

1 Skillful regional prediction of Arctic sea ice on 2 seasonal timescales

Mitchell Bushuk¹, Rym Msadek², Michael Winton³, Gabriel A. Vecchi^{1,4},

Rich Gudgel³, Anthony Rosati³, and Xiaosong Yang³

3 ¹Atmospheric and Oceanic Sciences Program, Princeton University, Princeton, New Jersey,
4 USA

5 ²CNRS/CERFACS, CECI UMR 5318, Toulouse, France

6 ³National Oceanic and Atmospheric Administration/Geophysical Fluid Dynamics Laboratory,
7 Princeton University, Princeton, New Jersey, USA

8 ⁴Department of Geosciences, Princeton University, Princeton, New Jersey, USA

9 Key points:

10 • Coupled dynamical prediction system skillfully predicts regional sea-ice extent on seasonal
11 timescales

12 • Ocean subsurface temperature initialization yields North Atlantic regional winter skill at
13 lead times of 5–11 months

14 • Sea-ice thickness initialization provides a key source of summer regional skill at lead times
15 of 1–4 months

Corresponding author: Mitch Bushuk, Atmospheric and Oceanic Sciences Program,
Princeton University, 201 Forrestal Road, Princeton, New Jersey, USA, 08540. E-mail:
mbushuk@princeton.edu

16 Recent Arctic sea ice seasonal prediction efforts and forecast skill assess-
17 ments have primarily focused on pan-Arctic sea-ice extent (SIE). In this work,
18 we move towards stakeholder-relevant spatial scales, investigating the regional
19 forecast skill of Arctic sea ice in a Geophysical Fluid Dynamics Laboratory
20 (GFDL) seasonal prediction system. Using a suite of retrospective initial-
21 ized forecasts spanning 1981–2015 made with a coupled atmosphere-ocean-
22 sea ice-land model, we show that predictions of detrended regional SIE are
23 skillful at lead times up to 11 months. Regional prediction skill is highly re-
24 gion and target month dependent, and generically exceeds the skill of an anomaly
25 persistence forecast. We show for the first time that initializing the ocean
26 subsurface in a seasonal prediction system can yield significant regional skill
27 for winter SIE. Similarly, as suggested by previous work, we find that sea-
28 ice thickness initial conditions provide a crucial source of skill for regional
29 summer SIE.

1. Introduction

30 Arctic sea ice has undergone rapid changes over the satellite era, characterized by a
31 decline in pan-Arctic September sea-ice extent (SIE) of roughly -14% per decade [*Serreze*
32 *et al.*, 2007; *Cavalieri and Parkinson*, 2012; *Stroeve et al.*, 2014a], substantial thinning
33 [*Rothrock et al.*, 1999; *Kwok and Rothrock*, 2009], a transition from multi-year to first-year
34 ice [*Rigor and Wallace*, 2004; *Maslanik et al.*, 2011], and longer melt seasons [*Perovich and*
35 *Polashenski*, 2012; *Stroeve et al.*, 2014a]. These striking changes and their implications for
36 stakeholders have sparked research interest in the seasonal prediction and predictability
37 of Arctic sea ice. Seasonal prediction skill for detrended pan-Arctic SIE has been assessed
38 in a number of global climate model (GCM)-based forecast systems. These studies, based
39 on suites of initialized retrospective forecasts (hindcasts), report significant forecast skill
40 relative to the linear trend at lead times of 1–6 months, depending on the target month
41 and model used [*Wang et al.*, 2013; *Chevallier et al.*, 2013; *Sigmond et al.*, 2013; *Merryfield*
42 *et al.*, 2013; *Msadek et al.*, 2014; *Peterson et al.*, 2015; *Blanchard-Wrigglesworth et al.*,
43 2015; *Guemas et al.*, 2016]. Statistical forecast methods have also been shown to skillfully
44 predict detrended pan-Arctic SIE at leads times up to 6 months [*Lindsay et al.*, 2008;
45 *Stroeve et al.*, 2014b; *Schröder et al.*, 2014; *Wang et al.*, 2016; *Yuan et al.*, 2016; *Petty*
46 *et al.*, 2017].

47 In parallel with the development of these quasi-operational dynamical prediction sys-
48 tems, a number of “perfect model” studies, which examine how well a model can predict
49 itself, have been performed to quantify upper bounds for the forecast skill achievable in
50 such systems. These perfect model studies have shown that pan-Arctic SIE is poten-

51 tially predictable at 12–24 month lead times, substantially longer than the current skill
52 of GCM-based prediction systems [*Koenigk and Mikolajewicz, 2009; Holland et al., 2011;*
53 *Blanchard-Wrigglesworth et al., 2011a; Tietsche et al., 2014; Germe et al., 2014*]. Anal-
54 ogous to the so-called “quiet revolution” in numerical weather prediction [*Bauer et al.,*
55 *2015*], closing this prediction skill gap will require improvements in both model physics
56 and initial conditions (ICs).

57 These studies have defined baselines for the current and potential seasonal forecast skill
58 of pan-Arctic SIE. While this body of work represents a crucial first step, its utility is
59 somewhat limited for stakeholders, who are primarily interested in sea-ice predictions on
60 regional and sub-regional spatial scales. Regional sea-ice predictions are a pressing need
61 for a broad stakeholder group, including Northern communities [*Ford and Smit, 2004*],
62 wildlife [*Regehr et al., 2007*], shipping industries [*Smith and Stephenson, 2013; Melia*
63 *et al., 2016; Pizzolato et al., 2016; Laliberté et al., 2016*], fisheries [*Wyllie-Echeverria and*
64 *Wooster, 1998*], and natural resource industries [*Jung et al., 2016*]. The decline of regional
65 SIE is ubiquitous in the Arctic, with statistically significant negative SIE trends in all
66 regions except for the Bering Sea, which has a small positive trend that is not statistically
67 significant [*Cavaliere and Parkinson, 2012*].

68 Baselines for current and potential regional Arctic SIE prediction skill in dynamical
69 forecast systems have yet to be thoroughly established. The study of *Sigmond et al.*
70 [2016] demonstrated skillful predictions of detrended regional ice advance and retreat
71 dates, with notably high skill for advance dates in Hudson Bay, Baffin Bay/Labrador Sea,
72 and the Chukchi Sea. *Krikken et al.* [2016] investigated detrended regional sea-ice area

73 predictions (using three initialization months), and found skillful forecasts up to 6-month
74 lead times for the Barents/Kara Seas and the Northeast passage region. The work of *Day*
75 *et al.* [2014a] identified the seasonal-ice zones of the North Atlantic sector as the regions
76 with highest potential SIE predictability (at lead times of 1.5–2.5 years). *Yeager et al.*
77 [2015] additionally demonstrated skillful predictions of decadal SIE trends in this sector,
78 which they attributed to predictable variations in the Atlantic thermohaline circulation.

79 In this work, we present the first comprehensive assessment of regional Arctic SIE pre-
80 diction skill within a coupled dynamical prediction system. Using a suite of retrospective
81 seasonal forecasts, we examine regional SIE skill in fourteen Arctic regions for all target
82 months and lead times of 0–11 months. We study the physical mechanisms underlying
83 this regional skill, identifying critical roles for initialization of subsurface ocean tempera-
84 ture and sea-ice thickness in regional predictions of winter and summer SIE, respectively.
85 Finally, implications for future dynamical prediction systems are discussed.

2. Methods

2.1. The GFDL Prediction System

86 This study is based on a suite of retrospective seasonal forecasts spanning 1981–2015
87 made with one of the Geophysical Fluid Dynamics Laboratory (GFDL) prediction sys-
88 tems. The prediction system consists of a fully-coupled atmosphere-land-sea ice-ocean
89 GCM with initial conditions (ICs) from a coupled data assimilation system. The forecast
90 model is the GFDL Forecast-oriented Low Ocean Resolution [FLOR; *Vecchi et al.*, 2014]
91 model, which employs a relatively-high horizontal resolution of 0.5° in the atmosphere
92 and land components, and 1° resolution in the ocean and sea ice components. The sea ice

93 model of FLOR is the Sea Ice Simulator version 1 [SIS1; *Delworth et al.*, 2006]. This model
94 uses an elastic-viscous-plastic rheology for the calculation of internal ice forces [*Hunke and*
95 *Dukowicz*, 1997], an ice-thickness distribution with five thickness categories [*Bitz et al.*,
96 2001], and a three-layer thermodynamic formulation with one snow layer and two ice lay-
97 ers [*Winton*, 2000]. The FLOR prediction system exhibits seasonal forecast skill for a
98 diverse set of climate applications, including tropical cyclone activity [*Vecchi et al.*, 2014],
99 pan-Arctic SIE [*Msadek et al.*, 2014], surface-air temperature and precipitation over land
100 [*Jia et al.*, 2015], and regional sea-surface temperature [SST; *Stock et al.*, 2015].

101 The seasonal forecasts are initialized using an Ensemble Kalman Filter coupled Data
102 Assimilation system [ECDA; *Zhang et al.*, 2007]. The ECDA system assimilates subsurface
103 ocean temperature and salinity data, satellite SST, and atmospheric reanalysis data from
104 National Centers for Environmental Prediction. The subsurface ocean data comes from
105 the World Ocean Database [*Levitus et al.*, 2013], the Global Temperature and Salinity
106 Profile Programme [*Sun et al.*, 2010], and the Argo Program [*Roemmich et al.*, 2004].
107 These data sources comprise a wide variety of historical oceanic observations including
108 expendable bathythermograph (XBT) data, conductivity-temperature-depth (CTD) data,
109 moored buoy data (MRB), mechanical bathythermograph data (MBT), ocean station
110 data (OSD; or so called “bottle” data), and autonomous ocean profiles (PFL; since the
111 introduction of Argo floats in 2000). Note that ECDA does not directly assimilate any
112 sea-ice concentration (SIC) or thickness (SIT) data. The ocean and sea ice ICs are taken
113 directly from ECDA, whereas the atmosphere and land ICs are produced via a suite
114 of “AMIP-style” atmosphere-land only simulations forced by observed SST and sea ice.

115 This technique is used to initialize the atmosphere and land components because FLOR
116 employs a higher resolution in these components than ECDA, which was built on the
117 CM2.1 model [Delworth *et al.*, 2006]. The ensemble forecast experiments are initialized
118 with a twelve-member ensemble on the first of each month from January 1981 through
119 December 2015 and run for one year. This suite of hindcasts allows us to assess the skill
120 of this forecast system against nearly all of the available satellite SIC record, which begins
121 in November 1978.

2.2. Forecast Skill Assessment

122 In this study, we assess the ability of the FLOR prediction system to predict regional
123 SIE in the fourteen Arctic regions shown in Fig. 1. The regional domains are chosen
124 following the Day *et al.* [2014a] definitions. We compute prediction skill scores for each
125 region, target months from January–December, and lead times from 0–11 months. “Target
126 month” refers to the month that we are trying to predict, and “lead time” refers to the
127 number of months prior to the target month that the forecast was initialized. We verify
128 our predictions against passive microwave satellite SIC observations from the National
129 Snow and Ice Data Center (NSIDC). We use monthly-averaged SIC data processed using
130 the NASA team algorithm [Cavalieri *et al.*, 1996], and regrid these data from the native
131 NSIDC 25km polar stereographic grid onto the 1° GFDL sea-ice grid. The regridding was
132 performed to avoid systematic SIE biases associated with the different land-sea masks of
133 the two grids.

134 We assess prediction skill via the anomaly correlation coefficient (ACC). The ACC is
135 the Pearson correlation coefficient between the predicted and observed regional SIE time

136 series. The predicted regional SIE is computed in two steps: (1) we compute the ensemble-
137 mean predicted SIC; and (2) we compute an areal sum of all gridpoints in the region of
138 interest with $SIC \geq 15\%$. In order to focus on skill relative to the long-term trend, we
139 remove a linear trend forecast from the observed and predicted SIE time series before
140 computing ACC values. The linear trend forecast is computed using only past data, and
141 is updated each year. For the first three hindcast years (1982–1984), we assume a linear
142 trend of zero. We test whether the ACC values are significantly greater than 0 using a
143 t -test with a confidence level of 95%. The effective number of degrees of freedom for the
144 t -test is given by $N^* = \frac{1-r_1r_2}{1+r_1r_2}N$, where $N = 34$ is the number of years in the timeseries,
145 and r_1 and r_2 are the lag-1 year autocorrelation values for each time series [*Bretherton*
146 *et al.*, 1999]. Using this approach, we compute significance thresholds for each region,
147 target month, and lead time. These thresholds vary regionally between 0.29 and 0.38.

148 We compare our prediction skill to an anomaly persistence forecast, which is the forecast
149 obtained by persisting the observed anomaly of the initial month up to the target month.
150 The anomalies in the persistence forecast may be defined relative to either the long-term
151 climatology or the long-term linear trend, depending on whether one is assessing skill for
152 total anomalies or detrended anomalies, respectively. We also compared our prediction
153 skill to a damped anomaly persistence forecast [*Van den Dool*, 2006]. In terms of ACC,
154 anomaly persistence is slightly more skillful than damped anomaly persistence, which
155 motivated its use as the baseline forecast in this study.

3. Results

3.1. Arctic Regional Prediction Skill

156 In Fig. 2, we plot the GFDL-FLOR Arctic regional prediction skill for detrended
157 SIE. The prediction skill for total anomalies (non-detrended) is higher in all regions (see
158 Fig. S1), due to predictability from negative regional SIE trends and the ability of the
159 forecast system to capture these trends [Msadek *et al.*, 2014]. The detrended regional SIE
160 forecast skill in Fig. 2 generically exceeds that of a persistence forecast (see triangles in
161 Fig. 2). This indicates that there are dynamical sources of predictability beyond SIE
162 anomaly persistence which this prediction system is able to capture. Interestingly, each
163 Arctic region displays a unique correlation structure. These correlation structures are the
164 result of three interrelated factors: (1) the inherent predictability of SIE in each region;
165 (2) the accuracy of the forecast ICs; and (3) the ability of the model to dynamically
166 evolve the IC fields and simulate regional SIE. Below, we highlight some key features of
167 the regional SIE predictions.

168 Regional prediction skill is notably high for winter predictions of SIE in the North At-
169 lantic sector. The Barents and Greenland-Iceland-Norwegian (GIN) Seas have statistically
170 significant skill at lead times ranging from 5–9 months for target months of December–
171 March (time series of January Barents SIE predictions are shown in Fig. S2). Labrador
172 Sea skill is the highest of any region, with significant skill beyond 7 months for target
173 months of December–July. These skillful long-lead regional winter predictions correspond
174 to forecasts initialized the previous summer and spring, often in months with little sea-
175 ice cover. Ahead in Section 3.2, we investigate the sources of skill for these winter SIE
176 predictions. In contrast to the North Atlantic sector, the seasonal-ice zones of the North

177 Pacific Sector (the Bering Sea and Sea of Okhotsk) display little prediction skill beyond
178 3-month lead times.

179 The prediction system also displays significant summer SIE skill in the East Siberian,
180 Laptev, Chukchi, and Beaufort Seas (time series of September East Siberian SIE predic-
181 tions are shown in Fig. S2). The summer SIE predictions in these regions are skillful at
182 lead times of 1–4 months, lacking the long-lead skill of the winter North Atlantic predic-
183 tions. The East Siberian, Laptev, and Beaufort Seas each display a barrier of prediction
184 skill, in which skill drops off sharply in a certain initialization month. For the East Siberian
185 and Laptev seas, this skill barrier corresponds to forecasts initialized before May, whereas
186 for the Beaufort Sea, the barrier corresponds to forecasts initialized before June. These
187 skill barriers can be identified in the ACC plots as diagonal lines corresponding to initial
188 months May and June, respectively. A similar predictability barrier has been identified
189 in the work of *Day et al.* [2014a], which showed that perfect-model forecasts initialized in
190 May lose skill more rapidly than forecasts initialized in July. The predictions also have
191 skill for summer SIE in the Canadian Archipelago, however this result should be viewed
192 cautiously given the coarse model grid and relatively small number of gridpoints in this
193 region. We further investigate the sources of summer SIE prediction skill in Section 3.3,
194 ahead.

195 In addition to skillfully predicting regional SIE minima and maxima, the forecasts also
196 have skill in predicting melt season (June-July-August) and growth season (November-
197 December) anomalies in Hudson Bay at lead times of 3–11 months. Forecast skill in

198 Baffin Bay, another region that rapidly transitions from being ice-covered to ice-free, is
199 substantially lower.

200 Pan-Arctic SIE represents the sum total of these diverse regional contributions. The
201 FLOR prediction system generally has skill in predicting detrended pan-Arctic SIE at
202 lead times of 1-5 months [*Msadek et al.*, 2014]. The month of June is a clear exception
203 to this, with low skill even for lead-0 predictions. The pan-Arctic correlation structure
204 displays two “lobes” of skill which peak in April and October, following the SIE maximum
205 and minimum, respectively (Fig. 2, upper left panel). Skill drops rapidly in the months
206 of June and December, which are the months when the ice edge transitions between the
207 Central Arctic and the seasonal-ice zones. The persistence forecast also displays a similar
208 two-lobe correlation structure (See Fig. S3), with low skill in June, July, November, and
209 December, indicating that the FLOR ACC structure is related to the inherent persistence
210 of pan-Arctic SIE anomalies. A similar link between persistence and predictability was
211 found in the perfect model study of *Day et al.* [2014a]. Note that FLOR’s low June
212 prediction skill is not a generic feature of other dynamical prediction systems (compare
213 Fig. 5b of *Wang et al.* [2013], Figs. 1ab of *Merryfield et al.* [2013], Fig. 3b of *Sigmond*
214 *et al.* [2013] and Fig. 8a of *Peterson et al.* [2015]), however a similar decrease in skill
215 between September/October and November/December is seen in some systems [*Wang*
216 *et al.*, 2013; *Merryfield et al.*, 2013; *Sigmond et al.*, 2013].

217 We also assessed regional prediction skill for detrended SIE using a mean squared skill
218 score (MSSS) metric [*Murphy*, 1988; *Lindsay et al.*, 2008]. The regional skill differences in

219 MSSS are broadly consistent with the ACC results, showing highest skill in the North At-
220 lantic sector and lower skill in the North Pacific and summer sea-ice regions (See Fig. S4).

3.2. Sources of Winter Regional Skill: Ocean Temperature Initialization

221 Next, we consider the physical mechanisms underlying the long-lead regional prediction
222 skill for North Atlantic winter SIE. We first note that SIE anomalies in the Barents,
223 Labrador, and GIN Seas are more persistent than anomalies in the Bering Sea and Sea
224 of Okhotsk (See Fig. S3). This additional persistence contributes to the superior skill of
225 North Atlantic SIE forecasts relative to their North Pacific counterparts. A key difference
226 between the FLOR predictions and the persistence forecasts is that the FLOR predictions
227 remain skillful over the summer initialization months, while persistence does not (compare
228 Fig. 2 and Fig. S3 for the Barents, GIN, and Labrador Seas). These summer initialization
229 months have little sea-ice coverage and, therefore, require another source of memory to
230 provide winter SIE prediction skill. On these 4–11 month timescales, persistent anomalies
231 in upper-ocean heat content represent a candidate source for this memory. Indeed, earlier
232 work has shown that summer SST anomalies provide an important source of predictability
233 for SIE anomalies in the ice-growth season [*Blanchard-Wrigglesworth et al.*, 2011b; *Day*
234 *et al.*, 2014a; *Bushuk et al.*, 2014, 2015; *Bushuk and Giannakis*, 2015; *Sigmond et al.*,
235 2016; *Cheng et al.*, 2016; *Bushuk and Giannakis*, 2017]. In order to exploit the intrinsic
236 memory of the ocean, the forecast system must be able to initialize and dynamically evolve
237 ocean properties through the ice-free summer months and into the ice-growth season. To
238 investigate this in the FLOR prediction system, we ask: Is there a relation between winter
239 regional SIE and earlier ocean temperature ICs?

240 In Fig. 3 we plot correlation values, as a function of ocean depth and forecast lead
241 time, between observed regional SIE and earlier regional-mean ocean temperature ICs.
242 We focus on the Barents and Labrador Seas, due to the notably high skill in these re-
243 gions. Before computing correlation values, both the SIE and ocean temperature time
244 series are linearly detrended. The correlations are plotted for the upper 250m of the
245 ocean, which is roughly the depth of the Barents Sea shelf region. The Labrador Sea
246 is substantially deeper, but we focus on this upper-ocean region where the temperature
247 correlations are strongest. We perform the analysis for regional-mean ocean temperatures
248 because temperature anomalies are quite coherent over these regions (typical correlations
249 between regional-mean values and spatial-gridpoint values are between 0.6 and 0.9). Using
250 regional-mean temperatures allows us to move from four dimensions (latitude, longitude,
251 depth, lead time) to two dimensions (depth and lead time), greatly simplifying the anal-
252 ysis.

253 Physically, one expects upper-ocean temperatures and regional SIE to negatively covary,
254 since colder temperatures lead to more extensive sea ice, and vice versa. Indeed, we find
255 clear negative correlations between observed winter Barents and Labrador SIE and the
256 upper-ocean temperatures used to initialize the forecasts. This indicates that the data
257 assimilation system is able to capture interannual fluctuations in surface and subsurface
258 ocean temperatures in these regions. While the correlations are negative in both regions,
259 their spatial structures are distinct. In the Labrador Sea, the strongest correlations are
260 located within the mixed layer, and become surface intensified when the mixed layer shoals
261 over the summer months. In contrast, the Barents Sea correlations are strongest beneath

262 the mixed layer for summer initialization months, and regain a surface signature for leads
263 corresponding to initialization month May. This correlation structure closely resembles
264 the mechanism for mid-latitude SST reemergence [*Alexander and Deser, 1995; Alexander*
265 *et al., 1999*], in which early-spring SST anomalies are stored beneath the summer mixed
266 layer and reemerge to the surface when the mixed layer deepens the subsequent fall/winter.
267 By this mechanism, summer subsurface ocean temperature anomalies have the potential
268 to impact sea-ice growth rates the following fall/winter.

269 The correlation strengths in different target months reflect aspects of the SIE forecast
270 skill shown in Fig. 2. In particular, the Barents Sea ocean correlations are weaker for tar-
271 get month March, consistent with the drop in skill in this month. Similarly, the Labrador
272 Sea skill increases in March, consistent with the stronger temperature correlations at leads
273 8 and 9 in this month. Correlations between SIE and temperature ICs are generally lower
274 in the Bering Sea and Sea of Okhotsk (See Fig. S5), which is consistent with the lower
275 prediction skill in these regions.

276 The robust negative correlations in Fig. 3 indicate that the winter SIE forecast skill
277 in the Barents and Labrador Seas is partially attributable to accurate initialization of
278 upper-ocean temperatures. Due to imperfect observations and model biases, the ocean
279 ICs produced by the assimilation system have errors relative to the true observed ocean
280 state. Therefore, improving ocean initialization may be a promising route to improving
281 winter SIE prediction skill. Indeed, the correlations between ocean temperature ICs and
282 model-predicted SIE (See Fig. S6) are substantially higher than the correlations with
283 observed SIE reported in Fig. 3. The difference between the model-predicted and observed

284 correlation values represents the potential skill improvements achievable via improved
285 ocean initialization (See Fig. S7). The primary difference in these correlations is located
286 beneath the summer mixed layer, suggesting a future need for improved subsurface ocean
287 observations. Also, we find that the correlations with model-predicted SIE have less
288 dependence on the mixed-layer depth than the correlations with observed SIE (compare
289 Fig. S6 to Fig. 3). In particular, the Barents Sea correlations have larger values within
290 and near the mixed layer, whereas the Labrador Sea displays larger values below the
291 mixed layer. This suggests that the correlation structures in Fig. 3 do not necessarily
292 reflect fundamental mechanisms of ice-ocean co-variability of this model, but instead may
293 be partly associated with assimilation errors and/or model biases.

3.3. Sources of Summer Regional Skill: SIT Initialization

294 Next, we consider the sources of summer SIE prediction skill in the FLOR forecast
295 system. Earlier work has shown that SIT is an important source of predictability for
296 summer SIE on seasonal timescales [*Holland et al.*, 2011; *Blanchard-Wrigglesworth et al.*,
297 2011b; *Chevallier and Salas y Mélia*, 2012; *Lindsay et al.*, 2012; *Day et al.*, 2014b; *Germe*
298 *et al.*, 2014; *Collow et al.*, 2015; *Guemas et al.*, 2016; *Bushuk et al.*, 2017]. The ECDA
299 system does not directly assimilate SIT data, however it may implicitly capture interan-
300 nual variations in SIT via its assimilation of atmospheric reanalysis data, which provides
301 both thermodynamic and dynamic constraints on SIT. Lacking a long-term observational
302 record of SIT, we compare ECDA SIT with the Pan-Arctic Ice Ocean Model and Assimi-
303 lation System [PIOMAS; *Zhang and Rothrock*, 2003], which is an ice-ocean reanalysis that
304 agrees quite well with available satellite and in situ SIT observations [*Schweiger et al.*,

2011]. ECDA is biased thin relative to PIOMAS by 0.5–1m, but captures similar interan-
nual variability in sea-ice volume for all months of the year, with correlations ranging from
0.92–0.95 for total anomalies and 0.63–0.76 for detrended anomalies. Here, we examine
the relationship between summer regional SIE and earlier SIT ICs, focusing on the East
Siberian, Laptev, Beaufort, and Chukchi Seas.

In Fig. 4, we plot correlations between observed East Siberian Sea SIE and spatial-
gridpoint values of SIT ICs in earlier months. Correlations are plotted for target months
of June–September and lead times of 0–4 months. The linear trend is removed from
both time series before the correlation is computed. We find that the local East Siberian
SIE–SIT correlations are generally positive, consistent with the physical expectation that
thicker initial sea ice should lead to more extensive summer sea ice, and vice versa. More-
over, we find that the SIE–SIT correlations have a diagonal structure that closely resem-
bles the East Siberian ACC structure in Fig. 2. This diagonal structure implies that
the SIT initialization month is crucially important in determining East Siberian SIE skill.
In particular, the East Siberian SIE–SIT correlation values are similar for July lead-0,
August lead-1, and September lead-2, which each correspond to July-initialized forecasts.
Similarly, there is a correspondence between July lead-1, August lead-2, and September
lead-3 (June initialization) and July lead-2, August lead-3, and September lead-4 (May
initialization). These SIE–SIT correlations suggest that the summer SIE skill in the East
Siberian Sea is partially attributable to accurate initialization of local SIT anomalies.
Note that the SIE–SIT correlations using model-predicted SIE are slightly stronger, but
also display a May prediction skill barrier (see Fig. S8). This suggests that the May bar-

327 rier is primarily related to the inherent predictability of East Siberian SIE, rather than
328 resulting from SIT initialization errors.

329 SIT initialization also provides an important source of summer prediction skill in other
330 Arctic regions with high summer SIE variability. Similar to the East Siberian Sea, we find
331 that the SIE–SIT correlations in the Chukchi, Beaufort and Laptev Seas (See Figs. S9,
332 S10, and S11, respectively) are consistent with the SIE prediction skill in these regions.
333 The Chukchi Sea has SIE prediction skill up to leads of 4 months for target months June
334 and July and lower skill for August and September (See Fig. 2). The Chukchi region
335 displays strong SIE–SIT correlations for leads 0–4 in June and July, and correspondingly
336 lower correlations in August and September (See Fig. S9). The Beaufort and Laptev
337 Seas have similar prediction skill barriers to the East Siberian Sea (see the diagonal ACC
338 structures in Fig. 2). The SIE–SIT correlations for these regions display a diagonal
339 structure consistent with these skill barriers (see Figs. S10 and S11). These results
340 demonstrate the importance of SIT ICs for summer SIE prediction and suggest that
341 direct assimilation of thickness observations could potentially improve summer prediction
342 skill.

4. Conclusions

343 This study has examined the seasonal prediction skill for Arctic regional SIE within
344 the GFDL-FLOR dynamical forecast system. We have found that prediction skill for de-
345 trended regional SIE generally exceeds that of an anomaly persistence forecast. Prediction
346 skill is notably high in the North Atlantic sector. Winter/spring Labrador SIE predictions
347 are skillful at 7–11 month lead times, and predictions of winter SIE in the Barents and

GIN Seas are skillful at 5–9 month lead times. Forecast skill is lower in the North Pacific
sector, partially due to the lower inherent persistence of regional SIE anomalies compared
with their North Atlantic counterparts. Summer SIE forecasts are skillful at 1–4 month
lead times in the East Siberian, Laptev, and Beaufort Seas, and exhibit prediction skill
barriers in which skill drops off sharply in particular initialization months (May, May, and
June, respectively).

We have found that the initial conditions of the GFDL-FLOR prediction system provide
a crucial source of prediction skill for both winter and summer regional SIE. In particular,
the high prediction skill for winter SIE in the Labrador and Barents Seas is partially
attributable to the accurate initialization and persistence of surface and subsurface ocean
temperature anomalies. Similarly, the summer SIE prediction skill in the East Siberian,
Laptev, Beaufort, and Chukchi Seas is partially attributable to the accurate initialization
and persistence of SIT anomalies.

This study has provided an overview of regional Arctic SIE prediction skill and high-
lighted some key physical mechanisms underlying this skill. These results demonstrate
the key role of subsurface ocean and SIT observations in predictions of regional SIE,
emphasizing the need to both maintain and improve existing Arctic observing systems.
In addition to higher-quality observations, the route to improved regional predictions
depends crucially on reducing model biases, optimizing coupled data assimilation tech-
niques, and understanding the detailed physical mechanisms that impact regional SIE.
The results of this study motivate future work in regional sea-ice prediction using this
multi-faceted approach.

370 **Acknowledgments.** We thank Charlie Stock and Desiree Tomassi for stimulating dis-
371 cussions, and Dawei Li and Desiree Tomassi for comments on a preliminary version of this
372 manuscript. This study was supported by NOAA’s Climate Program Office, Climate Vari-
373 ability and Predictability Program (award GC15-504). The FLOR predictions analyzed
374 in this work are available through the North American Multi-Model Ensemble (NMME)
375 Phase-II data (<http://www.cpc.ncep.noaa.gov/products/NMME/data.html>). The NASA
376 team sea-ice concentration observations used in this study are available from the National
377 Snow and Ice Data Center website (<http://nsidc.org/data/NSIDC-0051/versions/1>).

References

- 378 Alexander, M. A., and C. Deser (1995), A mechanism for the recurrence of wintertime
379 midlatitude SST anomalies, *J. Phys. Oceanogr.*, *25*(1), 122–137.
- 380 Alexander, M. A., C. Deser, and M. S. Timlin (1999), The reemergence of SST anomalies
381 in the North Pacific Ocean, *J. Climate*, *12*, 2419–2433.
- 382 Bauer, P., A. Thorpe, and G. Brunet (2015), The quiet revolution of numerical weather
383 prediction, *Nature*, *525*(7567), 47–55.
- 384 Bitz, C., M. Holland, A. Weaver, and M. Eby (2001), Simulating the ice-thickness distri-
385 bution in a coupled climate model, *J. Geophys. Res.: Oceans*, *106*(C2), 2441–2463.
- 386 Blanchard-Wrigglesworth, E., C. Bitz, and M. Holland (2011a), Influence of initial condi-
387 tions and climate forcing on predicting Arctic sea ice, *Geophys. Res. Lett.*, *38*(18).
- 388 Blanchard-Wrigglesworth, E., K. C. Armour, C. M. Bitz, and E. DeWeaver (2011b),
389 Persistence and inherent predictability of Arctic sea ice in a GCM ensemble and obser-
390 vations, *J. Climate*, *24*, 231–250.

- 391 Blanchard-Wrigglesworth, E., R. Cullather, W. Wang, J. Zhang, and C. Bitz (2015),
392 Model forecast skill and sensitivity to initial conditions in the seasonal Sea Ice Outlook,
393 *Geophys. Res. Lett.*, *42*(19), 8042–8048.
- 394 Bretherton, C. S., M. Widmann, V. P. Dymnikov, J. M. Wallace, and I. Bladé (1999),
395 The effective number of spatial degrees of freedom of a time-varying field, *J. Climate*,
396 *12*(7), 1990–2009.
- 397 Bushuk, M., and D. Giannakis (2015), Sea-ice reemergence in a model hierarchy, *Geophys.*
398 *Res. Lett.*, *42*, 5337–5345.
- 399 Bushuk, M., and D. Giannakis (2017), The seasonality and interannual variability of
400 Arctic sea-ice reemergence, *J. Climate*, doi:10.1175/JCLI-D-16-0549.1, in press.
- 401 Bushuk, M., D. Giannakis, and A. J. Majda (2014), Reemergence mechanisms for North
402 Pacific sea ice revealed through nonlinear Laplacian spectral analysis, *J. Climate*, *27*,
403 6265–6287.
- 404 Bushuk, M., D. Giannakis, and A. J. Majda (2015), Arctic sea-ice reemergence: The role
405 of large-scale oceanic and atmospheric variability, *J. Climate*, *28*, 5477–5509.
- 406 Bushuk, M., R. Msadek, M. Winton, G. Vecchi, R. Gudgel, A. Rosati, and X. Yang (2017),
407 Summer enhancement of Arctic sea-ice volume anomalies in the September-ice zone, *J.*
408 *Climate*, *30*, 2341–2362.
- 409 Cavalieri, D. J., and C. L. Parkinson (2012), Arctic sea ice variability and trends, 1979-
410 2010, *The Cryosphere*, *6*(4), 881–889, doi:10.5194/tc-6-881-2012.
- 411 Cavalieri, D. J., C. L. Parkinson, P. Gloersen, and H. J. Zwally (1996), Sea ice concentra-
412 tions from Nimbus-7 SMMR and DMSP SSM/I-SSMIS Passive Microwave Data, Version

- 413 1, *NASA DAAC at the Natl. Snow and Ice Data Cent.*, doi:10.5067/8GQ8LZQVLOVL.
- 414 Cheng, W., E. Blanchard-Wrigglesworth, C. M. Bitz, C. Ladd, and P. J. Stabeno (2016),
415 Diagnostic sea ice predictability in the pan-Arctic and US Arctic regional seas, *Geophys.*
416 *Res. Lett.*, *43*(22).
- 417 Chevallier, M., and D. Salas y Mélia (2012), The role of sea ice thickness distribution in
418 the Arctic sea ice potential predictability: A diagnostic approach with a coupled GCM,
419 *J. Climate*, *25*(8), 3025–3038.
- 420 Chevallier, M., D. Salas y Mélia, A. Voldoire, M. Déqué, and G. Garric (2013), Seasonal
421 forecasts of the pan-Arctic sea ice extent using a GCM-based seasonal prediction system,
422 *J. Climate*, *26*(16), 6092–6104.
- 423 Collow, T. W., W. Wang, A. Kumar, and J. Zhang (2015), Improving Arctic sea ice
424 prediction using PIOMAS initial sea ice thickness in a coupled ocean–atmosphere model,
425 *Mon. Wea. Rev.*, *143*(11), 4618–4630.
- 426 Day, J., S. Tietsche, and E. Hawkins (2014a), Pan-Arctic and regional sea ice predictabil-
427 ity: Initialization month dependence, *J. Climate*, *27*(12), 4371–4390.
- 428 Day, J., E. Hawkins, and S. Tietsche (2014b), Will Arctic sea ice thickness initialization
429 improve seasonal forecast skill?, *Geophys. Res. Lett.*, *41*(21), 7566–7575.
- 430 Delworth, T. L., A. J. Broccoli, A. Rosati, R. J. Stouffer, V. Balaji, J. A. Beesley, W. F.
431 Cooke, K. W. Dixon, J. Dunne, K. Dunne, et al. (2006), Gfdl’s CM2 global coupled
432 climate models. Part I: Formulation and simulation characteristics, *J. Climate*, *19*(5),
433 643–674.

- 434 Ford, J. D., and B. Smit (2004), A framework for assessing the vulnerability of com-
435 munities in the Canadian Arctic to risks associated with climate change, *Arctic*, pp.
436 389–400.
- 437 Germe, A., M. Chevallier, D. S. y Mélia, E. Sanchez-Gomez, and C. Cassou (2014),
438 Interannual predictability of Arctic sea ice in a global climate model: Regional contrasts
439 and temporal evolution, *Climate Dynamics*, *43*(9-10), 2519–2538.
- 440 Guemas, V., M. Chevallier, M. Dqu, O. Bellprat, and F. Doblas-Reyes (2016), Impact of
441 sea ice initialisation on sea ice and atmosphere prediction skill on seasonal timescales,
442 *Geophys. Res. Lett*, *43*(8), 3889–3896.
- 443 Holland, M. M., D. A. Bailey, and S. Vavrus (2011), Inherent sea ice predictability in the
444 rapidly changing Arctic environment of the Community Climate System Model, version
445 3, *Climate Dynamics*, *36*(7-8), 1239–1253.
- 446 Hunke, E., and J. Dukowicz (1997), An elastic-viscous-plastic model for sea ice dynamics,
447 *J. Phys. Oceanogr.*, *27*(9), 1849–1867.
- 448 Jia, L., X. Yang, G. A. Vecchi, R. G. Gudgel, T. L. Delworth, A. Rosati, W. F. Stern, A. T.
449 Wittenberg, L. Krishnamurthy, S. Zhang, et al. (2015), Improved seasonal prediction of
450 temperature and precipitation over land in a high-resolution GFDL climate model, *J.*
451 *Climate*, *28*(5), 2044–2062.
- 452 Jung, T., N. D. Gordon, P. Bauer, D. H. Bromwich, M. Chevallier, J. J. Day, J. Daw-
453 son, F. Doblas-Reyes, C. Fairall, H. F. Goessling, et al. (2016), Advancing polar pre-
454 diction capabilities on daily to seasonal time scales, *Bull. Amer. Meteor. Soc.*, doi:
455 10.1175/BAMS-D-14-00246.1.

- 456 Koenigk, T., and U. Mikolajewicz (2009), Seasonal to interannual climate predictability
457 in mid and high northern latitudes in a global coupled model, *Climate dynamics*, *32*(6),
458 783–798.
- 459 Krikken, F., M. Schmeits, W. Vlot, V. Guemas, and W. Hazeleger (2016), Skill improve-
460 ment of dynamical seasonal Arctic sea ice forecasts, *Geophys. Res. Lett.*
- 461 Kwok, R., and D. Rothrock (2009), Decline in Arctic sea ice thickness from submarine
462 and ICESat records: 1958–2008, *Geophys. Res. Lett.*, *36*(15).
- 463 Laliberté, F., S. Howell, and P. Kushner (2016), Regional variability of a projected sea
464 ice-free Arctic during the summer months, *Geophys. Res. Lett.*, *43*(1), 256–263.
- 465 Levitus, S., J. Antonov, O. K. Baranova, T. Boyer, C. Coleman, H. Garcia, A. Grodsky,
466 D. Johnson, R. Locarnini, A. V. Mishonov, et al. (2013), The world ocean database,
467 *Data Science Journal*, *12*(0), WDS229–WDS234.
- 468 Lindsay, R., J. Zhang, A. Schweiger, and M. Steele (2008), Seasonal predictions of ice
469 extent in the Arctic Ocean, *Journal of Geophysical Research: Oceans*, *113*(C2).
- 470 Lindsay, R., C. Haas, S. Hendricks, P. Hunkeler, N. Kurtz, J. Paden, B. Panzer, J. Son-
471 ntag, J. Yungel, and J. Zhang (2012), Seasonal forecasts of Arctic sea ice initialized
472 with observations of ice thickness, *Geophys. Res. Lett.*, *39*(21).
- 473 Maslanik, J., J. Stroeve, C. Fowler, and W. Emery (2011), Distribution and trends in
474 Arctic sea ice age through spring 2011, *Geophys. Res. Lett.*, *38*(13).
- 475 Melia, N., K. Haines, and E. Hawkins (2016), Sea ice decline and 21st century trans-Arctic
476 shipping routes, *Geophys. Res. Lett.*, *43*, 97209728.

- 477 Merryfield, W., W.-S. Lee, W. Wang, M. Chen, and A. Kumar (2013), Multi-system
478 seasonal predictions of Arctic sea ice, *Geophys. Res. Lett.*, *40*(8), 1551–1556.
- 479 Msadek, R., G. Vecchi, M. Winton, and R. Gudgel (2014), Importance of initial conditions
480 in seasonal predictions of Arctic sea ice extent, *Geophys. Res. Lett.*, *41*(14), 5208–5215.
- 481 Murphy, A. H. (1988), Skill scores based on the mean square error and their relationships
482 to the correlation coefficient, *Monthly weather review*, *116*(12), 2417–2424.
- 483 Perovich, D. K., and C. Polashenski (2012), Albedo evolution of seasonal Arctic sea ice,
484 *Geophys. Res. Lett.*, *39*(8).
- 485 Peterson, K. A., A. Arribas, H. Hewitt, A. Keen, D. Lea, and A. McLaren (2015), Assess-
486 ing the forecast skill of Arctic sea ice extent in the GloSea4 seasonal prediction system,
487 *Climate Dynamics*, *44*(1-2), 147–162.
- 488 Petty, A. A., D. Schröder, J. Stroeve, T. Markus, J. Miller, N. Kurtz, D. Feltham, and
489 D. Flocco (2017), Skillful spring forecasts of September Arctic sea ice extent using
490 passive microwave sea ice observations, *Earth's Future*, *5*(2), 254–263.
- 491 Pizzolato, L., S. E. Howell, J. Dawson, F. Laliberté, and L. Copland (2016), The influence
492 of declining sea ice on shipping activity in the Canadian Arctic, *Geophys. Res. Lett.*,
493 *43*.
- 494 Regehr, E. V., N. J. Lunn, S. C. Amstrup, and I. Stirling (2007), Effects of earlier sea
495 ice breakup on survival and population size of polar bears in western Hudson Bay, *The*
496 *Journal of Wildlife Management*, *71*(8), 2673–2683.
- 497 Rigor, I. G., and J. M. Wallace (2004), Variations in the age of Arctic sea-ice and summer
498 sea-ice extent, *Geophys. Res. Lett.*, *31*(9).

- 499 Roemmich, D., S. Riser, R. Davis, and Y. Desaubies (2004), Autonomous profiling floats:
500 Workhorse for broad-scale ocean observations, *Marine Technology Society Journal*,
501 *38*(2), 21–29.
- 502 Rothrock, D. A., Y. Yu, and G. A. Maykut (1999), Thinning of the Arctic sea-ice cover,
503 *Geophys. Res. Lett.*, *26*(23), 3469–3472.
- 504 Schröder, D., D. L. Feltham, D. Flocco, and M. Tsamados (2014), September Arctic
505 sea-ice minimum predicted by spring melt-pond fraction, *Nature Climate Change*.
- 506 Schweiger, A., R. Lindsay, J. Zhang, M. Steele, H. Stern, and R. Kwok (2011), Uncertainty
507 in modeled Arctic sea ice volume, *J. Geophys. Res.: Oceans*, *116*(C8).
- 508 Serreze, M. C., M. M. Holland, and J. Stroeve (2007), Perspectives on the Arctic’s shrink-
509 ing sea-ice cover, *Science*, *315*(5818), 1533–1536, doi:10.1126/science.1139426.
- 510 Sigmond, M., J. Fyfe, G. Flato, V. Kharin, and W. Merryfield (2013), Seasonal forecast
511 skill of Arctic sea ice area in a dynamical forecast system, *Geophys. Res. Lett.*, *40*(3),
512 529–534.
- 513 Sigmond, M., M. Reader, G. Flato, W. Merryfield, and A. Tivy (2016), Skillful seasonal
514 forecasts of Arctic sea ice retreat and advance dates in a dynamical forecast system,
515 *Geophys. Res. Lett.*, *43*.
- 516 Smith, L. C., and S. R. Stephenson (2013), New Trans-Arctic shipping routes navigable
517 by midcentury, *Proc. Natl. Acad. Sci.*, *110*(13), E1191–E1195.
- 518 Stock, C. A., K. Pegion, G. A. Vecchi, M. A. Alexander, D. Tommasi, N. A. Bond, P. S.
519 Fratantoni, R. G. Gudgel, T. Kristiansen, T. D. O’Brien, et al. (2015), Seasonal sea sur-
520 face temperature anomaly prediction for coastal ecosystems, *Progress in Oceanography*,

521 137, 219–236.

522 Stroeve, J., T. Markus, L. Boisvert, J. Miller, and A. Barrett (2014a), Changes in Arctic
523 melt season and implications for sea ice loss, *Geophys. Res. Lett.*, *41*(4), 1216–1225.

524 Stroeve, J., L. C. Hamilton, C. M. Bitz, and E. Blanchard-Wrigglesworth (2014b), Pre-
525 dicting September sea ice: Ensemble skill of the SEARCH sea ice outlook 2008–2013,
526 *Geophys. Res. Lett.*, *41*(7), 2411–2418.

527 Sun, C., A. Thresher, R. Keeley, N. Hall, M. Hamilton, P. Chinn, A. Tran, G. Goni,
528 L. la VILLEON, T. Carval, et al. (2010), The data management system for the global
529 temperature and salinity profile programme, *Proceedings of Ocean Obs*, *9*.

530 Tietsche, S., J. Day, V. Guemas, W. Hurlin, S. Keeley, D. Matei, R. Msadek, M. Collins,
531 and E. Hawkins (2014), Seasonal to interannual Arctic sea ice predictability in current
532 global climate models, *Geophys. Res. Lett.*, *41*(3), 1035–1043.

533 Van den Dool, H. (2006), *Empirical Methods in Short-Term Climate Prediction*, Oxford
534 Univ. Press, Oxford, U. K.

535 Vecchi, G. A., T. Delworth, R. Gudgel, S. Kapnick, A. Rosati, A. T. Wittenberg, F. Zeng,
536 W. Anderson, V. Balaji, K. Dixon, et al. (2014), On the seasonal forecasting of regional
537 tropical cyclone activity, *J. Climate*, *27*(21), 7994–8016.

538 Wang, L., X. Yuan, M. Ting, and C. Li (2016), Predicting summer Arctic sea ice con-
539 centration intraseasonal variability using a vector autoregressive model*, *J. Climate*,
540 *29*(4), 1529–1543.

541 Wang, W., M. Chen, and A. Kumar (2013), Seasonal prediction of Arctic sea ice extent
542 from a coupled dynamical forecast system, *Mon. Wea. Rev.*, *141*(4), 1375–1394.

- 543 Winton, M. (2000), A reformulated three-layer sea ice model, *J. Atmos. Oceanic Technol.*,
544 *17*(4), 525–531.
- 545 Wyllie-Echeverria, T., and W. S. Wooster (1998), Year-to-year variations in Bering Sea
546 ice cover and some consequences for fish distributions, *Fisheries Oceanography*, *7*(2),
547 159–170.
- 548 Yeager, S. G., A. R. Karspeck, and G. Danabasoglu (2015), Predicted slowdown in the
549 rate of Atlantic sea ice loss, *Geophysical Research Letters*, *42*(24).
- 550 Yuan, X., D. Chen, C. Li, L. Wang, and W. Wang (2016), Arctic sea ice seasonal prediction
551 by a linear markov model, *J. Climate*, *29*(22), 8151–8173.
- 552 Zhang, J., and D. Rothrock (2003), Modeling global sea ice with a thickness and enthalpy
553 distribution model in generalized curvilinear coordinates, *Mon. Wea. Rev.*, *131*(5), 845–
554 861.
- 555 Zhang, S., M. Harrison, A. Rosati, and A. Wittenberg (2007), System design and eval-
556 uation of coupled ensemble data assimilation for global oceanic climate studies, *Mon.*
557 *Wea. Rev.*, *135*(10), 3541–3564.

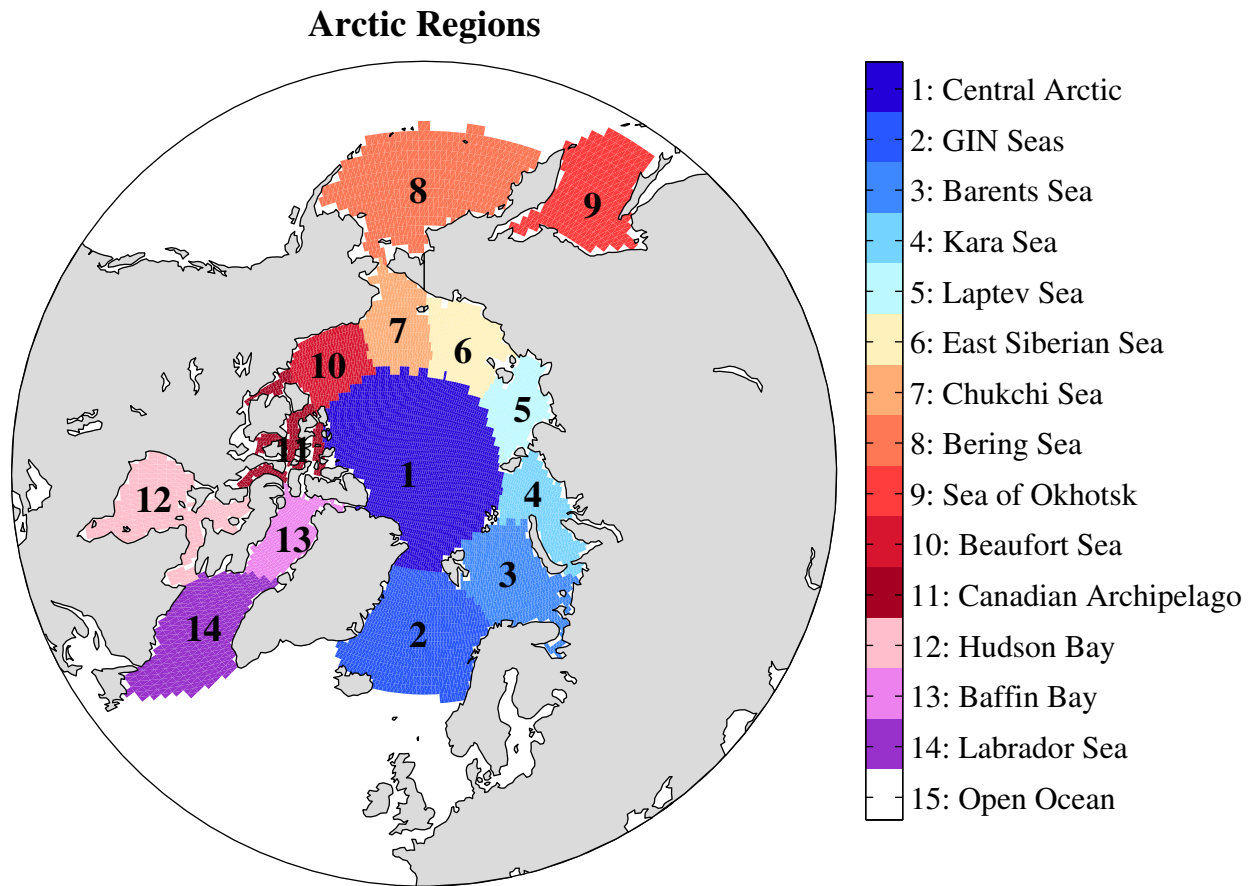


Figure 1. The Arctic regions considered in this study.

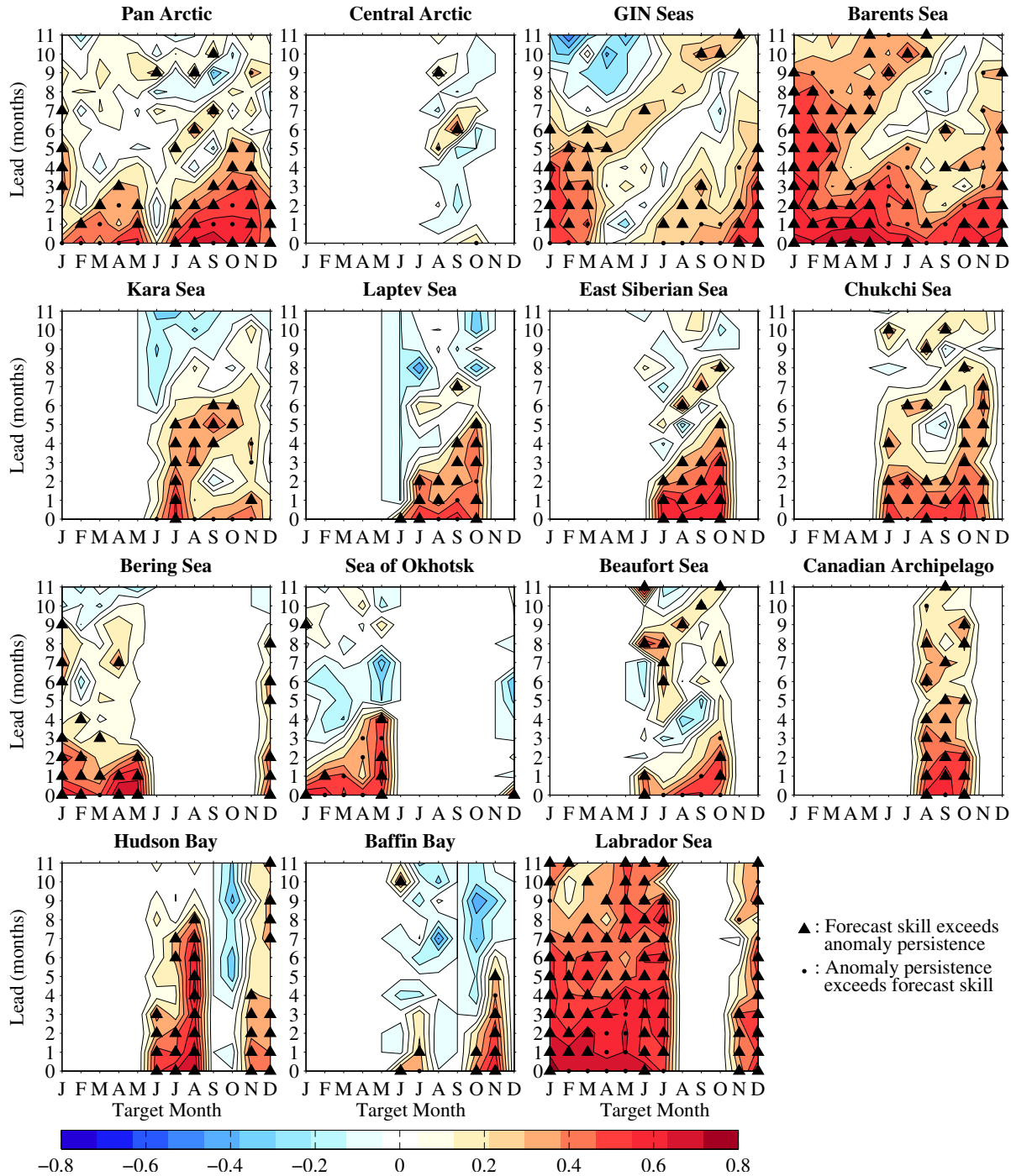


Figure 2. Seasonal prediction skill (ACC) for detrended regional Arctic SIE. The triangle and dot markers indicate months in which the ACC values are statistically significant at the 95% confidence level. Triangles indicate months in which the dynamical model’s skill exceeds that of a persistence forecast and circles indicate months in which the persistence forecast exceeds the model’s skill. Correlations are only plotted for target months

D R A F T April 21, 2017, 4:33pm D R A F T

with SIE standard deviation greater than 0.03 million km².

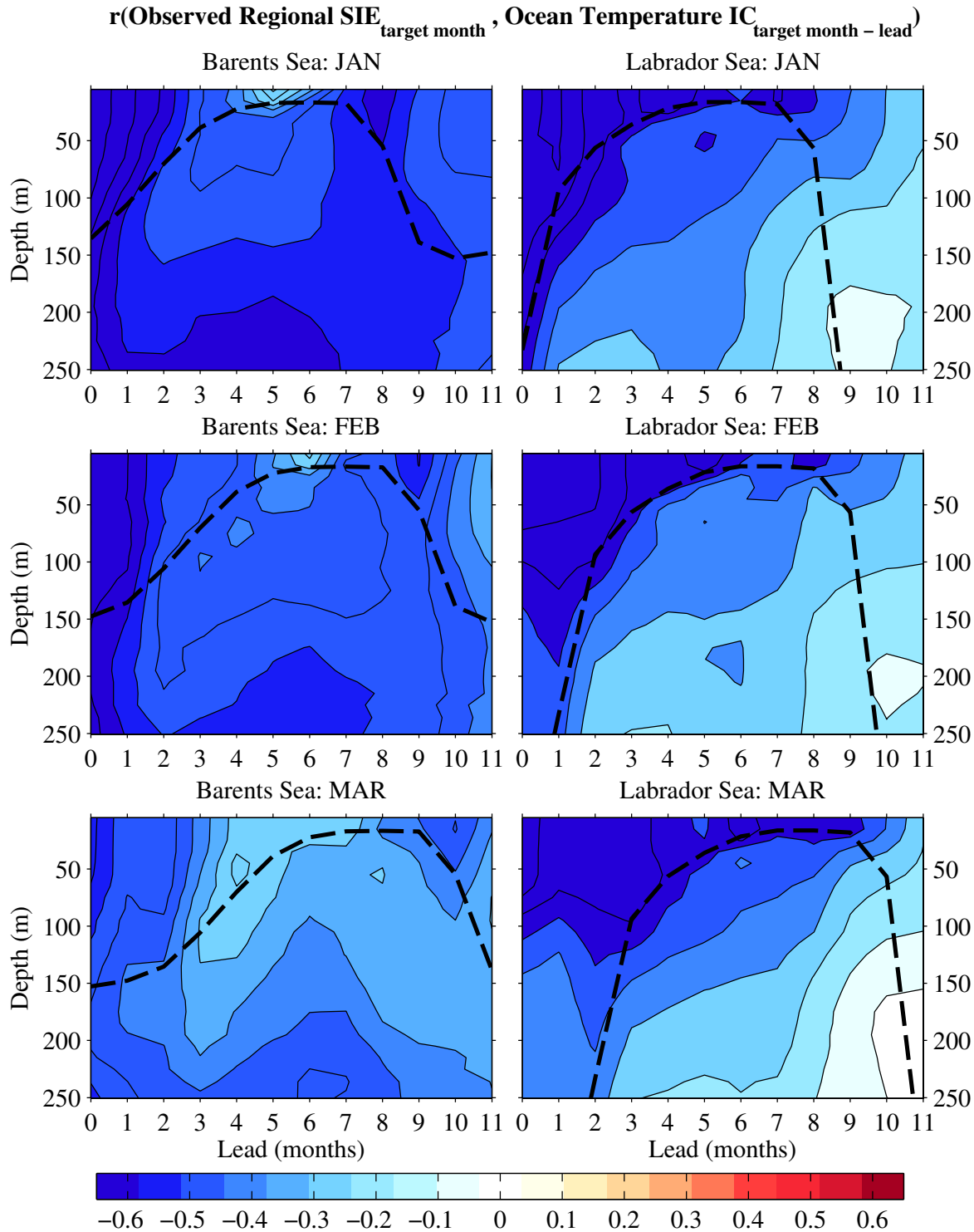


Figure 3. Correlation between observed regional SIE and regional-mean ocean temperature ICs in earlier months. Correlations are plotted as a function of ocean depth and forecast lead time for the Barents and Labrador Seas and target months of January–March.

The linear trend is removed from both time series before the correlation is computed. The

D R A F T

April 21, 2017, 4:33pm

D R A F T

regional-mean mixed-layer depth climatology is plotted as dashed lines. Correlation values

satisfying $|r| > 0.34$ are statistically significant at the 95% level.

