An underwater photograph of a kelp forest. The water is clear and blue. In the foreground, there are large, green kelp stalks with feathery blades. Several small fish are swimming in the water. The background shows more kelp and fish, creating a sense of depth.

# Confronting ecosystem tipping points in ocean conservation and management: the predictable and the unpredictable

Jameal Samhuri

NOAA Northwest Fisheries Science Center

Seattle, WA



# Take-home messages

1. Tipping points are common, but will be difficult to predict.
2. Develop a variety of biological models, including those that are mechanistic, spatial, and inherently nonlinear.
3. Design ecosystem-based management approaches robust to a range of potential futures.



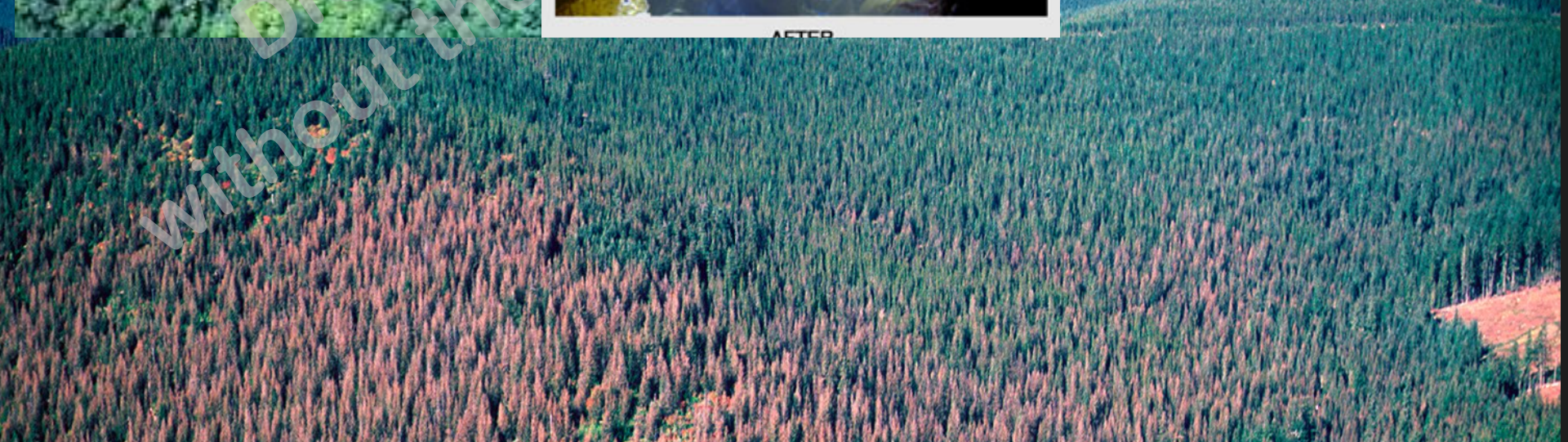
# Ecosystem Tipping Points

*When incremental changes in environmental conditions or human activities result in large, and sometimes abrupt, changes in ecosystem structure, function, and often, benefits to people*



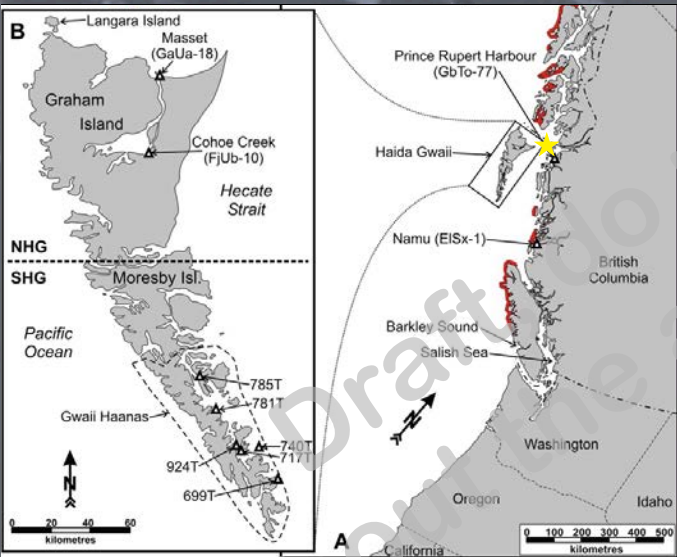
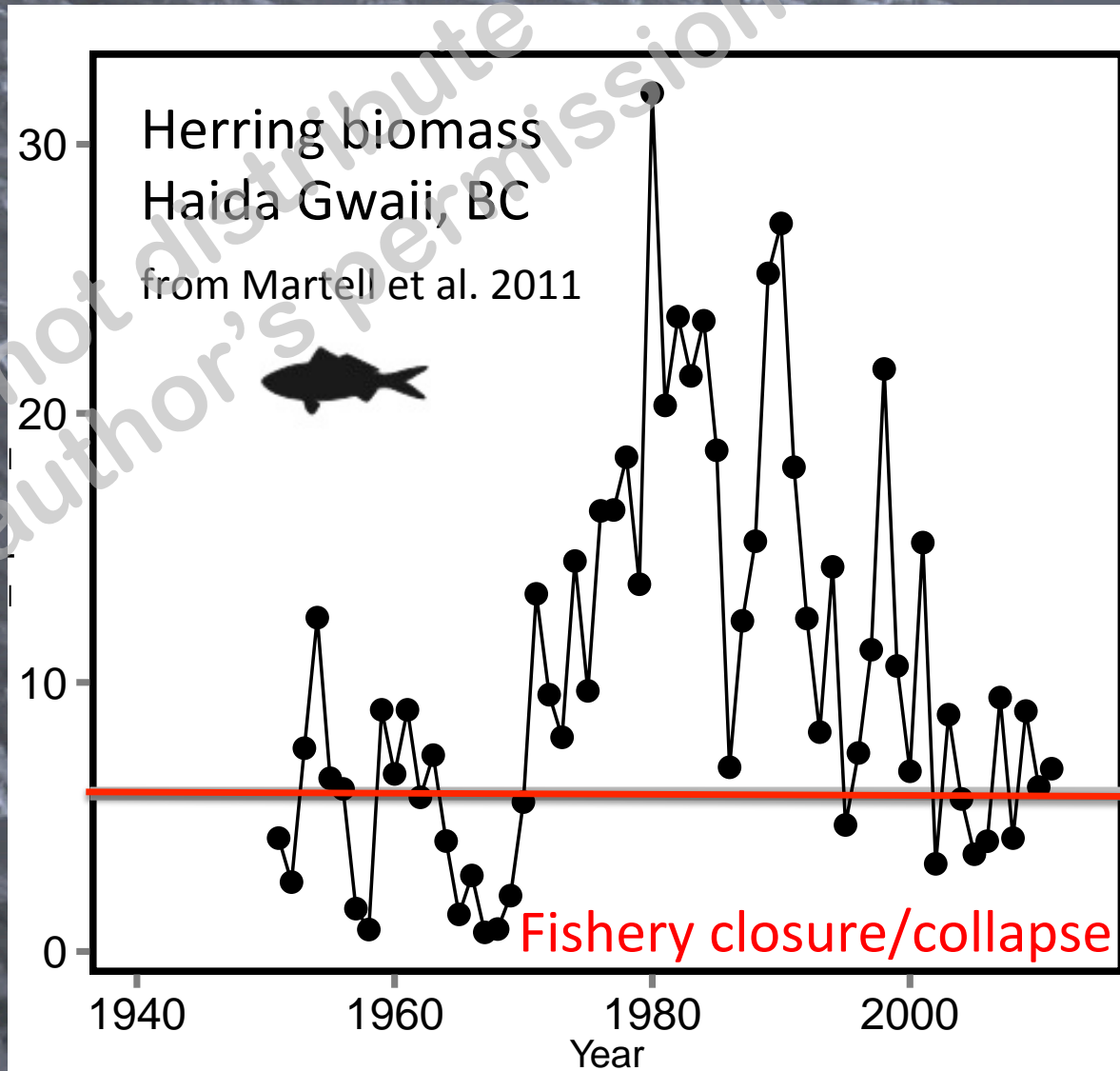


AFTER





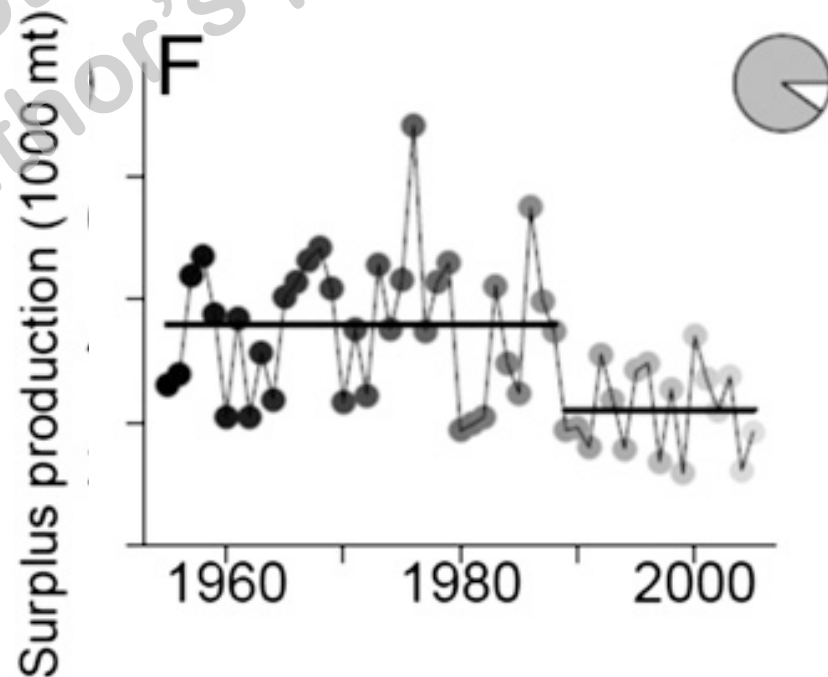
# Fishery collapses as tipping points





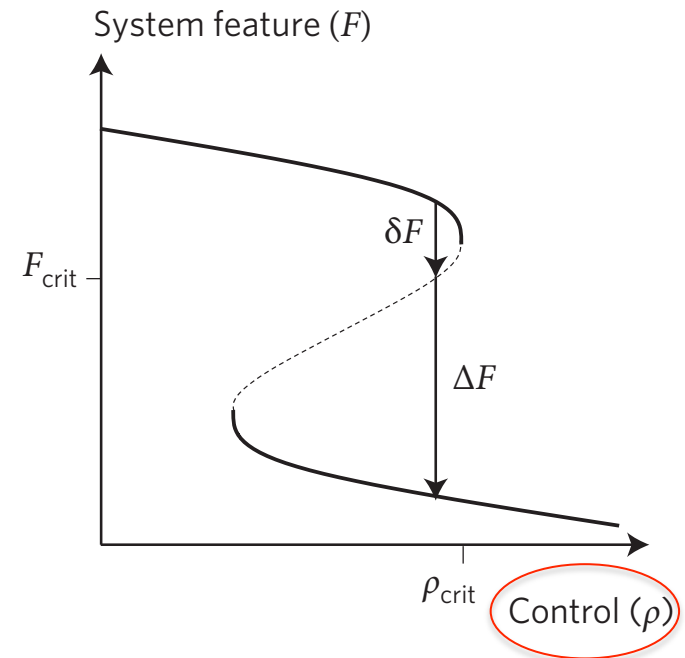
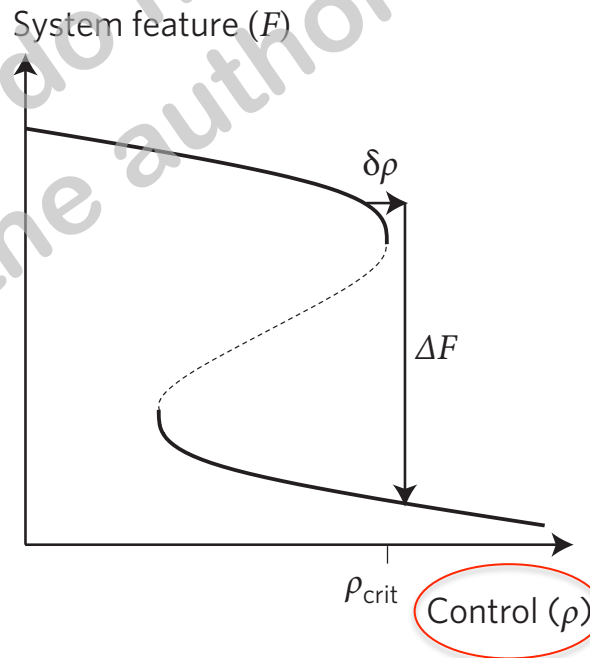
# Productivity regime shifts in marine fish stocks represent tipping points

Atlantic cod, Iceland Grounds





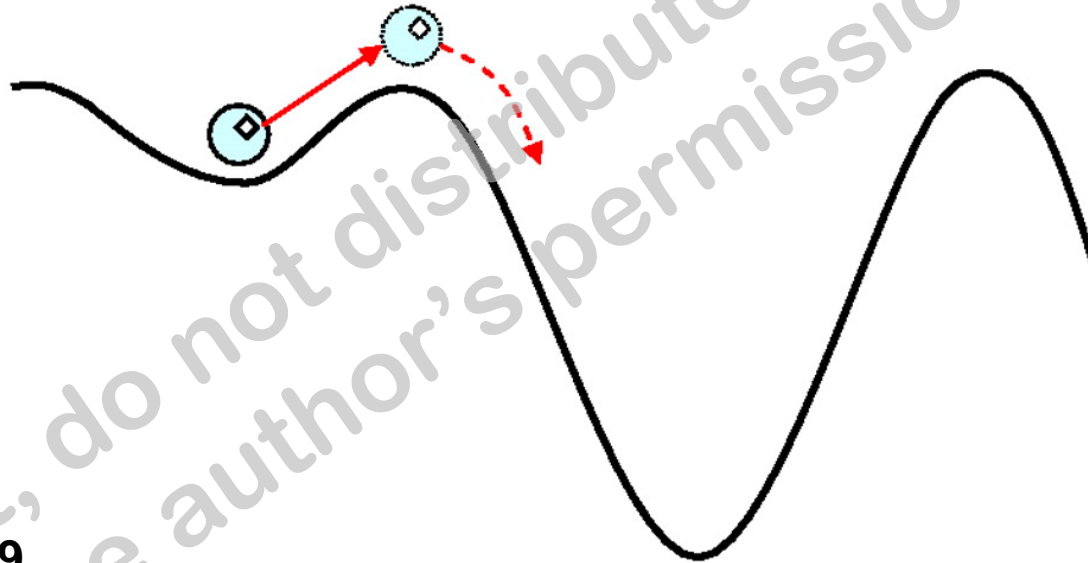
# Inherently nonlinear dynamics can produce alternate ecosystem states





# Recovery may be difficult and slow

I

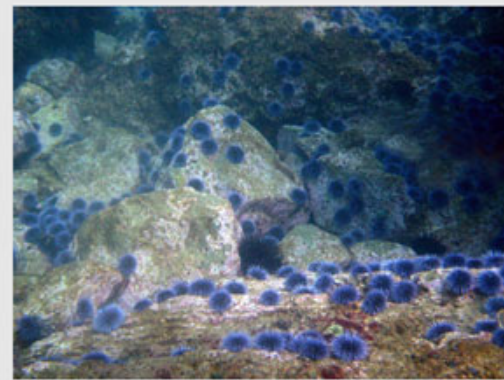


Modified from  
Ling et al. PNAS 2009

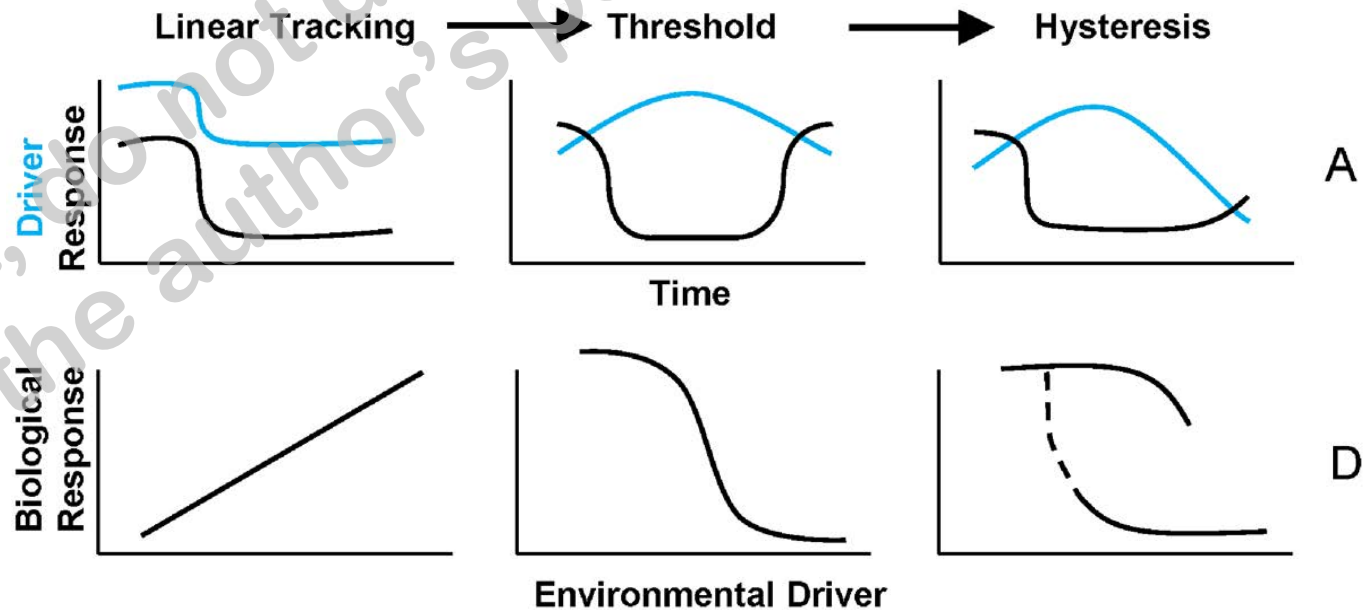
Kelp bed



Sea urchin barren



# Tipping and recovery depend on control processes





1. Are control processes nonlinear?

2. How common are tipping points?

3. How long do recoveries require?

[www.oceantippingpoints.org](http://www.oceantippingpoints.org)

OUR PARTNERS

UCSB

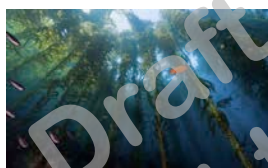


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MOORE  
FOUNDATION

# Meta-analysis of ecological thresholds in the open ocean

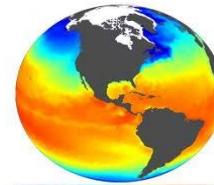


Response

Response

Driver

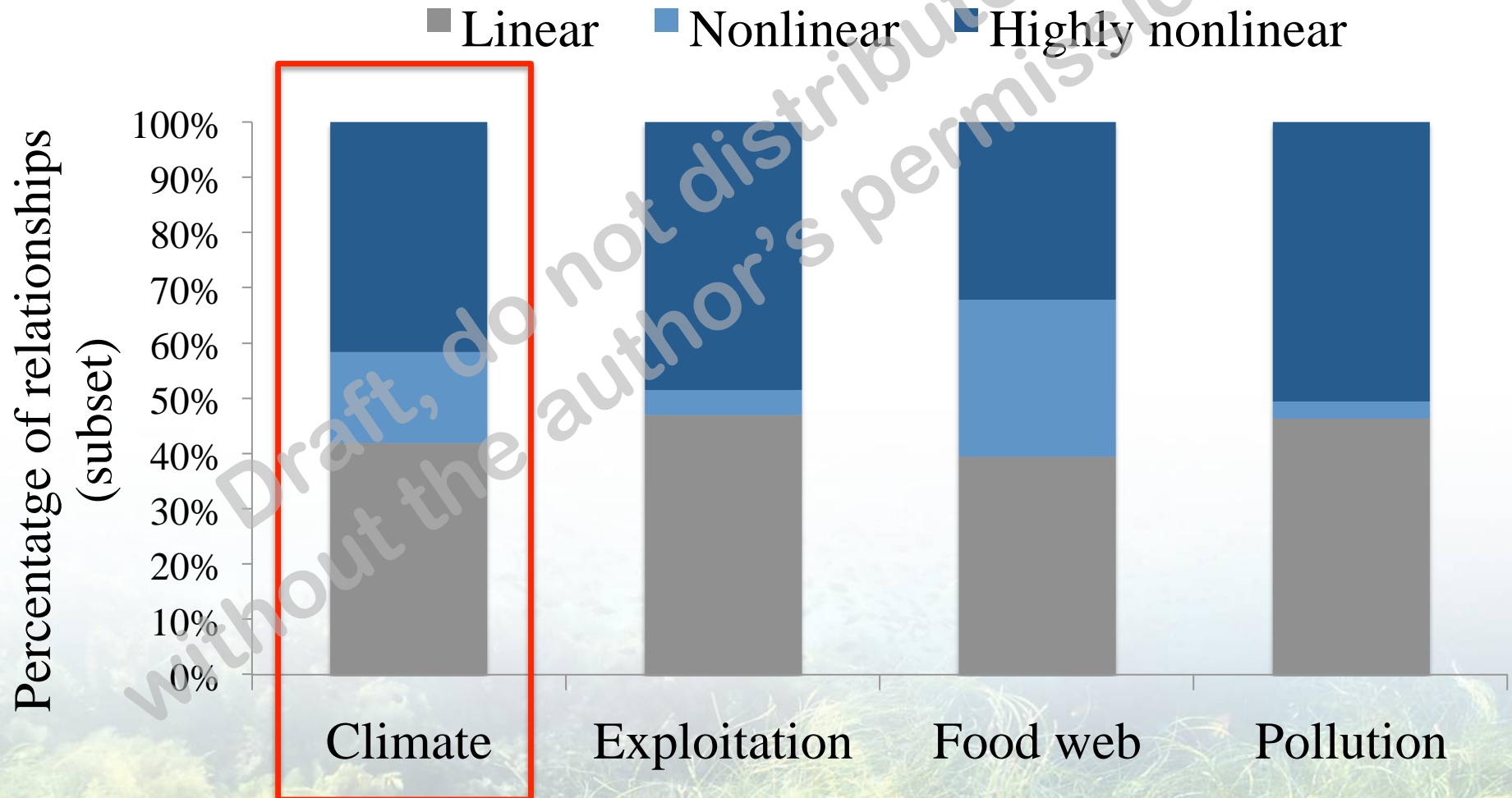
Driver



Hunsicker et al. Ecological Applications. Characterizing driver-response relationships in marine pelagic ecosystems for improved ocean management. *In press*



# Half of the studied relationships between drivers and ecosystem components in the open ocean are nonlinear



Hunsicker et al. Ecological Applications. Characterizing driver-response relationships in marine pelagic ecosystems for improved ocean management. *In press*

1. Are control processes nonlinear?

2. How common are tipping points?

3. How long do recoveries require?



[www.oceantippingpoints.org](http://www.oceantippingpoints.org)

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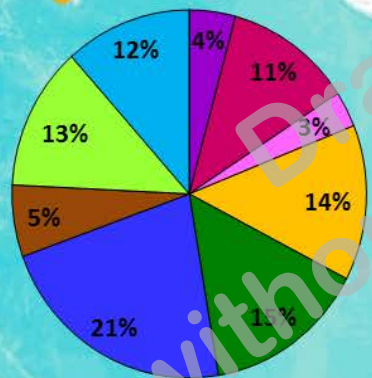


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MOORE  
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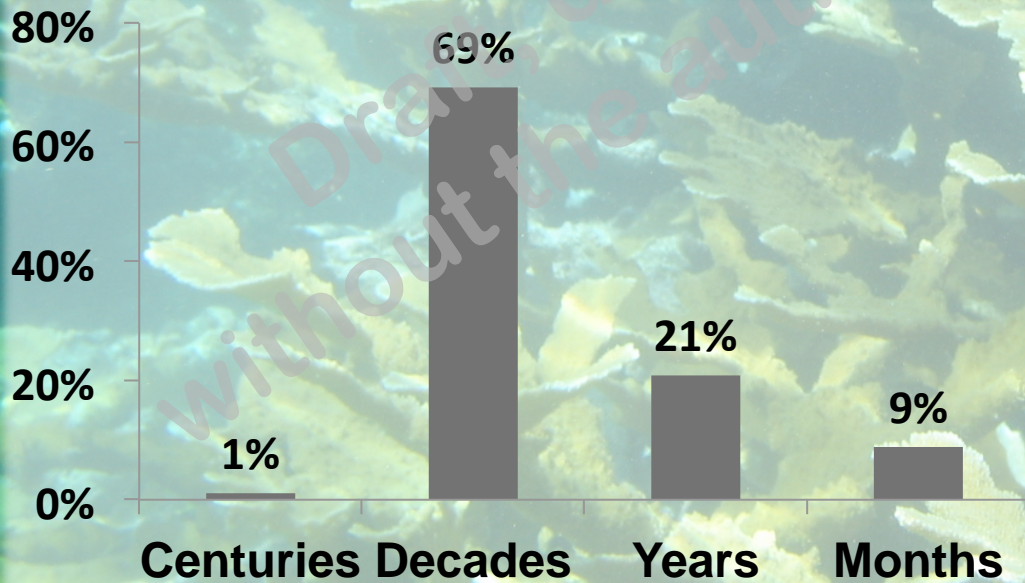
### Regime Shift Habitat Type

- Bivalve Reef
- Coastal Bay/Sea
- Coastal Lagoon
- Coral Reef
- Kelp Forest
- Pelagic
- Rocky Reef
- Salt Marsh
- Seagrass



# Ecosystem recoveries tend to take decades or more

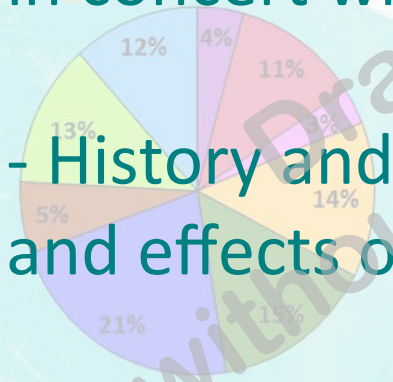
Kappel et al. Marine ecosystem shifts around the world. *In revision*





# Major Findings

- 91 marine regime shifts have been documented from 9 major ecosystem types and all ocean basins.
- Most marine ecosystem shifts persist for decades
- Climate is a key driver of most shifts, but acts in concert with local drivers like fishing, nutrient addition
- History and feedbacks in persistence of regime shifts and effects on eco. services remain poorly documented.



- More attention on how drivers may alter species interactions and lead to regime shifts.

**Marine ecosystem dynamics are often driven by nonlinear processes characterized by tipping points, which require long recoveries**



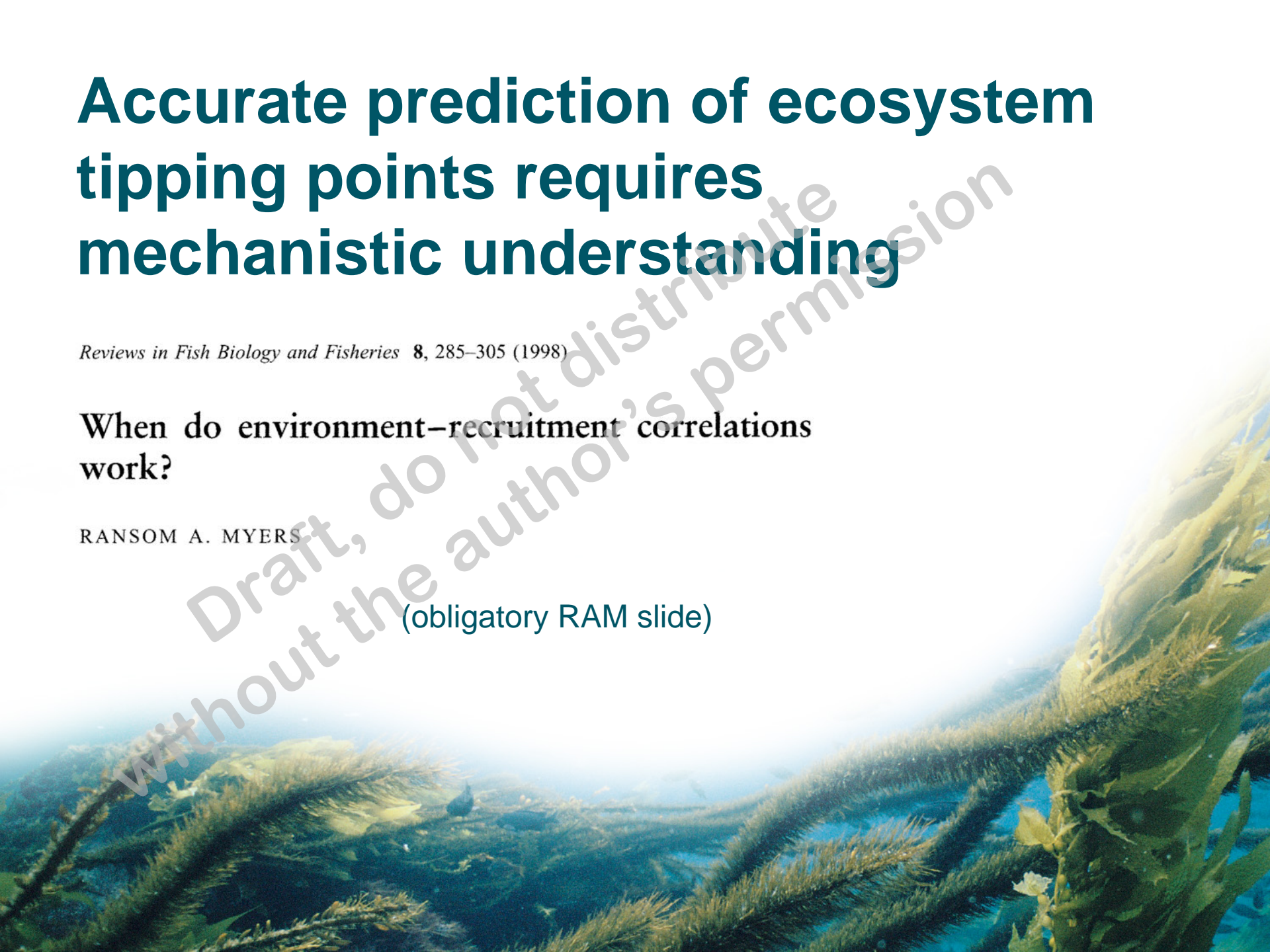
# Accurate prediction of ecosystem tipping points requires mechanistic understanding

*Reviews in Fish Biology and Fisheries* 8, 285–305 (1998)

When do environment–recruitment correlations work?

RANSOM A. MYERS

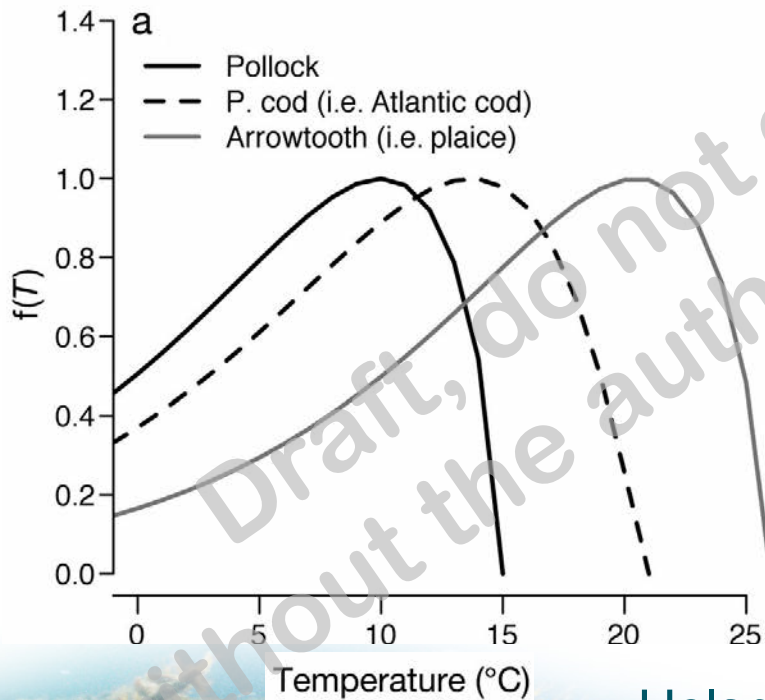
(obligatory RAM slide)



# Fully coupled mechanistic biophysical models are within reach

## Impacts of climate change on marine ecosystem production in societies dependent on fisheries

M. Barange<sup>1\*</sup>, G. Merino<sup>1,2</sup>, J. L. Blanchard<sup>3</sup>, J. Scholtens<sup>4</sup>, J. Harle<sup>5</sup>, E. H. Allison<sup>6</sup>, J. I. Allen<sup>1</sup>, J. Holt<sup>5</sup> and S. Jennings<sup>7,8</sup>



Holsman and Aydin 2015



# Early warning indicators offer promise but should be used cautiously where mechanisms are poorly understood

**Table 1 | Early warning indicators of approaching bifurcation points and tests thereof.**

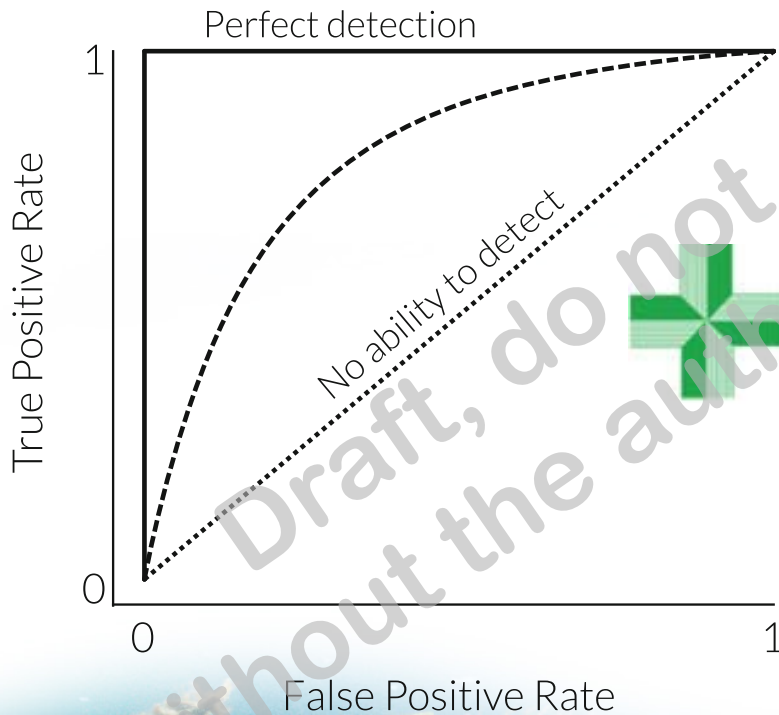
Phenomenon	Indicator	System	Data Source	Signal	Reference(s)
Critical slowing down	Increasing autocorrelation, AR(1) coefficient	Climate	Models	+	8, 10, 12, 53
			Palaeorecord	+	10, 12, 53
				0	12, 13
	Increasing return time from perturbations	Ecological	Models	+	44
		Ecological	Models	+	39, 40, 45, 51
	Increasing DFA exponent	Climate	Lab experiments	+	6, 52
			Models	+	9, 11, 12
	Spectral reddening	Climate	Palaeorecord	+	9, 12
				-	12
	Increasing spatial correlation	Ecological	Models	+	7
Model			0	79	
Increased variability	Increasing variance	Climate	Models	+	47
			Palaeorecord	+	52
				0	12
				0	12
				0	12
	Increasing spatial variance	Ecological	Models	+	12
			Lab experiments	+	12
			Model	+	13
			Data	+	12
			Lab experiments	+	43-45, 79
Skewed responses	Increasing skewness	Climate	Palaeodata	0	52
		Ecological	Model	+	48
			Lab experiments	+	44-46
Increasing spatial skewness	Ecological	Model	+	49	
			+	52	

Scheffer et al. 2009  
 Scheffer et al. 2012  
 Lenton 2011

'+' means indicator increased as expected; '-' means indicator decreased, contrary to expectation; '0' means there was no significant change in the indicator.



# Early warning indicators offer promise but should be used cautiously where mechanisms are poorly understood



**Ask Your Pharmacist**

Boettinger and Hastings 2013



# The predictability of surprise

*Ecological Applications*, 6(3), 1996, pp. 733–735  
© 1996 by the Ecological Society of America

## SURPRISE FOR SCIENCE, RESILIENCE FOR ECOSYSTEMS, AND INCENTIVES FOR PEOPLE<sup>1,2</sup>

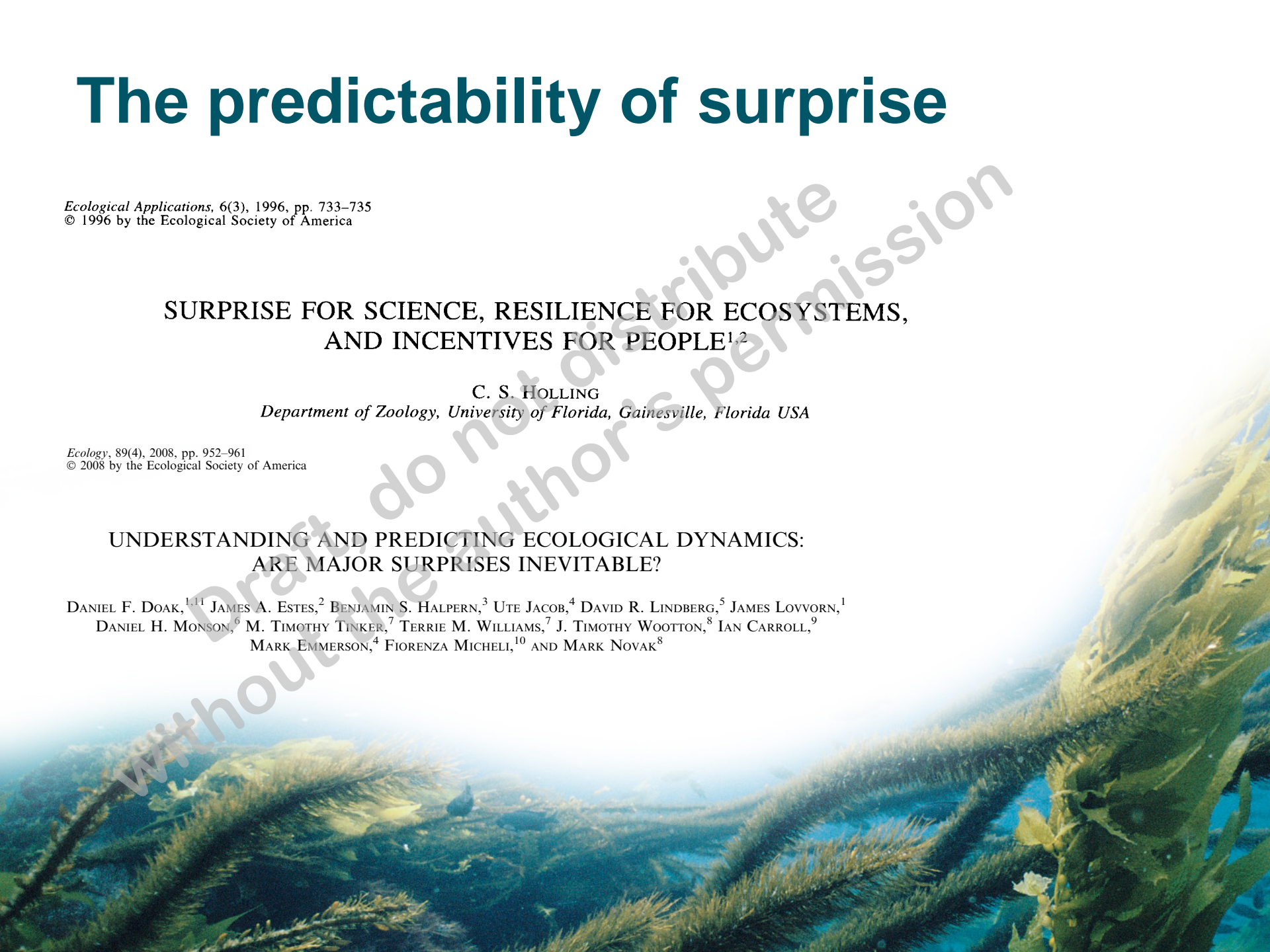
C. S. HOLLING

*Department of Zoology, University of Florida, Gainesville, Florida USA*

*Ecology*, 89(4), 2008, pp. 952–961  
© 2008 by the Ecological Society of America

## UNDERSTANDING AND PREDICTING ECOLOGICAL DYNAMICS: ARE MAJOR SURPRISES INEVITABLE?

DANIEL F. DOAK,<sup>1,11</sup> JAMES A. ESTES,<sup>2</sup> BENJAMIN S. HALPERN,<sup>3</sup> UTE JACOB,<sup>4</sup> DAVID R. LINDBERG,<sup>5</sup> JAMES LOVVORN,<sup>1</sup>  
DANIEL H. MONSON,<sup>6</sup> M. TIMOTHY TINKER,<sup>7</sup> TERRIE M. WILLIAMS,<sup>7</sup> J. TIMOTHY WOOTTON,<sup>8</sup> IAN CARROLL,<sup>9</sup>  
MARK EMMERSON,<sup>4</sup> FIORENZA MICHELI,<sup>10</sup> AND MARK NOVAK<sup>8</sup>



# Management and conservation in a predictably surprising ocean

## 3 vignettes

1. The value of information in a world with tipping points
2. Robust management in a stochastic, threshold-constrained world
3. Strategic recovery once tipping points are crossed





# Management and conservation in a predictably surprising ocean

## 3 vignettes

1. The value of information in a world with tipping points



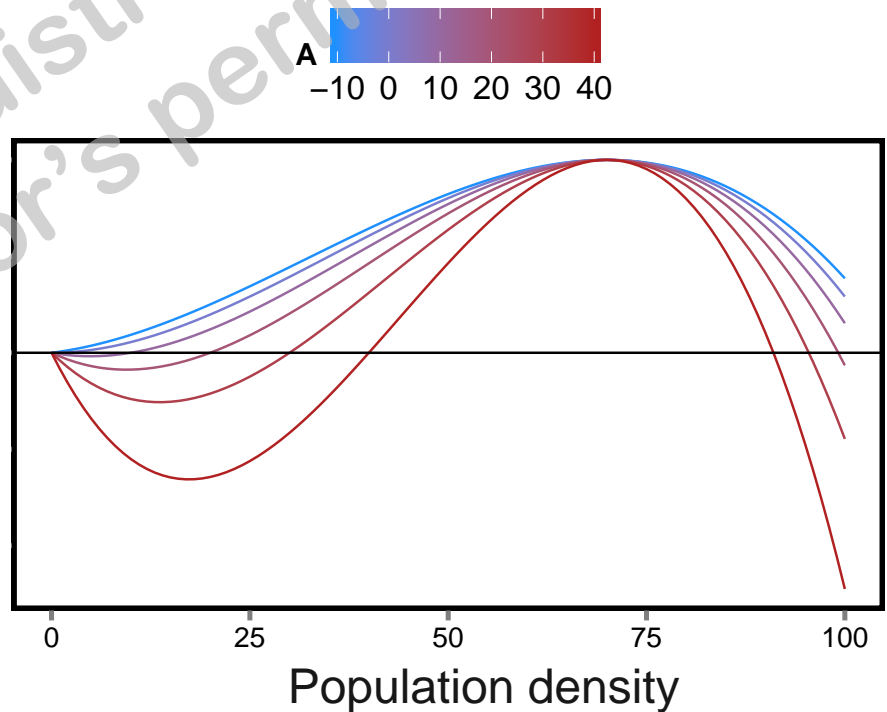
2. Robust management in a world with stochastic, threshold-constrained water quality

3. Strategic recovery in a world where tipping points are crossed

# Depensatory population dynamics (Allee effects)

positive  
population  
growth

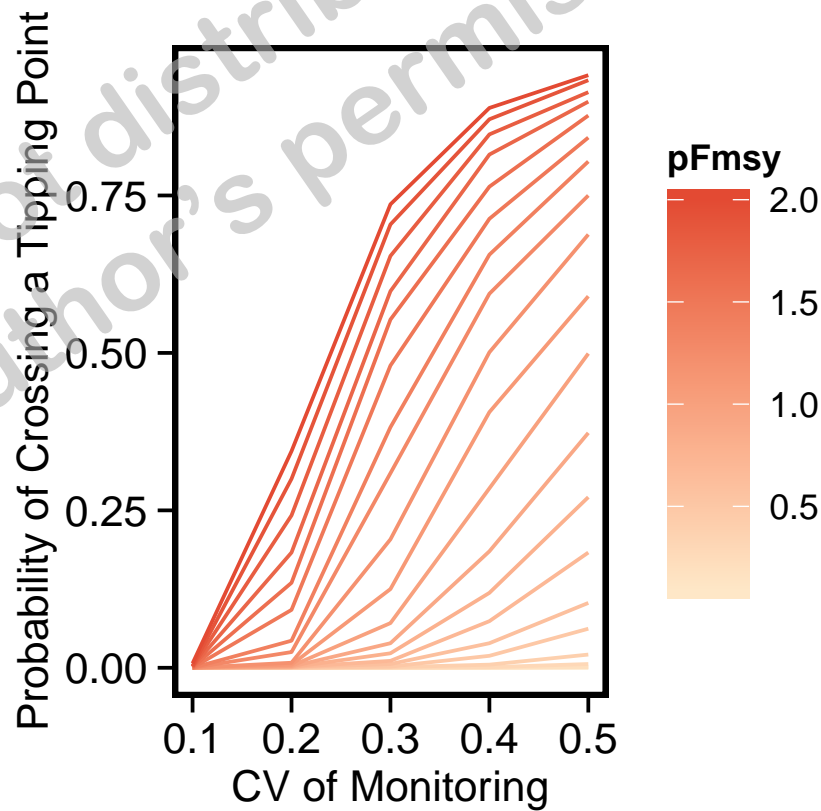
negative  
population  
growth







# Potential for tipping increases the value of information



# Management and conservation in a predictably surprising ocean

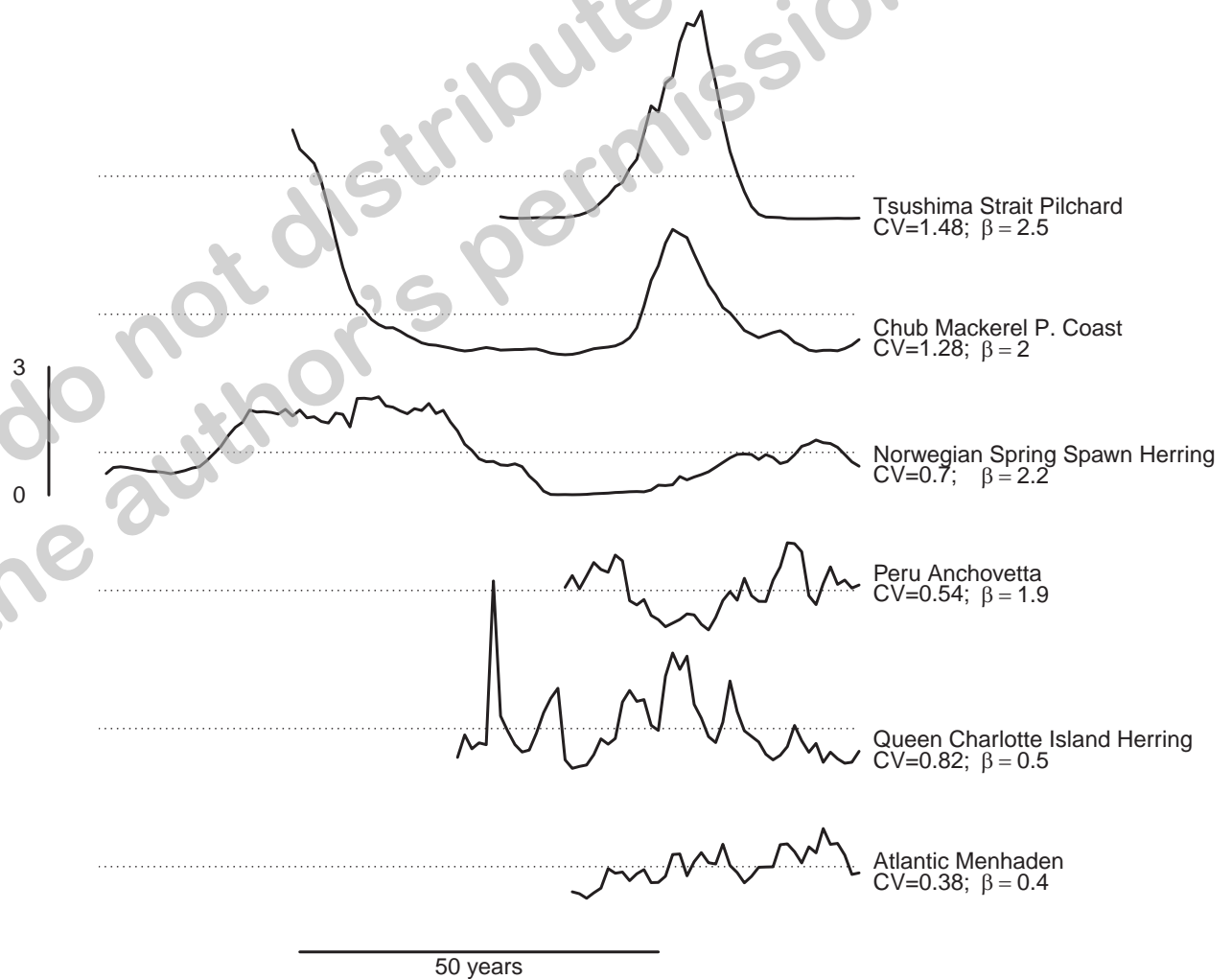
## 3 vignettes

1. The value of monitoring and tipping points
2. Robust management in a stochastic, threshold-constrained world
3. Strategic recovery once tipping points are crossed



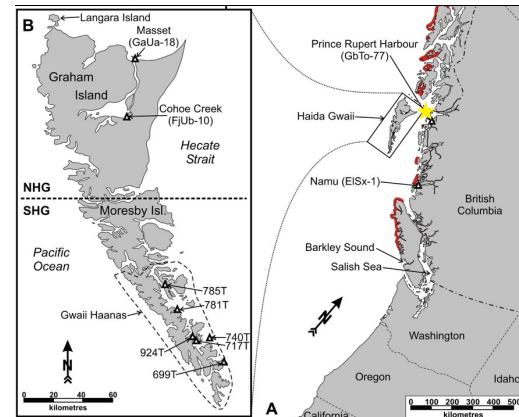
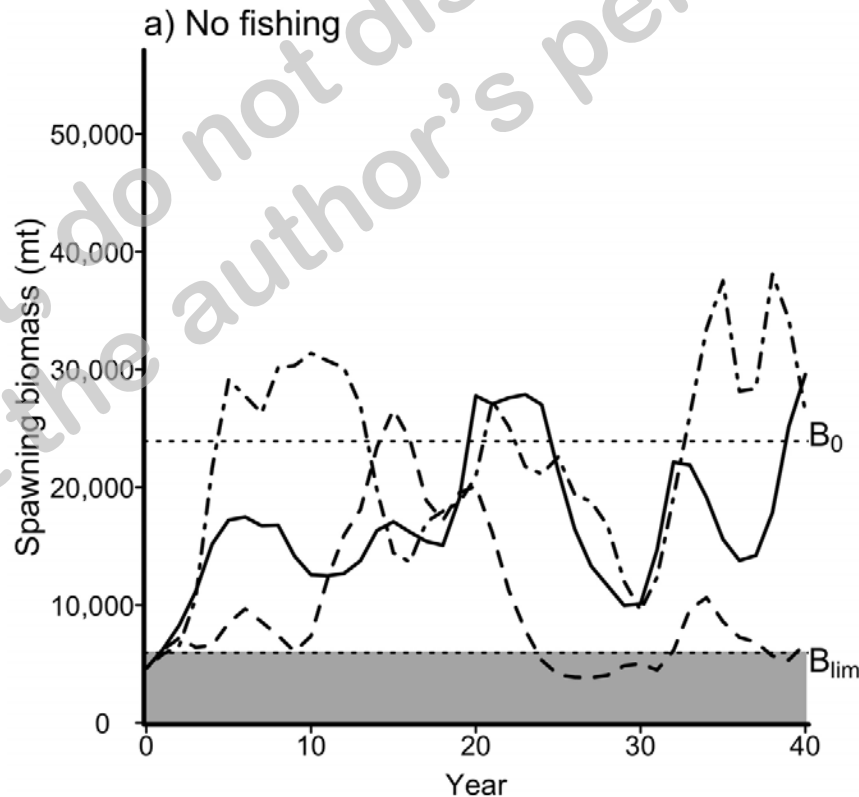


# Forage fish population dynamics are inherently noisy...



# ...and subject to collapse, even in the absence of fishing

Modeled dynamics of Pacific herring



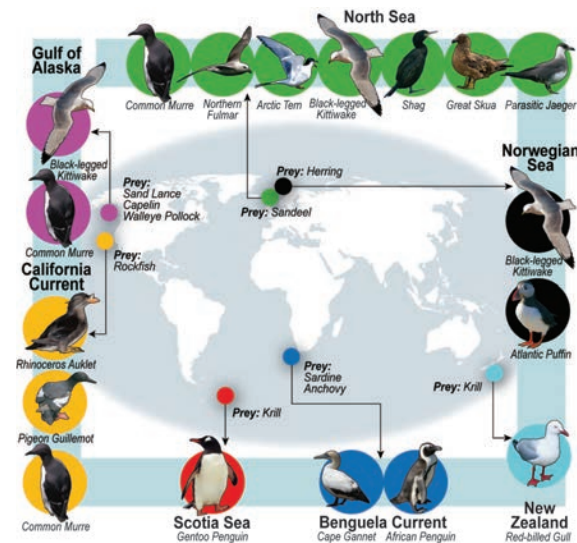
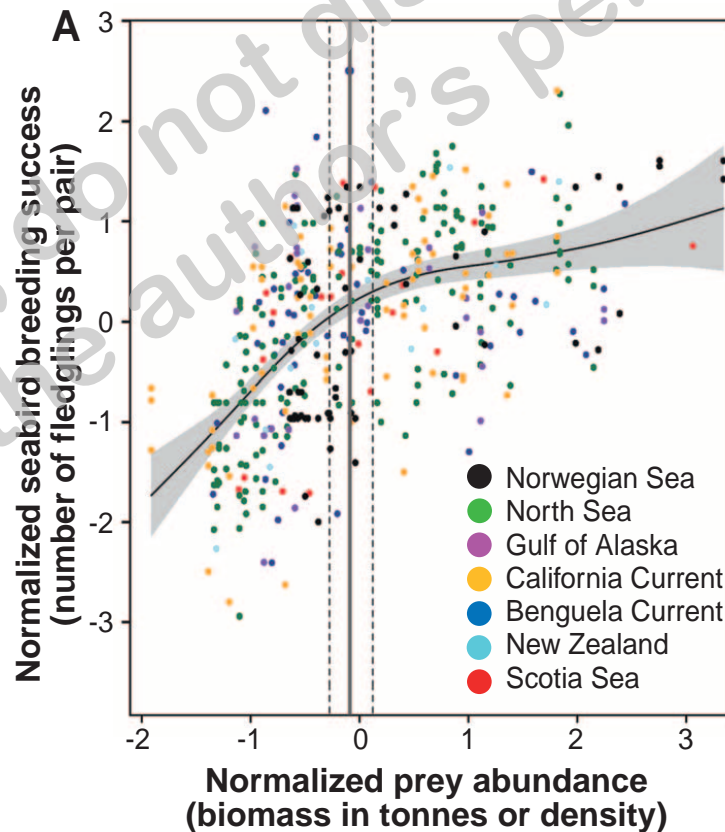




OCEAN TIPPING POINTS

# These collapses matter to dependent predators

“1/3 for the birds”

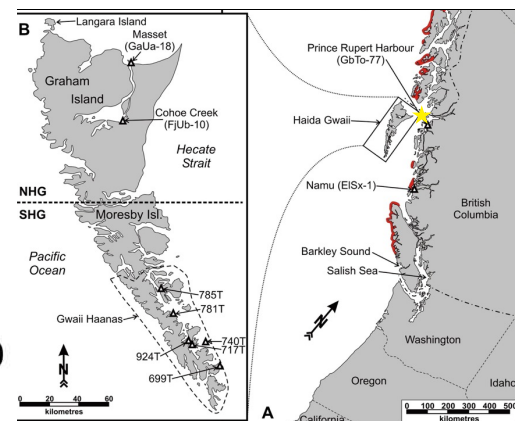
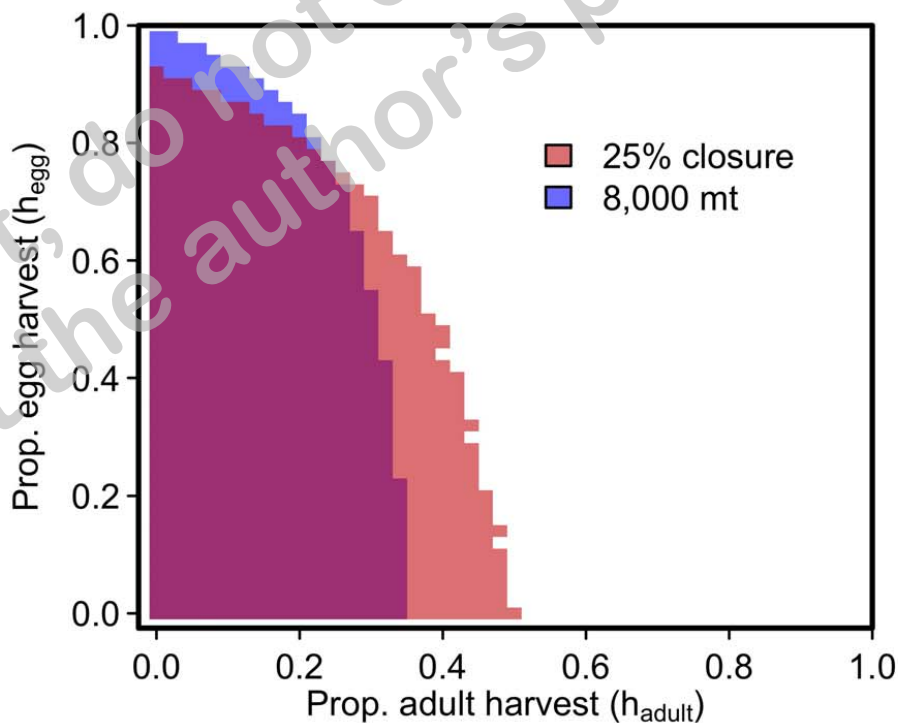


Cury et al. 2011



# Some management strategies can avoid population and ecosystem tipping points

Modeled dynamics of Pacific herring





# Management and conservation in a predictably surprising ocean

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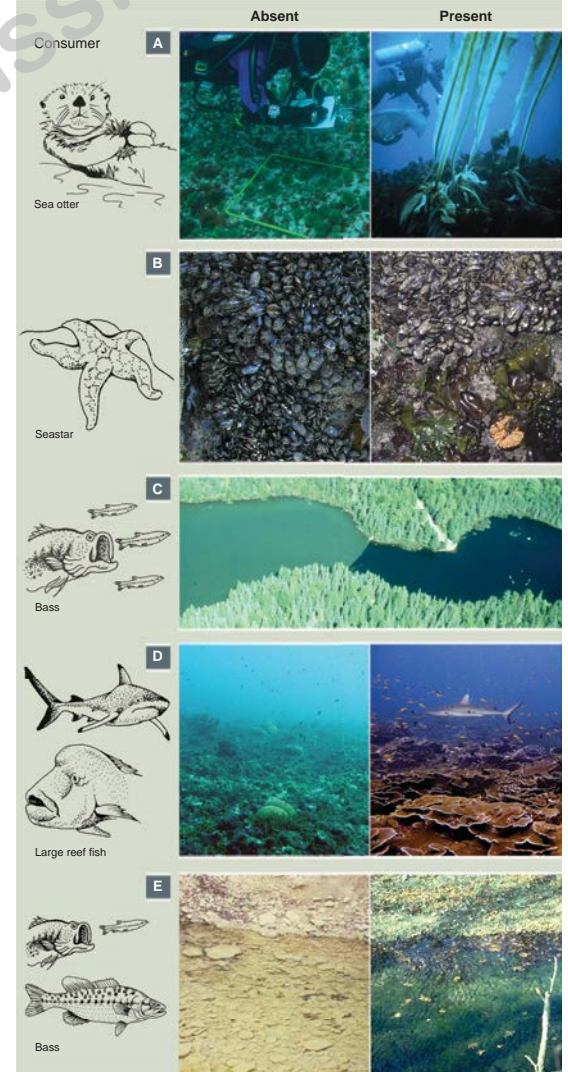


# Trophic sequences of community **dis**-assembly lead to predictable ecosystem changes

## Trophic Downgrading of Planet Earth

James A. Estes,<sup>1\*</sup> John Terborgh,<sup>2</sup> Justin S. Brashares,<sup>3</sup> Mary E. Power,<sup>4</sup> Joel Berger,<sup>5</sup>

### Fishing down and through the food web



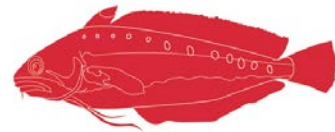
Pauly et al. 1998, Essington et al. 2006,  
Branch et al. 2010



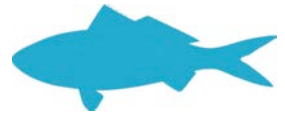
# Community dis-assembly: fish down the food web

Draft, do not distribute  
without the author's permission

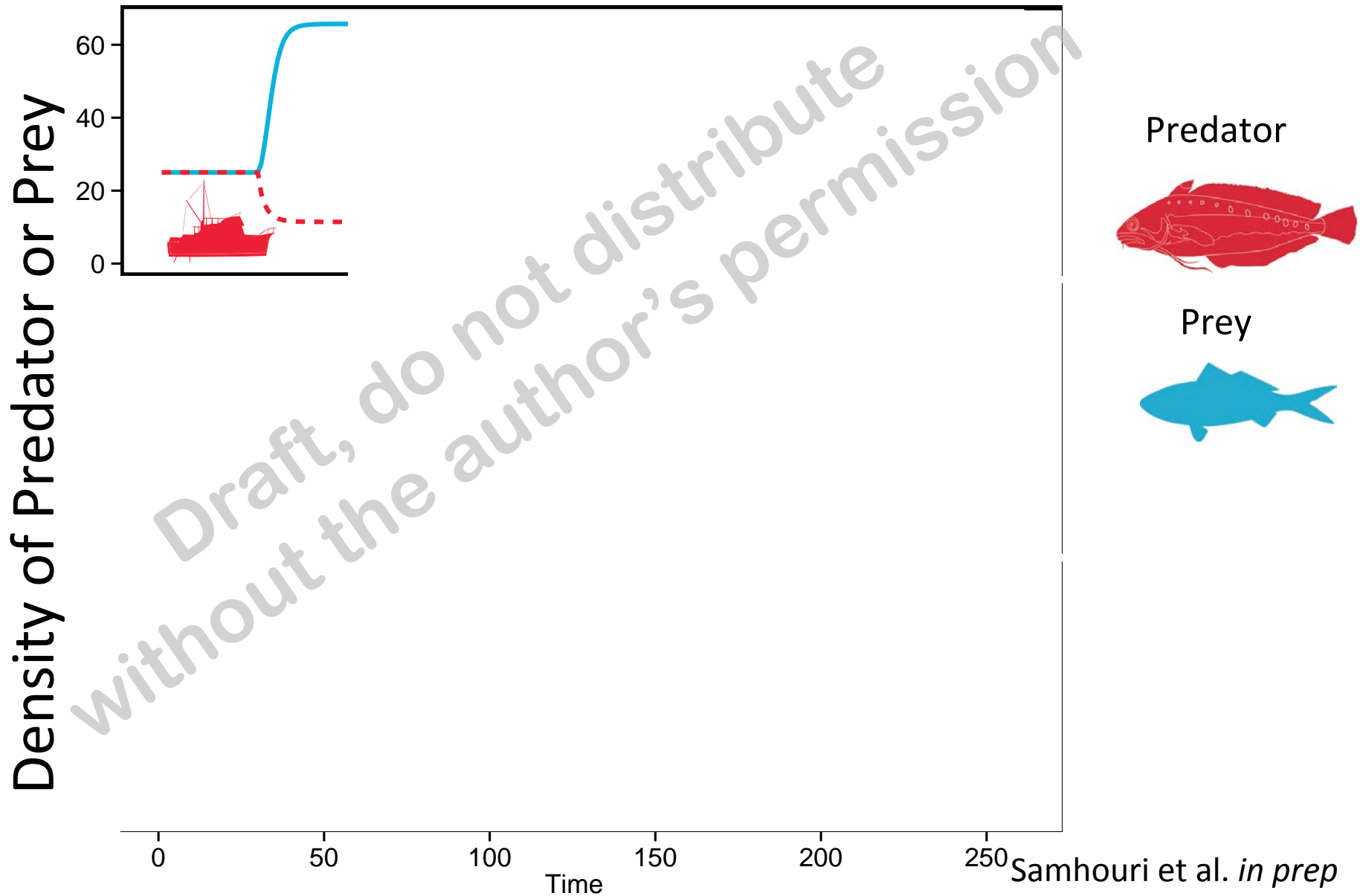
Predator



Prey

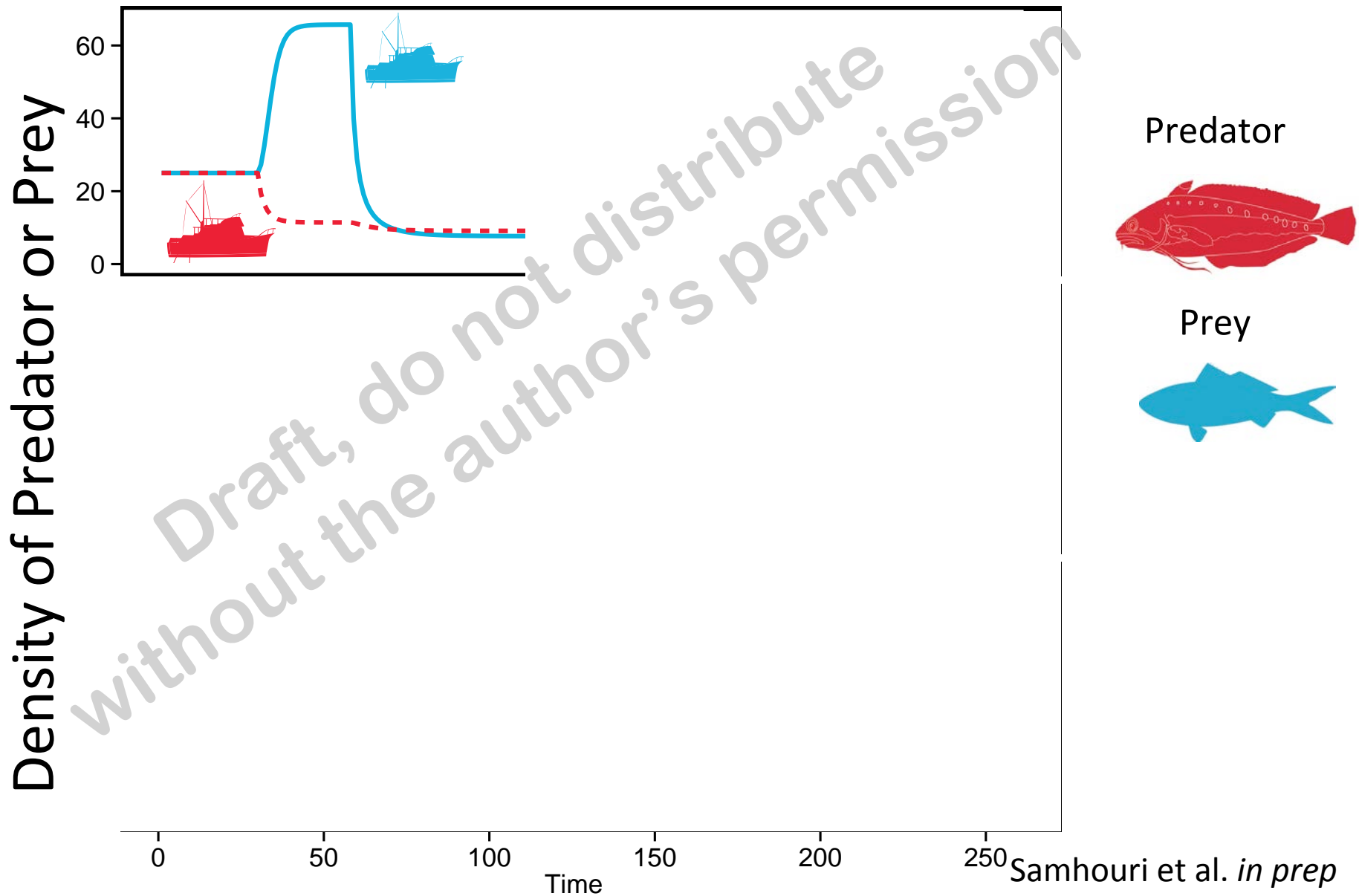


# Community dis-assembly: fish down the food web

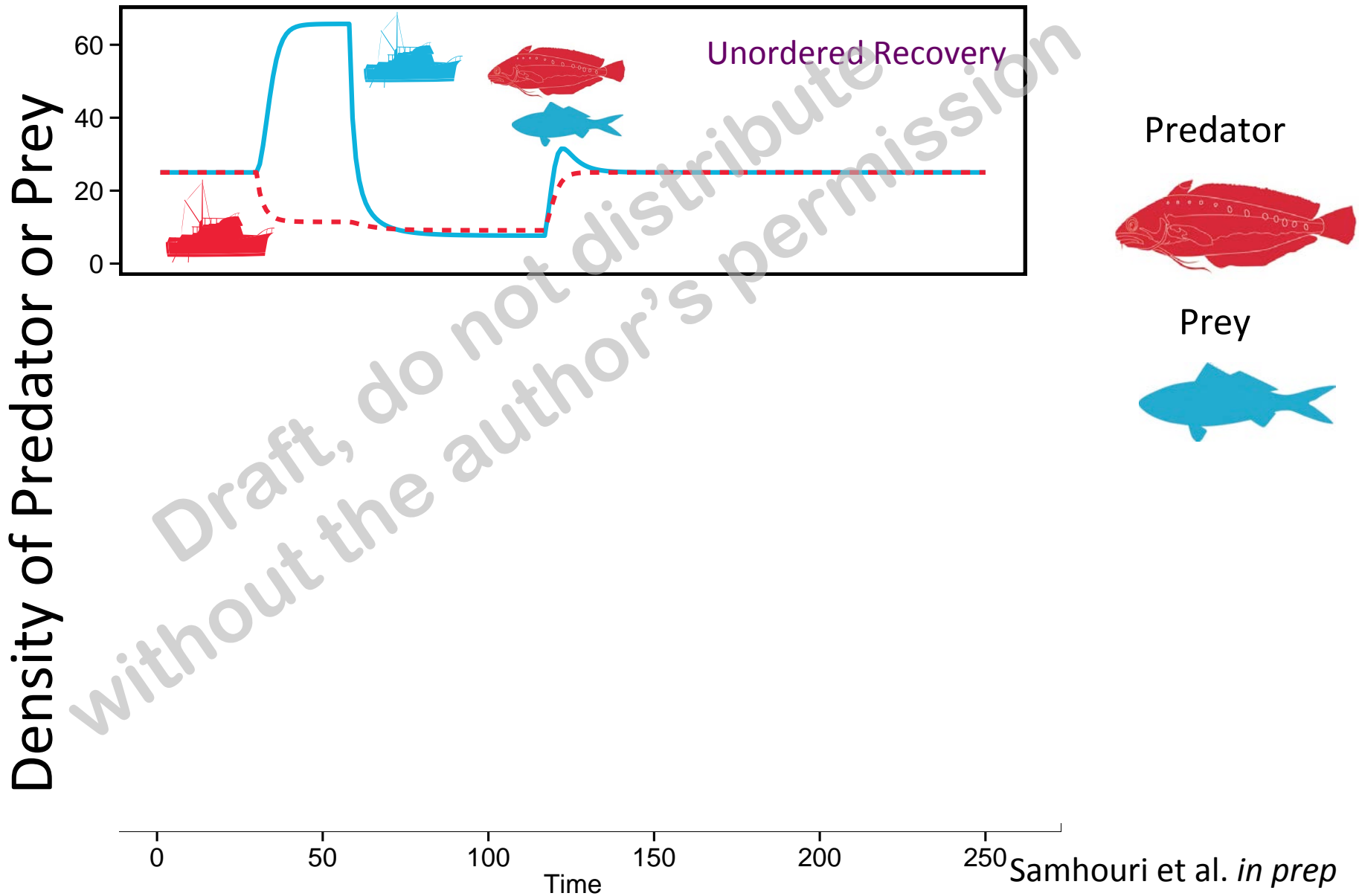




# Community dis-assembly: fish down the food web



# Unordered recovery: fast and direct



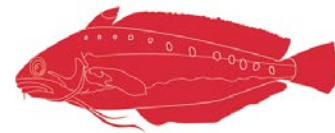


# Predator first recovery

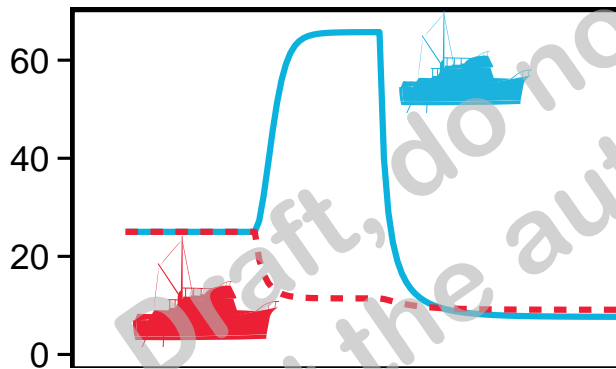
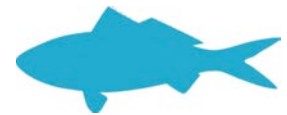
Density of Predator or Prey

Draft, do not distribute without the author's permission

Predator



Prey



0

50

100

Time

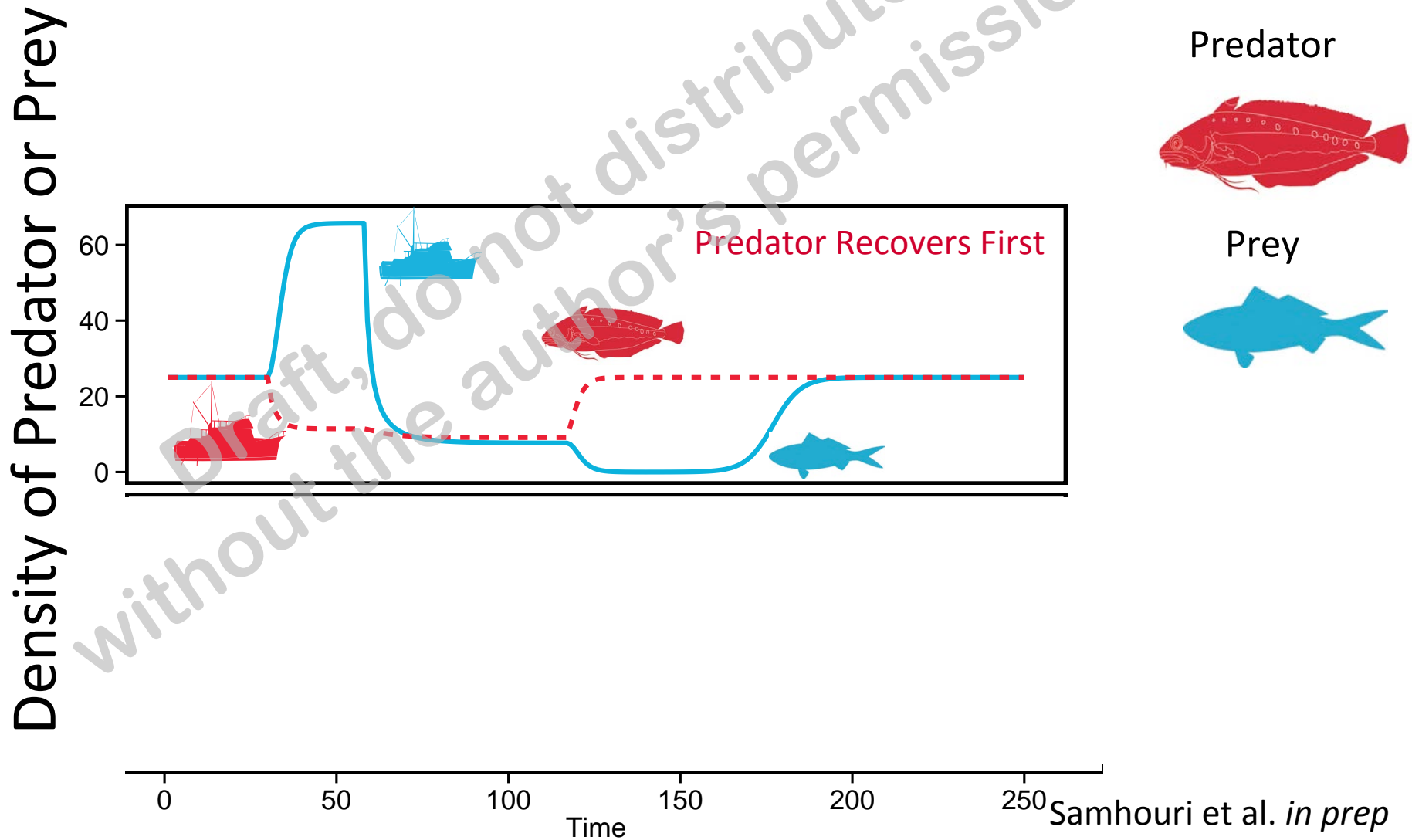
150

200

250

Samhuri et al. *in prep*

# Predator first recovery: slow and direct

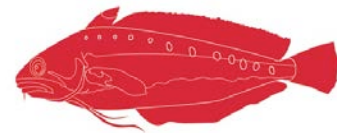




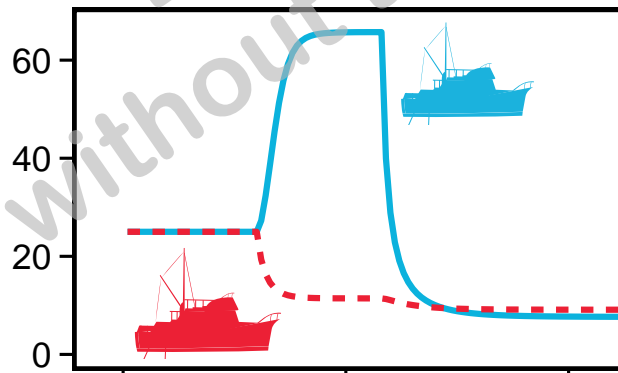
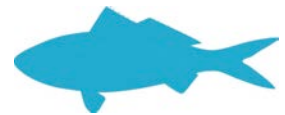
# Prey first recovery

Density of Predator or Prey

Predator



Prey



Time

150

200

250

Samhuri et al. *in prep*

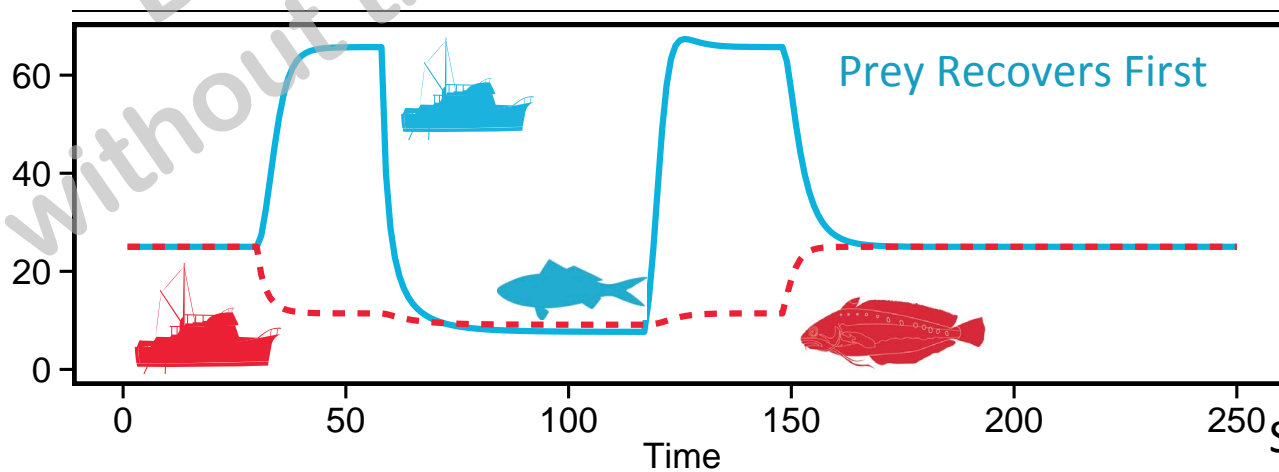
# Prey first recovery: moderate and noisy

Density of Predator or Prey

Predator

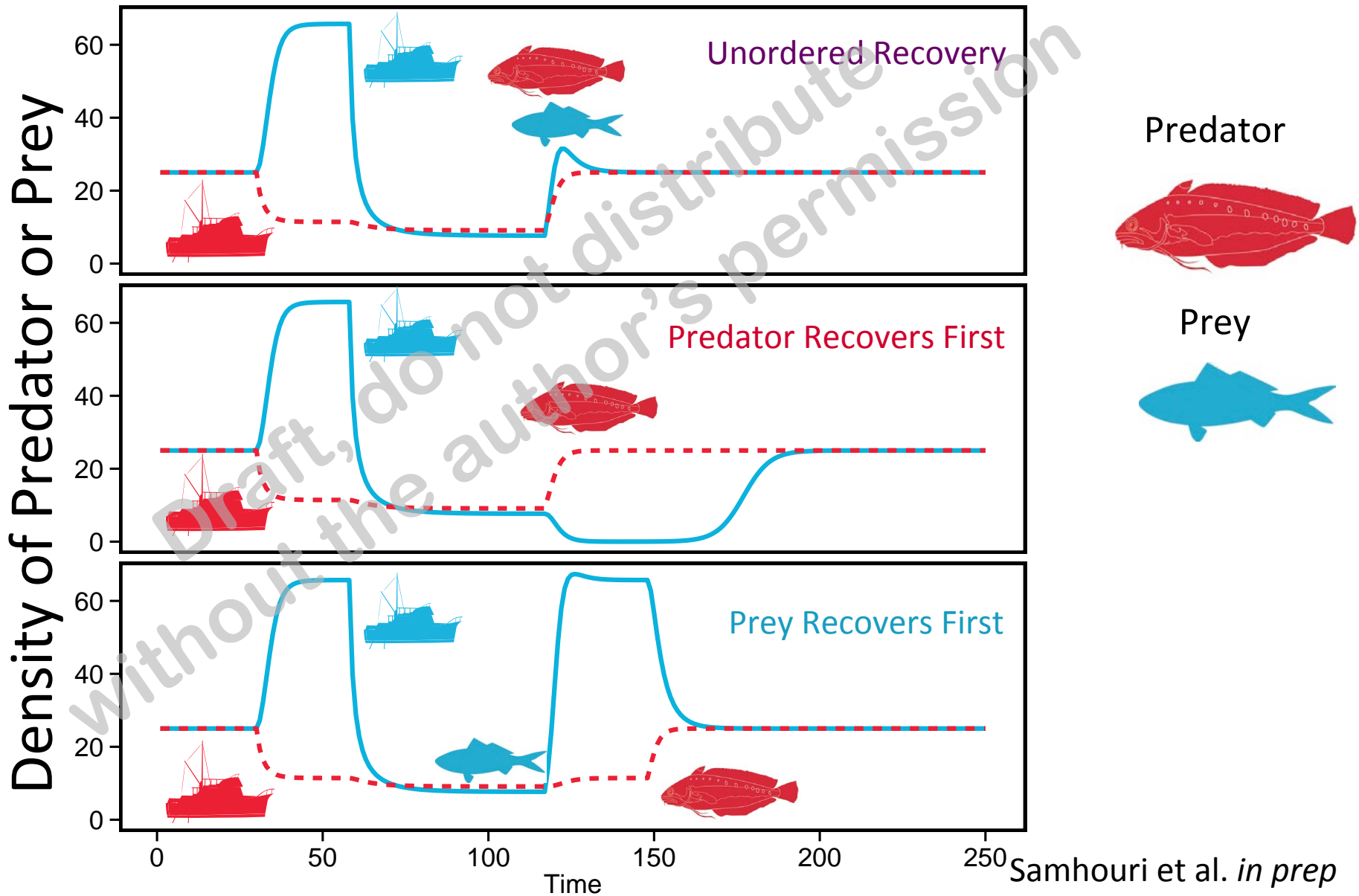


Prey

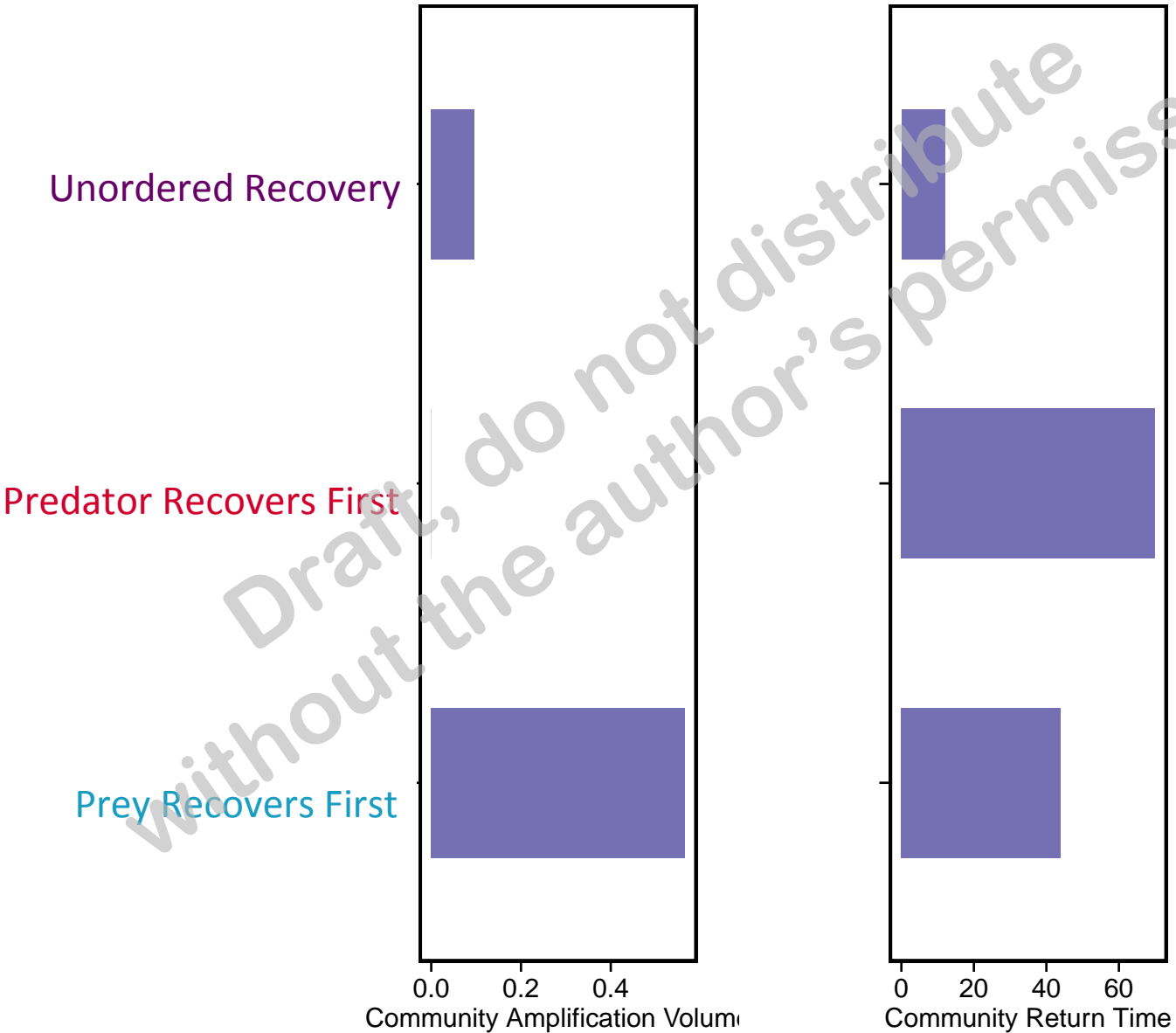




# Trophic sequence of recovery matters

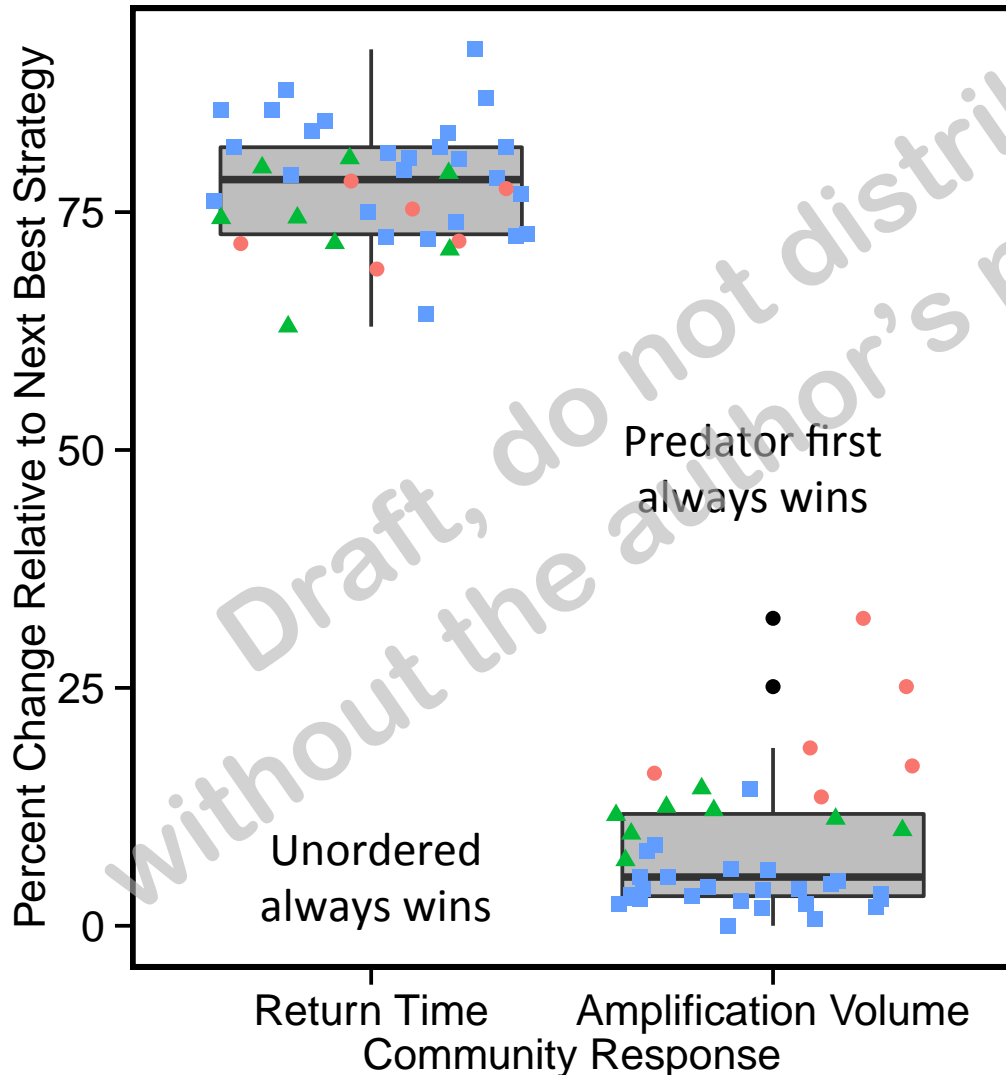


# Trophic sequence of recovery matters





# Strategic recovery is robust to differences in productivity regimes and exploitation scenarios



fishing

- Overfished
- Sustainable
- Underexploited

Early warning indicators of recovery?

# Management and conservation in a predictably surprising ocean

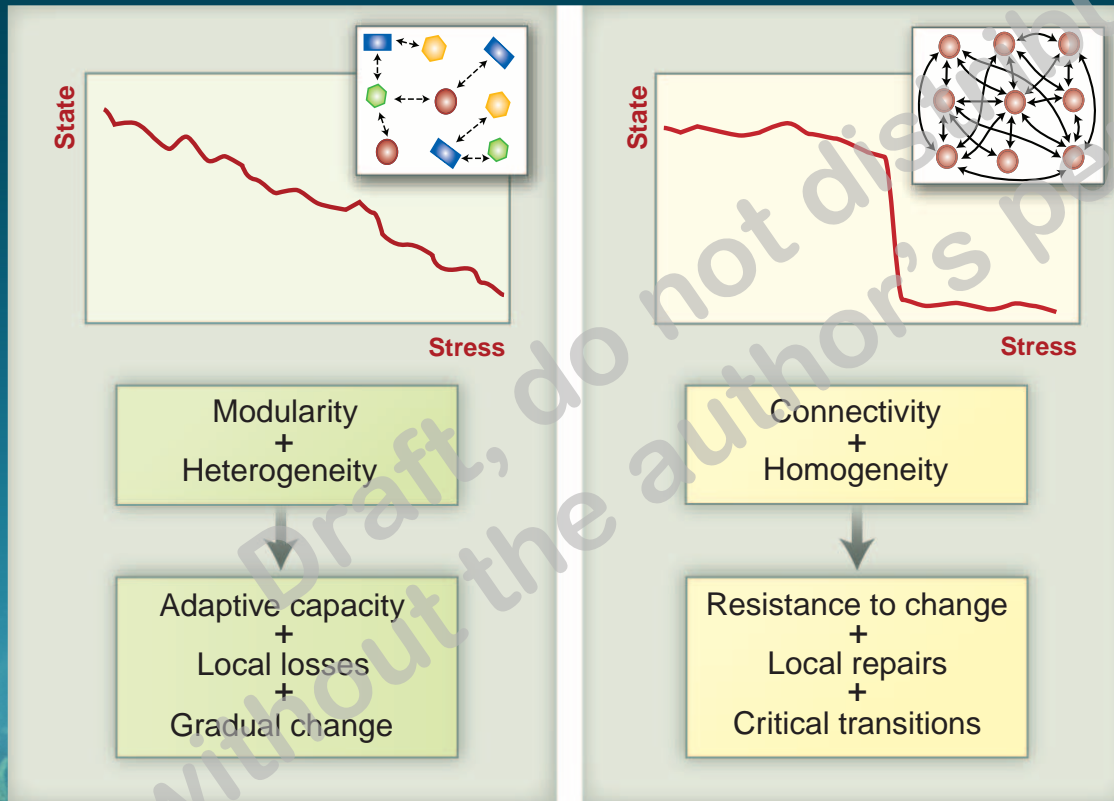
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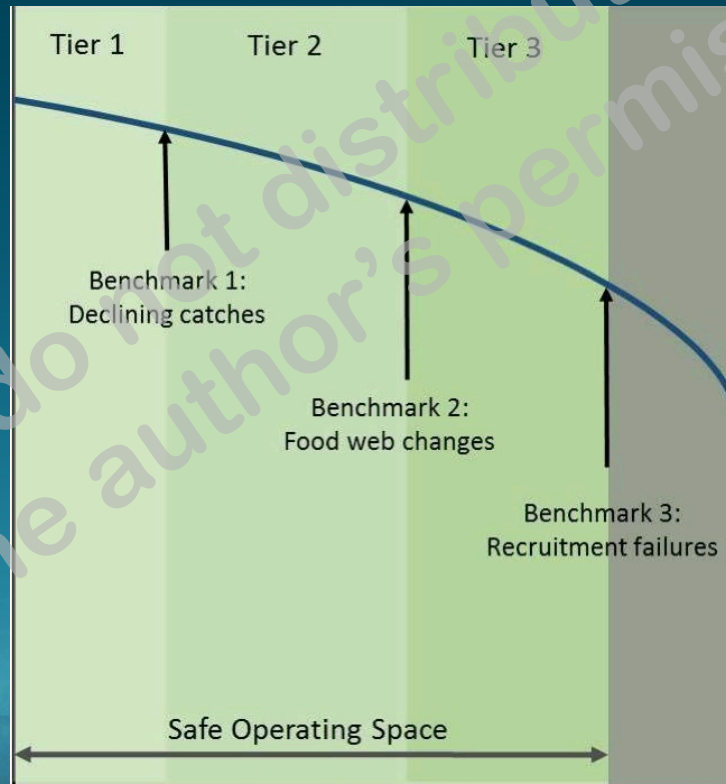
# Predictable surprises require adaptive and robust management



Scheffer et al. 2012

Also see Levin 2001  
"Immune systems  
as ecosystems"

# Fisheries and beyond: a hierarchy of tipping points



Tier	Risk of Tipping Point	Threat reduction	Monitoring Intensity
1	Low	None	Low
2	Moderate	Light	Moderate
3	High	Aggressive	High

Selkoe et al. in press



# Take-home messages

1. Tipping points are common, but will be difficult to predict.
2. Develop a variety of biological models, including those that are mechanistic, spatial, and inherently nonlinear.
3. Design ecosystem-based management approaches robust to a range of potential futures.

# *Prediction, precaution, and policy under global change*

Emphasize robustness, monitoring, and flexibility

Schindler and Hilborn 2015



[jameal.samhuri@noaa.gov](mailto:jameal.samhuri@noaa.gov)



# Parting shots

- Model ensembles that include models with:
  - Mechanisms
  - Hysteresis
  - Spatial dynamics
- Evaluate management approaches that are robust to a range of potential futures
  - Insurance in the form of heterogeneity
  - Considerations beyond fisheries
- Use predictable social tipping points where ecosystem tipping points are unpredictable

[jameal.samhuri@noaa.gov](mailto:jameal.samhuri@noaa.gov)

# Conclusion

- Assuming linear change sets us up for surprises
- New approaches and tools can help integrate tipping points into marine management
- Doing so will yield better ecological outcomes and help sustain ecosystem benefits for the long run
- But we need more real world tests of these ideas – we still have a lot to learn!

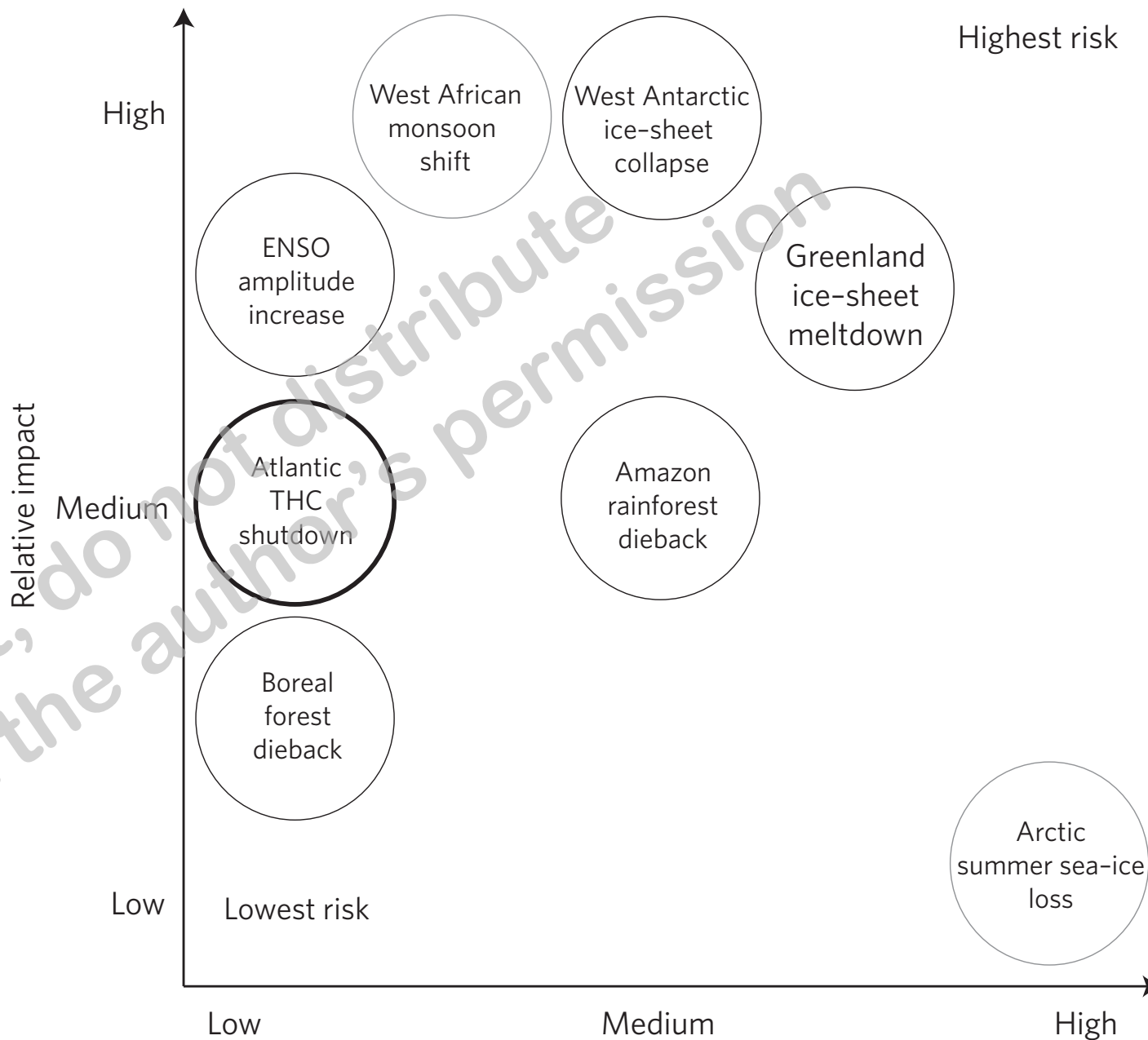




## Summary of principles for managing ecosystems prone to tipping points

<b>Social-ecological observation</b>	<b>Management Principle</b>
1. Tipping points are common.	<i>In the absence of evidence to the contrary, assume non-linearity.</i>
2. Intense human use may cause a tipping point by radically altering ecological structure and function.	<i>Address stressor intensity and interactive, cross-scale effects of human use to avoid tipping points.</i>
3. Early warning indicators of tipping points enable proactive responses.	<i>Work towards identifying and monitoring leading indicators of tipping points.</i>
4. Crossing a tipping point may redistribute ecosystem benefits.	<i>Work to make transparent the effects of tipping points on benefits, burdens and preferences.</i>
5. Tipping points change the balance between costs of action and inaction.	<i>Tipping points warrant increased precaution.</i>
6. Thresholds can guide <u>target-setting</u> for management.	<i>Tie management targets to ecosystem thresholds.</i>
7. Tiered management can reduce monitoring costs while managing risk.	<i>Increase monitoring and intervention as risk of a tipping point increases.</i>

OCEAN TIPPING POINTS

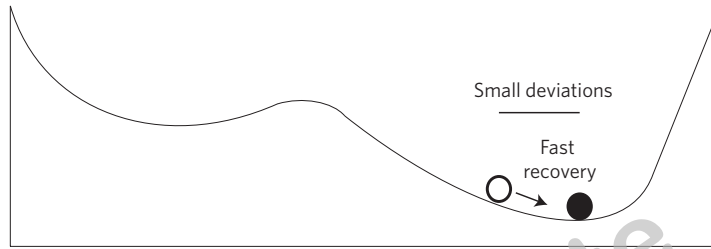


Relative likelihood

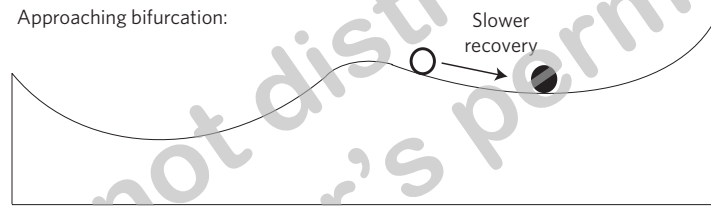
Lenton 2011



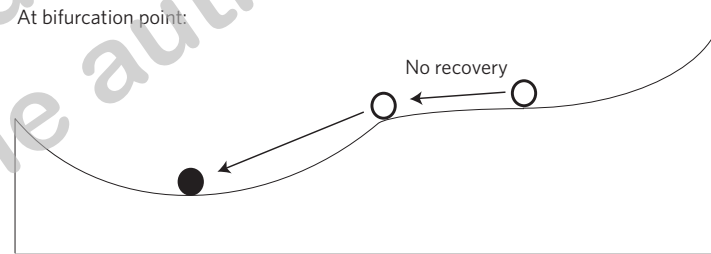
Far from bifurcation:



Approaching bifurcation:



At bifurcation point:

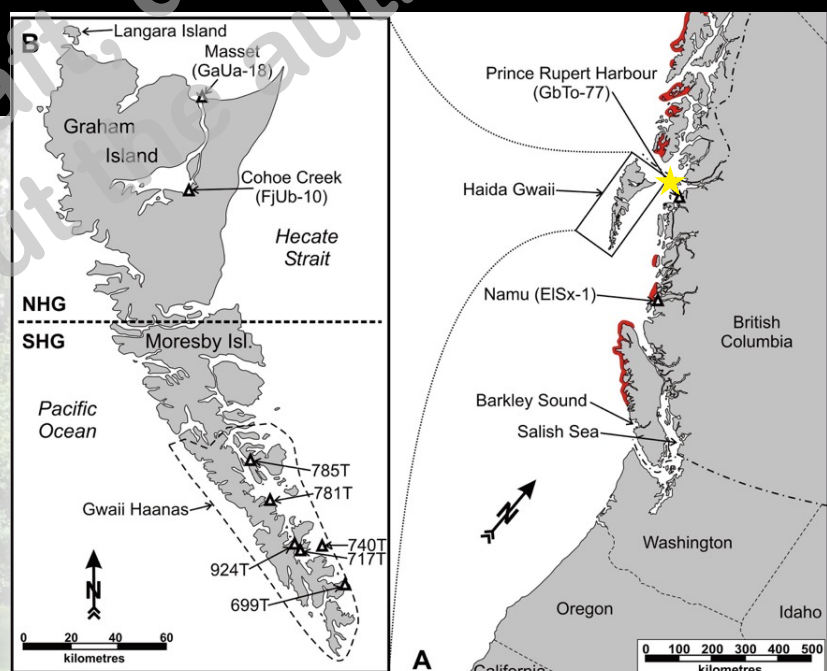
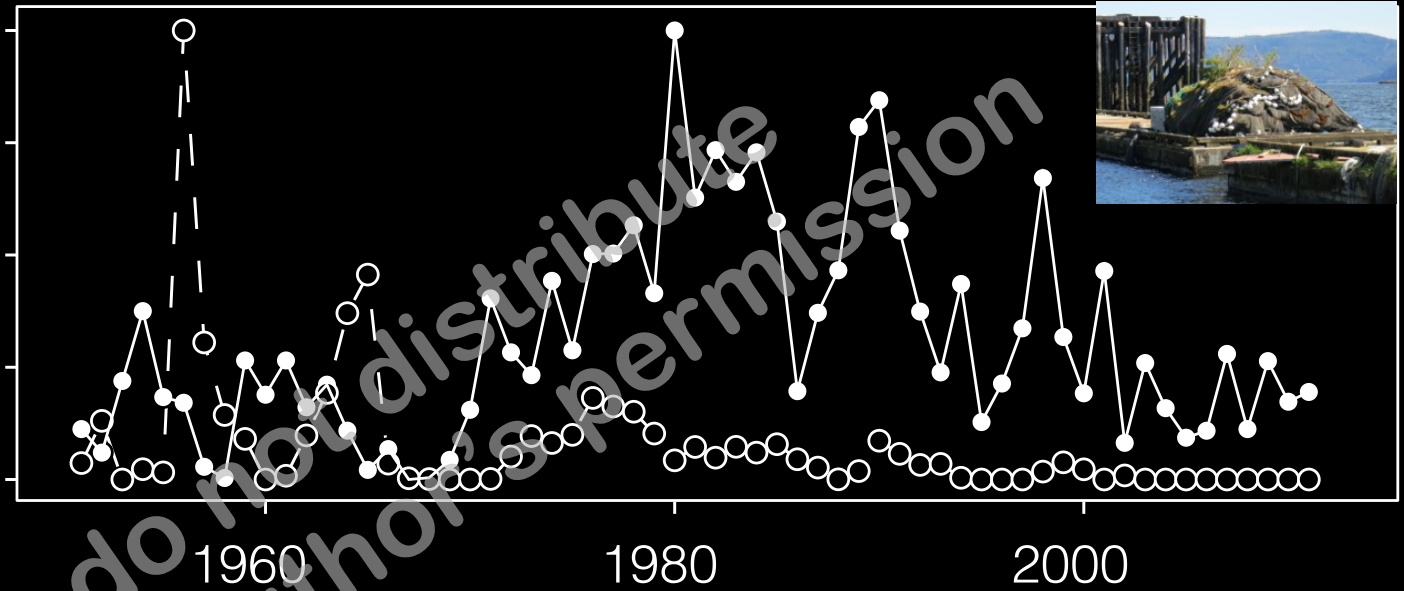


**Figure 2 | Heuristic basis for early warning of an approaching bifurcation point.** The valleys or potential wells represent stable attractors and the ball represents the state of the system. Under gradual forcing, the right potential well becomes shallower and finally vanishes (bifurcation) causing the ball to role abruptly to the left. Picture the system being nudged around by a short-term stochastic process (noise). The radius of the potential well is directly related to the system's response time to such small perturbations, which tends towards infinity as bifurcation is approached, that is, the system becomes more sluggish in response to perturbations ('critical slowing down'). Larger fluctuations are also expected as bifurcation is approached.



Scaled Amount

—— Biomass  
----- Catch





**Table 1 | Early warning indicators of approaching bifurcation points and tests thereof.**

Phenomenon	Indicator	System	Data Source	Signal	Reference(s)
Critical slowing down	Increasing autocorrelation, AR(1) coefficient	Climate	Models	+	8, 10, 12, 53
			Palaeorecord	+	10, 12, 53
		Ecological	Models	0	12, 13
	Increasing return time from perturbations	Ecological	Models	+	44
			Lab experiments	+	39, 40, 45, 51
	Increasing DFA exponent	Climate	Models	+	6, 52
			Palaeorecord	+	9, 11, 12
	Spectral reddening	Ecological	Models	+	9, 12
			Model	0	7
Increasing spatial correlation	Ecological	Models	+	79	
		Lab experiments	+	47	
Increased variability	Increasing variance	Climate	Models	+	12
			Palaeorecord	0	12
				+	12
				0	13
				-	12
	Increasing spatial variance	Ecological	Models	+	43-45, 79
			Lab experiments	+	52
			Model	+	48
			Data	+	49
			Lab experiments	+	52
Skewed responses	Increasing skewness	Climate	Palaeodata	0	46
		Ecological	Model	+	44-46
	Increasing spatial skewness	Ecological	Lab experiments	+	52
			Model	+	48

'+' means indicator increased as expected; '-' means indicator decreased, contrary to expectation; '0' means there was no significant change in the indicator.



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