

1 **Monsoon low pressure system like variability in an idealized moist model**

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ABSTRACT

12 Through a hierarchy of simulations, it is shown that westward-propagating
13 monsoon low pressure system-like disturbances in the Indian monsoon region
14 can be simulated in an idealized moist general circulation model through the
15 addition of a simplified parameterization of land. Three simulations are per-
16 formed: an aquaplanet case with a slab ocean depth of 20 m, a case with land
17 with realistic continental geometry but no topography, and a case with land
18 and realistic topography. Here land is parameterized as having one-tenth the
19 heat capacity of the surrounding slab ocean, with evaporation limited by a
20 bucket hydrology model. It is found that the prominent topography of the
21 Tibetan Plateau does not seem to be necessary for these storm systems to
22 form or propagate; therefore focus is placed on the simulation with land but
23 no topography. The properties of the storms simulated are elucidated using
24 regression analysis and compared to results from composites of storms from
25 comprehensive GCMs in prior literature. The storms share a similar vertical
26 profile in anomalous Ertel potential vorticity to those in reanalysis, which tilts
27 slightly with the mean easterly vertical zonal wind shear. Propagation, how-
28 ever, does not seem to be strongly dictated by beta-drift. Rather, it seems to be
29 more closely consistent with linear moisture vortex instability theory, with the
30 exception of the importance of nonlinear horizontal moisture advection in the
31 column moisture budget. The results presented here suggest that a simplified
32 GCM configuration might be able to be used to gain a clearer understanding
33 of the sensitivity of monsoon low pressure systems to changes in the mean
34 state climate.

35 **1. Introduction**

36 Southeast Asia has a monsoonal climate. It receives 50 % to 70 % of its annual precipitation
37 during the months of June, July, and August (Neelin 2007). During these months, moisture and
38 dry static energy are abundant, fueling low pressure systems (MLPSs) which originate in the Bay
39 of Bengal and propagate westward against the direction of the prevailing mean low-level winds,
40 across the India at speeds of around 4 ms^{-1} (Adames and Ming 2018b). In May, June, July, Au-
41 gust, and September, roughly 80% of all precipitation in this region falls within 1000 km of these
42 lows (Hurley and Boos 2015). For that reason, understanding what influences the propagation and
43 structure of these transient phenomena is important for understanding what controls precipitation
44 during the summer in Southeast Asia.

45 The growth, propagation, and structure of these low pressure systems has been an area of re-
46 search for several decades, dating back to Godbole (1977) and references therein. In recent years
47 effort has been made by multiple independent research groups to compile detailed track informa-
48 tion for monsoonal disturbances (Hurley and Boos 2015; Hunt et al. 2016a). This effort has led to
49 new insights resulting from rigorous analysis of the composite properties of these storms (Hurley
50 and Boos 2015; Boos et al. 2015; Ditchek et al. 2016; Hunt et al. 2016a,b; Cohen and Boos 2016;
51 Sandeep et al. 2018). In particular, early theoretical attempts to explain the growth and propaga-
52 tion of monsoon depressions in terms of barotropic (Shukla 1977; Lindzen et al. 1983), baroclinic
53 (Mishra and Salvekar 1980; Mak 1983; Moorthi and Arakawa 1985), or combined barotropic-
54 baroclinic (Krishnamurti et al. 1976a; Shukla 1978) instability mechanisms have recently been
55 challenged by a number of alternative ideas.

56 The motivation to search for alternative explanations can be traced in part back to Cohen and
57 Boos (2016). They investigated composites of observed monsoon depressions in reanalysis and

58 compared them with the canonical example of moist baroclinic instability: diabatic Rossby waves
59 in the mid-latitudes. They found that in monsoon depressions, anomalies in Ertel potential vorticity
60 do not tilt against the mean vertical wind shear as they do in diabatic Rossby waves, which they
61 argue is evidence against moist baroclinic instability operating as a mechanism in fueling the
62 growth of the disturbances. In the paper they also argue, based on prior literature (Krishnamurti
63 et al. 1976b, 2013), that barotropic instability plays a minor, if any, role in the development of
64 MLPSs.

65 In the last five years, four, possibly overlapping, alternative explanations for monsoonal distur-
66 bance propagation have been proposed. The first is that monsoon depressions might be better de-
67 scribed as tropical-cyclone-like features propagating via adiabatic beta drift (Boos et al. 2015). An-
68 other possible explanation, proposed in Hunt and Parker (2016), is that the Himalayan mountains
69 may act as a rigid northern meridional boundary in the lower troposphere, leading to westward
70 propagation of a cyclonic vortex to the south via an effective mirror-image vortex. Adames and
71 Ming (2018a) develop a linear theory for monsoonal disturbances within a mid-latitude moisture-
72 mode like framework, which suggests that properties of the disturbances, like their phase speed
73 and preferred horizontal scale, may be sensitive to properties of the mean state climate like the
74 mean meridional temperature and moisture gradients. Finally, Diaz and Boos (2018) revisit the
75 potential influence of barotropic instability, and find that even in the absence of convective heating,
76 growing disturbances fueled by barotropic instability could be possible with a zonally-uniform ba-
77 sic state. These theories are still young, and their utility for explaining the properties of monsoonal
78 disturbances and their potential sensitivity to changes in the mean state (e.g. induced by increasing
79 greenhouse gas concentrations) has yet to be extensively investigated.

80 The complications of the real world, however, make monsoonal disturbances difficult to study.
81 For instance many comprehensive general circulation models used in the CMIP5 archive strug-

82 gle to obtain a realistic distribution of climatological mean JJAS precipitation rate in the Indian
83 monsoon region (see the supplement of Sandeep et al. 2018). In addition, several models from the
84 AMIP archive simulate unrealistic patterns of synoptic activity index (SAI) (see the supplement
85 of Sandeep et al. 2018), a metric that quantifies an intensity-weighted frequency of monsoon low
86 pressure system days per season at each location (Ajayamohan et al. 2010). To some extent these
87 errors are attributed to the coarse horizontal resolution of these models; indeed studies have shown
88 that models run with higher resolution such as the UK Met Office’s Unified Model or GFDL’s Hi-
89 RAM demonstrate increased skill in simulating MLPs (Hunt and Turner 2017; Sandeep et al.
90 2018).

91 Despite sometimes having errors in the exact location of storms, however, some coarse-
92 resolution GCMs (such as GFDL’s AM4) have been shown to have the ability to reasonably sim-
93 ulate their general frequency statistics and structure (Adames and Ming 2018b), indicating that
94 exact-realism of precipitation location and mean winds is not necessarily required for studying the
95 structure and propagation of these dynamical phenomena. It prompts the question of whether a
96 simpler model, lower in the complexity hierarchy, could capture the essence of monsoon low pres-
97 sure systems. By a simpler model, we mean one somewhere in between an idealized aquaplanet
98 GCM (like Frierson et al. 2006) and a comprehensive GCM (complete with intricate parameteri-
99 zations of convection, clouds, radiation, land, chemistry etc.).

100 In order to approximately simulate a monsoon climate (with mean summertime easterly wind
101 shear and tropical precipitation displaced far from the equator), one must provide some mechanism
102 for a locally poleward-increasing meridional temperature gradient to develop in the subtropics
103 (this follows from thermal wind balance). The most natural way of doing this is to add a simple
104 treatment of land, poleward of the equator, to an idealized aquaplanet GCM, with a lower heat
105 capacity than the surrounding ocean; in the summer, the land will heat faster than the surrounding

106 ocean, resulting in the desired effect. This type of approach has already been used to study aspects
107 of mean state monsoon circulations in a number of studies (e.g. Merlis et al. 2012b; Maroon et al.
108 2016; Maroon and Frierson 2016; Voigt et al. 2016; Geen et al. 2017; Zhou and Xie 2018).

109 To date, however, the behavior of transient disturbances in these types of idealized simu-
110 lations/models has not been frequently addressed. Given the models' often low-horizontal-
111 resolution nature, and crude treatments of convection, one perhaps might not expect realistic tropi-
112 cal variability. That being said, while their occurrence was attributed to baroclinic instability based
113 on classical monsoon literature, Xie and Saiki (1999) found abundant westward-propagating cy-
114 clonic vorticity anomalies (akin to monsoon low pressure systems) in a simulation using a very low
115 horizontal resolution GCM (T21 spectral truncation) with heavily simplified lower boundary con-
116 ditions meant to crudely mimic the Asian monsoon region. It is worth revisiting these disturbances
117 in a similar setup in light of recent developments (e.g. Boos et al. 2015; Cohen and Boos 2016;
118 Hunt and Parker 2016; Adames and Ming 2018a,b), to see if they in fact are dynamically similar
119 phenomena to those seen in the real world and comprehensive GCMs (i.e. not manifestations of
120 baroclinic instability).

121 In this study we will start from a version of Frierson et al. (2006)'s idealized moist model coupled
122 to a full radiative transfer code (Clark et al. 2018), and slowly build up in complexity to attain an
123 environment capable of supporting monsoon low pressure system-like disturbances. We will use
124 this setup, coupled with rigorous analysis of the composite anomalous budgets of Ertel potential
125 vorticity, vorticity, column internal energy, column water vapor, and column moist static energy,
126 to discuss the potential applicability of the theories for MLPS propagation described above, and
127 touch on the importance of various boundary conditions (like topography) in the realism of the
128 disturbances simulated.

129 **2. Methods**

130 *a. Model description*

131 The modeling setup we use to simulate monsoon low pressure systems is heavily idealized.
132 Our starting point is the Geophysical Fluid Dynamics Laboratory (GFDL) idealized moist model
133 as configured in Clark et al. (2018). This model was first introduced in Frierson et al. (2006,
134 2007), where it consisted of a spectral dynamical core, with simplified moist physics, boundary
135 layer, and radiation parameterizations. It has since been modified to add a simplified Betts-Miller
136 moist convection scheme (Frierson 2007), alterations to the boundary layer scheme (O’Gorman
137 and Schneider 2008), and an option to run with full radiative transfer, rather than the original gray
138 radiative transfer scheme (Clark et al. 2018). While the full radiative transfer scheme interacts with
139 the active water vapor tracer in the model, there is no parameterization of cloud condensate, and
140 therefore no cloud radiative effects or feedbacks. Slab ocean aquaplanet configurations similar to
141 this (i.e. full radiative transfer with simplified moist physics) have been used before, e.g. in Merlis
142 et al. (2012a,b), Jucker and Gerber (2017), and Vallis et al. (2018).

143 In this study, we seek to examine monsoon low pressure systems in the South Asia region.
144 Due to the annual cycle in solar insolation, these occur in the boreal summer months of June,
145 July, August, and September. To capture this seasonal variation in climate, we run all of our
146 simulations with Earth’s current approximate obliquity and eccentricity parameters, 23.439° and
147 0.01671 respectively. In addition, in some experiments we introduce a crude parameterization
148 of land. In prior studies, land has been added to variants of this model with varying degrees of
149 complexity depending on the application, typically involving modification of some combination
150 of the heat capacity, the evaporation parameterization, the surface roughness, the surface albedo,
151 and surface height over the land portion of the domain (e.g. Byrne and O’Gorman 2012; Merlis

152 et al. 2012b; Maroon et al. 2016; Maroon and Frierson 2016; Voigt et al. 2016; Geen et al. 2017;
153 Vallis et al. 2018; Zhou and Xie 2018). In other models, simplified land has been added in similar
154 ways (e.g. Xie and Saiki 1999; Becker and Stevens 2014; Cronin et al. 2015). As a starting point
155 in our model we choose to distinguish land from the default lower boundary, a slab ocean, in only
156 two ways: its heat capacity, and its treatment of evaporation.

157 The land setup maintains the slab ocean model across the entire lower boundary; however, over
158 land grid cells we use a shallower mixed layer depth (which controls the heat capacity) and scale
159 the potential evaporation rate as predicted by the bulk formula over a saturated surface by a fraction
160 determined using a simple bucket hydrology model [the same as described in Vallis et al. (2018),
161 which is similar to that in Byrne and O’Gorman (2012) or Zhou and Xie (2018), which dates back
162 to Manabe (1969)]. The mixed layer depths over land and ocean are the same as those used in
163 experiments in Geen et al. (2017) (2 m over land and 20 m over ocean) and the bucket hydrology
164 model parameters are the same as those described in Vallis et al. (2018) (a bucket depth of 150 mm
165 and a bucket saturation fraction of 0.75). By default we supply the model with no topography and
166 use a surface albedo of 0.26 over land and ocean. The global mean surface albedo is greater than
167 it might be in a comprehensive GCM due to the lack of clouds in this model (Frierson et al. 2006).
168 Finally, we prescribe zero ocean heat flux in our simulations; in other words we assume the ocean
169 does not facilitate any horizontal energy transport.

170 While we idealize what constitutes the land surface in the model, we opt not to idealize land
171 geometry. In all of our experiments we prescribe realistic present-day continental shapes. We use
172 approximately present-day concentrations of the well-mixed greenhouse gases ($\text{CO}_2 = 369.4$ ppm,
173 $\text{CH}_4 = 1.821$ ppm), and prescribe a hemispherically-symmetric pattern of ozone, based on the
174 Aqua-Planet Model Intercomparison Project (Blackburn et al. 2013).

175 Similar to Geen et al. (2017), to improve the numerical stability of the dynamical core in the
176 upper levels of the model, we add a Rayleigh damping tendency to the horizontal winds. The
177 Rayleigh damping coefficient we use decreases from a value near 0.33 d^{-1} at the top of the model
178 to near zero near the surface, following the vertical profile defined in Equations 13.89 and 13.90
179 in Jablonowski and Williamson (2011), which were first used in Boville (1986). This Rayleigh
180 damping profile was used for several years in the European Centre for Medium-Range Weather
181 Forecasts (ECMWF) Integrated Forecast System (IFS) model (Jablonowski and Williamson 2011).

182 *b. Experiments*

183 To test the idealized moist model’s ability to simulate monsoon low pressure systems, we first
184 conduct simulations with varying levels of land-complexity, with the goal of finding a minimal
185 configuration that approximately captures their observed characteristics, e.g. their timing during
186 the year, frequency, intensity, location, and speed/direction of propagation. We run all our cases
187 for 20 years, starting from spatially-uniform initial conditions (constant initial temperature and
188 specific humidity), storing 6-hourly mean values of relevant diagnostics. After the first 10 years,
189 the model approximately reaches equilibrium; therefore we use the final ten years of the simulation
190 for analysis. All cases are run with 40 unevenly-spaced vertical sigma levels, and at T42 spectral
191 resolution, approximately $2.8^\circ \times 2.8^\circ$ horizontal resolution.

192 For illustration, we will show the results of three experiments to start out. The three experi-
193 ments we will discuss here are a simple aquaplanet simulation with a mixed layer depth of 20 m
194 everywhere (referred to as the AQUA case), a simulation with ”land” as described above with
195 flat topography (referred to as the LAND simulation), and a simulation with ”land” and realistic
196 spectrally-smoothed topography, as in Lindberg and Broccoli (1996), (referred to as the TOPO
197 simulation). We will show that the LAND simulation has adequate boundary conditions to simu-

198 late monsoon low pressure system-like storms in the Indian monsoon region during June, July, Au-
199 gust, and September, while the AQUA simulation is too simple effectively simulate these transient
200 disturbances, and the additional complexity of the TOPO simulation is not necessarily needed. For
201 that reason, for most the manuscript, we will focus on the results of the LAND case.

202 *c. Analysis techniques*

203 To analyze the structure of monsoon low pressure systems in our model, we employ frequency-
204 wavenumber spectral analysis and compute lag regression patterns. Frequency-wavenumber spec-
205 tral analysis allows us to identify the frequencies and wavenumbers of the zonally-propagating
206 waves that are most prevalent; this type of analysis was popularized in Wheeler and Kiladis (1999)
207 and Hendon and Wheeler (2008). Lag regression patterns allow us to determine the spatial struc-
208 ture of variable anomalies projected onto a monsoon low pressure system index. We approximately
209 follow the methods described in Adames and Ming (2018b). Here we will explain the details of
210 these techniques which we will employ later.

211 To compute frequency-wavenumber power spectra, we start with 6-hourly resolution model out-
212 put of the precipitation rate. We then subset this dataset in time such that it only includes data-
213 points for the months June, July, August, and September. From this timeseries, we construct a
214 set of 60-day segments, which overlap by 30 days, generating a four-dimensional dataset (time,
215 longitude, segment, latitude). We apply a Hanning window over the time dimension, tapering the
216 endpoints of the segments toward zero to minimize spectral leakage (Welch 1967); in addition, we
217 apply a Hanning window over 50°E to 130°E to taper data to zero outside our longitudinal region
218 of interest. After this preparation, we compute a fast Fourier transform (FFT) in longitude and
219 time, and compute the power as the square of the magnitude of the complex Fourier coefficients.
220 To construct a two-dimensional frequency-wavenumber diagram, we average the power over the

221 segments and between latitudes bounding the region of interest for the particular dataset, which
222 correspond roughly to the latitudinal bounds of the South Asian monsoon region, and then com-
223 pare it to a reference red frequency spectrum. We define the region of interest for a particular
224 dataset as $\pm 5^\circ$ from the latitude of maximum mean JJAS precipitation rate¹ along the 80°E longi-
225 tude band. We compute the red spectrum as in Masunaga et al. (2006), normalizing such that the
226 sum of the power in non-zero frequencies matches that in the power spectrum of the precipitation
227 rate.

228 To compare the power in the signal to that in the reference red spectrum, we compute what is
229 referred to as the “signal strength” by determining the ratio of the difference between the power
230 spectrum (P) and red spectrum (R) to the power spectrum itself:

$$S = \frac{P - R}{P}. \quad (1)$$

231 Statistical significance is determined by computing a critical value of a chi-squared-statistic at
232 the 99% significance level, which compares the ratio of two variances scaled by the degrees of
233 freedom minus one, e.g. $\chi^2 = \frac{P(n-1)}{R}$, where n is the number of degrees of freedom. The number
234 of degrees of freedom used in computing the critical chi-squared value is calculated as in Hendon
235 and Wheeler (2008) and Adames and Ming (2018a); it is equal to 2 (amplitude and phase) x 10
236 (number of years) x 122 (number of days in JJAS per year) / 60 (days per segment) ≈ 40 . At the
237 99% level, this results in a value of 62.4, indicating that if the power of the signal is 1.6 times that
238 of the red spectrum then there is a 1% chance the signal emerged out of red noise. In terms of the
239 signal strength (in Equation 1), this means in order for the signal to be statistically-significant at
240 the 99% level, the signal strength must be greater than or equal to approximately 0.38.

¹Hurley and Boos (2015) note that monsoon low pressure system activity is strongest slightly poleward of this maximum in most monsoon regions; however we claim that as a first approximation this is a reasonable method of defining the central latitude of our region of interest.

241 To compute lag regression patterns we follow the methods of Adames and Wallace (2014) and
 242 Adames and Ming (2018b). This requires computing an index, which measures the intensity of
 243 monsoon low pressure system activity. Adames and Ming (2018b) do this spectrally filtering the
 244 precipitation rate to include wave activity from only monsoon low pressure system like modes
 245 ($-25 \leq k \leq -3$; $f \geq 0.067 \text{ d}^{-1}$), then averaging over the spatial region of interest (here defined as
 246 $\pm 5^\circ$ latitude from the latitude of maximum JJAS mean precipitation rate, between 75°E and 85°E
 247 in longitude); this results in a one-dimensional index over time, which is then standardized such
 248 that it has a mean of zero and a standard deviation of one. Here k is the non-dimensional zonal
 249 wavenumber, which can be related to a dimensional zonal wavenumber \tilde{k} via $k = \tilde{k}a \cos \phi$, where
 250 a is the radius of the Earth and ϕ is latitude, and f corresponds to the frequency of the waves. The
 251 spectral filtering is achieved by performing standard Fourier transforms in time and longitude of
 252 the raw precipitation rate timeseries, zeroing out all coefficients outside of the rectangular spec-
 253 tral region specified above, and finally computing an inverse Fourier transform back to time and
 254 longitude space. With an index in hand, we can then regress any variable against it. Borrowing
 255 notation from Adames and Wallace (2014) this looks like:

$$\mathbf{D} = \frac{\mathbf{S}\mathbf{P}^T}{N}. \quad (2)$$

256 Here \mathbf{S} is a two-dimensional matrix with each row representing the time series of a variable at
 257 a given gridcell; \mathbf{P} is the standardized index at each time (i.e. it is a single row vector); N is
 258 the number of values in the index; and \mathbf{D} is the computed regression pattern. \mathbf{D} contains a time-
 259 independent spatial pattern of anomalies with the same dimensions as the input variable. Lag
 260 regressions can be computed by shifting the index forward or backward in time and applying the
 261 same procedure, noting that this reduces the number of overlapping elements between the index

262 and variable (i.e. it slightly changes N). This allows us to construct a picture of what the conditions
263 look like before, during, and after a monsoon low pressure system event occurs.

264 To smooth out regression patterns, particularly in the context of the tracer budgets, we apply
265 a similar regression-compositing technique to that was employed in Adames and Ming (2018b).
266 This entails computing regression patterns for index regions shifted -2, -1, 0, 1, or 2 grid cells away
267 in longitude and/or latitude from the original center of the region of interest described above, and
268 then shifting the regression patterns back to all be centered at the same location and averaging.
269 This results in computing and taking the mean of 25 regression patterns, producing a smoother
270 picture.

271 **3. Mean state climate in the simulation hierarchy**

272 Speaking broadly, there are a number of distinctive attributes of the mean state climate in the
273 Asian monsoon region during June, July, August, and September (JJAS). These attributes are
274 a local maximum in mean precipitation rate, meridionally-increasing temperature and moisture
275 gradients, and surface westerly and upper-level easterly winds (i.e. “easterly shear”, with winds
276 becoming more easterly with height) (Sikka 1977). Here we will discuss the mean state climate of
277 the AQUA, LAND, and TOPO simulations with respect to these attributes.

278 The simplest configuration is an aquaplanet with a 20 m mixed layer depth everywhere (the
279 AQUA simulation); in this case, the annual cycle of precipitation is significantly lagged from that
280 on Earth’s, with monthly mean precipitation rates maximizing during September and October in
281 the latitudes of the Asian monsoon region (not shown). Not only that, the temperature gradients
282 and wind shear are in the wrong direction [meridionally-decreasing and weakly westerly, respec-
283 tively, Figure 1(d) and (g)]. Therefore it is not a good simulation to look for realistic monsoon low
284 pressure system like disturbances.

285 Moving on to the LAND simulation, we see an improvement. The JJAS mean precipitation
286 rate in the Asian monsoon region for the LAND simulation is plotted in Figure 1(b). We can
287 see two rain bands, one centered near the equator, and one centered around 12.6°N. This sort
288 of double-ITCZ structure was also found in Xie and Saiki (1999); in their idealized simulation
289 they also found latitudinal maxima in summer precipitation in the Asian monsoon region. To a
290 lesser extent (i.e. the local maximum in precipitation is substantially weaker near the equator) it
291 is also seen after monsoon onset in the idealized “flat” simulation of Geen et al. (2017), which
292 uses a similarly-configured model as to our LAND simulation, with the one exception being the
293 addition of AMIP-derived slab ocean heat fluxes in their case. In general, when compared with
294 observations, significant fine-scale spatial structure is lacking due to the low resolution nature of
295 the simulation and lack of topography. That said, in a broad sense, the LAND case captures the
296 significant local northward migration of the intertropical convergence zone (ITCZ) in this region
297 during this time period, with the ITCZ at other longitudes (e.g. in the Pacific Ocean) remaining
298 closer to the equator.

299 A notable feature in reanalysis data is that column integrated moisture increases steadily as one
300 moves northward from the equator through the Bay of Bengal (Adames and Ming 2018b). This
301 strong positive meridional moisture gradient has been theorized to play a role in the dynamics of
302 monsoon low pressure systems (Adames and Ming 2018a). Panel (e) Figure 1 shows the JJAS
303 mean column integrated moisture in the LAND simulation. There we can see a band of high
304 column integrated water vapor roughly coincident with the band of high precipitation rate, running
305 from the Arabian Sea, across India, and over the northern Bay of Bengal and Southeast Asia. When
306 compared with reanalysis, this local maximum in column water vapor over the Bay of Bengal is
307 displaced slightly southward (in reanalysis the maximum is located closer to the land-sea boundary
308 between Bangladesh and the Bay of Bengal). In addition, column integrated moisture magnitudes

309 are substantially smaller than those seen in reanalysis, with maximum values of around 20 mm in
310 our idealized simulation and around 60 mm in reanalysis (Adames and Ming 2018b).

311 Finally, another important property of the mean state Asian monsoon climate is a meridionally-
312 increasing surface temperature field, and attendant easterly vertical wind shear, with westerly
313 winds near the surface and easterly winds aloft (Xie and Saiki 1999; Boos et al. 2015; Cohen
314 and Boos 2016). The meridionally-increasing temperature gradient is induced by the difference
315 in heat capacity between the land and ocean. Because the land heats up faster than the ocean, it
316 experiences greater seasonal variation in surface temperatures than ocean at similar latitudes. In
317 the summer the southern portion of the Asian continent is warmer than the Indian Ocean. Our
318 crude setup in the LAND simulation is able to capture this, as indicated in Figure 1(h). There the
319 vectors represent the magnitude and direction of the JJAS mean vertical wind shear, as computed
320 in Boos et al. (2015) as the difference in horizontal winds between the 200 hPa and 850 hPa pres-
321 sure levels. The mean shear is predominantly easterly, with strongest values of about 30 m s^{-1} at
322 around 12.5°N , which is similar to that seen in reanalysis. In addition, the magnitude of the shear
323 decreases as one moves northward over the Asian continent, which is also consistent with the real
324 world (Boos et al. 2015).

325 Adding more realism to the model in the form of realistic topography in the TOPO simulation
326 makes some aspects of the simulated the climate more realistic (e.g. column water vapor maxi-
327 mizes farther north near the shore of the Bay of Bengal); however despite spectrally regularizing
328 the relief pattern to minimize Gibbs ripples as in Lindberg and Broccoli (1996) we find that in the
329 Asian monsoon region significant unrealistic ripples in the mean JJAS precipitation pattern south
330 of the Tibetan Plateau [Figure 1(c)] complicate analysis and do not provide any added realism as
331 it pertains to monsoon low pressure systems. These ripples can also be seen an analogous simu-
332 lation conducted in Geen et al. (2017) [see their Figure 11(h)]. Therefore in the remainder of the

333 manuscript we will focus our attention on analyzing the detailed structure and properties of the
334 storms obtained in the simpler LAND simulation with no topography. We leave further possible
335 idealization of the continental geometry and evaporation parameterization to future work.

336 **4. The character of Indian monsoon low pressure systems in the LAND simulation**

337 *a. Frequency-wavenumber power spectrum*

338 Despite the simplicity of the setup of the LAND simulation (notably omitting the impacts of
339 the prominent land surface topography of Southern Asia, and the impacts of ocean heat trans-
340 port), we seem to obtain an adequate JJAS mean state climate to support westward-propagating,
341 precipitation-inducing disturbances. We can see this clearly in looking at a frequency-wavenumber
342 power spectrum of the precipitation rate averaged between the latitudes 7.6°N and 17.6°N in the
343 LAND simulation (Figure 2). There we find statistically-significant signal strength between zonal
344 wavenumbers -20 to -5 , and frequencies 0.10 d^{-1} to 0.35 d^{-1} . This pattern in signal strength is
345 largely consistent with that seen in daily precipitation rate observations from the Tropical Rain-
346 fall Measurement Mission (TRMM) (Huffman et al. 2007) and simulations using GFDL’s AM4
347 (Adames and Ming 2018b)², which is indicative of westward-propagating waves of alternating
348 wet and dry periods with a horizontal scale on the order of 1000 km and a period of around 3 d to
349 10 d.

350 *b. Horizontal structure of the precipitation and low-level wind anomalies*

351 The structure of these disturbances can be elucidated using regression analysis as described
352 in Section 2c, following the methods of Adames and Ming (2018b). We will first consider the

²Note that the region of interest used in Adames and Ming (2018b) for the observations and AM4 was centered at 17.5°N , rather than at 12.6°N in the case of the LAND experiment in Figure 2.

353 horizontal structure of the anomalous precipitation and low-level (850 hPa) wind fields on days
354 preceding, during, and after a storm event centered at 80°E and the latitude of maximum mean
355 JJAS precipitation along 80°E in the South Asian monsoon region. In the LAND simulation, as
356 we look at the lag sequence descending from the top of Figure 3, we can see clear evidence of
357 a westward-propagating cyclonic disturbance crossing the Bay of Bengal and traversing of India
358 over a span of about 5 days. The disturbance is flanked by dry anticyclonic circulations. The
359 maximum wind speed anomaly associated with the regression in the LAND experiment at lag
360 day zero is 2.2 ms^{-1} . While this might seem relatively weak, particularly when compared with
361 monsoon depressions, which have wind speeds over 8.5 ms^{-1} (Hurley and Boos 2015), we must
362 note that the anomalies obtained via regression analysis represent a composite of sorts; this does
363 not necessarily mean that stronger storms (of the magnitude of monsoon depressions) do not occur
364 in the LAND simulation³.

365 In comparison to regression results from GFDL's AM4, the disturbances are located farther
366 south, have weaker precipitation anomalies (on the order of 4 mm d^{-1} versus 10 mm d^{-1}), but
367 similar magnitude wind anomalies. The propagation direction is almost directly westward, the
368 same direction as the climatological vertical wind shear [Figure 1(h)], raising the possibility that
369 the disturbances could be adiabatically advected by the climatological mid-tropospheric winds
370 (Boos et al. 2015).

371 The propagation velocity of the storms can be quantified by computing the location of the cen-
372 troid of the positive precipitation anomalies at each lag day. This is done by separately taking
373 weighted means of the longitude (λ) and latitude (ϕ), with the positive precipitation anomalies

³In fact, if we compute composite means of the anomaly patterns associated with precipitation index values greater than two (approximately the strongest 3-4% of storms), we find storm-center precipitation anomalies on the order of 10 mm d^{-1} , maximum wind speed anomalies near 8.5 ms^{-1} , and minimum surface pressure anomalies of less than 3.6 hPa (not shown).

374 (P') forming the weights:

$$\lambda_0(t) = \frac{\iint_A H \{P'\} P' \lambda \, dA}{\iint_A H \{P'\} P' \, dA}, \quad (3)$$

375

$$\phi_0(t) = \frac{\iint_A H \{P'\} P' \phi \, dA}{\iint_A H \{P'\} P' \, dA}, \quad (4)$$

376 where H is the Heaviside step function, which takes the value 1 for inputs greater than or equal
377 to 0, and 0 for inputs less than 0, and the area of integration A , is $\pm 5^\circ$ surrounding the latitude
378 of maximum JJAS mean precipitation at 80°E and 50°E to 110°E in longitude. The centroid in
379 each lag day plotted in Figure 3 is marked with a filled black circle. We can compute an average
380 zonal and meridional propagation velocity over the four-day window plotted in Figure 3 for each
381 simulation by taking the difference in the position of the centroid at lag day 2 and the position
382 of the centroid at lag day -2 and dividing by the difference in time (4 d). If we do this, we find
383 that the average zonal propagation velocity of the centroid in the LAND simulation is -6.2 m s^{-1} ,
384 while the average meridional propagation velocity is -0.1 m s^{-1} . The propagation velocity in
385 our simulation is stronger and more westward-directed than in reality (Boos et al. 2015) or in
386 comprehensive GCMs (Adames and Ming 2018b); there zonal propagation velocities are typically
387 on the order of 4 m s^{-1} or smaller, and there is a more significant meridional component.

388 5. Theoretical mechanisms of monsoon low pressure system growth and propagation

389 As discussed earlier, numerous possible explanations have been suggested for which mecha-
390 nisms might dictate the growth and propagation of monsoon low pressure systems. Through sys-
391 tematic analysis, we will now investigate the potential role each suggested mechanism might be
392 playing in the storms in our LAND simulation.

393 *a. Baroclinic instability*

394 With certain treatments of moist convection, some theoretical studies have shown that distur-
 395 bances with the spatial scale and frequency of monsoonal disturbances could be fueled by baro-
 396 clinic instability in the region of a easterly vertical wind shear (Mishra and Salvekar 1980; Mak
 397 1983; Moorthi and Arakawa 1985). To investigate whether baroclinic instability could be playing
 398 a role in the storms in our simulation, we turn to the “tilt-against-the-shear” diagnostic suggested
 399 by Cohen and Boos (2016): whether anomalies in Ertel potential vorticity (PV) tilt with or against
 400 the mean easterly vertical wind shear. To see if this is the case, we can look at the vertical structure
 401 of Ertel PV (EPV) anomalies along a zonal cross section.

402 We can compute EPV from data interpolated to levels of constant pressure following Bluestein
 403 (1992) via:

$$q_d = -\frac{\partial \theta}{\partial p} \left[\frac{1}{a \cos \phi} \left(\frac{\partial (v \cos \phi)}{\partial \lambda} - \frac{\partial u}{\partial \phi} \right) + f - \frac{R}{\sigma p} \left(\frac{1}{a \cos \phi} \frac{\partial T}{\partial \lambda} \frac{\partial v}{\partial p} - \frac{1}{a} \frac{\partial T}{\partial \phi} \frac{\partial u}{\partial p} \right) \right], \quad (5)$$

404 where σ is the static stability parameter given by:

$$\sigma = -\frac{RT}{p} \frac{\partial \ln \theta}{\partial p}, \quad (6)$$

405 and all horizontal derivatives are computed on surfaces of constant pressure. Note we have taken
 406 the liberty to convert the expression in Bluestein (1992) from Cartesian to spherical coordinates.
 407 u , v , θ , T , p , ζ , f , and R represent the zonal wind, meridional wind, potential temperature, tem-
 408 perature, pressure, vertical component of the relative vorticity, the Coriolis parameter, and the
 409 specific gas constant of dry air, respectively. The subscript θ is meant to denote that while we
 410 use data on surfaces of constant pressure, the horizontal derivatives are computed such as to be on
 411 surfaces of constant potential temperature. We neglect contributions of the horizontal components
 412 of the vorticity to the potential vorticity as they were small in Boos et al. (2015) and do not expect
 413 things to be materially different here. Horizontal derivatives are computed using second-order

414 centered finite differences following the methods described in Seager and Henderson (2013). Ver-
415 tical derivatives were computed using second-order centered finite differences in the interior and
416 first order finite differences on the boundaries. We can scale q_d by $10^6 g$ to convert it to potential
417 vorticity units (PVU), where g is the gravitational acceleration.

418 If we compute EPV using the six-hourly output for each simulation, regress it onto the precip-
419 itation index at lag day zero, and average the result of the latitudes of the region of interest, the
420 result is Figure 4(a), a zonal cross-section of anomalous EPV. Overlaid are contours representing
421 a similar cross section of temperature anomalies. At lag day zero there is a fairly upright column
422 of anomalous positive EPV with a maximum in the mid-troposphere. The column of EPV tilts
423 slightly westward with height [in the direction of the shear vector plotted in Figure 1(h)]. The
424 positive EPV anomalies are flanked to the west and east by weaker, also fairly upright, negative
425 EPV anomalies. Above the 200 hPa pressure level in both simulations there are strong positive
426 EPV anomalies slightly to the east of the mid-tropospheric EPV anomalies. It is possible one
427 could interpret these as evidence of tilting against the shear; however, EPV anomalies above the
428 200 hPa pressure level are not included in the Cohen and Boos (2016) “tilt against the shear” met-
429 ric. Therefore, we take the anomaly patterns presented here as evidence that baroclinic instability
430 is not playing a role in the life cycle of the low pressure systems simulated in our idealized model.

431 If we compare the vertical structure of EPV and temperature anomalies in monsoon low pressure
432 systems in the LAND simulation with the structures seen in composites of monsoon depressions
433 from reanalysis midway through the storm lifetime shown in Cohen and Boos (2016) we find some
434 similarities and differences. In reanalysis, monsoon depressions are characterized by a column of
435 anomalous positive EPV, with a width of about 8° longitude, similar to our simulations. There are
436 two local maxima in the vertical in reanalysis (one at around 700 hPa and one at around 500 hPa),
437 whereas there is only one in the idealized model [see around 600 hPa in Figure 4(a)]. The temper-

438 ature anomaly structure in our simulation is also broadly similar to that seen in reanalysis. As in
439 our case, in reanalysis the disturbances are characterized by positive temperature anomalies in the
440 upper troposphere and negative temperature anomalies in the lower troposphere. In both the tem-
441 perature anomalies and EPV anomalies there is slight tilt with the shear. Likely owing in part to
442 the fact that Cohen and Boos (2016) look at composites of monsoon depressions (and omit weaker
443 monsoon low pressure systems in their analysis), the anomalies in EPV and temperature we find
444 in our regression analysis are weaker than what they find in reanalysis.

445 *b. Advection by the mean upper-level easterly winds*

446 Setting the question of what leads to low pressure system growth aside for the moment, Boos
447 et al. (2015) suggest that one possible mechanism for the propagation of monsoon depressions
448 would simply be horizontal advection of the mid-tropospheric EPV maximum by the total mean
449 winds. One simple way to test this possibility in our simulation is to look at a meridional cross
450 section (i.e. averaged between 75°E and 85°E) of EPV anomalies computed through regression
451 analysis (rather than a zonal one) in conjunction with a meridional cross section of the JJAS mean
452 zonal winds. This is shown in Figure 4(b). There we find that the climatological zonal wind
453 at the latitude and pressure level of the maximum EPV anomaly is eastward at approximately
454 2 m s^{-1} . This is in contrast to the direction of propagation of the storm center, which is westward.
455 Moreover, in Figure 4(b), while there are less significant portions of the EPV anomaly pattern that
456 do overlap with westward JJAS mean winds in the upper troposphere, these winds have a weaker
457 magnitude than the westward propagation speed of the precipitation anomalies (on the order of
458 -6 m s^{-1}) shown in Figure 3. This suggests that advection of the vortex center by the mean winds
459 cannot explain the overall westward propagation of the storm systems in our simulation and that

460 the propagation must instead be explained by either advection by the anomalous winds or diabatic
461 processes.

462 *c. Beta drift*

463 An alternative explanation for the propagation of monsoon depressions is provided in Boos et al.
464 (2015). There, it is argued that they could propagate in a similar manner to tropical cyclones, via
465 adiabatic beta drift. Boos et al. (2015) base this hypothesis off of a composite analysis of Indian
466 monsoon depressions using tracks and positions from their own archive (Hurley and Boos 2015)
467 and meteorological variables derived from the ERA-Interim reanalysis (Dee et al. 2011). It is
468 found that if the total streamfunction for the horizontal winds at 500 hPa in the composite mean
469 is linearly decomposed into a component that is azimuthally-symmetric about the vortex center
470 and a residual (referred to as the azimuthally-asymmetric component) as in Fiorino and Elsberry
471 (1988) and Wang and Holland (1996), that two “beta gyres” flank the center of the vortex. These
472 beta gyres are thought to form because of the ambient gradient in planetary vorticity (β); on the
473 westward side of a cyclonic circulation, one would expect a positive tendency in vorticity due to
474 the advection of high-vorticity air from the north (resulting in an anomalous cyclonic circulation
475 to the west), while on the eastward side of the cyclonic circulation, one would expect a negative
476 tendency due to the advection of low-vorticity air from the south (resulting in an anomalous anti-
477 cyclonic circulation to the east). The winds from these two anomalous circulations then can advect
478 the storm center in a direction which depends on the orientation of the of the beta gyres. In the
479 case of Boos et al. (2015), the anomalous circulations associated with the beta gyres derived from
480 the composite analysis suggested advection of the storm center to the northwest (consistent with
481 the storms’ actual direction of propagation).

482 The mechanism of beta drift requires advection of anomalous EPV by the anomalous winds
 483 generated by the storm. Therefore, we can investigate this possibility in the LAND simulation
 484 by computing the anomalous terms in the EPV budget, and eventually decompose the horizontal
 485 advection term into components due to linear and nonlinear terms. An equation governing the
 486 time tendency of EPV is given in Boos et al. (2015):

$$\left(\frac{\partial q_d}{\partial t}\right)' = \left(\frac{1}{\rho}\eta \cdot \nabla \dot{\theta}\right)' - (\mathbf{u} \cdot \nabla q_d)' - \left(\omega \frac{\partial q_d}{\partial p}\right)'. \quad (7)$$

487 We compute the terms in the anomalous budget by regressing the time series of each term against
 488 the precipitation index we defined earlier. The first term on the right hand side of Equation 7
 489 corresponds to diabatic processes, and the second and third terms correspond with horizontal and
 490 vertical advection, respectively. It follows that under adiabatic processes, EPV is conserved fol-
 491 lowing the flow. Rather than compute the diabatic term explicitly, we instead explicitly compute
 492 the time tendency of EPV and advection terms, and compute the diabatic term as a residual.

493 1) THE FULL ANOMALOUS EPV BUDGET

494 Spatial patterns of the different terms for at the 500 hPa and 700 hPa levels are shown in Figure 5.
 495 There we find that the pattern of anomalous EPV time tendency [panels (a) and (e)] is consistent
 496 with the westward-propagation of the storms, with positive EPV tendencies to the west of the
 497 vortex center and negative EPV tendencies to the east at either level. As Boos et al. (2015) found
 498 in a case study of a monsoon depression, in the mid-troposphere a negative diabatic tendency at
 499 the storm center [Figure 5(b)] is largely compensated for by a positive vertical advection tendency
 500 in the same location [Figure 5(d)]. At this level in Boos et al. (2015) and in our simulation,
 501 anomalous horizontal advection of EPV [Figure 5(c)] appears to project most strongly onto to the
 502 spatial pattern of the overall EPV tendency. Closer to the surface, at 700 hPa, diabatic processes
 503 appear to play a larger role in the propagation tendency [cf. Figure 4(d) and Figure 4(e)], with

504 horizontal advection no longer being as significant; again this is similar to what is found in Boos
 505 et al. (2015) in reanalysis.

506 While the results plotted in Figure 5 provide qualitative evidence of the importance of horizontal
 507 advection and diabatic processes in the propagation of EPV anomalies, we can be more quanti-
 508 tative about this assessment by using projection a technique that has been used in a number of
 509 studies seeking to quantify the importance of terms to an overall budget of a quantity, e.g. $\frac{\partial q_d}{\partial t}$
 510 (e.g. Andersen and Kuang 2011; Lutsko 2017; Adames and Ming 2018b). It entails computing the
 511 integral of the product of a term in the budget, denoted here by x , with the time tendency, $\frac{\partial q_d}{\partial t}$, over
 512 a region A , and then dividing by the integral of the square of the tendency over the same region:

$$S_x(p) = \frac{\iint_A x \frac{\partial q_d}{\partial t} dA}{\iint_A \left(\frac{\partial q_d}{\partial t} \right)^2 dA}. \quad (8)$$

513 We have chosen the rectangular region 50°E to 110°E, 0° to 30°N as our region of interest (A).
 514 Note in our case $S_x(p)$ is a function of pressure, because our EPV budget is not a vertically-
 515 integrated quantity [unlike the column MSE budget, e.g., in the case of Adames and Ming
 516 (2018b)]. The results of this projection at each vertical level in our simulations are shown in
 517 Figure 6. Here it is quantitatively clear that anomalous horizontal advection of EPV is dominant
 518 in the mid-to-upper troposphere, while diabatic processes become more important in the lower tro-
 519 posphere, i.e. near 700 hPa. This is qualitatively consistent with the results of Boos et al. (2015).
 520 Vertical advection anomalies have a small negative contribution to the EPV tendency in the lower
 521 troposphere and a small positive contribution in the mid-to-upper troposphere; in general they tend
 522 to oppose the diabatic tendency throughout the atmosphere.

523 2) LINEAR VERSUS NONLINEAR EFFECTS

524 Quantitatively, horizontal advection consists of two quadratic terms (one zonal and one merid-
 525 ional) in the budget. It is worth asking if these terms in the could potentially be treated as being
 526 linear in anomalies (either linear in a wind anomaly or linear in a EPV-gradient anomaly) or
 527 whether the anomalous horizontal advection tendency is nonlinear process (i.e. representing ad-
 528 vection of EPV anomalies by the anomalous horizontal flow). At least for stronger storms Boos
 529 et al. (2015) suggest that nonlinear processes are at work.

530 We can look more closely at the horizontal advection term by breaking it down into zonal and
 531 meridional components, and performing a Reynolds decomposition on the terms:

$$-(\mathbf{u} \cdot \nabla q_d)' = -\frac{1}{a \cos \phi} \left(\bar{u} \frac{\partial q_d'}{\partial \lambda} + u' \frac{\partial \bar{q}_d}{\partial \lambda} + u' \frac{\partial q_d'}{\partial \lambda} \right) - \frac{1}{a} \left(\bar{v} \frac{\partial q_d'}{\partial \phi} + v' \frac{\partial \bar{q}_d}{\partial \phi} + v' \frac{\partial q_d'}{\partial \phi} \right). \quad (9)$$

532 Here we have taken the quadratic advection terms and broken them down into terms that are linear
 533 in anomalies and terms that are nonlinear in anomalies. The product of the means terms drop out
 534 (and are not shown), because they do not project onto the standardized regression index, which by
 535 definition has a mean of zero.

536 If we do this for each simulation, and project each term onto the total EPV tendency, we find, as
 537 was qualitatively shown in Figure 4(b), that advection of EPV anomalies by the mean zonal wind
 538 tends to work against the prevailing westward-propagating tendency of EPV in the lower-to-mid
 539 troposphere (the solid red line in Figure 7). Instead, the mechanism by which horizontal advection
 540 of EPV plays an important role in the westward-propagation of the storms is the advection of the
 541 JJAS mean EPV by the anomalous meridional winds (the dashed blue line in Figure 7). Because
 542 the anomalous meridional winds are cyclonic, they blow southward to the west of the storm (down
 543 the mean EPV gradient, bringing high mean EPV air from the north), and northward (up the mean
 544 EPV gradient, bringing low mean EPV air from the south) east of the storm, resulting in the

545 dipole pattern seen in Figure 5(a). Nonlinear advection of the anomalous EPV by the anomalous
546 meridional winds also makes a small positive contribution to the spatial pattern of the overall
547 tendency of EPV in the lower troposphere, while nonlinear advection of the anomalous EPV by the
548 anomalous zonal winds makes a small positive contribution in the upper troposphere. The other
549 terms (advection of anomalous EPV by the mean meridional winds and advection of the JJAS
550 mean EPV by the anomalous zonal winds) do not play an important role in the total horizontal
551 advection term. The secondary role of nonlinear EPV advection in the budget suggests that beta
552 drift is not a primary driver of propagation for the storms in our simulation.

553 *d. Moisture vortex instability*

554 Following the suggestion by Cohen and Boos (2016), Adames and Ming (2018a) developed
555 a theory for the growth and propagation of monsoon low pressure systems within the moisture
556 mode framework. The theory is based on using vertically-truncated versions of the momentum,
557 thermodynamic, and moisture equations; in this context “vertically-truncated” means that the hor-
558 izontal winds, temperature, geopotential, and specific humidity are projected onto basis functions
559 consistent with a first-baroclinic mode vertical structure for the vertical velocity. This reduces the
560 equations to a shallow water-like system, which is more amenable to analysis [e.g. as in Neelin and
561 Zeng (2000), Haertel et al. (2008), or Adames and Kim (2015)]. In Adames and Ming (2018a),
562 the truncated equations are linearized about a South Asian monsoon-season-like basic state, and
563 through analysis of a dispersion relation, are shown to support a “moisture-vortex instability.”
564 The instability is associated with a partially in-phase relationship between precipitation anomalies
565 (corresponding with upward vertical motion and convergence of low-level horizontal winds) and
566 cyclonic (i.e. positive) vorticity anomalies. The precipitation anomalies, through their associa-
567 tion with low-level convergence, result in a growing tendency for the vorticity anomalies through

568 vortex-stretching Adames and Ming (2018a). Propagation of the wave in their framework is due
569 to vortex stretching from moist convection in regions of isentropic ascent and horizontal moisture
570 advection.

571 In terms of the primitive equations, moisture vortex instability theory depends on the advection
572 of planetary vorticity, vortex stretching, meridional and vertical advection of the mean internal
573 energy and moisture by the anomalous winds and latent heating due to precipitation (Adames and
574 Ming 2018a). We can test whether these assumptions hold in the case of the storms in the LAND
575 simulation by explicitly computing the anomalous vorticity, internal energy, and moisture budgets.

576 1) VORTICITY BUDGET

577 While nonlinear terms do make some contribution to the total horizontal advection term of the
578 PV budget, the term's contribution as a whole between pressure levels 700 hPa and 200 hPa is rea-
579 sonably well-approximated by the advection of the JJAS mean EPV by the anomalous meridional
580 winds. This suggests that despite the fact that the mean zonal winds blow eastward at pressure
581 levels with large PV anomalies (opposite to the direction of propagation of the storms), it might be
582 possible to construct a linear model that would describe the storms' motion in our model. Adames
583 and Ming (2018a) propose such a model; however their theory assumes that terms involving the
584 mean state winds and/or wind shear in the horizontal momentum budget are negligible (in fact
585 assuming that the anomalous horizontal momentum tendencies are approximated by the Coriolis
586 force induced by the anomalous ageostrophic winds). This results in an equation for the anoma-
587 lous vorticity tendency that only depends on vortex stretching associated with just the Coriolis
588 parameter, and planetary vorticity advection by the anomalous meridional wind (i.e. the beta ef-
589 fect). It is clear in both reanalysis (Boos et al. 2015; Cohen and Boos 2016) and our simulations
590 that the mean state climate is characterized by zonal winds on the order of 10 ms^{-1} and a vertical

591 wind shear on the order of $1.0 \times 10^{-3} \text{ m s}^{-1} \text{ Pa}^{-1}$ [Figure 1(h)]. It is worth investigating whether
 592 this mean state has a leading-order influence in the anomalous vorticity budget in our simulation,
 593 or whether it is in fact negligible.

594 A good place to start is the anomalous flux-form vorticity equation discussed in Boos et al.
 595 (2015):

$$\frac{\partial \zeta'}{\partial t} = - \left[\nabla \cdot (f + \zeta) \mathbf{u} \right]' - \nabla \cdot \left(\omega \hat{k} \times \frac{\partial \mathbf{u}}{\partial p} \right)'. \quad (10)$$

596 The only term in this budget that is included in the theory of Adames and Ming (2018a) is $-\nabla \cdot f \mathbf{u}$;
 597 this is the collective influence of vortex stretching and horizontal advection involving the planetary
 598 vorticity. All other terms, i.e. the collective influence of vortex stretching and horizontal advection
 599 involving the relative vorticity, $-\nabla \cdot \zeta \mathbf{u}$, and the collective influence of vertical vorticity advection
 600 and vortex tilting, $\nabla \cdot \left(\omega \hat{k} \times \frac{\partial \mathbf{u}}{\partial p} \right)$, are not included in their theory. Therefore, it is useful to view
 601 the spatial anomaly patterns from our simulation through this decomposition. For example, any
 602 influence of the background mean state, be it a mean meridional or mean vertical gradient in the
 603 zonal wind, would show up in the terms not containing the planetary vorticity, f .

604 We compute each term in Equation 10 explicitly from model output at each vertical level. The
 605 terms in the anomalous budget for a level in the upper troposphere (400 hPa) and a level in the
 606 lower troposphere (850 hPa), decomposed as described above, are shown in Figure 8. Panels (a)
 607 and (e) shows the anomalous time tendency of the relative vorticity. There we can see a dipole
 608 pattern oriented along an east-west axis, similar to what we see in the anomalous PV budget. In
 609 addition we can see that indeed the dominant term on the right hand side of Equation 10 is the
 610 term involving the planetary vorticity, which is what is assumed in Adames and Ming (2018a).
 611 Terms potentially involving the mean state winds are about an order of magnitude smaller at both
 612 850 hPa and 400 hPa, and to some extent offset each other.

613 Again we can be more quantitative and show the importance of the terms across all pressure lev-
614 els by performing projection analysis. The result is shown in Figure 9. There we can see that our
615 budget closes nearly perfectly below about 500 hPa and only slightly diverges above, as evidenced
616 by the dashed black line, representing the total of the terms on the right hand side of Equation 8
617 having a projection of about one at all pressure levels. In addition, we see quantitative evidence of
618 the dominance of the planetary vorticity term [the red line in Figure 9], which indicates a spatial
619 projection of over 0.5 below 300 hPa. It is only above 300 hPa anomalous vortex stretching asso-
620 ciated with the relative vorticity and/or anomalous relative vorticity advection, $-\nabla \cdot \zeta \mathbf{u}$, becomes
621 of leading-order significance in the budget. The combined effects of anomalous vertical advection
622 and vortex tilting do not project strongly onto the time tendency of relative vorticity anywhere in
623 the troposphere.

624 2) COLUMN INTERNAL ENERGY BUDGET

625 In addition to the horizontal momentum equations, the model of Adames and Ming (2018a)
626 depends on the vertically-integrated thermodynamic and moisture equations. The terms in the
627 anomalous vertically-integrated thermodynamic equation can be written in the form (Neelin 2007):

$$C_p \frac{\partial \langle T \rangle'}{\partial t} = -C_p \langle \mathbf{u} \cdot \nabla T \rangle' - C_p \left\langle \omega \frac{\partial T}{\partial p} \right\rangle' - \left\langle \omega \frac{\partial \Phi}{\partial p} \right\rangle' + P' + F' + H'. \quad (11)$$

628 Here C_p is the specific heat of dry air at constant pressure; T is the temperature; $\Phi = gz$ is the
629 geopotential; F is the net column radiation; and H is the sensible heat flux. The angle-brackets
630 signify mass-weighted integration over the full column of the quantity inside:

$$\langle (\cdot) \rangle = \frac{1}{g} \int_0^{p_s} (\cdot) dp. \quad (12)$$

631 We compute the full time-series of each term in this budget following the methods of Hill et al.
632 (2017) in a two step procedure starting from the flux-form framing of the budget. First we compute

633 the adjusted set of horizontal winds such that the budget closes with explicitly-computed values
 634 for the time tendency of column integrated temperature, column integrated product of the pressure
 635 velocity and vertical geopotential height gradient, and precipitation, net radiative, and surface
 636 sensible heat fluxes. We then explicitly compute the horizontal advection term in Equation 11 and
 637 finally compute the vertical advection term as a residual.

638 The vertical advection of temperature and geopotential offset each other to a large degree and are
 639 often grouped together as a vertical advection of dry static energy, $s = C_p T + \Phi$ (e.g. Neelin 2007;
 640 Adames and Ming 2018a). In addition, the net radiation and sensible heat terms in the anomalous
 641 budget make negligible contributions to the total; therefore we plot the anomalous terms of the
 642 following approximate form of the budget:

$$C_p \frac{\partial \langle T \rangle'}{\partial t} \approx -C_p \langle \mathbf{u} \cdot \nabla T \rangle' - \left\langle \omega \frac{\partial s}{\partial p} \right\rangle' + P', \quad (13)$$

643 which is exactly the same as Equation 11 with the exception of our ignoring of F' and H' .

644 The budget terms are plotted in Figure 10 along with contours indicating the values of anoma-
 645 lous vertically-integrated internal energy, $C_p \langle T \rangle'$. In Figure 10(a) we can see a negative anomaly
 646 in internal energy at the storm center, flanked by an anomalous negative internal energy tendency
 647 to the west and an anomalous positive internal energy tendency to the east; this dipole pattern in
 648 the tendency is consistent with the westward propagation of the negative internal energy anomaly
 649 at the storm center. The term on the right hand side of the budget that projects most strongly
 650 onto the time tendency is the sum of the vertical advection of dry static energy and the column-
 651 integrated latent heating associated with precipitation [Figure 10(c)]; overall this has a projection
 652 value of 2.31 on the tendency over the domain plotted. Horizontal advection of internal energy
 653 serves to damp this propagation tendency [Figure 10(b)]. Total horizontal advection has a pro-
 654 jection value of -1.37 ; of this damping influence horizontal advection of mean internal energy

655 by the anomalous meridional wind, $-\frac{C_p}{a} \left\langle v' \frac{\partial \bar{T}}{\partial \phi} \right\rangle'$, and horizontal advection of the anomalous in-
656 ternal energy by the anomalous meridional wind, $-\frac{C_p}{a} \left\langle v' \frac{\partial T'}{\partial \phi} \right\rangle'$, contribute -0.96 and -0.27 to
657 the projection, respectively, indicating that the horizontal advection term is primarily due to the
658 anomalous meridional wind acting on the mean temperature gradient (which is positive due to
659 imposed the land-ocean contrast in heat capacity), with a smaller nonlinear addition. A full tab-
660 ulation of the projections of each term in the decomposed internal energy budget can be found in
661 Figure 11.

662 The picture here is largely consistent with the assumptions made in deriving the theory in
663 Adames and Ming (2018a). There the anomalous radiative and sensible heating parts of the ther-
664 modynamic equation were neglected, and they are found to be quite small in our simulation. The
665 terms retained in the anomalous thermodynamic budget in Adames and Ming (2018a) were the
666 vertical advection of mean dry static energy by the anomalous pressure velocity, the column latent
667 heating due to precipitation, and meridional advection of mean internal energy by the anomalous
668 meridional wind. These are indeed the leading order terms in the anomalous thermodynamic bud-
669 get in our simulation. We do, however, find a nontrivial contribution from the advection of the
670 anomalous internal energy by the anomalous meridional wind, which without some closure would
671 not be possible to represent in a linear model, such as that in Adames and Ming (2018a).

672 3) COLUMN MOISTURE BUDGET

673 The anomalous column-integrated moisture budget can be written as Adames and Ming (2018b):

$$\frac{\partial \langle q_v \rangle'}{\partial t} = - \langle \mathbf{u} \cdot \nabla q_v \rangle' - \left\langle \omega \frac{\partial q_v}{\partial p} \right\rangle' - P' + E'. \quad (14)$$

674 Here q_v represents the specific humidity and P' and E' represent the precipitation and evapora-
675 tion rates, respectively. The theory of Adames and Ming (2018a) assumes that of the terms in

676 the anomalous budget in Equation 14, only the horizontal advection of the mean moisture by the
677 anomalous meridional winds, vertical advection of moisture, and precipitation anomalies are im-
678 portant. It is worth verifying whether this is true in our simulation. We compute the full time-series
679 of terms in the column integrated moisture budget in a two-step procedure. First we compute an
680 adjusted set of horizontal winds at each vertical level following the methods of Hill et al. (2017)
681 using the flux-form framing of the vertically-integrated budget to ensure the budget is balanced.
682 We then use these adjusted horizontal winds to compute the horizontal advection term in Equa-
683 tion 14 explicitly, and compute the vertical advection term as a residual. We can then regress each
684 of these time-series on the precipitation index to obtain anomalies at lag-day zero of a monsoon
685 low pressure system event on the southeastern Indian coast.

686 We obtain the results shown in Figure 12. The time tendency anomaly pattern, panel (a), depicts
687 an east-west-oriented dipole pattern, consistent with the westward propagation of the storms. The
688 two largest terms on the right hand side of the budget are the vertical advection and precipitation
689 terms; since they largely offset each other, as in Adames and Ming (2018b). we combine these
690 into one term and refer to it as the “column moisture process.” This aggregate term projects
691 strongly onto the time tendency (with a projection value of 0.87 over the region plotted), though
692 perhaps has a slightly northwestward orientation compared with the more westward orientation
693 of the tendency itself. Horizontal advection plays a secondary role, and acts to turn the dipole
694 orientation more toward the west (with a projection value of 0.24). The anomalous latent heat
695 fluxes, panel (d), play a minor damping role, with a projection of -0.11 . In the projection sense,
696 these results are largely consistent with the results of Adames and Ming (2018b) in AM4; there
697 the column moisture process term was dominant, with a minor positive contribution coming from
698 horizontal advection, and a minor negative contribution coming from evaporation.

699 Similar to what we did with the internal energy budget, we can decompose the horizontal ad-
700 vection term into components due to the product of the mean winds and anomalous moisture
701 gradients, products of the anomalous winds and the mean moisture gradients, and products of the
702 anomalies. The result is shown in Figure 13. This allows us to determine the feasibility of using
703 a linear model of the column-integrated moisture equation. Here we find that the primary reason
704 for the positive contribution of the horizontal advection of moisture to the westward-propagation
705 tendency is the nonlinear component, with a total projection of 0.49 on the moisture tendency over
706 the plotted domain, contributed roughly equally from the zonal and meridional components; in
707 contrast the advection of the anomalous moisture anomalies by the mean winds provides a nega-
708 tive contribution, with a projection of -0.25 on the tendency over the plotted domain. In particular
709 the negative projection is due primarily to the advection of moisture anomalies by the mean zonal
710 wind. Terms involving mean horizontal moisture gradients are not found to be important, with a
711 total projection of -0.001 .

712 As assumed in Adames and Ming (2018a), the vertical advection of moisture and the loss of
713 column moisture through precipitation play an important role in the moisture budget. That said,
714 assumptions made regarding the horizontal advection of moisture in Adames and Ming (2018a) do
715 not necessarily hold in our simulation. Adames and Ming (2018a) assume that advection of mean
716 moisture by the anomalous meridional wind plays a leading-order role in the budget. We find this
717 not to be the case. Rather, we find that advection of moisture anomalies by the mean eastward zonal
718 wind, and nonlinear advection of moisture anomalies by the anomalous winds play leading-order
719 roles. The significant positive projection of the nonlinear component of the horizontal advection
720 is of particular interest, because it would not be possible to represent explicitly in a linear model.

721 **6. Discussion and Conclusion**

722 In this study we have completed a systematic analysis of low pressure systems in the Indian
723 monsoon region in a heavily-idealized moist GCM. The low pressure systems found in our sim-
724 ulation share a number of characteristics with Indian monsoon low pressure systems observed in
725 reality, or those simulated in comprehensive GCMs. For example precipitation anomalies in the
726 Indian monsoon region in our simulation have a typical zonal scale of around zonal wavenum-
727 ber 10, consistent with the scale seen in TRMM observations and AM4; the typical frequency of
728 around 0.2 d^{-1} is consistent with that found in those datasets as well (Adames and Ming 2018b).
729 In addition, we find that the vertical structure of potential vorticity anomalies associated with the
730 low pressure systems simulated in our model shares an important qualitative feature with that
731 found in reanalysis: the PV anomalies in the troposphere tilt slightly with the JJAS mean easterly
732 zonal wind shear (Cohen and Boos 2016).

733 Aspects of the low pressure systems that differ slightly from those seen in reality are their prop-
734 agation speed and direction. In our simulation, the storms propagate predominantly westward at
735 speeds of over 6 m s^{-1} ; this is faster than storms seen in comprehensive GCMs or reanalysis. There
736 are several possible explanations for this difference. Two of these arise from Rossby wave theory.
737 From inspection of Fig. 3 it is possible that these waves are of slightly larger scale than the low
738 pressure systems simulated in AM4 and observed in reanalysis. Because these systems occur at
739 a lower latitude than in the aforementioned datasets, the Rossby radius of deformation is smaller,
740 which would cause these systems to exhibit faster eastward propagation (see Eq. 22a in Adames
741 and Ming 2018a). We find very little northward component to the propagation direction, which
742 is different than at least reanalysis Boos et al. (2015); in GFDL's AM4 model, storms propagated
743 predominantly westward as well. It is possible that the northward component of propagation is

744 largely a result of nonlinear beta drift, which is characteristic of the stronger storms that were
745 analyzed by Boos et al. (2015).

746 The movement of the weak disturbances in our LAND simulation can largely be explained
747 through linearized versions of the primitive equations, rather than beta drift, as was the case for
748 monsoon depressions analyzed in reanalysis in Boos et al. (2015). The main exception is in the
749 horizontal advection of moisture, where nonlinear moisture advection plays a nontrivial role. In
750 addition, the fact that the storms move rapidly westward in the absence of any topographical
751 features suggests that aid provided by a topographically-induced image vortex (e.g., as discussed
752 in Hunt and Parker 2016) may not be necessary. The possibility of an explanation via a
753 linear model (like the one discussed in Adames and Ming 2018a) could motivate further sensitivity
754 studies in a framework like this, to test whether properties of the mean state, like the meridional
755 temperature or moisture gradient, could influence properties of the low pressure systems, like the
756 phase speed.

757 In addition, while the work we have done here demonstrates that somewhat realistic monsoon
758 low pressure system-like disturbances can be simulated with simplified model physics and bound-
759 ary conditions, it does not rule out that even further idealizations could be made. We intentionally
760 used realistic continental geometry and a hydrology model to limit evaporation over land, as to
761 remove those as possible reasons for too unrealistic a mean climate to support MLPSs; however,
762 when moving to try and systematically change the mean state as suggested above, it might be
763 valuable to use a simpler land setup, perhaps without complex land shapes and a bucket hydrology
764 scheme, closer maybe to the “moist land” simulations with a rectangular continent in Zhou and
765 Xie (2018).

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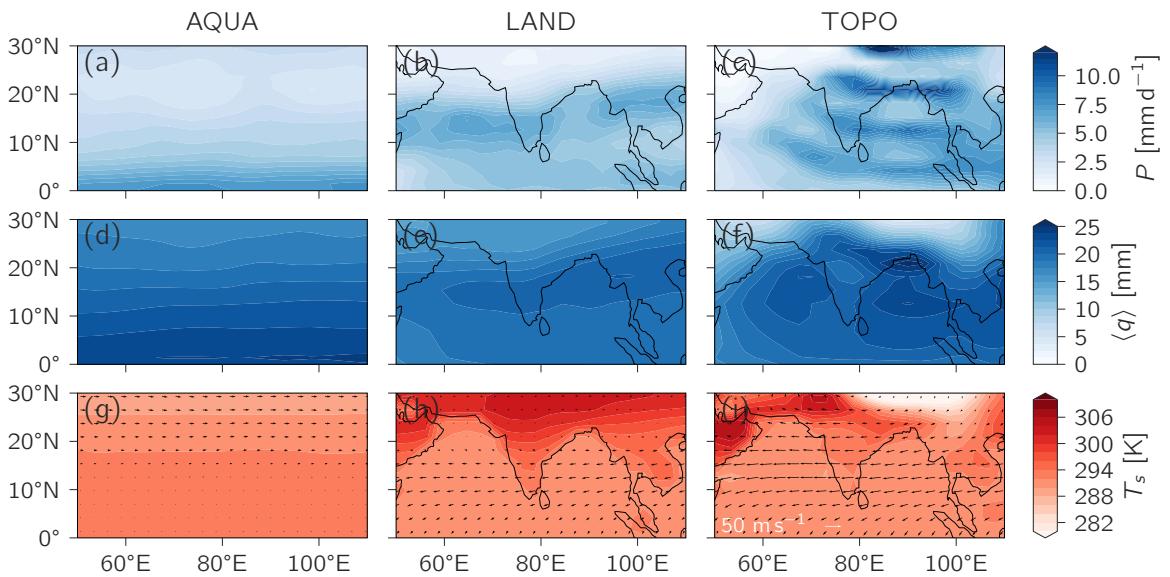
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| 966 | Fig. 3. | Precipitation rate (colors) and 850 hPa horizontal winds lag-regressed onto the precipitation index defined in Section ? for the LAND simulation. Only precipitation anomalies statistically-significant at the 99% level are plotted. The lag day is indicated in the upper right portion of each row (time moves forward downward). In all panels, the centroid of the positive precipitation anomalies is indicated by the filled black dot. 50 |
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| 971 | Fig. 4. | Zonal cross section of EPV (colors) and temperature (lines) anomalies in the LAND experiment (a); Meridional cross section of Ertel EPV anomalies (colors) and JJAS mean zonal wind (lines) (b). Only statistically-significant anomalies at the 99% level are shown. The dashed contours represent negative values, while the solid contours represent positive values. In (b) the bold contour is the zero line. The first temperature anomaly contour in (a) greater than (less than) zero is 0.06 K (−0.06 K); with the exception of the omission of the zero contour, temperature anomaly contours are separated by 0.06 K. The zonal wind contours in (b) are separated by 2 ms ^{−1} 51 |
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| 979 | Fig. 5. | Anomalous terms in the Ertel PV budget in the LAND simulation at 500 hPa (row one) 700 hPa (row two). The black contours represent isolines of PV anomalies of 0.01 PVU and 0.02 PVU. Only values statistically-significant at the 99% level are shown. 52 |
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| 982 | Fig. 6. | Projection of EPV budget terms on $\frac{\partial q_d}{\partial t}$ in the LAND simulation. The sum of the colored lines results in the black line, the projection of the tendency of EPV onto itself. 53 |
| 983 | | |
| 984 | Fig. 7. | Projection of terms comprising the total horizontal advection anomaly (see Equation 9) on $\frac{\partial q_d}{\partial t}$ in the LAND simulation. The sum of the red and blue lines results in the yellow line, the projection of the total anomalous horizontal advection term, $-(\mathbf{u} \cdot \nabla q_d)'$, onto the total anomalous time tendency of PV. 54 |
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| 988 | Fig. 8. | Terms in the anomalous vorticity budget (colors) at 400 hPa (row one) and 850 hPa (row two). Only values statistically-significant at the 99% level are shown. Contours represent relative vorticity anomalies, ζ' ; contour levels start at $\pm 1.0 \times 10^{-6} \text{ s}^{-1}$ and are separated by intervals of $2.0 \times 10^{-6} \text{ s}^{-1}$. Dashed contours represent negative anomalies, while solid contours represent positive anomalies. 55 |
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| 993 | Fig. 9. | Projection of vorticity budget terms on the time tendency of the relative vorticity. 56 |
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| 995 | Fig. 10. | Terms in the anomalous thermodynamic budget (colors) with anomalous column integrated internal energy, $C_p \langle T \rangle'$, overlaid (contours). Only budget values statistically-significant at a 99% level are shown. Negative contours are dashed; positive contours are solid. With the exception of the omission of the zero contour, contours are separated by an interval of $2.5 \times 10^5 \text{ J kg}^{-1}$ 57 |
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| 999 | Fig. 11. | Projection of the terms on the right hand side of the column-integrated internal energy budget sorted in descending order by absolute value. The horizontal advection term is comprehen- |
| 1000 | | |

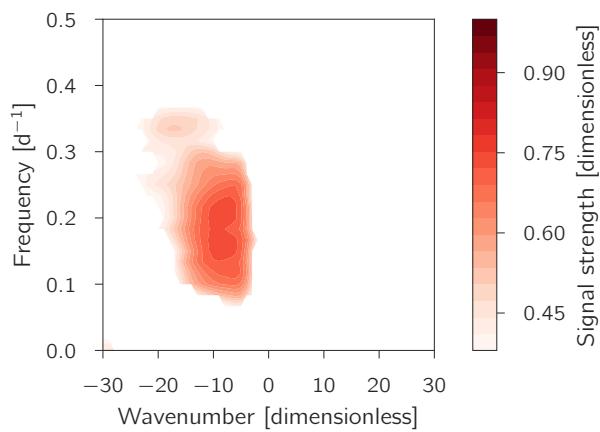
1001 sively decomposed into linear and nonlinear components. The sum of the components adds
1002 exactly to 1.0. 58

1003 **Fig. 12.** Terms in the anomalous moisture budget, scaled by the latent heat of vaporization, $L_v =$
1004 $2.5 \times 10^6 \text{ J kg}^{-1}$, to place values in units of W m^{-2} (colors). Only tendency anomalies
1005 statistically-significant at the 99% level are shown. Contours represent column integrated
1006 moisture anomalies also scaled by the latent heat of vaporization, L_v . Negative contours are
1007 dashed; positive contours are solid. With the exception of the omission of the zero contour,
1008 contours are separated by intervals of $4.0 \times 10^5 \text{ J m}^{-2}$ 59

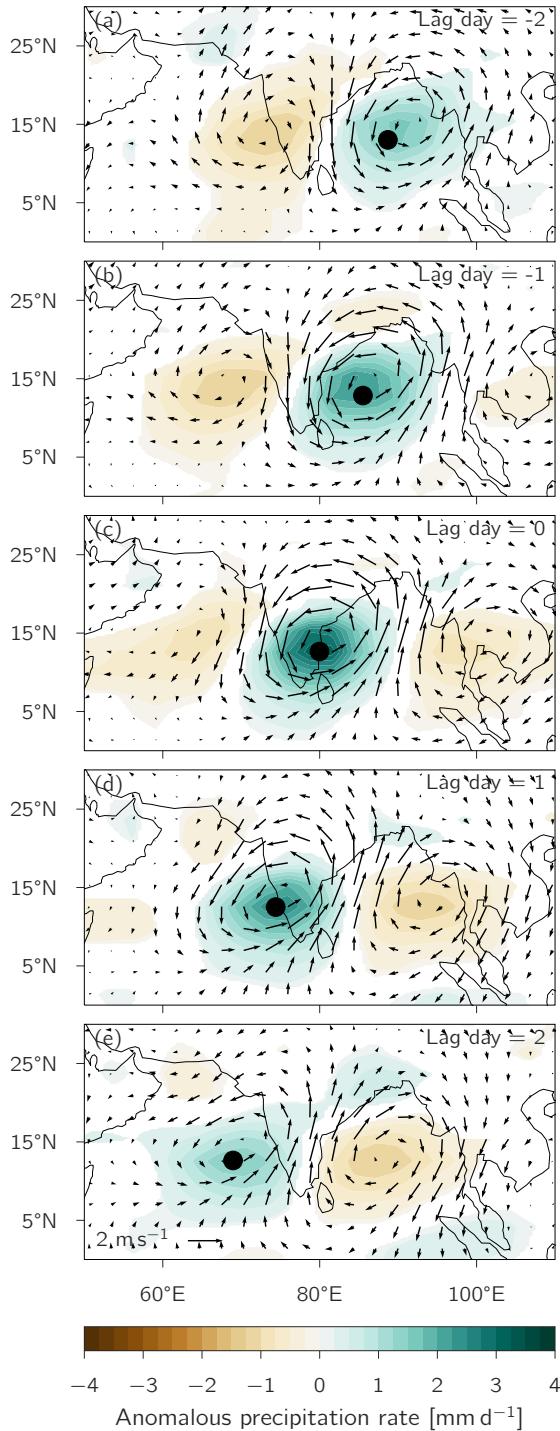
1009 **Fig. 13.** Projection of the terms on the right hand side of the column-integrated moisture budget
1010 sorted in descending order by absolute value. The horizontal advection term is comprehen-
1011 sively decomposed into linear and nonlinear components. The sum of the components adds
1012 exactly to 1.0. 60



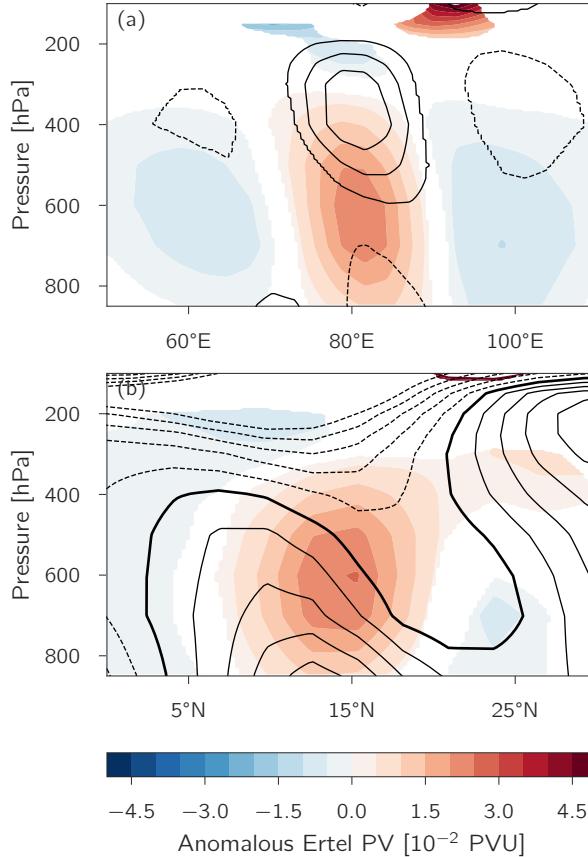
1013 FIG. 1. JJAS mean precipitation rate (a)-(c); JJAS mean column integrated water vapor (d)-(f); and JJAS mean
 1014 surface temperature (colors) (g)-(i). Vectors in (g)-(i) represent the direction and magnitude of the difference in
 1015 horizontal wind between 200 hPa and 850 hPa. The columns represent data from the AQUA, LAND, and TOPO
 1016 cases, respectively.



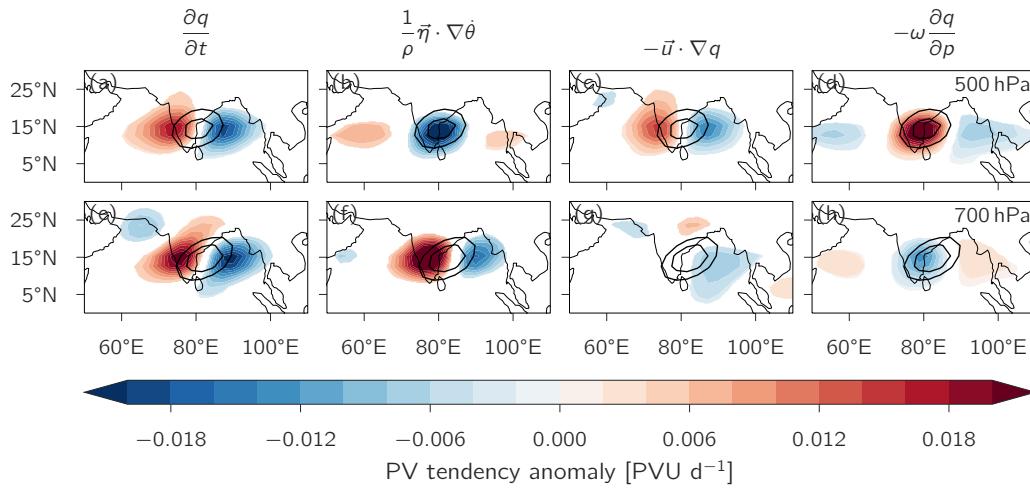
1017 FIG. 2. Frequency-wavenumber spectrum of the JJAS precipitation rate in the LAND simulation. All values
1018 below the 99% threshold for statistical significance (0.38) are masked.



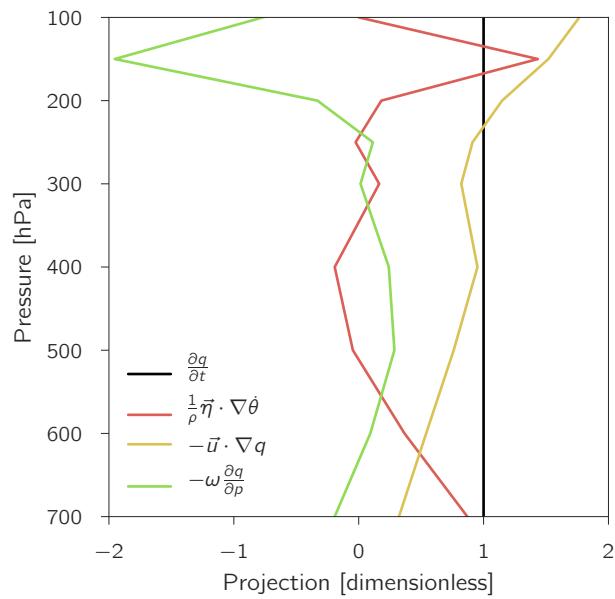
1019 FIG. 3. Precipitation rate (colors) and 850 hPa horizontal winds lag-regressed onto the precipitation index
 1020 defined in Section ? for the LAND simulation. Only precipitation anomalies statistically-significant at the 99%
 1021 level are plotted. The lag day is indicated in the upper right portion of each row (time moves forward downward).
 1022 In all panels, the centroid of the positive precipitation anomalies is indicated by the filled black dot.



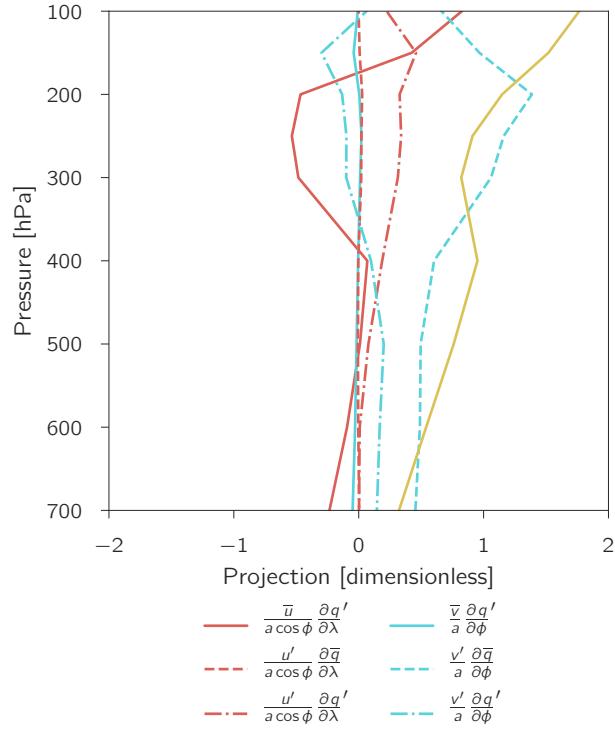
1023 FIG. 4. Zonal cross section of EPV (colors) and temperature (lines) anomalies in the LAND experiment
 1024 (a); Meridional cross section of Ertel EPV anomalies (colors) and JJAS mean zonal wind (lines) (b). Only
 1025 statistically-significant anomalies at the 99% level are shown. The dashed contours represent negative values,
 1026 while the solid contours represent positive values. In (b) the bold contour is the zero line. The first temperature
 1027 anomaly contour in (a) greater than (less than) zero is 0.06 K (-0.06 K); with the exception of the omission of
 1028 the zero contour, temperature anomaly contours are separated by 0.06 K. The zonal wind contours in (b) are
 1029 separated by 2 m s^{-1} .



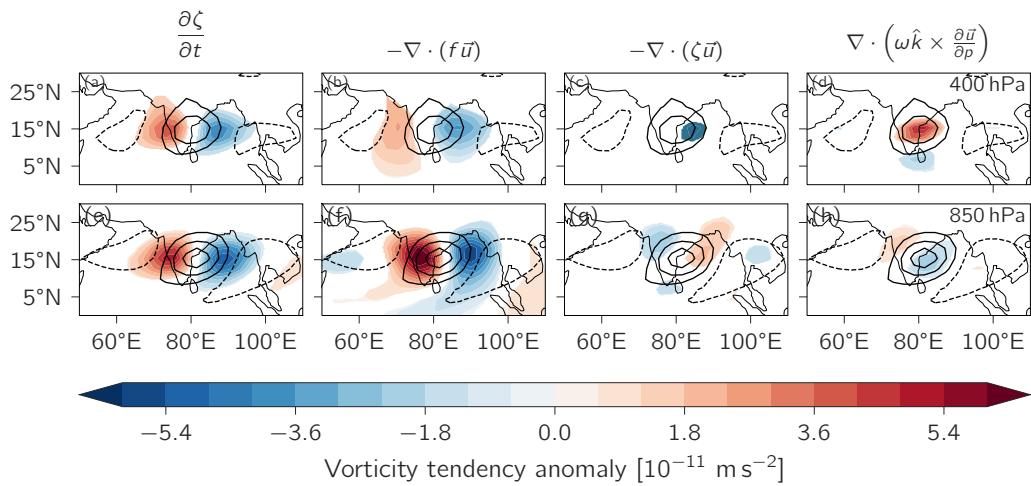
1030 FIG. 5. Anomalous terms in the Ertel PV budget in the LAND simulation at 500 hPa (row one) 700 hPa
 1031 (row two). The black contours represent isolines of PV anomalies of 0.01 PVU and 0.02 PVU. Only values
 1032 statistically-significant at the 99% level are shown.



1033 FIG. 6. Projection of EPV budget terms on $\frac{\partial q_d}{\partial t}$ in the LAND simulation. The sum of the colored lines results
 1034 in the black line, the projection of the tendency of EPV onto itself.



1035 FIG. 7. Projection of terms comprising the total horizontal advection anomaly (see Equation 9) on $\frac{\partial q_d}{\partial t}$ in
 1036 the LAND simulation. The sum of the red and blue lines results in the yellow line, the projection of the total
 1037 anomalous horizontal advection term, $-(\mathbf{u} \cdot \nabla q_d)'$, onto the total anomalous time tendency of PV.



1038 FIG. 8. Terms in the anomalous vorticity budget (colors) at 400 hPa (row one) and 850 hPa (row two). Only
 1039 values statistically-significant at the 99% level are shown. Contours represent relative vorticity anomalies, ζ' ;
 1040 contour levels start at $\pm 1.0 \times 10^{-6} \text{ s}^{-1}$ and are separated by intervals of $2.0 \times 10^{-6} \text{ s}^{-1}$. Dashed contours repre-
 1041 sent negative anomalies, while solid contours represent positive anomalies.

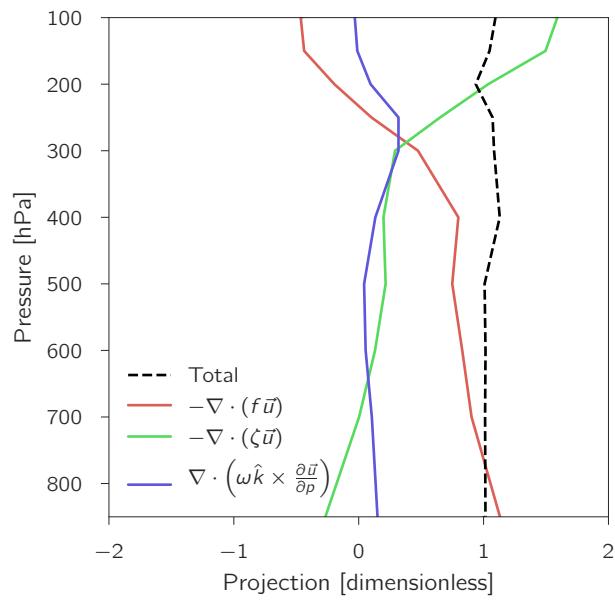
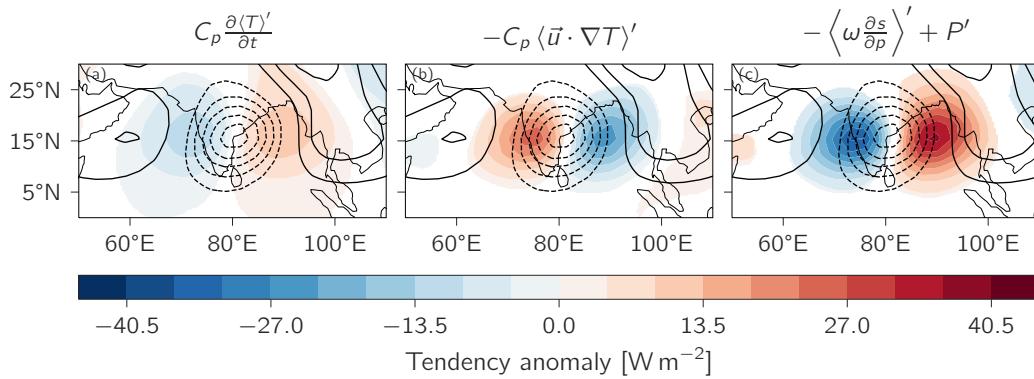
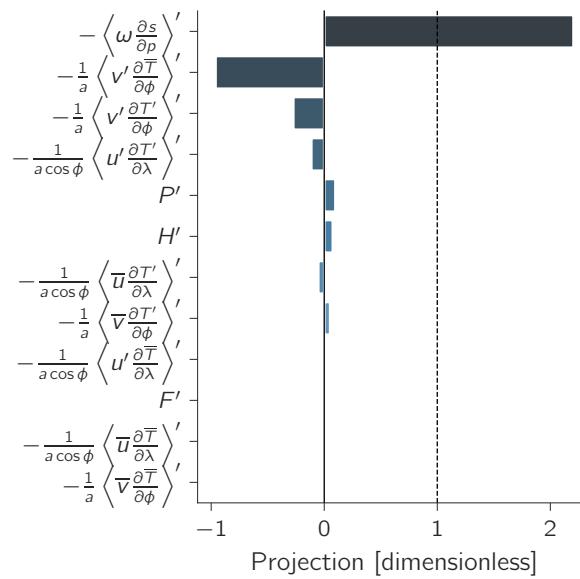


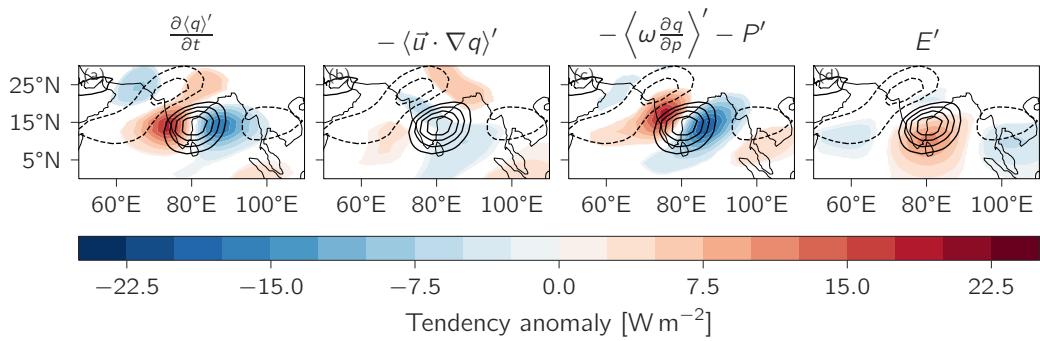
FIG. 9. Projection of vorticity budget terms on the time tendency of the relative vorticity.



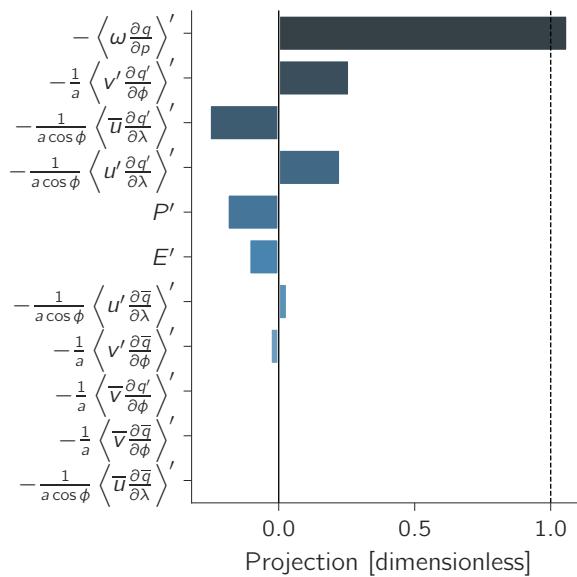
1042 FIG. 10. Terms in the anomalous thermodynamic budget (colors) with anomalous column integrated internal
 1043 energy, $C_p \langle T \rangle'$, overlaid (contours). Only budget values statistically-significant at a 99% level are shown. Neg-
 1044 ative contours are dashed; positive contours are solid. With the exception of the omission of the zero contour,
 1045 contours are separated by an interval of $2.5 \times 10^5 \text{ J kg}^{-1}$.



1046 FIG. 11. Projection of the terms on the right hand side of the column-integrated internal energy budget sorted
 1047 in descending order by absolute value. The horizontal advection term is comprehensively decomposed into
 1048 linear and nonlinear components. The sum of the components adds exactly to 1.0.



1049 FIG. 12. Terms in the anomalous moisture budget, scaled by the latent heat of vaporization, $L_v =$
 1050 $2.5 \times 10^6 \text{ J kg}^{-1}$, to place values in units of W m^{-2} (colors). Only tendency anomalies statistically-significant
 1051 at the 99% level are shown. Contours represent column integrated moisture anomalies also scaled by the latent
 1052 heat of vaporization, L_v . Negative contours are dashed; positive contours are solid. With the exception of the
 1053 omission of the zero contour, contours are separated by intervals of $4.0 \times 10^5 \text{ J m}^{-2}$.



1054 FIG. 13. Projection of the terms on the right hand side of the column-integrated moisture budget sorted in
 1055 descending order by absolute value. The horizontal advection term is comprehensively decomposed into linear
 1056 and nonlinear components. The sum of the components adds exactly to 1.0.