

1 **Improved Seasonal Prediction of Temperature and Precipitation**
2 **over Land in a High-resolution GFDL Climate Model**

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ABSTRACT

Seasonal climate predictions are of great potential societal value by enabling improved decisions, and are also of inherent scientific value by providing tests to the hypotheses underlying prediction methodologies. Skillful predictions over land are in particular demand due to their influences on such societal factors as agriculture and hydrology. Here we demonstrate skillful seasonal prediction of temperature and precipitation over land in a high-resolution global model using a new statistical optimization technique, and diagnose possible sources of the prediction skill. Specifically, we employ an optimization approach to identify the most predictable components of seasonal 2m air temperature and precipitation over land, and demonstrate the skill of these most predictable components. We then reconstruct new predictions based on the most predictable components, thus removing unpredictable components with the expectation of improving subsequent prediction skill. We find that the two most predictable components of 2m air temperature over global land are characterized by a spatially homogeneous component that is mostly due to changes in external radiative forcing in both boreal winter and summer, and a spatially heterogeneous ENSO-related pattern in boreal winter. The most predictable components of precipitation over land in boreal winter and summer are also ENSO-related. These predictable components of temperature and precipitation show significant correlation skill for all leads from 0 to 9 months. Importantly, the reconstructed predictions based only on the leading few predictable components from the model show considerably better skill relative to observations than raw model predictions. This study shows that the use of refined statistical analysis together with a high-resolution dynamical model leads to significant skill in seasonal predictions of 2m air temperature and precipitation over land.

28 1. Introduction

29 Motivated by a desire to represent processes at more detailed scales, and enabled by devel-
30 opments in supercomputing capabilities and advanced numerical techniques, high-resolution
31 climate models have been developed at various modeling centers (Delworth and coauthors
32 2012; Jung and Coauthors 2012; Kinter and Coauthors 2013; Shaffrey and Coauthors 2009).
33 High-resolution climate models, with the ability to better represent small-scale processes,
34 show advantages simulating many key aspects of climate such as El Niño and Southern
35 Oscillation (ENSO), Indian monsoon (Delworth and coauthors 2012), tropical precipitation,
36 atmospheric circulation, and extratropical cyclones (Jung and Coauthors 2012). At Geophys-
37 ical Fluid Dynamics Laboratory (GFDL) a stream of model development aiming to assess
38 the impact of resolution on simulation and prediction has led to the development of a family
39 of coupled climate models with deferent resolutions. At one end of the spectrum is CM2.1
40 (Delworth and Coauthors 2006) with 1° oceanic resolution and approximately 200km atmo-
41 spheric resolution. At the other extreme sits CM2.5 and CM2.6 (Delworth and coauthors
42 2012), both with approximately 50km atmosphere and 0.25° and 0.1° ocean respectively.
43 The simulated climate in the high-resolution CM2.5 showed marked improvements, includ-
44 ing a reduction of double intertropical convergence zone, improved simulations of ENSO and
45 Amazonian rainfall, over the coarser-resolution CM2.1 (Delworth and coauthors 2012).

46 However, high-resolution coupled models are computationally expensive. It is worth
47 exploring which elements of enhanced resolution are critical for each problem of interest.
48 Motivated by the hypothesis that increased atmosphere and land resolution was critical for
49 many of the improvements seen in CM2.5 simulations over its lower-resolution predeces-
50 sor CM2.1, GFDL recently developed a forecast-oriented climate model based on the fully
51 coupled high-resolution CM2.5 model: Forecast-oriented Low Ocean Resolution version of
52 CM2.5 (CM2.5_FLOR, called FLOR hereafter). This FLOR model has a high resolution
53 (~ 50 km) atmosphere and land as that in CM2.5, but a coarser resolution (1°) ocean and sea
54 ice as that of CM2.1. FLOR was designed to substantially reduce computing time relative to

55 CM2.5 to enable the running of large ensembles of simulations needed for climate predictions
56 while still maintaining high-resolution land and atmosphere to allow exploration of regional
57 climate and extremes. FLOR is one of the first high-resolution climate models in the world
58 used for routine seasonal forecasts (Saha and Coauthors 2013; Arribas and Coauthors 2011).
59 The hypothesis underlined the development of FLOR is that atmosphere and land resolution
60 is crucial for seasonal forecasts.

61 The objective of this paper is to investigate seasonal prediction skill of global 2m air
62 temperature and precipitation over land in the new high-resolution FLOR model using a
63 statistical optimization approach, called average predictability time (APT) (DelSole and
64 Tippett 2009a,b; DelSole et al. 2011). Our hypothesis is that increasing atmosphere and
65 land resolution in the dynamical model together with refined statistical methods can improve
66 seasonal predictions.

67 Unlike predictions on multi-year to decadal time scales that are characterized by com-
68 bined signals from internal climate variations and changes in external radiative forcing (Meehl
69 et al. 2009; Taylor et al. 2012), predictions on seasonal scales is generally about 12 months
70 in length and thereby the externally-forced signals are often overlooked. However, the as-
71 sessment period for seasonal predictions spans about 20 to 30 years (Saha and Coauthors
72 2006), indicating the prominence of externally-forced climate signals in seasonal predictions
73 in addition to internal climate variability. Hence, seasonal climate predictions could be a
74 joint initial-boundary value problem (Doblas-Reyes et al. 2013), similar to decadal predic-
75 tions. Distinguishing the role of externally-forced changes from internal variability in decadal
76 predictions has been well studied (Smith et al. 2008; Solomon and Coauthors 2011; Yang
77 and Coauthors 2013), but has not been well documented in seasonal predictions. In this
78 study, we employ the APT method to isolate predictable patterns on different time scales
79 in the seasonal hindcasts and investigate the roles of external forcing and internal climate
80 variability in seasonal predictions.

81 The rest of the paper is organized as follows: The model and data are introduced in Sec.2.

82 The methodology is described in Sec.3. Our results are discussed in Sec.4, and summarized
83 in Sec.5.

84 **2. Model and data**

85 The new high-resolution climate model FLOR is a combination of two previously de-
86 scribed coupled model configurations. The atmosphere (AM2.5) and land models have an
87 approximately $50\text{km} \times 50\text{km}$ spatial resolution, and are those used in GFDL CM2.5 and
88 CM2.6 (Delworth et al. 2012), which have ocean models at $0.25^\circ \times 0.25^\circ$ and $0.1^\circ \times 0.1^\circ$
89 resolutions respectively. The ocean and sea ice component of the FLOR model are at $1^\circ \times 1^\circ$
90 resolution, based on those of CM2.1. CM2.1 model has atmospheric resolution of 2° lati-
91 tude \times 2.5° longitude, and has been used extensively for climate research, predictions and
92 projections for close to a decade. In FLOR, the ocean component has been slightly altered
93 from that of CM2.1 by incorporating a newer, higher order advection scheme; an updated
94 parameterization for eddies (Ferrari et al. 2012); and having a more realistic representation
95 of the solar absorption by the ocean. The initial conditions for the ocean and ice components
96 in FLOR are taken from GFDL’s ensemble coupled data assimilation (ECDA) system devel-
97 oped for CM2.1 specifically (Zhang et al. 2007; Zhang and Rosati 2010). The ECDA covers
98 the period 1960 to present and is being updated monthly for GFDL’s seasonal-to-decadal
99 experimental forecasts (Yang and Coauthors 2013; Vecchi and Coauthors 2013). A com-
100 prehensive assessment of oceanic variability from the latest version of ECDA analyzed from
101 1960 to 2010 can be found in Chang et al. (2013). The initial conditions for the atmosphere
102 and land components are taken from AM2.5 simulations driven by observed SST. Additional
103 description of aspects of the FLOR model will be described in a series of papers, including
104 Vecchi and Coauthors (2014); Winton and Coauthors (2014) .

105 Ensemble hindcasts were made from 1980 to present for FLOR and CM2.1. The hindcasts
106 are initialized at the first day of each month, and are run for 12 months. There are 12

107 (10) ensemble members for each prediction in FLOR (CM2.1). We analyzed hindcasts of
108 2m air temperature and precipitation over land and global sea surface temperature (SST)
109 during the period of 1980-2012 (1982-2011) in FLOR (CM2.1). A three-month running
110 mean was applied to hindcasts to remove sub-seasonal variability. We also analyzed 2m
111 air temperature, precipitation, SST, meridional and zonal velocity at 925hPa, 850hPa and
112 200hPa and sea level pressure in FLOR, CM2.1 and CM2.5 1990 control simulations, in
113 which the atmospheric composition (greenhouse gases, aerosols) and external forcing (solar
114 irradiance) are fixed at 1990 levels. The 2m air temperature (1980-2005) from 5-member
115 historical runs of FLOR were used to diagnose externally-forced temperature patterns. The
116 5 members of FLOR historical runs start from year 101, 141, 181, 221 and 261 of the 1860
117 control simulation (i.e., the atmospheric composition and external forcing fixed at 1860
118 levels) respectively.

119 The observations used in this study are precipitation at 0.5° resolution from National
120 Oceanic and Atmospheric Administration (NOAA)'s precipitation reconstruction over land
121 (Chen et al. 2002); CPC Merged Analysis of Precipitation (CMAP) at 2.5° resolution;
122 GHCN Gridded V2 2m air temperature over land at 0.5° resolution (Fan and van den
123 Dool 2008); Hadley Centre sea ice and sea surface temperature data set (Rayner et al.
124 2003); NCEP/NCAR Reanalysis-1 surface temperature and precipitation. Velocity and sea
125 level pressure data are from Modern-era Retrospective Analysis for Research and Applica-
126 tions (MERRA). The observed NINO3.4 index was downloaded from NOAA's website at
127 [http://www.cpc.ncep.noaa.gov/data/indices/](http://www.cpc.ncep.noaa.gov/data/indices/ersst3b.nino.mth.81-10.ascii)
128 [ersst3b.nino.mth.81-10.ascii](http://www.cpc.ncep.noaa.gov/data/indices/ersst3b.nino.mth.81-10.ascii).

3. Review of Methodology

To identify predictable components, we employed the technique of average predictability time (APT). Following DelSole and Tippett (2009b), APT is defined as

$$APT = 2 \sum_{\tau=1}^{\infty} \left(1 - \frac{\sigma_{\tau}^2}{\sigma_{clim}^2} \right), \quad (1)$$

where σ_{τ}^2 denotes the forecast variance at lead time τ , and σ_{clim}^2 denotes the climatological variance. Let $\mathbf{x}(\tau, t, e)$ be the state vector specifying the amplitudes of ensemble forecasts at fixed lead time τ , start time t , and ensemble member e . We seek linear combination of variables, $\mathbf{q}^T \mathbf{x}(\tau, t, e)$, that maximizes APT. Let the weights of the linear combination be specified by vector \mathbf{q} . The forecast variance of $\mathbf{q}^T \mathbf{x}(\tau, t, e)$ at lead time τ is

$$\sigma_{\tau}^2 = \mathbf{q}^T \overline{(\mathbf{x}(\tau, t, e) - \langle \mathbf{x}(\tau, t, e) \rangle)(\mathbf{x}(\tau, t, e) - \langle \mathbf{x}(\tau, t, e) \rangle)^T} \mathbf{q} = \mathbf{q}^T \hat{\Sigma}_{\tau} \mathbf{q}, \quad (2)$$

where the angle brackets denote the average over ensemble members. The overline denotes the average over start times, and $\hat{\Sigma}_{\tau}$ denotes an estimate of the forecast covariance matrix at lead time τ . The climatological variance is the variance of all ensemble forecasts, denoted as

$$\sigma_{clim}^2 = \mathbf{q}^T \left(\mathbf{x}(\tau, t, e) - \overline{\mathbf{x}(\tau, t, e)} \right) \left(\mathbf{x}(\tau, t, e) - \overline{\mathbf{x}(\tau, t, e)} \right)^T \mathbf{q} = \mathbf{q}^T \hat{\Sigma}_{clim} \mathbf{q}, \quad (3)$$

where the overline denotes the average over all start times, lead times and ensemble members, $\hat{\Sigma}_{clim}$ is the estimated climatological covariance matrix. Substituting (2) and (3) into (1) gives

$$APT = 2 \sum_{\tau=1}^{\infty} \left(\frac{\mathbf{q}^T (\hat{\Sigma}_{clim} - \hat{\Sigma}_{\tau}) \mathbf{q}}{\mathbf{q}^T \hat{\Sigma}_{clim} \mathbf{q}} \right). \quad (4)$$

It can be shown that maximizing (4) leads to a generalized eigenvalue problem

$$2 \sum_{\tau=1}^{\infty} \left(\hat{\Sigma}_{clim} - \hat{\Sigma}_{\tau} \right) \mathbf{q} = \lambda \hat{\Sigma}_{clim} \mathbf{q}. \quad (5)$$

The eigenvalue λ gives APT value, and each eigenvector \mathbf{q} corresponds to a component. Each component is uncorrelated with one another due to the symmetry characteristic of $\hat{\Sigma}_{\tau}$ and $\hat{\Sigma}_{clim}$. We order the eigenvalues and their associated eigenvectors by decreasing order,

153 such that the first eigenvector maximizes APT, the second maximizes APT subject being
 154 uncorrelated with the first, and so on. More details of this APT technique is found in DelSole
 155 and Tippett (2009a,b), and the application of this technique can be found in Jia and DelSole
 156 (2011, 2012); Yang and Coauthors (2013).

157 For typical global forecast data, the number of grid points exceeds the number of samples,
 158 so the above covariance matrixes are singular and the eigenvalue problem cannot be solved.
 159 A standard approach is to project the data onto the leading principal components (PCs) of
 160 the predictand, and then to maximize APT only in the subspace spanned by the leading PCs.
 161 In this paper, we chose 30 PCs for 2m air temperature and precipitation. The sensitivity
 162 of results to the number of PCs have been tested, and are not sensitive when using more
 163 than 20 PCs. Taking into account that leading PCs principally project on grids with large
 164 variances, we normalize original precipitation hindcasts by dividing the standard deviation at
 165 each grid. The normalized precipitation is able to capture large-scale precipitation structure.

166 The squared error skill score (SESS) is used to measure skill, which is defined as

$$SESS = 1 - \frac{\sum_n (O_n - P_n)^2}{\sum_n (O_n - \bar{O})^2}, \quad (6)$$

167 where O_n is the observation at time n , P_n is the prediction of O_n , and \bar{O} is the time mean of
 168 O_n for all years. The value of SESS is one for perfect forecast, and is negative if a prediction
 169 has a mean squared error larger than a prediction based on the climatological mean.

170 4. Results

171 a. *Climate mean state, variability and prediction skill*

172 Fig. 1 shows annual mean precipitation and 2m air temperature in observations and 1990
 173 control simulations of FLOR and CM2.1, as well as the bias in FLOR and CM2.1. Compared
 174 to observed mean precipitation, FLOR and CM2.1 show a dry bias in most of South America,
 175 although FLOR shows less bias than CM2.1. FLOR also simulates mean precipitation better

176 than CM2.1 in tropical Africa, eastern China and the southeastern United States. As for
177 annual mean 2m air temperature, the pattern is well simulated in FLOR and CM2.1, but
178 CM2.1 shows a cold bias in most areas. FLOR shows less bias along the Andes and in
179 equatorial Africa than does CM2.1.

180 Besides annual mean temperature and precipitation, we also examined seasonal climate
181 and variability of several important variables. Fig. 2 shows the scatter plot of pattern cor-
182 relation of seasonal mean climate and standard deviation between observation and model
183 simulations for FLOR vs. CM2.1 and FLOR vs. CM2.5. The pattern correlations be-
184 tween model simulations and observations are higher in FLOR than in CM2.1 for nearly
185 all variables and seasons, both in mean climate and standard deviation. In contrast, the
186 pattern correlations in FLOR are comparable to those in CM2.5 for seasonal climate, and
187 are slightly higher than CM2.5 for standard deviation, although the ocean resolution is in-
188 creased in CM2.5. The fact that mean climate and climate variability improved considerably
189 in FLOR over CM2.1, but moderately in CM2.5 over FLOR, supports the hypothesis that
190 atmospheric and land resolution is critical to the improvements in these quantities, and this
191 is the focus of our study.

192 Another phenomenon worth examining is ENSO, and its teleconnections to remote re-
193 gions. Fig. 3 shows the patterns of correlation between NINO3 sea surface temperature
194 anomalies (SSTA) and the global anomalies of surface temperature and precipitation, for
195 observations, a 280-yr control simulation of FLOR, CM2.1 and a 260-yr control simulation
196 of CM2.5. In the observations, NINO3 SSTA is strongly correlated with both surface tem-
197 perature and precipitation over the equatorial central and eastern Pacific. These strong
198 correlations are well simulated in all three models. However, this zone of positive correlation
199 extends farther west than observed in all three simulations, though the westward extent is
200 somewhat reduced in FLOR and CM2.5 relative to CM2.1. Compared to CM2.1, FLOR and
201 CM2.5 also show less extreme temperature correlations over Australia, the Amazon region,
202 southern Africa, the tropical Atlantic and Indian Oceans, and the Southern Ocean, which are

203 more in line with observed values. In all three simulations, the negative temperature corre-
204 lations over the contiguous United States, Argentina, China, the off-equatorial west Pacific,
205 and southern Atlantic appear to be too strong, as are the positive temperature correlations
206 over equatorial land areas. For precipitation, regions of both positive and negative correla-
207 tions are generally stronger than estimated from observations. In particular, the negative
208 precipitation correlations over the Maritime Continent and tropical Atlantic are too strong
209 in all three simulations. The negative precipitation correlations over Australia, the Amazon,
210 southern Africa and positive correlations over the equatorial Pacific and Indian Oceans, con-
211 tiguous U.S., Argentina, and western Asia are also too strong in all three simulations, but
212 these are somewhat reduced in FLOR and CM2.5. Consistent with our earlier results, the
213 simulated temperature and precipitation correlation patterns appear to be influenced more
214 by the increase in atmospheric resolution (going from CM2.1 to FLOR) than by the increase
215 in ocean resolution (going from FLOR to CM2.5).

216 To examine ENSO prediction skill, we show in Fig. 4 the SESS values and anomaly
217 correlations of NINO3.4 index as a function of initial months and target months in FLOR
218 and CM2.1. Both FLOR and CM2.1 show very high correlation skills. FLOR shows higher
219 correlation than CM2.1 at short leads for initial months from August to December. The
220 SESS values in FLOR are much larger (i.e., higher skill) than CM2.1 in boreal winter and
221 spring of the target month. Such skill improvements in SESS are not seen in anomaly
222 correlation, indicating that conditional bias are reduced in FLOR. We also found that the
223 SESS values at long leads initialized in November, December, January are lower in FLOR
224 than those in CM2.1. It will be shown shortly that the low SESS at long leads in FLOR
225 might account for the low skill of the most predictable precipitation pattern at long leads in
226 FLOR.

227 We now identify predictable components of global precipitation over land in FLOR and
228 CM2.1 hindcasts using APT analysis. The most predictable components of precipitation are
229 shown in Fig. 5b, c, for FLOR and CM2.1 respectively. The most predictable components in

230 the two models are all significantly correlated with the NINO3.4 index. Thus, to evaluate the
231 patterns emerging from the model, the predictable patterns are compared to the observed
232 precipitation regression pattern with NINO3.4 index (Fig. 5a). The most predictable pattern
233 in FLOR is much closer to the observed precipitation teleconnection pattern to ENSO than
234 CM2.1, particularly in South America, southern United States, eastern China, Australia
235 and southern Africa. A close comparison of the patterns in low latitudes of the Americas
236 and Asia reveals that FLOR is able to capture small-scale structures near the Andes and in
237 tropical Asian islands. The arc-shaped pattern in northern Australia is also well captured
238 in FLOR. The wet areas in eastern China are weaker in CM2.1 than those in FLOR and
239 observations, and CM2.1 predicts the east coast of equatorial Africa with the wrong sign.

240 The improvements of retrospective prediction skill of the most predictable pattern in
241 FLOR over CM2.1 are striking (Fig. 5e, f). The SESS values of ensemble mean prediction of
242 the most predictable precipitation pattern are much larger in FLOR than CM2.1 in nearly all
243 initial and target months, especially for target months from October to the following March.
244 To gain insight into the skill difference between these two models, we compute the standard
245 deviation of the time series of the most predictable pattern and normalize it relative to that
246 from observation. A resulting value close to one implies that the predicted variability is close
247 to observed variability, although the predictions and observations can be out of phase. But
248 the out of phase case is penalized in the measure of SESS (i.e., leads to small SESS values).
249 Fig. 5d shows the normalized standard deviation as a function of target month at different
250 lead months in FLOR and CM2.1. At a specific target month, each dot in the figure denotes
251 a particular lead month from 0 to 9. The normalized standard deviations in FLOR are closer
252 to 1 than those of CM2.1 at target months from October to the following March, and the
253 corresponding SESS values are much larger in FLOR compared to CM2.1, implying that
254 the variability of the predictable pattern in those months is better predicted in FLOR than
255 CM2.1. In other words, the conditional biases are considerably reduced in FLOR relative
256 to CM2.1, which leads to higher SESS values. Skill improvements of FLOR over CM2.1 are

257 also found for predictable components of global 2m air temperature over land (not shown).
258 As described in Sec. 2, the data assimilation used in FLOR was taken from the ECDA in
259 CM2.1. We expect that skill can be further improved once the ECDA based on FLOR is
260 available. Note that although skill improves in most cases in FLOR, we do find a few cases
261 where the SESS values are lower than CM2.1, such as the long leads initialized in October,
262 November, December and January. Such low skill in FLOR might be associated with the
263 low skill in ENSO prediction after the spring barrier period as shown in Fig. 4.

264 *b. Predictable components of precipitation over land in FLOR on seasonal scales*

265 The improved predictions in FLOR motivate us to further explore prediction skill of
266 precipitation and temperature over land in this high-resolution model on seasonal scales,
267 considering that patterns of precipitation and temperature vary with seasons. Results for
268 seasonal mean predictions in December-February (DJF) and June-August (JJA) are dis-
269 cussed in this section.

270 The spatial patterns of the leading predictable component in DJF and the first two
271 predictable components in JJA are show in Fig. 6 a, b, e, as these components are well sep-
272 arated from the others. The first predictable pattern in DJF shows wet anomalies in eastern
273 China, southern North and South America, southeast Africa, the Andes, and dry anomalies
274 in northern Australia, southern Africa, northeastern South America. In JJA, the leading
275 predictable component shows dry anomalies over India, eastern China, eastern Australia,
276 the Sahel and central America. The second component in JJA shows dry conditions over
277 India and northern South America, but wet conditions over large areas of the United States.
278 The predictable patterns diagnosed here are in large agreement with the land precipitation
279 teleconnection pattern to ENSO in previous studies (Ropelewski and Halpert 1996; Yang
280 and DelSole 2012). In fact, the time series of these components are significantly correlated
281 with NINO3.4 index, and the SST regression pattern on these components displays a classic
282 ENSO structure (not shown). Therefore, these predictable components of precipitation over

283 land are likely ENSO-related.

284 An important question is whether the components diagnosed in the dynamical model
285 exist in the real world. We show in Fig. 6c, d, f the anomaly correlations between the time
286 series of the predictable components and those from observations. The observed time series
287 were derived by projecting predictable components on observations. The correlations are
288 statistically significant at 5% significance level at all initial months in both seasons based
289 on Student's t-test. However, the SESS values, that take into account conditional bias,
290 drop below zero at March initial condition in both seasons for the first component. The
291 negative skill of predictions initialized in March is presumably due to the spring barrier of
292 ENSO prediction (Barnston et al. 2012). The SESS values of the second component in JJA
293 are positive for all initial months. We emphasize that our statistical optimization method
294 is able to identify components that are physically meaningful (e.g., ENSO-related). Also,
295 it is impressive that the predictable components diagnosed in a dynamical model can be
296 predicted with significant skill in the real world.

297 *c. Predictable components of air temperature over land in FLOR on seasonal scales*

298 The spatial patterns of the first two predictable components of 2m air temperature in
299 DJF and JJA and their associated correlation skill and SESS are shown in Fig. 7. The
300 leading predictable components in both seasons show positive amplitudes nearly everywhere
301 except for a few limited areas in DJF. Areas with maximum amplitudes vary with seasons. In
302 DJF, large loadings in high latitudes of North America, central South America, South Africa
303 and Australia. In JJA, maximum loadings are located in central North America, Greenland,
304 northern Africa and central Eurasia. As the associated time series of the leading predictable
305 component exhibits an increasing trend in both seasons (not shown), the leading predictable
306 component indicates a multi-decadal warming signal. To explore the mechanism of the
307 most predictable component, we diagnosed externally-forced patterns of 2m air temperature
308 over land in DJF and JJA from FLOR historical runs, using signal-to-total maximizing

309 EOF method (Ting et al. 2009). As shown in Fig. 8, the externally-forced patterns in two
310 seasons bear great similarity with the most predictable patterns, which implies that the most
311 predictable components are the responses to external forcings.

312 The spatial pattern of the second predictable component in DJF (Fig. 7e) shows dipole
313 structures in North America, South America, Africa and positive sign in Australia and
314 southern Asia, negative sign in mid to high latitudes of Eurasia. Again, the time series
315 of the second component in DJF is significantly correlated with NINO3.4 index ($cc=0.7$)
316 and the regressed SST pattern on this component reveals a classic ENSO pattern (not
317 shown), implying that this component is associated with ENSO. The spatial structure of
318 this component is consistent with the findings of a temperature teleconnection pattern with
319 ENSO (Yang and DelSole 2012; Zhang et al. 2011). In JJA, relatively weak amplitudes of
320 the second component are found compared to those in DJF (Fig. 7f). Unlike in DJF, the
321 correlation between the time series of the second predictable component and NINO3.4 index
322 is not statistically significant in JJA, suggesting that other processes than ENSO contribute
323 to the predictability of JJA temperature. The mechanism of this component remains to be
324 studied.

325 The anomaly correlations of the first two predictable components, shown in Fig.7 c,
326 d, g, h, demonstrate very high correlations in both seasons. The correlations are nearly
327 unchanged with initial months. The SESS values are higher than 0.8 in most cases for
328 the first component in both seasons. As for the second component, the SESS values are
329 smaller, and are negative in JJA. A close scrutiny of the associated time series of the second
330 component in JJA reveals that the negative SESS values are due to the overestimation of
331 the predictable pattern in model compared to observations (not shown).

332 The above analysis based upon APT isolated predictable components with different time
333 scales and mechanisms, i.e. the externally-forced trend component on multi-decadal scales
334 and the ENSO-related component on interannual scales. The trend component explains 7%
335 (6.4%) of total variance in DJF (JJA), and the ENSO-related component in DJF explains

336 4.8% of total variance. It is noteworthy that both forced and unforced (i.e., ENSO) internal
337 variability contribute to seasonal predictions of temperature.

338 *d. Reconstructing predictions from predictable components*

339 Having identified predictable components of seasonal temperature and precipitation over
340 land, and demonstrated prediction skill of these components, it is compelling to reconstruct
341 predictions based upon the leading few predictable components. We hypothesize that since
342 the reconstructed predictions filter out unpredictable components in the model, they will be
343 more likely to yield higher skill when compared with observations than the raw predictions
344 directly from model. Thus, by ignoring unpredictable elements of the model predictions,
345 we expect improvements in skill, even though we are “throwing out” some elements of the
346 model predictions.

347 The geographic distribution of SESS averaged over initial months are computed for pre-
348 dictions constructed from the leading few predictable components (as those shown in Figs. 6
349 and 7) of temperature and precipitation respectively, and are compared with raw predictions
350 from FLOR in DJF and JJA (Fig. 9). The reason for averaging SESS over initial months
351 is that the geographical distribution of SESS among different initial months are very close.
352 Overall, the actual SESS values of reconstructed and raw predictions are larger in temper-
353 ature than precipitation. The map of SESS difference (Fig. 9 far right column), defined
354 as SESS of reconstructed predictions minus SESS of raw predictions, shows positive values
355 nearly everywhere over the globe in both air temperature and precipitation and for both
356 seasons, indicating improved skill in reconstructed predictions for temperature and precipi-
357 tation in both seasons. These results are impressive in that reconstructed predictions using
358 only 1-2 predictable components beat raw predictions. The improvements in precipitation
359 predictions are generally higher than those in temperature as indicated by the darker color
360 in the difference map of precipitation. Note that the skill improvements in precipitation
361 are mostly over areas with negative SESS values in raw predictions. And, a large amount

362 of those areas with negative SESS show positive SESS in reconstructed predictions. Simi-
363 lar geographic distribution of anomaly correlation skill shows that the correlation difference
364 between reconstructed and raw predictions are small (Fig. 10). Only moderate improve-
365 ments are found, and even decreases in correlation skill are seen in certain areas. The fact
366 that moderate to no improvements in correlation skill but significant improvements in SESS
367 over nearly the whole globe reveals that reconstructing predictions based on predictable
368 components substantially reduces conditional biases.

369 To further compare reconstructed and raw predictions, we show in Fig. 11 the percentage
370 of grid points in each bin (interval of 0.04) for SESS and anomaly correlation of reconstructed
371 vs. raw predictions. For example, a value of 0.5 indicate 0.5% of total grid points in that bin.
372 Values above the diagonal line imply that SESS/correlation of reconstructed predictions is
373 higher than that from raw predictions. Nearly all values for SESS are above the diagonal lines
374 in temperature and precipitation. The improvements in SESS are considerable, particularly
375 for precipitation grids with negative SESS in raw predictions, consistent with the results from
376 geographical distribution maps shown in Fig. 9. The improvements in correlation are smaller
377 than those in SESS. As SESS takes into account conditional biases, the higher improvements
378 in SESS than correlation again implies reduced conditional bias in reconstructed predictions.

379 **5. Summary and discussion**

380 This study investigated seasonal prediction skill of 2m air temperature and precipita-
381 tion over land in a new high-resolution climate model (FLOR) using a statistical opti-
382 mization technique – APT. We first showed that this model, with high-resolution in the
383 atmosphere and land, simulates mean climate and variability (including ENSO teleconnec-
384 tion patterns) better than the lower resolution model CM2.1. A further increase in ocean
385 resolution (CM2.5) does not

386 In addition, FLOR exhibits higher skill in predicting the NINO3.4 index and the most

387 predictable component of temperature and precipitation than CM2.1 even with ocean initial
388 conditions that are optimized to CM2.1 and without atmospheric data assimilation in the
389 FLOR experiments.

390 The improvements in FLOR motivated us to further examine the skill of temperature and
391 precipitation over land in FLOR for DJF and JJA separately. It is shown that the two most
392 predictable components for 2m air temperature over land are characterized by an externally-
393 forced multi-decadal warming component in DJF and JJA, and an ENSO-related pattern in
394 DJF. We emphasize that our technique is able to isolate components on different time scales,
395 that are associated with different physical mechanisms. The most predictable components
396 of precipitation over land are ENSO-related in both seasons. These predictable components
397 of temperature and precipitation show significant correlation skill for all leads from 0 to
398 9 months. The negative SESS values of the most predictable component of precipitation
399 in both seasons at March initial condition might be related to the spring barrier of ENSO
400 prediction.

401 The reconstructed predictions based on the first few predictable components were com-
402 pared to raw predictions directly from the model in both temperature and precipitation and
403 for both seasons. The results showed considerable improvements in SESS nearly everywhere
404 over the globe, but moderate to no improvements in correlation. This reveals that condi-
405 tional bias is significantly reduced in reconstructed predictions. A question might be raised
406 as whether the higher skill in reconstructed predictions versus raw predictions is a result
407 of optimal filtering of unpredictable components or merely a result of filtering of PCs with
408 small variances (leading 30PCs were used in APT analysis). To address this question, we
409 examined the SESS of predictions that were reconstructed based on the leading 30PCs and
410 without any optimal filtering. The resulting SESS values were lower than the reconstructed
411 predictions from the first few predictable components (not shown). Therefore, optimal filter-
412 ing of unpredictable components does contribute to the skill improvements of temperature
413 and precipitation over land.

414 Our results suggest that a high-resolution dynamical model and refined statistical opti-
415 mization techniques improve seasonal predictions of 2m air temperature and precipitation
416 over land. The increased resolution in FLOR leads to better simulation of mean climate
417 and variability, and improved predictions of ENSO, 2m air temperature and precipitation
418 over land. Further improvements in skill are expected when the data assimilation system is
419 available for FLOR. The statistical optimization method (APT) is able to isolate predictable
420 components on different time scales that associated with different physical mechanisms. It
421 is noteworthy that both externally-forced multi-decadal trend component and the internal
422 ENSO-related component contribute to seasonal predictions of 2m air temperature. Re-
423 constructing predictions based on predictable components provides a strategy to improve
424 seasonal predictions. Our results are based on the specific FLOR model, so they could be
425 model dependent.

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508 List of Figures

- 509 1 Annual mean precipitation (a,b,c) and 2m air temperature (d,e,f) in observa-
510 tions (1981-2010), FLOR (601-1200) and CM2.1 (101-300) control simulations;
511 and the bias of annual mean precipitation (g,h) and 2m air temperature (i,j)
512 in FLOR and CM2.1. The units of precipitation is mmday^{-1} . The units of
513 temperature is Kelvin. 27
- 514 2 Scatter plot of pattern correlation between CM2.1(101-300) and observation(1982-
515 2000) (x-axis) and FLOR (601-1200) and observation (y-axis) for seasonal
516 mean climate (a) and standard deviation (c); and between CM2.5 (1-100) and
517 observation (x-axis) and FLOR and observation (y-axis) for seasonal mean
518 climate (b) and standard deviation (d) for precipitation, sea surface tempera-
519 ture, sea level pressure, zonal and meridional velocity at 925hPa, 850hPa and
520 200hPa. Different colors indicate different seasons. Each symbol represents a
521 particular variable. 28
- 522 3 Correlation between local surface temperature (left) and precipitation(right)
523 anomalies and NINO3 (150W-90W, 5S-5N) SST anomalies, for annual (June-
524 May) means from (a,e) NCEP/NCAR Reanalysis-1 (1951-2001) observations,
525 and 1990 control runs from (b,f) CM2.1 (11-290); (c,g) FLOR (11-290) and
526 (d,h) CM2.5 (11-270). Anomalies are computed by subtracting a 20-yr run-
527 ning mean from the original June-May annual-mean temperature time series,
528 which in addition to removing (25,50,75)% of the amplitude at periods of (25,
529 33, 49) yr, also truncates the initial and final decades from the anomaly time
530 series. 29
- 531 4 Squared error skill score (a,b) and anomaly correlation (c,d) of NINO3.4 index
532 in FLOR and CM2.1 for each initial month and target month during 1981-
533 2010 (1983-2010) in FLOR (CM2.1). Each target month indicates a 3-month
534 mean (e.g., target month Jan. denotes Jan.-Mar. mean.) 30

| | | | |
|-----|---|---|----|
| 535 | 5 | Observed precipitation teleconnection pattern to ENSO (in mmday^{-1} per unit | |
| 536 | | variate) (a); Spatial structure of the most predictable component of precipita- | |
| 537 | | tion over land (in mmday^{-1} per unit variate) from FLOR (b) and CM2.1 (c); | |
| 538 | | Standard deviation of time series of the most predictable pattern for different | |
| 539 | | target months and initial months, normalized relative to the observations (d). | |
| 540 | | The squared error skill score for each initial month and target month in FLOR | |
| 541 | | (e) and CM2.1(f). | 31 |
| 542 | 6 | Spatial structure of the most predictable component of precipitation over land | |
| 543 | | (in mmday^{-1} per unit variate) in DJF (a) and the first two predictable com- | |
| 544 | | ponents in JJA (b, e); The corresponding SESS (black solid), anomaly cor- | |
| 545 | | relation (red solid) skill as a function of initial month. The red dash lines | |
| 546 | | indicate the 5% significance level for anomaly correlation. The black dash | |
| 547 | | lines indicate zero SESS. | 32 |
| 548 | 7 | Spatial structure of the first two predictable components of 2m air temperature | |
| 549 | | (in degree kelvin per unit variate) in DJF (a, e) and JJA (b, f) and the | |
| 550 | | corresponding SESS (black solid) and anomaly correlation (red solid) skill as | |
| 551 | | a function of initial month. The red dash lines indicate the 5% significance | |
| 552 | | level for anomaly correlation. The black dash lines indicate zero SESS. | 33 |
| 553 | 8 | Externally-forced pattern of 2m air temperature (in degree kelvin per unit | |
| 554 | | variate) over land in DJF (left) and JJA (right) derived from 5-member his- | |
| 555 | | torical runs of FLOR from 1980 to 2005. | 34 |
| 556 | 9 | SESS of reconstructed predictions of 2m air temperature and precipitation | |
| 557 | | from the leading predictable components(far left column), raw predictions | |
| 558 | | directly from FLOR(middle column), and SESS of reconstructed predictions | |
| 559 | | minus SESS of raw predictions (far right column). The SESS is averaged over | |
| 560 | | lead times from 0 to 9 months. | 35 |

| | | | |
|-----|----|--|----|
| 561 | 10 | Correlation of reconstructed predictions of 2m air temperature and precipita- | |
| 562 | | tion from the leading predictable components(far left column), raw predictions | |
| 563 | | directly from FLOR(middle column), and correlation of reconstructed predic- | |
| 564 | | tions minus correlation of raw predictions (far right column). The correlation | |
| 565 | | is averaged over lead times from 0 to 9 months | 36 |
| 566 | 11 | Percentage of grid points in each bin from -1 to 1 (interval of 0.04) for recon- | |
| 567 | | structed vs. raw SESS and anomaly correlation of 2m air temperature and | |
| 568 | | precipitation in DJF (left), JJA (right). | 37 |

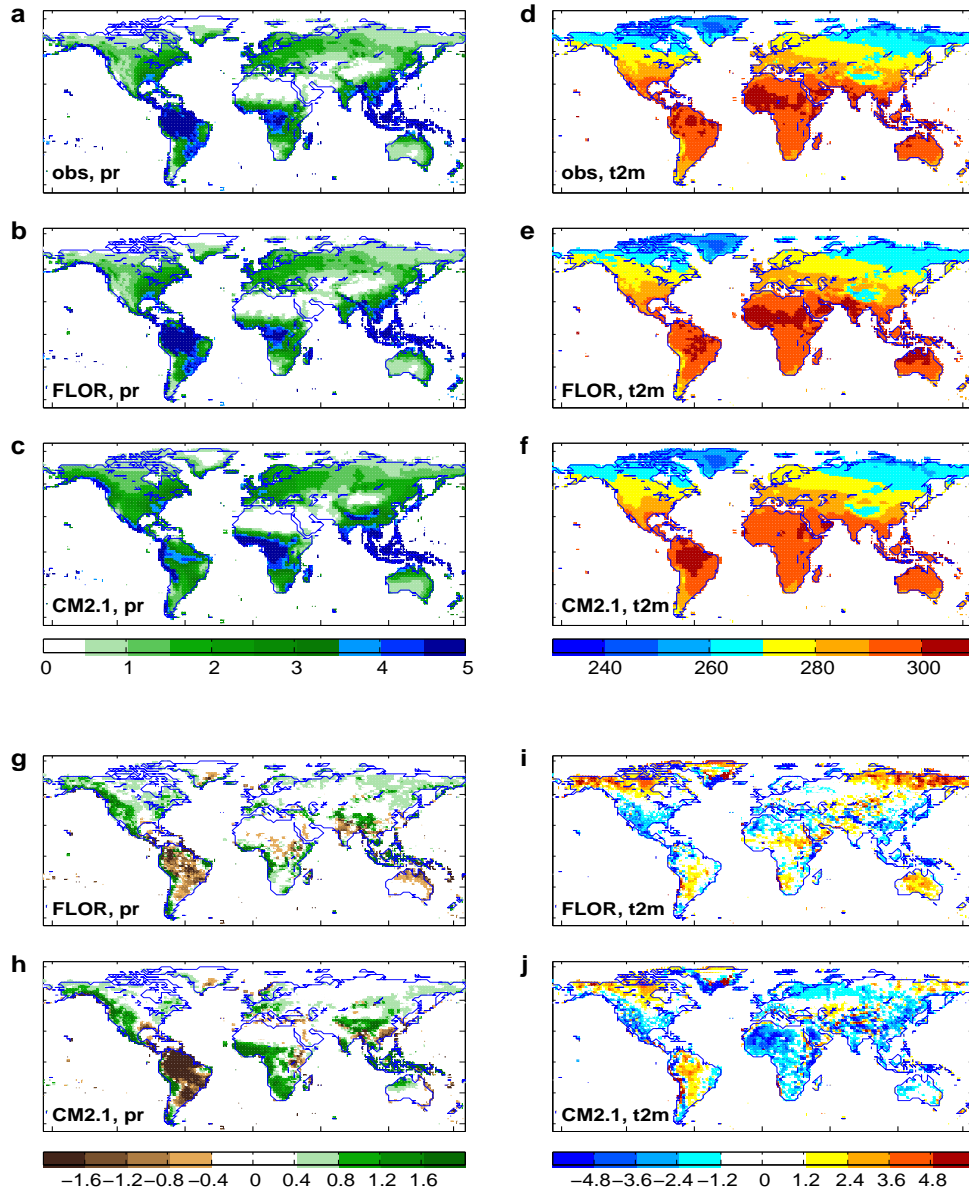


Figure 1: Annual mean precipitation (a,b,c) and 2m air temperature (d,e,f) in observations (1981-2010), FLOR (601-1200) and CM2.1 (101-300) control simulations; and the bias of annual mean precipitation (g,h) and 2m air temperature (i,j) in FLOR and CM2.1. The units of precipitation is mm day^{-1} . The units of temperature is Kelvin.

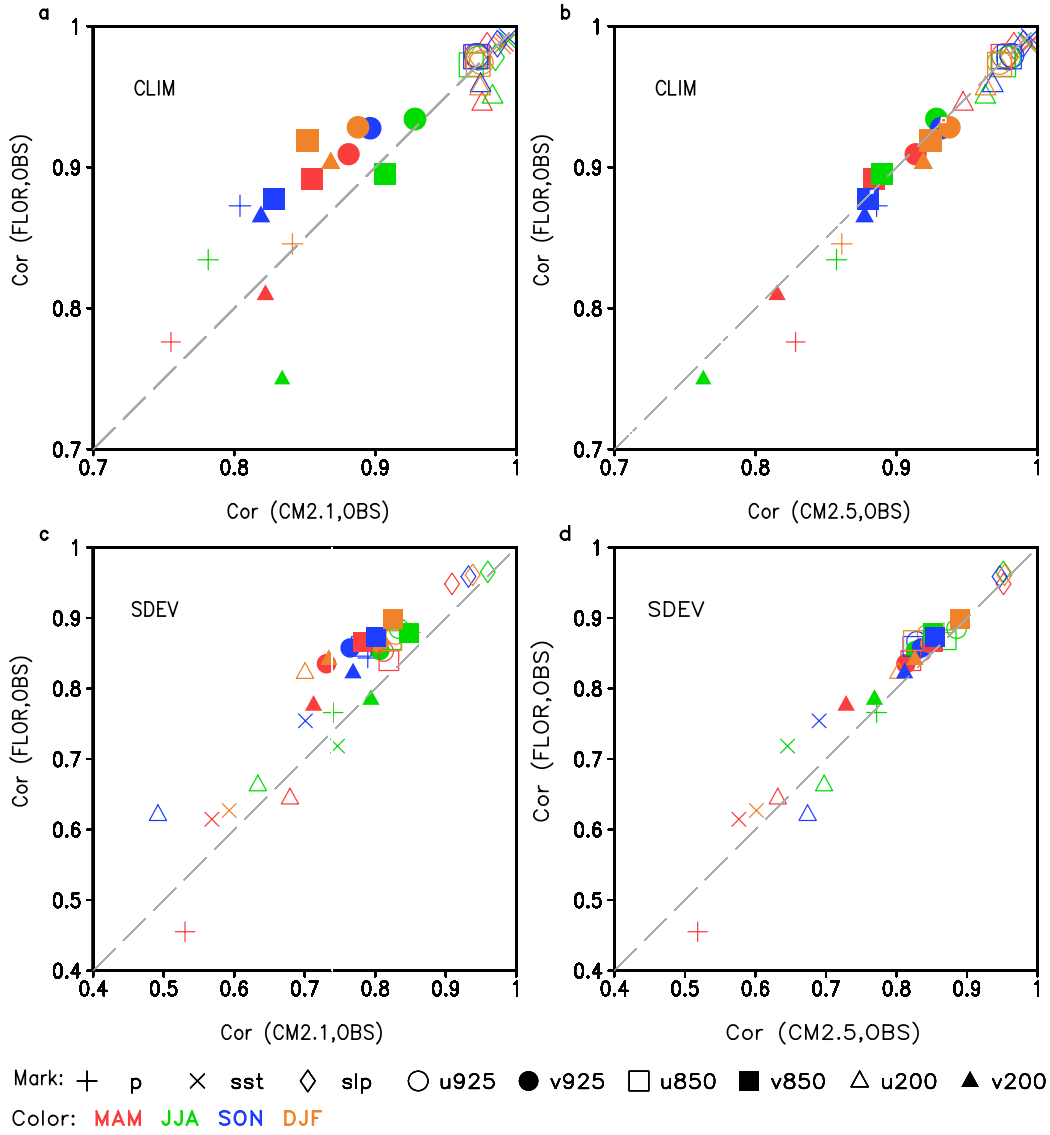


Figure 2: Scatter plot of pattern correlation between CM2.1(101-300) and observation(1982-2000) (x-axis) and FLOR (601-1200) and observation (y-axis) for seasonal mean climate (a) and standard deviation (c); and between CM2.5 (1-100) and observation (x-axis) and FLOR and observation (y-axis) for seasonal mean climate (b) and standard deviation (d) for precipitation, sea surface temperature, sea level pressure, zonal and meridional velocity at 925hPa, 850hPa and 200hPa. Different colors indicate different seasons. Each symbol represents a particular variable.

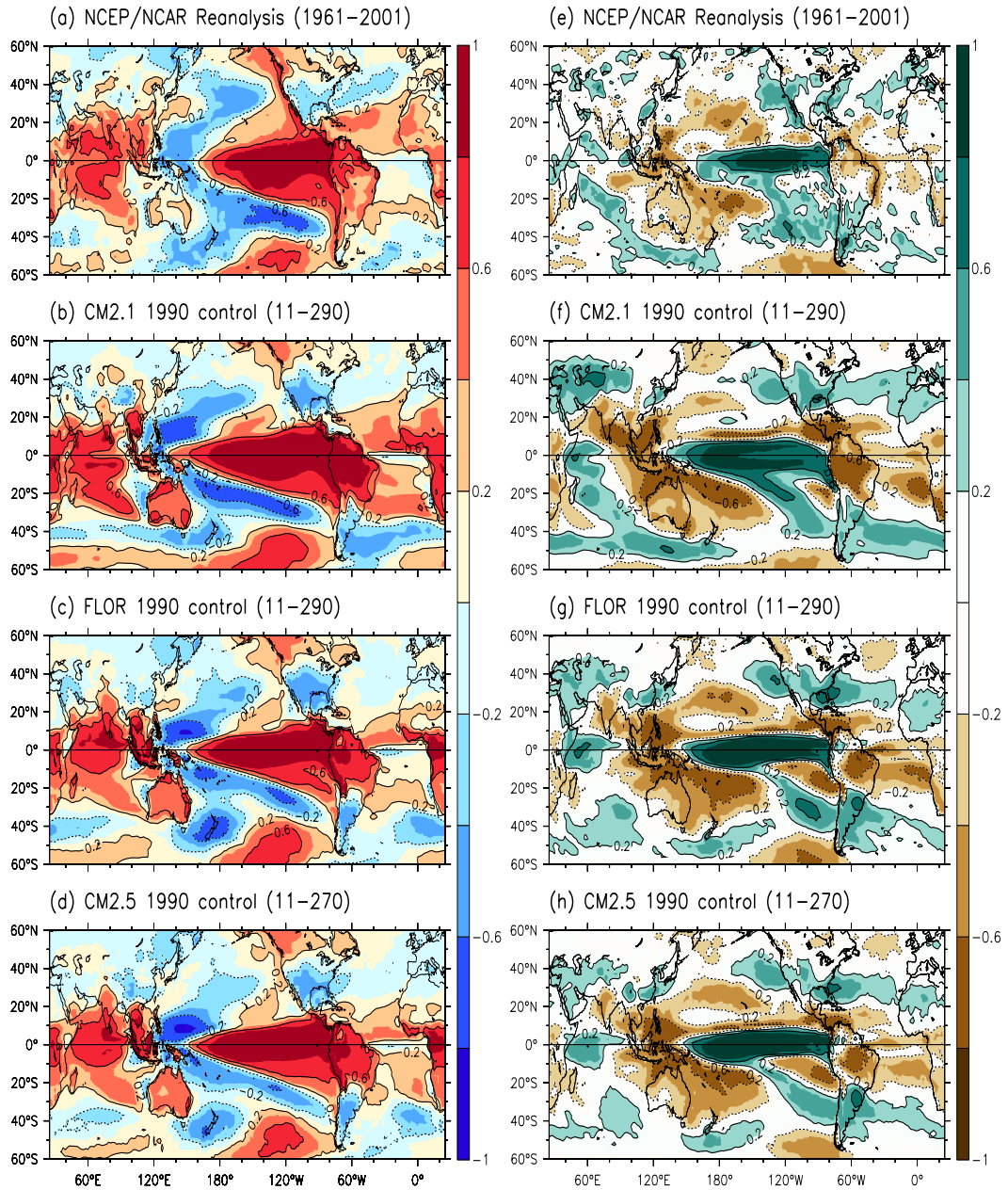


Figure 3: Correlation between local surface temperature (left) and precipitation(right) anomalies and NINO3 (150W-90W, 5S-5N) SST anomalies, for annual (June-May) means from (a,e) NCEP/NCAR Reanalysis-1 (1951-2001) observations, and 1990 control runs from (b,f) CM2.1 (11-290); (c,g) FLOR (11-290) and (d,h) CM2.5 (11-270). Anomalies are computed by subtracting a 20-yr running mean from the original June-May annual-mean temperature time series, which in addition to removing (25,50,75)% of the amplitude at periods of (25, 33, 49) yr, also truncates the initial and final decades from the anomaly time series.

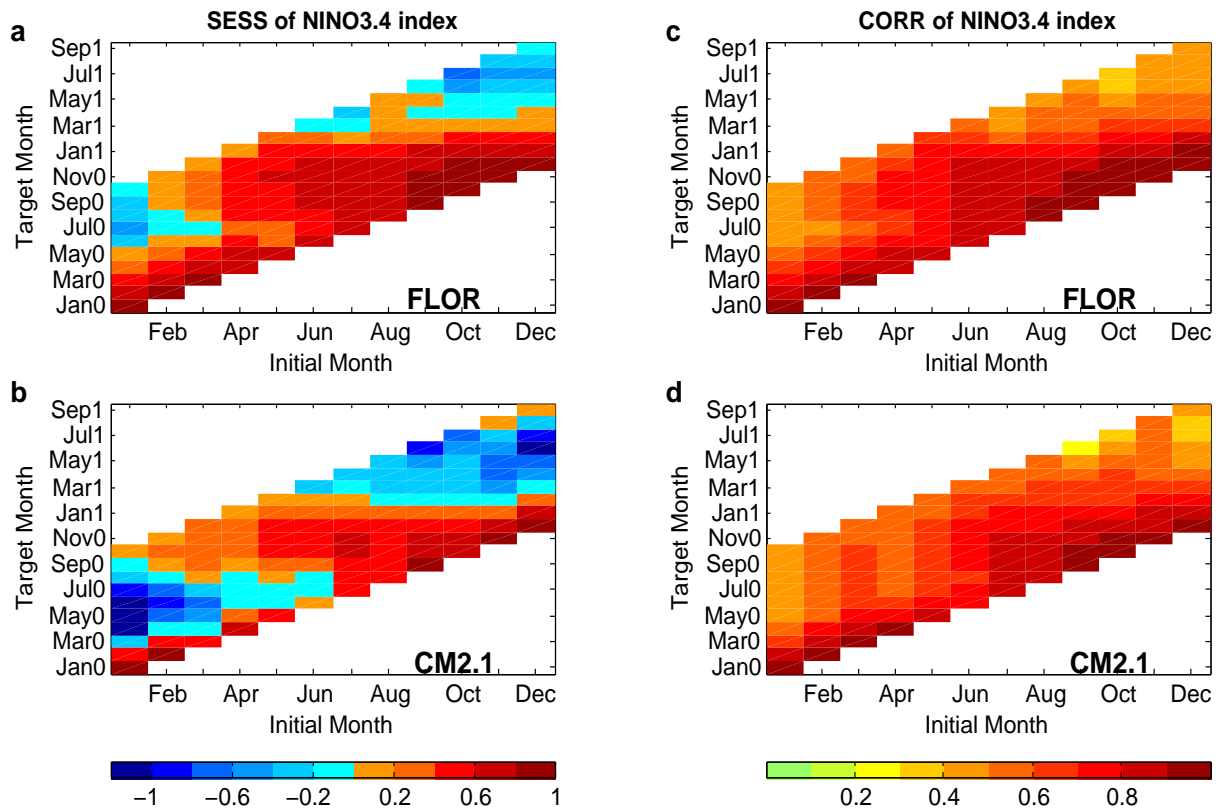


Figure 4: Squared error skill score (a,b) and anomaly correlation (c,d) of NINO3.4 index in FLOR and CM2.1 for each initial month and target month during 1981-2010 (1983-2010) in FLOR (CM2.1). Each target month indicates a 3-month mean (e.g., target month Jan. denotes Jan.-Mar. mean.)

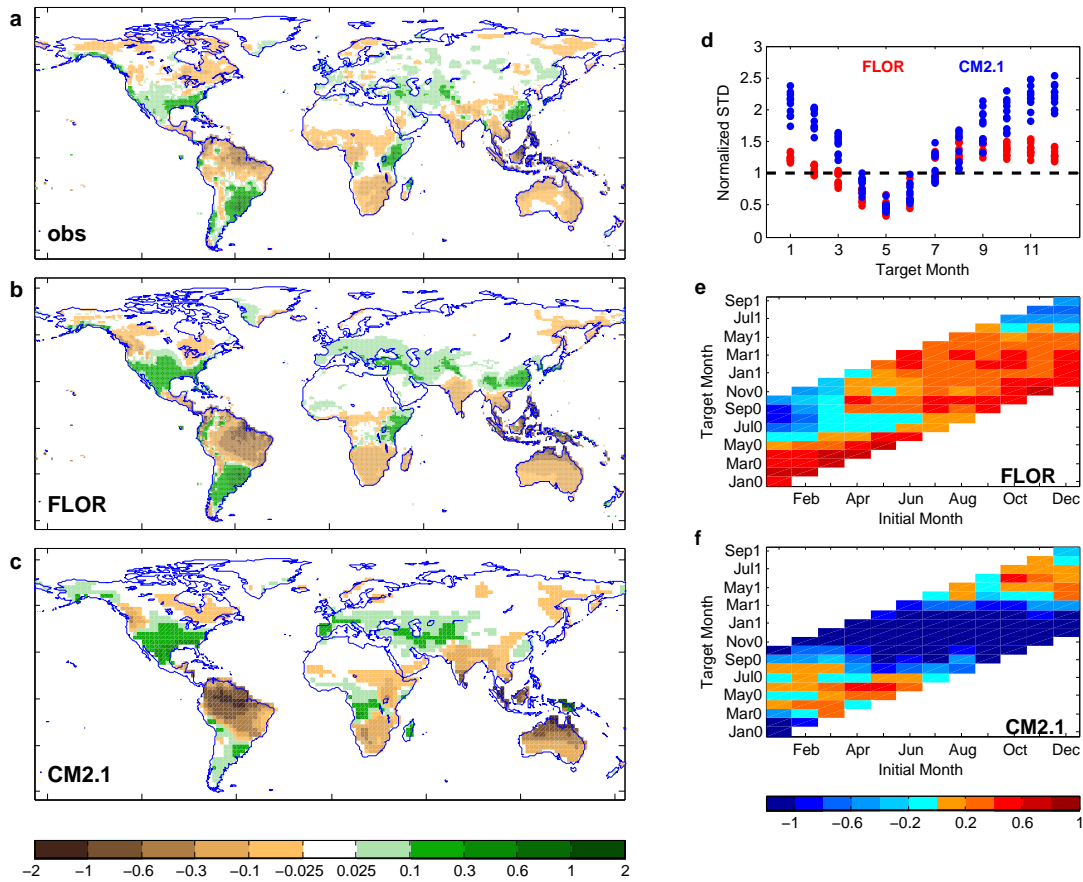


Figure 5: Observed precipitation teleconnection pattern to ENSO (in mmday^{-1} per unit variate) (a); Spatial structure of the most predictable component of precipitation over land (in mmday^{-1} per unit variate) from FLOR (b) and CM2.1 (c); Standard deviation of time series of the most predictable pattern for different target months and initial months, normalized relative to the observations (d). The squared error skill score for each initial month and target month in FLOR (e) and CM2.1(f).

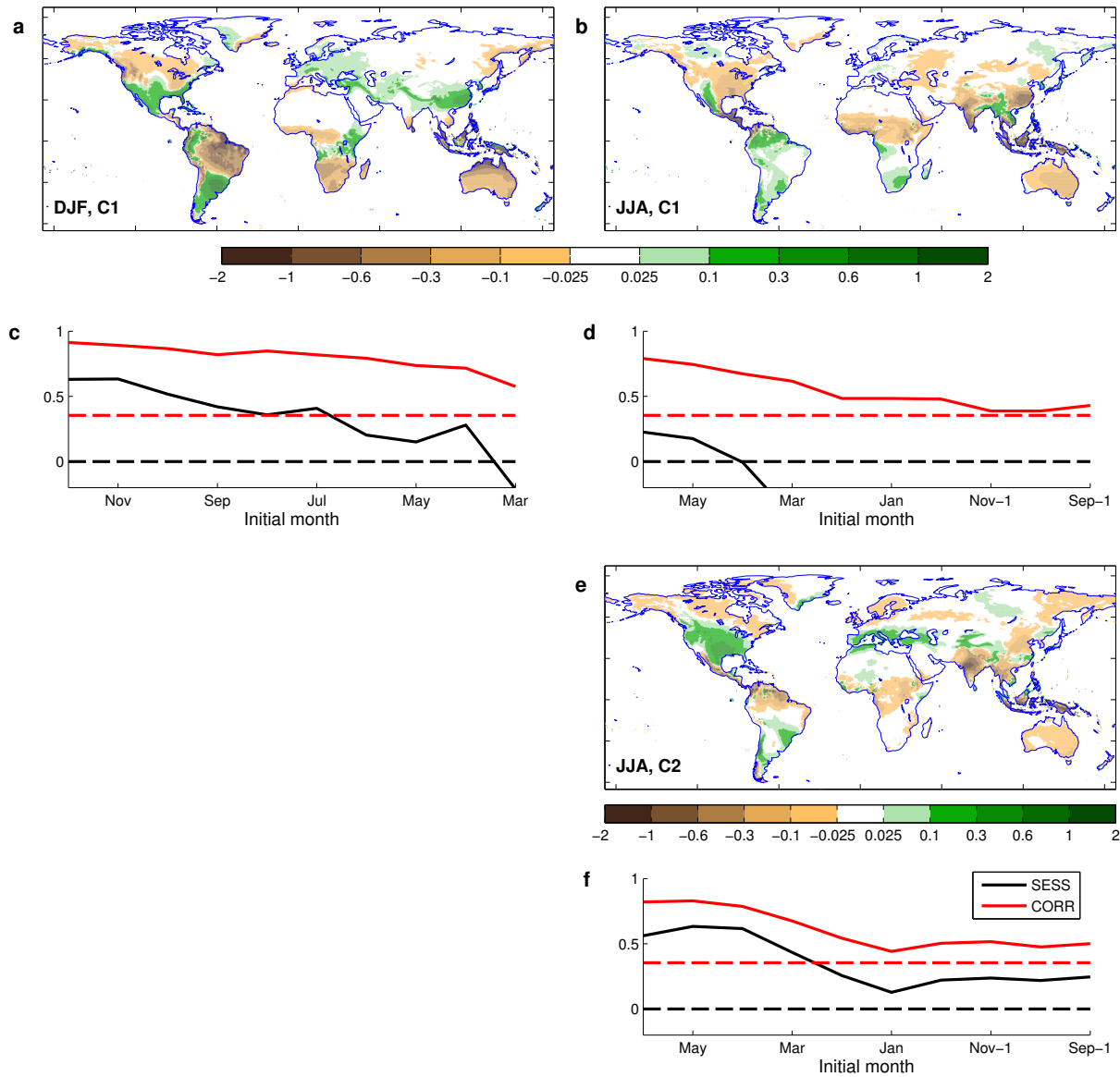


Figure 6: Spatial structure of the most predictable component of precipitation over land (in mmday^{-1} per unit variate) in DJF (a) and the first two predictable components in JJA (b, e); The corresponding SESS (black solid), anomaly correlation (red solid) skill as a function of initial month. The red dash lines indicate the 5% significance level for anomaly correlation. The black dash lines indicate zero SESS.

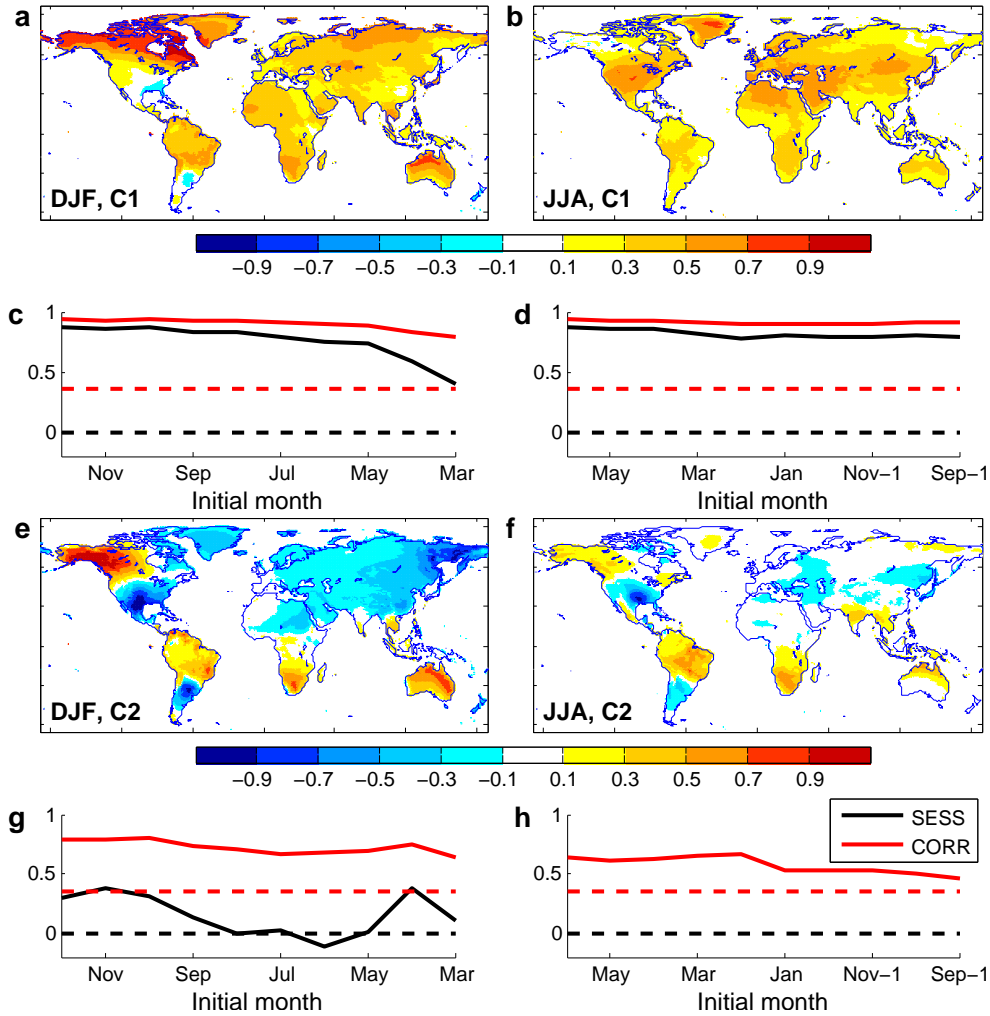


Figure 7: Spatial structure of the first two predictable components of 2m air temperature (in degree kelvin per unit variate) in DJF (a, e) and JJA (b, f) and the corresponding SESS (black solid) and anomaly correlation (red solid) skill as a function of initial month. The red dash lines indicate the 5% significance level for anomaly correlation. The black dash lines indicate zero SESS.

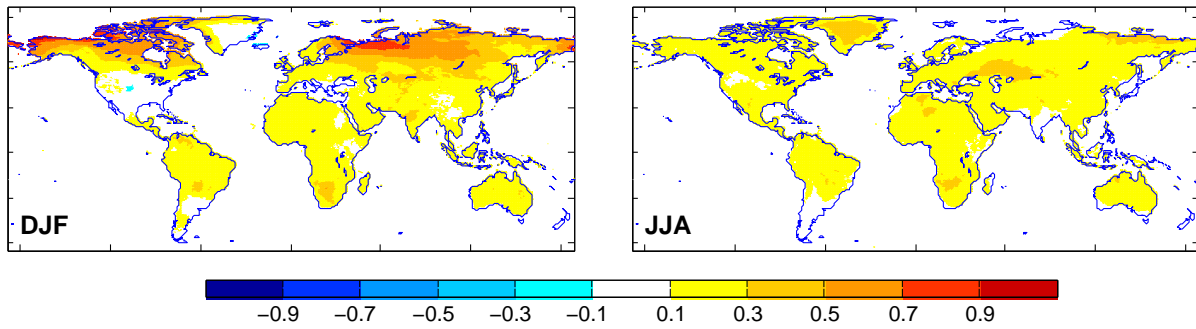


Figure 8: Externally-forced pattern of 2m air temperature (in degree kelvin per unit variate) over land in DJF (left) and JJA (right) derived from 5-member historical runs of FLOR from 1980 to 2005.

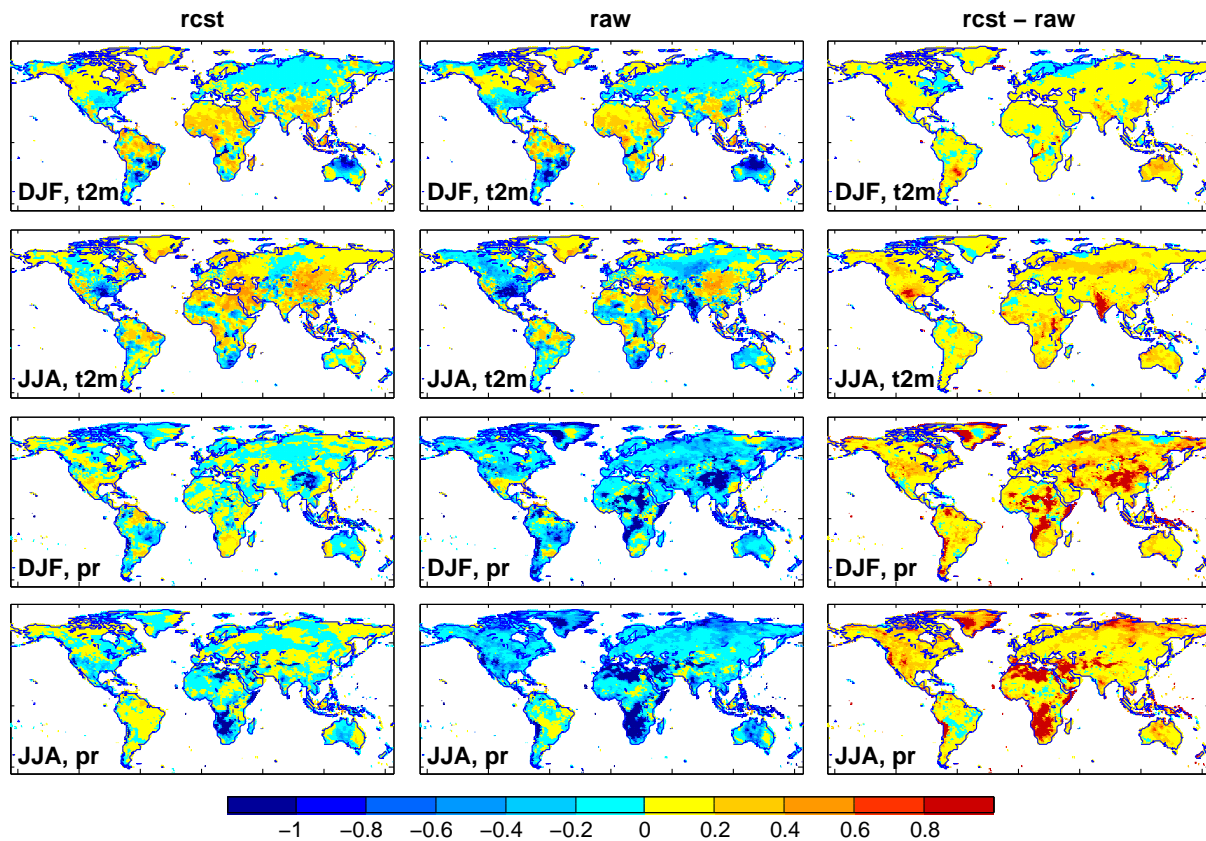


Figure 9: SESS of reconstructed predictions of 2m air temperature and precipitation from the leading predictable components(far left column), raw predictions directly from FLOR(middle column), and SESS of reconstructed predictions minus SESS of raw predictions (far right column). The SESS is averaged over lead times from 0 to 9 months.

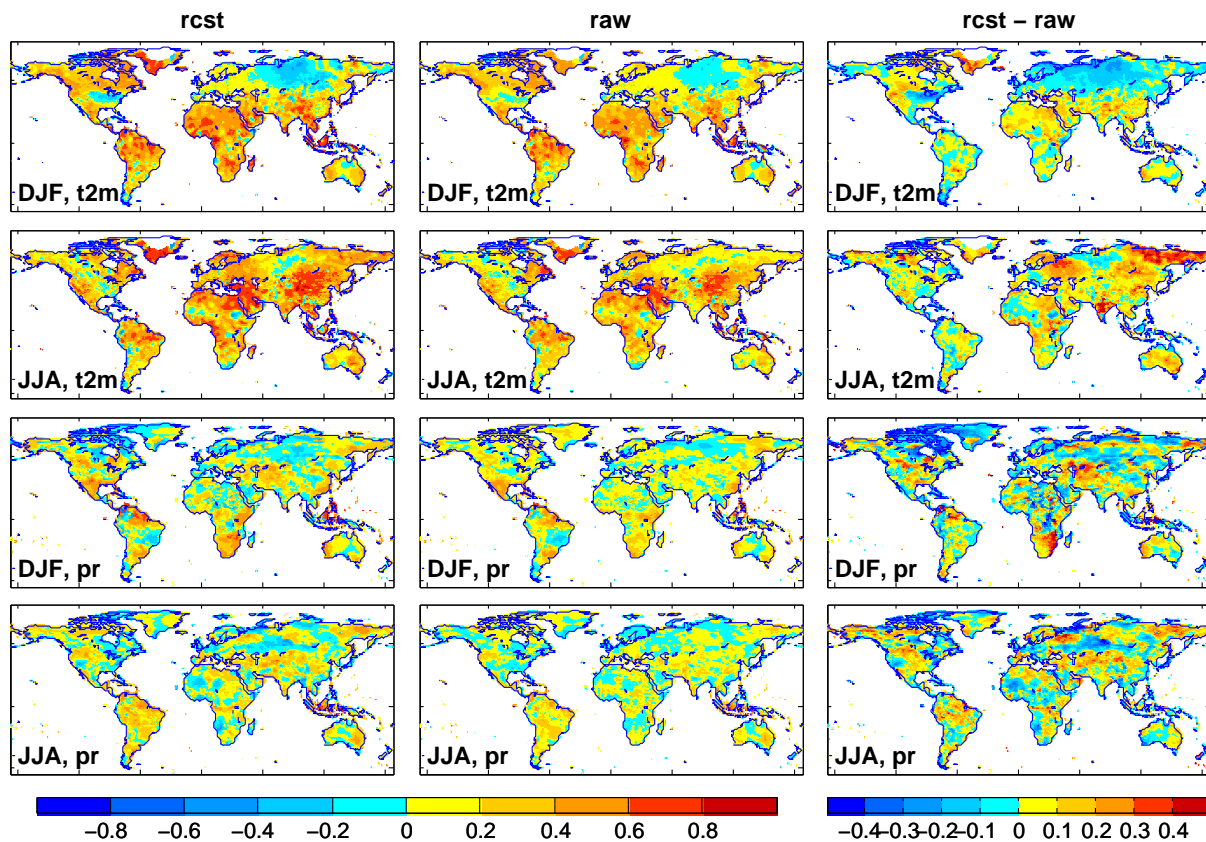


Figure 10: Correlation of reconstructed predictions of 2m air temperature and precipitation from the leading predictable components (far left column), raw predictions directly from FLOR (middle column), and correlation of reconstructed predictions minus correlation of raw predictions (far right column). The correlation is averaged over lead times from 0 to 9 months

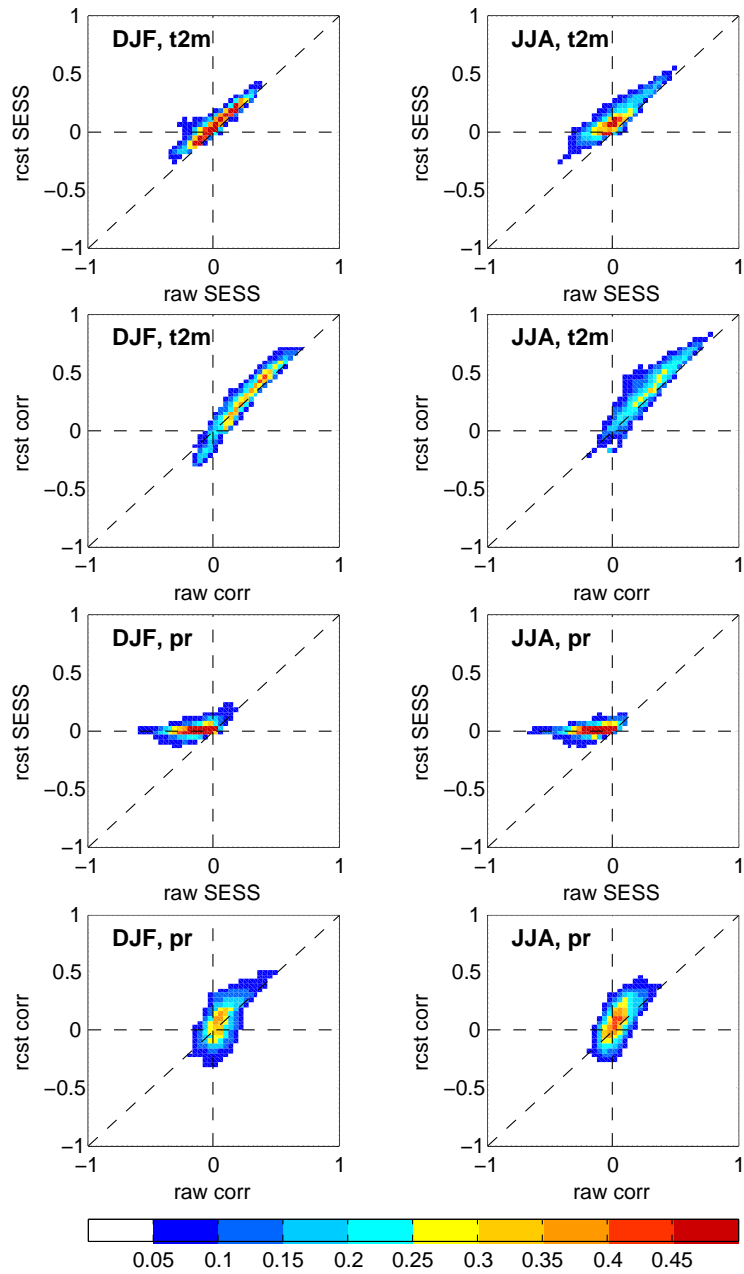


Figure 11: Percentage of grid points in each bin from -1 to 1 (interval of 0.04) for reconstructed vs. raw SESS and anomaly correlation of 2m air temperature and precipitation in DJF (left), JJA (right).