determining long-range transport fluxes. Wet removal is reduced when a
 169 smaller fraction of BC is hydrophilic or the wet deposition efficiency of BCpi is lower. The aging
 170 process exerts a strong control over the hydrophilic fraction. The wet deposition efficiency is af-
 171 fected mainly by the phase of precipitation because in-cloud scavenging efficiency differs among
 172 liquid, ice and mixed-phase clouds.

173 We apply the box model to quantify the roles of the three main variables, namely the meridional
 174 BC flux (F), BC hydrophilic fraction (r) and BCpi wet deposition efficiency (w), in controlling
 175 the seasonality of Arctic BC. The monthly mean values of F , r and w averaged over the Arctic
 176 (defined as poleward of 66°N) are computed from our AM3 model simulation. Figure 2a compares
 177 the monthly mean BC column burdens calculated using the box model with AM3 simulations. The
 178 good agreement validates the assumptions made in deriving Eq. (4). The box model captures the
 179 seasonal cycle, suggesting that one can rationalize the seasonality of Arctic BC by examining the
 180 three variables defined above.

181 **5. Meridional transport**

182 Like other anthropogenic aerosol species, BC is emitted mainly at the mid-latitude industrial
 183 regions and carried into the Arctic by atmospheric transport. Isentropic airflow facilitates high-
 184 level transport from warm and humid (high equivalent potential temperature, θ_e) areas such as
 185 North America and East Asia, and low-level transport from comparatively low θ_e areas such as
 186 Europe (Stohl 2006). Cross-isentropic transport due to diabatic heating or cooling also plays
 187 an important role (Klonecki et al. 2003). The total meridional BC flux (F) can be decomposed
 188 into contributions from the mean meridional circulation (MMC), stationary eddies, and transient
 189 eddies:

$$\{\overline{vc}\} = \underbrace{\{\overline{[v][c]}\}}_{MMC} + \underbrace{\{\overline{v^*c^*}\}}_{stationary\ eddies} + \underbrace{\{\overline{v'c'}\}}_{transient\ eddies}, \quad (5)$$

190 where v is the meridional wind velocity (m s^{-1}), and c the BC mass mixing ratio (kg kg^{-1}).
 191 Overbars denote monthly means, square brackets zonal means, asterisks deviations from zonal
 192 means, primes deviations from monthly means, and curly brackets zonal and vertical integrals
 193 (from the surface to ~ 100 hPa). Figure 2b shows the annual cycle of the total monthly mean
 194 meridional BC flux into the Arctic (at 66°N) and its three components. Generally, the total BC
 195 flux does not show much variation with time. The average flux is only $\sim 20\%$ higher in DJF than
 196 in JJA, far from sufficient to account for the column burden difference between the two seasons
 197 (Figure 2a). The contribution of the mean meridional circulation is very small. The transport is
 198 realized almost entirely by the eddy components. The flux by stationary eddies is comparable to
 199 that by transient eddies in DJF, while the latter dominates in JJA.

200 Although the vertically integrated BC flux changes little throughout the year, its vertical structure
 201 does vary with the season (Figure 3). The flux in DJF is characterized by two peaks (one in the
 202 boundary layer, and the other at about 500 hPa), which are of different origins. In winter the polar

203 dome (surface of constant potential temperatures) extends to about 40°N, allowing the low-level
204 transport of BC from Europe (Stohl 2006). The diabatic cooling occurring when relatively warm
205 air travels over a cold surface (i.e. strong inversion) keeps European BC in the boundary layer.
206 The flux at about 500 hPa is more likely to be a result of transport from lower-latitude regions such
207 as East Asia and North America. In JJA when BC from all regions experiences diabatic heating
208 and wet removal caused by precipitation, the flux has only one notable peak at about 800 hPa
209 originating from anthropogenic emissions in Europe and boreal forest fires over Eurasia. BC from
210 North America and East Asia is more likely to be transported diabatically to higher altitudes, and
211 diluted and rained out along the path to the Arctic (Klonecki et al. 2003).

212 We further explore the meridional BC flux in frequency space by applying a time filter. Since
213 the mean meridional flux is negligible, $\{\overline{v_n c_n}\}$ approximates BC transport carried out by eddies
214 with time scales greater than $2n$ days (Hall et al. 1994). Note that the subscript n denotes means
215 of consecutive non-overlapping n -day periods. Figure 4 shows the time-filtered BC flux into the
216 Arctic as a fraction of the total flux. The relative contributions from eddies of different frequencies
217 to the total BC transport are different in the two seasons. In DJF, about 90% of the BC flux is
218 realized by eddies with time scales longer than 10 days. Slightly more than 40% of the BC flux
219 arises from eddies that persist longer than 60 days. In contrast, eddies with time scales longer
220 than 10 days account for less than 50% of the JJA flux, and eddies that persist longer than 60 days
221 have very little contribution to the total flux. Thus, while synoptic eddies dominate in JJA, low
222 frequency eddies contribute substantially to the total transport in DJF.

223 The prominence of transient eddies in all seasons suggests that the long-range transport of Arctic
224 haze can be represented, to first order, as turbulent diffusion of mid-latitude sources, despite the
225 complexities at the process level (Shaw 1981). The idea of simplifying eddy transport as turbulent
226 diffusion is widely used in understanding the atmospheric transport of heat and potential vorticity

227 (Held 1999). Here we apply it to study tracer transport. For a local down-gradient diffusion pro-
 228 cess, the vertically integrated meridional transient eddy BC flux can be assumed to be proportional
 229 to the meridional gradient of the BC column burden:

$$\{\overline{v'c'}\} = -D \frac{\partial}{\partial y} \{\overline{c}\}, \quad (6)$$

230 where D is the turbulent diffusivity. It is clear from Figure 5 that there is a strong negative correla-
 231 tion ($r = -0.75$) between the transient eddy flux and meridional gradient averaged at a number of
 232 latitudes between 40° - 66° N. The magnitude of the slope of the best linear fit with zero intercept
 233 ($2.24 \times 10^6 \text{ m}^2 \text{ s}^{-1}$) represents the eddy diffusivity, which is within the range of the estimated
 234 values in previous studies (e.g., Bolin and Keeling 1963; Newell et al. 1969; Held 1999). Note
 235 that this diffusivity does not change substantially with the season.

236 6. Hydrophilic fraction

237 Figure 6a shows the monthly mean hydrophilic fraction (r) of Arctic BC, which has a pro-
 238 nounced seasonal cycle that can be attributed to the parameterized aging mechanisms. The hy-
 239 drophilic fraction in DJF is only $\sim 40\%$, while almost all BC is hydrophilic in JJA. The hydrophilic
 240 fraction of Arctic BC is very close to that of the meridional BC flux into the Arctic (Figure 6a), in-
 241 dicating that the annual cycle is shaped mainly by the aging process along the long-range transport
 242 path rather than locally in the Arctic. Figure 6b shows the monthly mean e-folding aging time of
 243 BC (the inverse of the aging rate coefficient, computed as the average BC_{po} concentration divided
 244 by the average conversion rate from BC_{po} to BC_{pi}) at 40° - 66° N and over the Arctic. During the
 245 transport from mid-latitudes to the Arctic, the average aging time is much longer in DJF (~ 10
 246 days) than in JJA (~ 1 day), resulting in a substantially lower hydrophilic fraction in winter. In

247 the Arctic, the seasonal variations of BC aging time are even greater, which further amplifies the
248 seasonal cycle of the hydrophilic fraction.

249 In the model the BC aging is assumed to result primarily from the condensation of H_2SO_4 onto
250 BC aerosol surface, a common process that has been examined extensively in observational and
251 experimental studies. H_2SO_4 is produced from the gas-phase oxidation of SO_2 by the OH radical
252 and is rapidly converted to aerosol phase via nucleation or condensation onto existing particles.
253 The aging rate is thus assumed to be proportional to OH concentrations. As a result of enhanced
254 solar radiation and specific humidity, OH concentrations are much higher in JJA than in DJF,
255 resulting in more rapid aging by condensation in summer. The aging can also occur through other
256 processes (e.g. coagulation), which are believed to be slower and less important than condensation
257 during long-range transport (Oshima et al. 2009). Therefore they are assumed to have a fixed e-
258 folding time of 20 days, which is longer than that for the aging via condensation, and thus do not
259 contribute to the seasonal cycle of the hydrophilic fraction.

260 The change in the hydrophilic fraction also helps explain the change in Arctic BC during spring
261 and fall. From October to November, there is a sharp decrease in the hydrophilic fraction, re-
262 sulting in a rapid buildup of BC. Similarly, from March to April, the increase in the hydrophilic
263 fraction contributes to the decline in BC concentrations. The hydrophilic fraction, however, is
264 fairly constant from April to September, in contrast with the continuous decrease in BC starting
265 from April. The wet deposition efficiency plays an important role in driving BC changes during
266 this time period, as discussed in the next section.

267 **7. BC_{pi} wet deposition efficiency**

268 Figure 6c shows the monthly mean wet deposition efficiency of BC_{pi} (w) in the Arctic. The
269 wet deposition efficiency in JJA is $\sim 20\%$ higher than in DJF, contributing to the lower BC in

270 JJA than in DJF. The magnitude of its seasonal cycle, however, is much weaker than that of the
 271 hydrophilic fraction (r) (Figure 6a). Yet, the wet deposition efficiency increases continuously by a
 272 factor of 2 from May to August, driving the transition from moderate BC burdens in late spring to
 273 exceedingly low burdens in summer.

274 The wet deposition efficiency is largely controlled by the in-cloud scavenging rate coefficient
 275 [k_{scav} in Eq. (1)]. To better understand the factors determining k_{scav} , we analyze the conversion rate
 276 coefficients of cloud condensate to rain (k_{rain}) and snow (k_{snow}) through which in-cloud scavenging
 277 occurs, which are defined as:

$$k_{rain} = \frac{P_{rain}}{Q_{liq} + Q_{ice}}, k_{snow} = \frac{P_{snow}}{Q_{liq} + Q_{ice}}. \quad (7)$$

278 According to Eq. (1), k_{scav} and thus the wet deposition efficiency is determined by k_{rain} rather than
 279 k_{snow} in areas such as the Arctic where most snow is produced by the Bergeron process (Fan et al.
 280 2012), since the scavenging efficiency for rain is much larger than for snow produced by Bergeron
 281 process. Figure 6d shows the annual cycle of AM3 simulated k_{rain} and k_{snow} in the Arctic. [P_{rain} ,
 282 P_{snow} , Q_{liq} and Q_{ice} are first averaged over the Arctic and then k_{rain} and k_{snow} are calculated using
 283 Eq. (7)]. As the atmosphere becomes warmer and holds more water vapor from DJF to JJA, both
 284 rainfall (P_{rain}) and cloud water content ($Q_{liq} + Q_{ice}$) increase, and k_{rain} is higher in JJA than in
 285 DJF since rainfall increases more rapidly, consistent with the seasonal cycle of the wet deposition
 286 efficiency. From May to August, k_{rain} increases by a factor of 2, which helps explain the two-fold
 287 increase in the wet deposition efficiency. On the other hand, k_{snow} is much larger in DJF than in
 288 JJA because cold temperature favors snow formation, which is opposite to the seasonal variations
 289 in the wet deposition efficiency. Therefore one may expect that models without different removal
 290 efficiencies for liquid and mixed-phase clouds cannot reproduce the seasonal cycle of the wet
 291 deposition efficiency, and thus the seasonal cycle of Arctic BC concentrations.

292 **8. Concluding remarks**

293 It has long been recognized that aerosols from mid-latitude source regions undergo long-range
294 transport and accumulate in the Arctic during late winter and early spring. Here we apply the
295 GFDL AM3 model to analyze the key factors affecting the seasonal variations in Arctic BC. The
296 model is able to reproduce the observed Arctic BC concentrations and seasonality, with 3-4 times
297 higher values in DJF than in JJA. We find that the seasonal cycle of Arctic BC is caused mainly
298 by the seasonality of wet deposition, with a secondary contribution from the long-range transport
299 flux.

300 The transport of BC at mid- to high latitudes occurs mainly through stationary and transient
301 eddies, rather than through the mean meridional circulation. Stationary eddies account for ~40%
302 of the total flux in DJF, while virtually all the transport is realized through transient eddies in JJA.
303 The vertical distribution of meridional BC transport also varies seasonally. The vertical profile of
304 the BC flux into the Arctic in DJF has two peaks (one in the boundary layer and the other in the
305 mid-troposphere), while the BC flux in JJA is concentrated in the lower troposphere. The total
306 meridional BC flux into the Arctic, however, changes little throughout the year despite the shift in
307 large-scale circulation.

308 The wet removal depends on both BC hydrophilic fraction and BC_{pi} wet deposition efficiency.
309 The hydrophilic fraction is smaller in DJF than in JJA due to the slower BC aging along the
310 long-range transport path to the Arctic during winter. This difference in the hydrophilic fraction
311 plays a dominant role in the large difference in BC concentrations between DJF and JJA. The wet
312 deposition efficiency is lower in DJF mainly because snow produced in mixed-phase clouds is less
313 efficient in removing BC than rain. The decrease in BC concentrations from late spring to summer

314 is due to a gradual but steady increase in the wet deposition efficiency, while the return of BC in
315 late autumn is mainly caused by a sharp decrease in the hydrophilic fraction.

316 Our results are consistent with the observational analysis of Garrett et al. (2011), which argued
317 that some combination of dry deposition and wet scavenging drives the seasonal cycle of aerosols
318 at low altitudes in the North American Arctic. Here we show that the dominance of wet deposition
319 in determining BC seasonal cycle applies to the entire Arctic. We further explain the seasonality
320 of wet deposition in terms of aging and cloud microphysical processes. While being influenced
321 by complicated physical and chemical factors, these processes are parameterized in the model in
322 a relatively simple way. Measurements of Arctic BC mixing state at different times are required
323 for verifying the assumed OH dependence of the aging rate. Measurements of BC wet deposi-
324 tion and concentrations in rain and snow will be particularly useful for constraining the rain and
325 snow scavenging efficiencies. It should also be noted that besides transport and wet deposition,
326 large uncertainties remain in BC emission inventories, which have not been discussed in this paper.
327 High-latitude BC emissions from gas flaring and residential combustion, which are underestimated
328 or completely missing in most current inventories, have a large contribution to Arctic BC concen-
329 trations and are potentially crucial for affecting its seasonality (Stohl et al. 2013). However, as
330 most of these BC emissions remain close to the surface, their contributions to BC in the mid- and
331 upper troposphere in the Arctic are small (Stohl et al. 2013) and will not affect our conclusions,
332 which are based on the BC column burden. Although the analysis in this paper focuses on BC, the
333 findings should be generally applicable to other components of Arctic haze. For example, sulfate
334 has a similar seasonal cycle, but with peak concentrations in spring as opposed to winter (as is the
335 case for BC) (Shindell et al. 2008). The difference in the scavenging efficiencies by rain and snow
336 probably dominates the seasonal variations in sulfate. Reducing wet deposition by snow has been
337 found to improve model's ability to reproduce the observed sulfate concentrations over the United

338 States (Paulot et al. 2016) and in the Arctic (Browse et al. 2012). The shift in the peak may be due
339 to the absence of an aging process since all sulfate is hydrophilic and the seasonal cycle of sulfate
340 production by SO₂ oxidation.

341 The results discussed here may have implications for understanding the variability and trend in
342 Arctic aerosols and their climate impacts. Since large-scale circulation influences the key pro-
343 cesses of long-range transport and the spatial pattern of precipitation, natural climate variability at
344 annual to decadal timescales may play an important role in determining changes in aerosol con-
345 centrations in the Arctic (Christoudias et al. 2012; Eckhardt et al. 2003). It would be interesting
346 to apply our analysis to study the effect of climate variability on the characteristics of atmospheric
347 transport and wet deposition. As climate warms, precipitation at high latitudes is expected to in-
348 crease, but the fraction of snow may decrease (Barnett et al. 2005; Singarayer et al. 2006). These
349 changes tend to enhance the wet scavenging of aerosols and result in a cleaner Arctic atmosphere.
350 The aging process, which is affected by atmospheric composition, may also vary over time. SO₂
351 emissions at mid-latitudes have generally declined in recent decades (Streets et al. 2006) and are
352 projected to decrease even more in the future (Levy et al. 2008). This long-term trend in SO₂
353 emissions will result in a decrease in sulfate concentrations but an increase in BC concentrations
354 in the Arctic due to a slower aging process by condensation of H₂SO₄ and thus weaker wet re-
355 moval. Therefore it is important to consider the changes in aerosol sources and sinks when using
356 models to examine how aerosols may alter Arctic climate under future emission scenarios.

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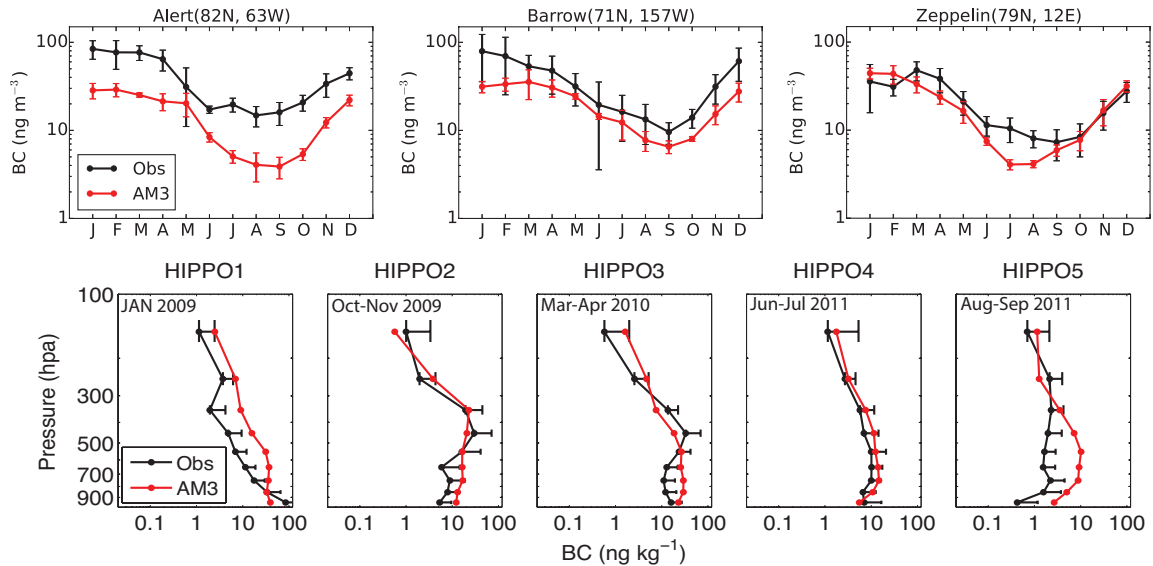
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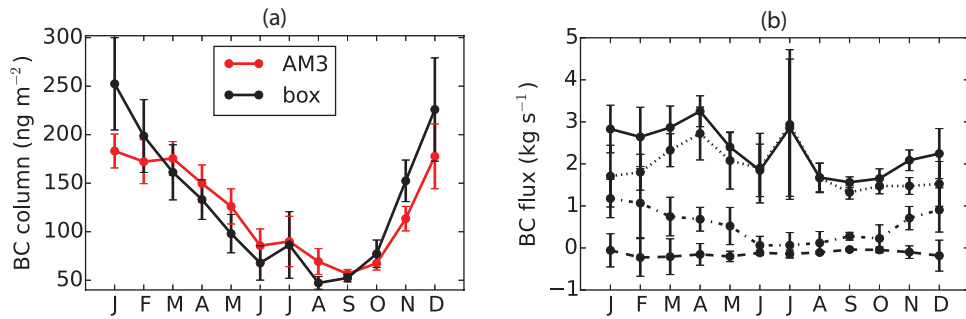
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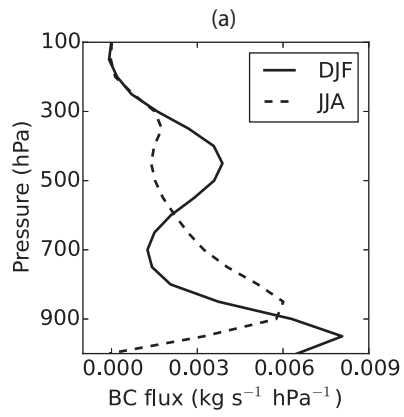
514 **Fig. 6.** Monthly mean AM3 simulated (a) hydrophilic fractions of Arctic BC (solid) and BC flux
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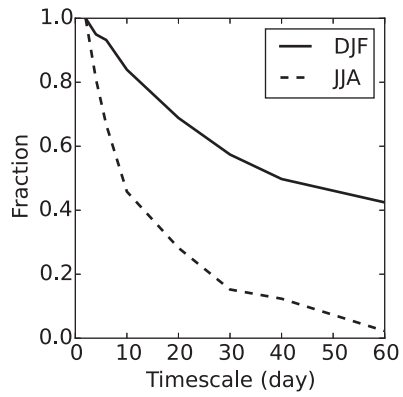
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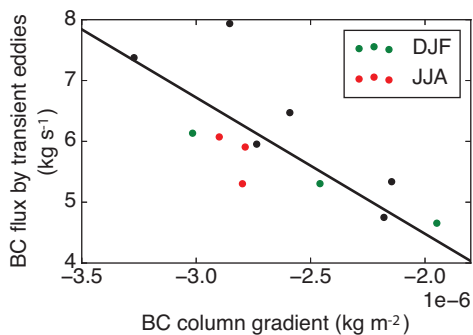
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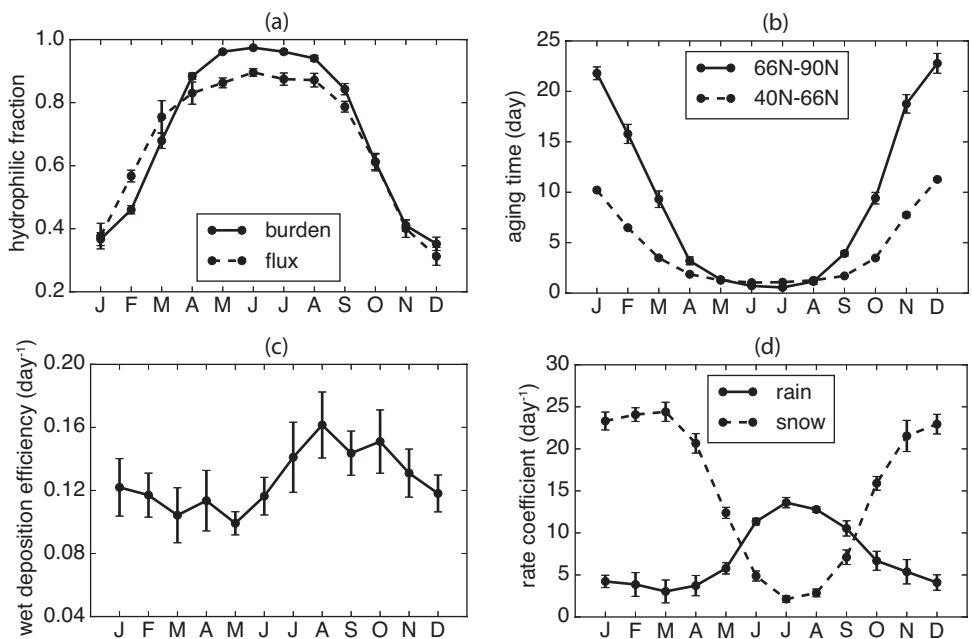
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