1	Seasonal predictability of extratropical storm tracks in
2	GFDL's high-resolution climate prediction model
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For submission to Journal of Climate

July 16, 2014

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#### ABSTRACT

The seasonal predictability of extratropical storm tracks in Geophysical Fluid Dynamics 10 Laboratory (GFDL)'s high-resolution climate model has been investigated using an aver-11 age predictability time analysis. The leading predictable components of extratropical storm 12 tracks are ENSO-related spatial pattern for both boreal winter and summer, and the sec-13 ond predictable components are mostly due to changes in external radiative forcing and 14 multidecadal oceanic variability. These two predictable components for both seasons show 15 significant correlation skill for all leads from 0 to 9 months, while the skill of predicting 16 the boreal winter storm track is consistently higher than that of the austral winter. The 17 predictable components of extratropical storm tracks are dynamically consistent with the 18 predictable components of the upper troposphere jet flow for the both seasons. Over the 19 region with strong storm track signals in North America, the model is able to predict the 20 changes in statistics of extremes connected to storm track changes (e.g., extreme low and 21 high sea level pressure and extreme 2m air temperature) in response to different ENSO 22 phases. These results point towards the possibility of providing skillful seasonal predictions 23 of the statistics of extratropical extremes over land using high-resolution coupled models. 24

# <sup>25</sup> 1. Introduction

The midlatitude storm tracks are regions of frequent baroclinic waves and associated surface cyclones and anticyclones. These storms are characterized by strong winds and heavy precipitation, and all are thus a source of extreme regional weather and climate events. Additionally, the poleward transport of heat, momentum and moisture associated with midlatitude storms is a prominent part of the global circulation system. Thus, predicting and projecting future changes of storm tracks is of huge societal and scientific interest.

Mid-latitude storm tracks vary on seasonal, interannual and decadal-to-centennial time 32 scales (Chang et al. 2002, 2013a; Stockdale et al. 2011). On interannual timescales, storm 33 tracks change in response to the El Niño-Southern Oscillation (ENSO) cycle. During El 34 Niño years, the Pacific storm track shifts equatorward and downstream (Straus and Shukla 35 1997; Zhang and Held 1999; Eichler and Higgins 2006), while La Niña events drive a shift 36 in the opposite direction. The dynamics of the midlatitude storm tracks' response to ENSO 37 involve extratropical response to local enhancement of the Hadley circulation over the east-38 ern Pacific (Bjerknes 1969), and there are feebacks from ENSO-induced storm track changes 39 that play an important role in controlling the extratropical response to ENSO (Held et al. 40 1989). The Northern Hemisphere winter storm tracks also exhibit interdecadal variations 41 (Chang and Fu 2002). On centennial timescales, the CMIP3 and CMIP5 models, project 42 poleward migration and intensification of the Southern Hemisphere storm tracks in the  $21^{th}$ 43 century in response to green house gas changes (Chang et al. 2013a, 2012). The observa-44 tional, theoretical and modeling aspects of the midlatitude storm tracks on multiple time 45 scales have been extensively studied in the literature (see the review paper by Chang et al. 46 (2002)), but, what has not been assessed as broadly is the extent to which the dynamical 47 prediction system can predict seasonal storm track variations. 48

State-of-the-art dynamical seasonal prediction systems have demonstrated skill in forecasting oceanic, land surface temperature and precipitation in the retrospective forecasts (e.g., (Saha et al. 2006; Jia et al. 2014)), and the routine seasonal forecasts using dynam-

ical climate models have been provided to society and policy makers among the world-52 wide operational forecasting centers (Saha et al. 2006, 2014; Merryfield and Coauthors 2013; 53 Stockdale et al. 2011). The useful products derived from the seasonal forecasts have been 54 limited to the first moment of variables of interest, such as the seasonal mean land surface 55 temperature and precipitation, but the predictability of the second moment of variables of 56 interest, such as the variance statistics of extratropical storm tracks, has not been explored. 57 The second moment of a variable contains detailed distribution beyond the simple mean. 58 especially for the information related to extreme climate and weather events. Assessment 59 of the predictability of the second moment statistics would potentially enrich the seasonal 60 forecast information from a single mean to higher order and therefore provide more detailed 61 information for the users. In addition, examining the storm track predictability is a mea-62 sure of model fidelity, since storm tracks are symbiotically linked to the planetary-scale flow 63 (Cai and Mak 1990). In this study, we evaluate the predictability of extratropical storm 64 tracks in GFDL's high-resolution seasonal prediction system, which has been shown to pro-65 vide skillful seasonal forecasts of land surface temperature and precipitation (Jia et al. 2014), 66 tropical cyclones (Vecchi et al. 2014), and Arctic sea-ice extent (Msadek et al. 2014a). 67

In this study, we employ a method, called the average predictability time (APT) optimiza-68 tion (DelSole and Tippett 2009a,b; DelSole et al. 2011), to identify the predictable patterns 69 of storm tracks in the seasonal hindcasts. The APT has been used for identifying an internal 70 interdecadal predictable pattern of sea surface temperature in GFDL's decadal hindcasts 71 (Yang et al. 2013), and is capable of distinguishing the ENSO-driven seasonal signals from 72 anthropogenic forced response of land surface temperature in GFDL's seasonal hindcasts 73 (Jia et al. 2014). Our main goals are to identify the predictable patterns of storm tracks in 74 the hindcasts using APT, assess the prediction skill of those patterns and to understand the 75 mechanisms responsible for that predictability. Details of the hindcasts and observational 76 datasets are discussed in section 2. The methodology is reviewed in section 3. In section 4, 77 the predictable patterns for storm tracks are identified by APT analysis, the retrospective 78

<sup>79</sup> prediction skill is assessed using observations, and the role of mean flow predictability in
<sup>80</sup> storm track prediction is investigated. Conclusions and discussions are given in section 5.

# <sup>81</sup> 2. Model, Hindcast experiments and Observations

The high-resolution climate model explored here, GFDL-FLOR (Forecast-oriented Low 82 Resolution version of GFDL-CM2.5), is a combination of two previously described GFDL 83 coupled model configurations, namely CM2.1 (Delworth et al. 2006) and CM2.5 (Delworth et al. 84 2012). The atmosphere and land models have an approximately 50km by 50km spatial reso-85 lution, and are those used in GFDL-CM2.5 (Delworth et al. 2012), which have ocean models 86 at a  $0.25^{\circ} \times 0.25^{\circ}$  resolution. The ocean and sea ice components of the model are at  $1^{\circ} \times 1^{\circ}$ 87 resolution, based on those of GFDL-CM2.1, which has been used extensively for climate 88 research, predictions and projections for close to a decade. In this climate model, the ocean 89 component has been slightly altered from that of CM2.1 by incorporating a newer, higher 90 order advection scheme; an updated parameterization for eddies (Farneti et al. 2010); and 91 a more realistic representation of the solar absorption by the ocean. The resulting model, 92 FLOR, has most of its computational expense and resolution concentrated in the atmo-93 sphere and land components. A detailed description of the FLOR model can be found in 94 Vecchi et al. (2014) and Jia et al. (2014). 95

The seasonal hindcasts were initialized using the GFDL's ensemble coupled data as-96 similation (ECDA) system. The ECDA employs an ensemble-based filtering algorithm ap-97 plied to the GFDL-CM2.1. More details of ECDA can be found in Zhang et al. (2007) 98 and Zhang and Rosati (2010). The ECDA covers the period 1960 to present and is being 99 updated monthly for GFDL's seasonal-to-decadal experimental forecasts (Yang et al. 2013; 100 Vecchi et al. 2013; Msadek et al. 2014b). A comprehensive assessment of the 1960-2010 101 oceanic variability in the latest version of the ECDA can be found in Chang et al. (2013b). 102 As the data assimilation system for FLOR is under development, the initial conditions for the 103

ocean and ice components of the FLOR hindcasts are taken from the ECDA, while the initial 104 conditions for the atmosphere and land components are taken from FLOR atmosphere-only 105 simulations driven by observed SSTs. The 12-member ensemble seasonal hindcasts were 106 initialized on the  $1^{st}$  day every month from 1982 to 2014 and integrated for 12 months with 107 temporally varying anthropogenic and natural forcing. The seasonal hindcast anomalies for 108 each variable were obtained by subtracting out the lead-time dependent climotology from 109 hindcasts. For the historical forcing simulations, the 5 ensemble members using FLOR were 110 integrated using temporally varying anthropogenic and natural forcing from 1860 to 2013. 111 Note that the temporally varying anthropogenic and natural forcings between 1982 to 2014 112 are exactly the same for the historical forcing simulations and seasonal hindcasts. 113

The observational data used in this study are the sea level pressure (SLP), 2-meter air 114 temperature, 10-meter wind speeds, 300-hPa zonal winds and precipitation from the ERA-115 Interim reanalysis of the European Center for Medium-Range Weather Forecasts (ECMWF) 116 (Dee et al. 2011). The NINO3.4 index, the average SST anomaly in the region bounded 117 by 5°N to 5°S and from 170°W to 120°W, is calculated from the United Kingdom Mete-118 orological Office Hadley Centre's Global sea-Ice coverage and SST (HadISST 1.1) analyses 119 (Rayner et al. 2003). A rainy day is defined as a day with the daily precipitation exceeding 120 1 mm per day based on the WMO recommendation (Klein Tank et al. 2009). 121

The statistical significance test of the anomaly correlation coefficients (ACC) between observations and hinscasts is formed by the null hypothesis that ACC is 0, and we perform this test by determining whether the confidence interval for ACC contains 0. If the 95% confidence interval for ACC does not contain 0, we conclude that ACC is significant at 5% significant level.

# <sup>127</sup> 3. Review of Methodology

#### 128 a. Storm track statistics

To highlight synoptic time-scale variability, seasonal standard deviation statistics are computed using a 24-hour difference filter (Wallace et al. 1988), as follows:

$$std = \sqrt{\frac{1}{N} \sum_{t=1}^{N} \left[ v(t+24hr) - v(t) \right]^2},$$
(1)

where N is the sample size of each season, and v is a variable representing the storm track 131 activity. As discussed in previous studies (Chang and Fu 2002), this filter has half power 132 point at periods of 1.2 and 6 days, and results obtained based on this filter are very similar 133 to those obtained using other commonly used band-pass filters. Many different variables 134 are commonly used to measure storm-track activity, e.g., the meridional winds in different 135 vertical levels, 500-hPa geopotential height and SLP (Chang et al. 2002, 2012). Here we 136 use SLP for computing the storm track statistics, since our interest is in the surface storm 137 tracks. We compute the seasonal storm track indices using (1) from 6-hourly SLP for both 138 model hindcasts and ERA-Interim reanalysis. We only focus on the winter seasons of De-139 cember, January and February (DJF) for the northern hemisphere and July, August and 140 September (JAS) for the southern hemisphere. Instead of using conventional June, July 141 and August (JJA) months representing the southern hemisphere winter, JAS is used due 142 to its stronger split jet mean flow than JJA (Yang and Chang 2006) and its stronger field 143 significance of ENSO-teleconnected global temperature and precipitation patterns than JJA 144 (Yang and DelSole 2012). 145

#### <sup>146</sup> b. The average predictability time analysis

We employ the average predictability time (APT) optimization method to identify characteristic patterns of predictable components in the seasonal hindcasts. Complete details of APT can be found in DelSole and Tippett (2009a,b). Briefly, the method is to maximize APT, which is defined as the integral over lead time of the "signal to total" variance ratio of a forecast model

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$$APT = 2 \int_0^\infty \frac{\sigma_{signal}^2(\tau)}{\sigma_{total}^2} d\tau, \qquad (2)$$

where  $\sigma_{signal}^{2}(\tau)$  is the variance of the ensemble mean at fixed lead time  $\tau$ , and  $\sigma_{total}^{2}$  is the corresponding total variance of the forecast ensemble. For the ensemble forecasts, the signal and total covariance can be approximated by the corresponding ensemble covariances. Following DelSole and Tippett (2009a), maximizing APT in ensemble forecasts leads to the generalized eigenvalue problem

$$\left(2\sum_{\tau=1}^{L} \Sigma_{signal}(\tau)\right) \mathbf{q} = \lambda \Sigma_{total} \mathbf{q},\tag{3}$$

where L is the maximum forecast lead time, q is the desired projection vector,  $\Sigma_{signal}(\tau)$ 159 is the ensemble mean covariance matrix at the forecast lead time  $\tau$ , and  $\Sigma_{total}$  is the total 160 ensemble covariance matrix. The eigenvectors  $\mathbf{q}$  provide the basis for decomposing the 161 multivariate time series into a complete, uncorrelated set of components ordered such that 162 the first maximizes APT, the second maximizes APT subject to being uncorrelated with the 163 first, and so on. The eigenvalues of (3) correspond to the APT values of each component. 164 This decomposition based on APT is analogous to Empirical Orthogonal Function (EOF) 165 analysis, except that we decompose predictability instead of decomposing variance. 166

For solving the APT optimization problem (3) in practice, the data are first projected onto the leading principal components (PCs) (DelSole et al. 2011). We have a relatively long sample size of 3960 (i.e., 33 initial conditions, 12 ensemble members and 10 lead times), so the time series and patterns from APT are virtually independent of the number of PCs in the range of 20-40 PCs (not shown). We choose 30 PCs for displaying results for both SLP and 300-hPa zonal wind in the following.

Following DelSole et al. (2011), the statistical significance test of APT was estimated by Monte Carlo methods. The null hypothesis for the test is that the data are drawn from a white noise process. Accordingly, we generated a  $30 \times 3960$  data matrix by drawing independent random numbers from a normal distribution with zero mean and unit variance. The time dimension of the data was grouped as a set of 30 separate 10-season forecasts with 12 ensemble members each. 30 APT values were then determined. This procedure was repeated 1000 times to generate  $1000 \times 30$  APT values. The  $95^{th}$  percentile of the  $1000 \times 30$ APT values was then determined as the threshold values for statistical significance.

### $_{181}$ 4. Results

#### <sup>182</sup> a. Mean flow and storm track climatology

Since mid-latitude storm tracks interact with the large-scale mean flow through the wave-183 mean flow interactions, we first examine the model's capability of reproducing the observed 184 climatological mean flow and storm tracks. Fig. 1 shows mean 300-hPa zonal winds for 185 DJF and JAS in observation and FLOR hindcasts. The pattern anomaly correlation coef-186 ficent and root mean square error between hindcasts and observation are 0.98 and 2.6 m 187 s<sup>-1</sup> respectively for DJF, and 0.98 and 2.8 m s<sup>-1</sup> respectively for JAS. The high spatial cor-188 relation coefficients indicate that the model is able to reproduce the geographic feafures of 189 the observed climatological storm tracks in both seasons. Compared to observations, the 190 hindcasts reasonably simulate the location and intensity of the North Africa-East Asia jet, 191 the North Pacific jet, and the North Atlantic jet in the DJF season. The remarkable zonal 192 asymmetry of the DJF jet intensity in the Southern Ocean (i.e., the weaker jet stream in 193 the South Pacific and the stronger jet in the South Indian and South Atlantic Ocean), is 194 well reproduced in the hindcasts. In the JAS season, the model reproduces the observed 195 location and intensity of jets in the North Pacific and the North Atlantic. It is worth noting 196 that the split structure of the Southern Hemisphere winter jet is faithfully reproduced by 197 the model (i.e., the model reproduces the strong subtropical jet extending from the central 198 South Indian Ocean across Australia to the east-central South Pacific Ocean between 20°S 199

and 40°S the polar front jet concentrated along 60°S in the South Pacific and a zone of weak westerlies centered in the New Zealand).

Fig. 2 shows mean storm tracks for DJF and JAS in observation and FLOR hindcasts. 202 The spatial root mean square errors between hindcasts and observation are 0.5 and 0.9203 hPa for DJF and JAS respectively. The spatial anomaly correlation coefficients between 204 hindcasts and observation are 0.99 and 0.98 for DJF and JAS respectively, suggesting the 205 high agreement between these two climatological fields. In the DJF season, the location, 206 orientation and intensity of the North Pacific, the North American, the North Atlantic, 207 Eurasia continent as well as Southern Hemisphere storm tracks are well simulated by the 208 model, although the maxima of the North Pacific, the North Atlantic and the South Pacific 209 storm tracks in the model are generally weaker than those in observations. In the JAS season, 210 consistent with reproducing the split jet seen in Fig. 1, the model is capable of reproducing 211 the observed location and intensity of the South Atlantic and southern Indian Ocean storm 212 tracks and the poleward migration of the South Pacific storm tracks. 213

#### 214 b. Predictable patterns of Storm tracks

We first apply APT analysis to the storm tracks for the DJF and JAS seasons. The APT values for the two seasons are shown in Fig. 3. Based on the Monte Carlo statistical test described in Section 3b, the first 3 components have statistically significant APT values for DJF and JAS respectively. However, only the two leading components have multi-season predictive skill verified against observations, so we only focus on the two leading components in this study.

221 1) THE DJF SEASON

The component with the maximum APT for the DJF season is shown in Fig 4a. The pattern generally shows an equatorward shift of the north Pacific and north Atlantic storm

tracks, the south Atlantic and Indian ocean storm tracks, weakening of the north America 224 and the south Pacific storm tracks. Note that the amplitudes of the pattern are considerably 225 large in the North America (over 0.7 hPa). The APT value for this component is 14.7 months, 226 and the fraction of global variance explained by this component is about 7.8% (Fig. 3). The 227 spatial distribution of the fraction of variance explained by the leading component is shown 228 in Fig. 4b. We see that the leading predictable component explains as much as 35% of the 229 variance in the North America, and 15% of the variance in the Southern Atlantic and Pacific 230 Oceans. These results show that the leading predictable components explain a significant 231 amount of variance in certain geographic locations. 232

The time series of the leading APT as a function of initialized years from 1982 to 2014 233 are shown in Fig. 4c for lead times 1-5 and 6-10 respectively. To assess the forecast skill 234 of the component, we project the ERA-Interim data onto the eigenvector  $\mathbf{q}$  with maximum 235 APT from (3) to obtain the observed time series, which are indicated by the solid black 236 line in Fig. 4c. The observed time series is highly correlated with the observed NINO3.4 237 index with the correlation coefficient of 0.79, suggesting this pattern is ENSO-related. The 238 anomaly correlation coefficients (ACC) between forecasts and observations as a function of 239 initial months, shown in Fig. 4d, generally decrease with the lead time, but are statistically 240 significant up to 9 months of lead time at 5% significance level. The skill of predicting this 241 storm track pattern is generally lower than the skill of predicting ENSO itself (Fig. 4c), 242 but they have a similar pattern over the initial months, i.e., the sharp decrease of ACC 243 starting from early summer (June) to early Spring (March) initial conditions. The decrease 244 in predictability over the summer is consistent with the known "spring barrier" of predicting 245 ENSO and ENSO-related land temperature and precipitation patterns (Barnston et al. 2012; 246 Jia et al. 2014). 247

The leading predictable pattern of the storm track variability is consistent with the ENSO-teleconnected storm track patterns reported in previous modeling and observational studies (Straus and Shukla 1997; Zhang and Held 1999; Eichler and Higgins 2006). The advance of this study is that the ENSO-related pattern is not only successfully identified in seasonal hindcasts using APT analysis, but this pattern as a whole may be retrospectively predictable up to 9 months in advance at the 5% significance level in GFDL's fully-coupled high-resolution seasonal forecasting system.

The second predictable component (PrC2) for the DJF seasonal hindcasts, shown in 255 Fig 5a, generally shows a poleward shift and strengthening of the Southern Hemisphere (SH) 256 storm tracks and weakening of the North Atlantic storm tracks. The APT value for this 257 component is 6.4 months, and the fraction of global variance explained by this component 258 is about 2.8%. The spatial distribution of the fraction of variance explained by PrC2 is 259 shown in Fig. 5b. We see that PrC2 explains as much as 12.5% of the variance in the middle 260 and high latitudes of Southern Oceans. These results show that PrC2 explains comparable 261 amount of variance in certain geographic locations with that of PrC1. The time series of this 262 component as a function of initialized years from 1982 to 2014 are shown in Fig. 5c for lead 263 times 1-5 and 6-10 respectively. The ACC between forecasts and observations as a function 264 of initial months, shown in Fig. 5d, are statistically significant up to 10 month of lead time 265 at 5% significance level, but independent of the lead time. 266

The time series of the PrC2 in the DJF season exhibits a multi-decadal increasing trend 267 in the hindcasts as well as observations (Fig. 5c), and the associated pattern in the SH bears 268 remarkable similarity with the linear trend pattern in FLOR's historical forcing experiment 269 (Fig. 6a), suggesting the signal in the SH is mostly the response to the changes in exter-270 nal radiative forcings. The pattern is also consistent with CMIP5 models' projections of 271 poleward expansion and strengthening of the SH storm track at the surface (Chang et al. 272 2012). However, the weakening of the North Atlantic storm track is opposite to the linear 273 trend pattern in the historical forcing experiment, and we speculate that it may be linked 274 to the Atlantic Multidecadal Oscillation (AMO) phase transition from cold to warm in the 275 last 30 years, since the warm phase of AMO tends to weaken the North Atlantic storm track 276 (Zhang and Delworth 2007). Thus, both the radiative forcing and multidecadal oceanic 277

variability could contribute to the seasonal predictability of the DJF storm tracks.

#### 279 2) The JAS season

The pattern of the PrC1 for the JAS seasonal hindcasts, shown in Fig 7a, generally shows 280 a poleward shift of the South Atlantic storm tracks, a tripole structure with weakening of 281 storm track activity between 40°S and 60°S and strengthening between 30°S and 40°S in the 282 South Pacific, and strengthening of storm tracks in the west Antarctic continent. The APT 283 value for this component is 10.5 months, and the fraction of global JAS variance explained 284 by this component is about 5.5%. This component explains as much as 25% of the variance 285 in the South Pacific Ocean (Fig. 7b), suggesting its significant contribution of predictability 286 in certain geographic locations. 287

The time series of the leading APT mode as a function of initialized years from 1982 to 288 2014 are shown in Fig. 7c for lead times 1-5 and 6-10 respectively. Like the DJF PrC1, the 289 observed time series of the JAS PrC1 is highly correlated with the observed NINO3.4 index 290 with the correlation coefficient of 0.82, suggesting this pattern is ENSO-related. The ACC 291 between forecasts and observations as a function of lead time, shown in Fig. 7d, generally 292 shows a sharp decrease from June to February initial conditions for both PrC1 and NINO34 293 index, although they are significant at 5% significance level over all the lead times. Again, it 294 is likely related to the spring barrier of ENSO prediction. Compared with the DJF season, 295 the skill of predicting the PrC1 and ENSO in JAS is consistently lower at each lead time. 296

The ENSO-related storm track pattern is consistent with the observed Antarctic Dipole mode associated with ENSO (Yuan 2004). Note that the maximum amplitude center of the pattern locates in the zone where the climatological jet and storm tracks are weaker than the surrounding area in the South Pacific (see Fig. 1 and 2), so the leading predictable pattern of the JAS storm track in the SH is distinct from the storm track pattern associated with the leading atmosphere internal mode - the southern annular mode (Yang and Chang 2007). The second predictable component (PrC2) for the JAS season, shown in Fig. 8a, generally

shows a band of increase between 30°S and 70°S in the South Atlantic, South India Ocean 304 and western South Pacific. The APT value for this component is 8.1 months, and the fraction 305 of explained variance by this component is about 4.3%. The time series of this component as 306 a function of initialized years from 1982 to 2014 are shown in Fig. 8b for lead times 1-5 and 307 6-10 respectively. The time series of the PrC2 in the JAS season exhibits a multi-decadal 308 increasing trend in the hindcasts as well as in the observations. The ACC between hindcasts 309 and observations as a function of initial months, shown in Fig. 8c, are statistically significant 310 at 5% significance level for 6 out of 10 initial months. 311

The storm track pattern of the JAS PrC2 bears similarity with the linear trend pattern 312 in the historical forcing experiment (Fig. 6b), but the amplitude is much stronger in the 313 hindcasts than the historical forcing experiment, implying that this component is partly the 314 response to the changes in external radiative forcings. In addition, the linear trend in the 315 observed time series of the JAS PrC2 with a slope of 0.3 unit variate per decade is much 316 weaker than the counterpart of the DJF PrC2 with a slope of 0.8 unit variate per decade 317 (Fig. 5b and 8b), resulting in the lower skill of predicting the trend pattern in JAS than 318 DJF. 319

The above analysis based on APT decomposed predictable components with different time scales and mechanisms for the storm track, i.e., the ENSO-related component on interannual scales and the externally-forced trend component on multi-decadal scales, suggesting that both the forced component and the unforced internal variability contribute to seasonal predictions of mid-latitude storm tracks. This is consistent with the fact that seasonal predictions of land 2m air temperature can be attributed to both the forced component and the unforced (i.e., ENSO) component relating to internal variability (Jia et al. 2014).

#### 327 3) HINDCAST FOR 2013-2014 DJF SEASON

The APT analysis finds features that systematically maximize the average predictability over all lead times, so the identified predictable components (e.g., the ENSO-related compo-

nent and the multidecadal trend component) tend to persist over multiple seasons. However, 330 there can be years that exhibit skill, even though the drivers of the APT features are not 331 the principal sources of skill, e.g., in non-ENSO years. The 2013-2014 DJF season provides 332 an example of this, as it was not a classic ENSO year and the observed storm tracks over 333 the North America were enhanced (Fig. 9a). In 2013-2014 DJF, there was a pronounced 334 reduction of storm track activity over the North Pacific ocean and the west coast of the 335 United States, and a substantial increase of storm track activity extending from central 336 Canada down to the Midwestern United States. This pattern differs from the classical cold 337 ENSO pattern, and though the nominal NINO3 anomalies in 2013-14 winter were cold, it 338 did not exhibit canonical ENSO anomalies in the eastern tropical Pacific. Nevertheless, the 339 FLOR hindcasts initialized on  $1^{st}$  November, 2013 reproduce the principal aspects of the 340 observed storm track anomalies (Fig. 9b), although the ensemble mean amplitudes are much 341 weaker than the observations. The ensemble-mean forecast is compromised of some ensem-342 ble members that bear more and others less similarity to observations, with the ensemble 343 mean showing the largest correlation with observation (Fig. 10). However, we show a "best" 344 ensemble member which looks similar to observation by visual inspection in Fig. 9c. This 345 member was able to reproduce the location and extreme amplitudes of the observed storm 346 track anomalies, indicating that the observed extreme anomalies were in the forecast spread. 347 The ability of the ensemble mean to recover the large observed correlation indicates a pre-348 dictable element to this particular winter's storm tracks, but the ensemble spread indicates 349 that the extreme values involved a stochastic element. To further examine the relationship 350 among forecast ensembles and observation, we plot the anomalies of each member, ensem-351 ble mean and observations for one center of positive anomalies over the midwestern North 352 America and the other center of negative anomalies in the North Pacific in Fig. 10. For 353 both locations, the ensemble spreads are quite large while the observed anomalies are within 354 the ensemble spread, suggesting that the uncertainty to the initial conditions is large and a 355 sufficient mount of ensemble size is required for retrieving the signal for this case. 356

We note that the hindcasts initialized on  $1^{st}$  October 2013 show less agreement with observations, and there is almost no skill for the hindcasts initialized on  $1^{st}$  September 2013 and earlier (not shown). Therefore, the skill for this year was limited to one to two months lead. A more detailed exploration of the mechanisms and sources of the predictability for this case is underway, and including additional experiments will be described in the future.

#### <sup>362</sup> c. Roles of mean flow in storm track predictability

Mid-latitude storm track variations are symbiotically linked to the planetary-scale flow 363 changes and their associated eddy-mean flow interactions (Cai and Mak 1990; Branstator 364 1995). The dynamical processes governing ENSO-induced storm track predictability include 365 representing the the planetary-scale flow associated with anomalous tropical heating and 366 the eddy-mean flow interactions (Held et al. 1989). In general, a corresponding shift in the 367 storm track structure will accompany an anomaly in the mean jet flow. We have shown that 368 the ENSO-induced storm track pattern is predictable up to multiple seasons in advance in 369 the GFDL high-resolution prediction system. To further confirm that the predictive skill 370 arises from the consistent dynamical processes associated with the ENSO-induced forcing, 371 we examine the predictable patterns for the 300 hPa zonal winds. 372

Fig 11a shows the leading predictable pattern of DJF 300 hPa zonal winds. In the trop-373 ics, the pattern shows easterly anomalies in the eastern tropical Pacific Ocean and westerly 374 anomalies in the tropical Atlantic Ocean, resembling a Gill-type response to ENSO-induced 375 heating anomalies (Gill 1980; Jin and Hoskins 1995). In the NH subtropics and midlat-376 itudes, the pattern shows a strong dipole with strengthening of the subtropical jet and 377 weakening of the mid-latitude jet extending from the North Pacific across North America to 378 the North Atlantic, reminiscent of the Pacific-North American teleconnection pattern (PNA) 379 (Wallace and Gutzler 1981) in the upper troposphere jet field. The pattern in the South Pa-380 cific also shows a strong dipole with strengthening of the subtropical jet and weakening of 381 the mid-latitude jet, while a weak dipole shifting jet equatorward extends from the South 382

Atlantic to the South India. The APT value for this component is 17.9 months, and the fraction of global variance explained by this component is about 23.8%. The spatial distribution of the fraction of variance explained by this component also shows strong geographic locations, e.g., the fraction is as much as 50% in tropics and subtropics, and 30% in the extropics of North America (Fig. 11b).

The observed and hindcasted time series of the leading APT as a function of initialized 388 years from 1982 to 2014 are shown in Fig. 11c for lead times 1-5 and 6-10 respectively. The 389 observed time series is very strongly correlated with the observed NINO3.4 index with the 390 correlation coefficient 0.96, suggesting this pattern is ENSO-related. The skill of predicting 391 this 300 hPa zonal wind pattern is almost tantamount to the skill of predicting ENSO itself. 392 The second predictable pattern of the DJF 300-hPa zonal wind also exhibits a poleward shift 393 and strengthening of the SH mid-latitude jet (not shown), which is consistent with the PrC2 394 of the SH storm track. 395

Fig 12a shows the leading predictable pattern of the JAS 300-hPa zonal winds. In the 396 tropics, the pattern also shows a similar Gill-type response wind pattern to ENSO-induced 397 heating anomalies as the DJF pattern. In the subtropics and midlatitudes, the maximum 398 loadings of the pattern locate in the South Pacific with strengthening of the subtropical 399 jet and the weakening of mid-latitude jet. The patterns in the South Atlantic and South 400 Indian Oceans are generally of opposite signs to that in the South Pacific, although the 401 associated amplitudes are much weaker. The zonal wind pattern is consistent with the 402 observed wavetrain pattern associated with ENSO in the SH winter (Karoly 1989) and the 403 observed Antarctic Dipole mode associated with ENSO (Yuan 2004). The APT value for this 404 component is 15.7 months, and the fraction of global variance explained by this component is 405 about 15.3%. This component explains as much as 50% in certain tropical and subtropical 406 areas, and 30% in the South Pacific (Fig. 12b). Compared to the DJF season, the APT 407 value and the fraction of global variance explained by PrC1 are consistently lower in JAS, 408 suggesting the strong seasonal variations of the ENSO-teleconnected 300-hPa zonal wind 409

410 predictability.

The observed and hindcasted time series of the leading APT as a function of initialized years from 1982 to 2014 are shown in Fig. 12b for lead times 1-5 and 6-10 respectively. Again, the observed time series is very strongly correlated with the observed NINO3.4 index with the correlation coefficient of 0.91 (Fig. 12b), suggesting this pattern is also strongly ENSOrelated. The skill of predicting this 300 hPa zonal wind pattern is generally comparable with the skill of predicting ENSO itself.

In summary, the leading predictable 300-hPa zonal wind patterns are dynamically consistent with the leading storm track patterns reported in Section 4b for both DJF and JAS seasons, and they are all related to ENSO. For instance, in DJF, the equatorward jet shift is accompanied by its storm track shift in the North Pacific, and the jet weakening is associated with a storm reduction in the mid and high latitudes of North America; in JAS season, the jet weakening is consistent with a storm reduction in the zone between subtropical and polar front jets in the South Pacific.

#### 424 d. Impact on extreme events

The increase (reduction) of seasonal storm tracks enhances (reduces) the weather distur-425 bances (both cyclones and anticyclones), so the storm track changes associated with ENSO 426 are characterized by changes in second-moment statistics (e.g., width of distribution) of 427 weather-relevant variables (e.g., SLP, temperature, surface winds and precipitation). Since 428 the ENSO-related storm track patterns are to some extent predictable in the model, we 429 expect the corresponding second-moment statistics changes to be predictable. We use the 430 percentile statistics as a measure of the distribution. Here, we examine the  $1^{st}$ ,  $50^{th}$  and 431 99<sup>th</sup> percentile values of SLP, temperature, wind and precipitation in the DJF season for 5 432 year composites of El Niño (1982,1986, 1991, 1997 and 2009) and La Niña (1988, 1999, 2000, 433 2007 and 2010) respectively. The year here refers to the year of the January. 434

435 We focus on the crossline with maximum storm track predictable signals which extends

from northwestern Canada to the midwestern USA (Fig. 13a). The  $1^{st}$ ,  $50^{th}$  and  $99^{th}$  per-436 centile values of SLP, calculated from the 6-hourly model and ERA-Interim data during the 437 composite El Niño years and La Niña years along the crossline are shown in Fig 13. The 438 model predicts more (less) extreme  $99^{th}$  and  $1^{st}$  percentile values of SLP during La Niña (El 439 Niño) years. The  $99^{th}$  percentile SLP values changing with the ENSO phases are in good 440 agreement between observations and model simulations. The predicted  $50^{th}$  percentile values 441 changing with ENSO agree with observations only in the western half of the crossline, while 442 the predicted  $1^{th}$  percentile values agree with observations only in the eastern half of the 443 crossline. The distribution width changes of SLP associated with ENSO tend to skew to the 444 anticyclone extremes in both the ERA-Interim reanalysis and model hindcasts, especially in 445 the western half of the crossline. Note that the similar changes of extreme percentile values 446 associated with ENSO phases were found in other short lead hindcasts (e.g., initialized later 447 than 1<sup>st</sup> June of the composite years), and the changes are virtually indistinguishable for the 448 hindcasts initialized earlier than 1<sup>st</sup> July of the composite years (not shown). 449

The similar percentile values for the 2-m air temperature (T2m), 10-m wind speed 450 (W10m) and the daily precipitation are shown in Fig 14. The model and observation agree 451 well on the decreased (increased)  $50^{th}$  and  $1^{st}$  percentile values of T2m during La Niña (El 452 Niño) years, while there is no agreement between the model and observation for the  $99^{th}$ 453 percentile values changing with the ENSO phases. For W10m, there is a general agreement 454 between model and observation on the increased (decreased)  $50^{th}$  and  $99^{th}$  percentile values 455 during La Niña (El Niño) years, although the contrast between the two phases of ENSO 456 for 99<sup>th</sup> percentile values is weaker in observations. The daily precipitation's 99<sup>th</sup> percentile 457 value changes associated with ENSO phases are in low agreement between model and ob-458 servation, although the simulated daily precipitation shows a very slight coherent increased 459 (decreased) 99<sup>th</sup> percentile values during La Niña (El Niño) years. Interestingly, model and 460 observations generally agree on more rainy days during La Niña years than El Niño years 461 (Fig. 15). 462

The percentile value changes of the meteorological variables associated with the ENSO 463 phases are dynamically consistent with the corresponding predictable storm track changes 464 over North America. During La Niña (El Niño) years, enhanced (reduced) storm tracks 465 correspond to increased (decreased) anticyclones and cyclones over North America, leading 466 to a broader (narrower) distribution width of SLP, i.e., the larger (smaller) 99<sup>th</sup> percentile 467 values and smaller (larger) 1<sup>st</sup> percentile values. The distribution width changes of SLP tend 468 to skew to the anticyclone extremes in both the ERA-Interim reanalysis and model hindcasts. 469 Consequently, the distribution width changes of T2m skew to the cold temperature extremes, 470 since an extreme cold event is generally linked to an extreme anticyclone with a cold front 471 on its leading edge. The model also predicts coherent 10-m wind speed, daily precipitation 472 extremes and rainy day ratio changes associated with ENSO. Thus, the high-resolution model 473 is capable of providing the extreme-related second-order statistical information beyond a 474 single mean for seasonal forecasts. 475

# 476 5. Conclusions

The seasonal predictability of extratropical storm tracks in GFDL's high-resolution sea-477 sonal hindcasts has been investigated using APT analysis. For both DJF and JAS seasons, 478 the leading predictable storm track patterns are ENSO-related. The positive phase of the 479 DJF pattern generally shows an equatorward shift of the North Pacific and North Atlantic 480 storm tracks, as well as of the South Atlantic and Indian ocean storm tracks, and weakening 481 of the North America and the South Pacific storm tracks. Over 1980-2013, the whole pattern 482 is retrospectively predictable up to 9 months in advance at the 5% significance level. The 483 positive phase of the JAS pattern is characterized by a poleward shift of the South Atlantic 484 storm tracks, a dipole structure with weakening of storm track activity between 40°S and 485 60°S and strengthening between 30°S and 40°S in the South Pacific, and strengthening of **4**86 storm tracks in the western Antarctic continent. The retrospective predictive skill of the 487

<sup>488</sup> JAS pattern is generally lower than that of the DJF pattern.

The positive phase of the second predictable component for the DJF seasonal hindcasts 489 generally shows a poleward shift and strengthening of the Southern Hemisphere storm tracks 490 and weakening of the North Atlantic storm tracks. The second mode's time series is dom-491 inated by a multi-decadal trend in both hindcasts and observations, corresponding to the 492 response to changes in external forcing and AMO phases. The second predictable component 493 for the JAS season generally shows a band of increased storm activity between 30°S and 70°S 494 in the South Atlantic, South India Ocean and the western part of the South Pacific, and the 495 associated time series is trend-like, although the trend signal is weaker than during the DJF 496 season. The significant role of radiative forcing to the seasonal prediction is also seen in the 497 land surface temperature predictability using the same model (Jia et al. 2014), suggesting 498 seasonal climate prediction is a joint initial-boundary value problem. 499

The ENSO-related leading predictable storm track component is dynamically consistent with the leading predictable component of the 300-hPa zonal wind during both DJF and JAS seasons. For example, the equatorward jet shift in the DJF predictable mode is accompanied by a similar storm track shift in the North Pacific, while the jet weakening is associated with the storm reduction in the mid and high latitudes of North America; in JAS, the jet weakening has a storm reduction in the zone between the subtropical and polar front jets in the South Pacific.

The fraction of global variance explained by each predictable component for both seasons is generally lower than about 10%, however, the predictable components can explain a substantially large amount of the variance over broad geographic regions. For example, the leading predictable component of storm tracks in DJF explains as much as 35% of the variance over much of North America.

The FLOR model was able to retrospectively predict the meteorological variable extreme changes associated with ENSO over the region with the maximum predictable storm track signals in North America (Section 4d). During La Niña (El Niño) years, enhanced (reduced)

storm tracks correspond to increased (decreased) anticyclones and cyclones, which lead to 515 a broader (narrower) distribution width of SLP, i.e., the larger (smaller) 99<sup>th</sup> percentile 516 values and smaller  $(larger)1^{st}$  percentile values. The changes in distribution width of SLP 517 tend to skew to the anticyclone extremes in both the ERA-Interim reanalysis and model 518 hindcasts. Consequently, the shape of the probability density of T2m changes so as towards 519 skew to cold temperature extremes, since an extreme cold event is generally linked to an 520 extreme anticyclone. The model also predicts coherent shifts in the statistics of extremes 521 of 10-m wind speed, daily precipitation extremes and rainy day ratio changes associated 522 with ENSO. Hence, as it has been able to do in the tropics when focusing on tropical 523 cyclones (Vecchi et al. 2014), this high-resolution model is capable of providing higher-order 524 statistical information related to extremes, thus enriching the seasonal forecast products for 525 the research community and decision makers beyond the seasonal mean. 526

The analyzed seasonal predictability of extratropical storm tracks may be subject to the forecast model and the initialization methodology used. Further improvements in predictive skill of extratropical storm tracks are expected when the seasonal prediction system directly uses the FLOR as the data assimilation model.

#### <sup>531</sup> Acknowledgments.

We thank Lucas Harris and Liping Zhang for helpful reviews of an earlier draft. We thank Isaac Held for insightful discussions about this research which lead to improvements and clarifications. This research was supported by the Visiting Scientist Program at the National Oceanic and Atmospheric Administration's Geophysical Fluid Dynamics Laboratory, administered by the University Corporation for Atmospheric Research. This research was partly supported by the Disaster Recovery Act of 2013.

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# **List of Figures**

640	1	The climatological 300-hPa zonal winds for DJF in model a) and observation b), and JAS in me	
641	2	The climatological storm tracks measured by the standard deviation of 24-hour-difference filtered	
642	3	a) The APT values and b) the associated fraction of explained variance using 30 leading PCs for	
643	4	a) The spatial structure of the predictable component (shading) that maximized the average pre-	
644	5	a) The spatial structure of the second predictable component (shading, in hPa per unit standard	
645	6	The linear trend pattern of storm tracks (shading) derived from the 5-member historical forcing	
646	7	As in Fig. 4, but for the JAS season.	34
647	8	As in Fig. 5, but for the JAS season.	35
648	9	a) The observed storm track anomalies (shading) for the 2013-2014 DJF season	. The ensemble n
649	10	The box and whisker plots for the pattern correlation coefficients (left column) between each ens	
650	11	a) The spatial structure of the leading predictable component (shading) that m	aximized the aver
651	12	As in Fig. 11 but for the JAS season.	39
652	13	a) The spatial structure of the leading predictable component (shading) that m	aximized the aver
653	14	The $1^{st}$ (dots), $50^{th}$ (solid) and $99^{th}$ (dashed) percentile values of 6-hourly 2-m air temperature (	
654	15	The rainy day ratio during the composite El Niño years (red) and La Niña year	s (blue) for obser



FIG. 1. The climatological 300-hPa zonal winds for DJF in model a) and observation b), and JAS in model c) and observation d). The shading interval is 5 m s<sup>-1</sup>. Note the model climatology is also averaged over all lead times for displaying purpose.



FIG. 2. The climatological storm tracks measured by the standard deviation of 24-hourdifference filtered sea-level-pressures for DJF in model hindcasts a) and observation b), and JAS in model hindcasts c) and observation d). The shading interval is 2 hPa.



FIG. 3. a) The APT values and b) the associated fraction of explained variance using 30 leading PCs for the 24-hour difference filtered SLP in DJF and JAS. Solid line is the 5% significance level of the APT values.



FIG. 4. a) The spatial structure of the predictable component (shading) that maximized the average predictability time of storm tracks in the seasonal hindcasts for the DJF season, which is called PrC1. The black contour denotes the climatological storm tracks (in hPa). The shading unit is hPa per unit standard deviation. b) Percent of variance of storm tracks explained by PrC1. c) The ensemble mean time series of PrC1 averaged over lead time 1-5 months (red solid) and 6-10 months (blue solid) as a function of time, the time series of the ERA Interim data projected onto PrC1 (black solid), and the NINO34 index (green solid) from 1982 to 2014. d) The anomaly correlation coefficients (ACC) between forecasts and observations (red squares) and associated 95% error bars as a function of initial month. The green line denotes the ACC for the NINO34 index.



FIG. 5. a) The spatial structure of the second predictable component (shading, in hPa per unit standard deviation) that maximized the average predictability time of storm tracks in the hindcasts for the DJF season, which is called PrC2. The black contour denotes the climatological storm tracks (in hPa). b) Percent of variance of storm tracks explained by PrC2. c) The ensemble mean time series of PrC1 averaged over lead time 1-5 months (red solid) and 6-10 months (blue solid) as a function of time, the time series of the ERA Interim data projected onto PrC1 (black solid). d) The anomaly correlation coefficients (ACC) between forecasts and observations (red squares) and associated 95% error bars as a function of the forecast lead time.



FIG. 6. The linear trend pattern of storm tracks (shading) derived from the 5-member historical forcing simulations of FLOR from 1981 to 2013 for the DJF (a) and JAS (b) seasons. The shading unit is hPa per unit standard deviation. The black contour denotes the climatological storm tracks (in hPa).



FIG. 7. As in Fig. 4, but for the JAS season.



FIG. 8. As in Fig. 5, but for the JAS season.



FIG. 9. a) The observed storm track anomalies (shading) for the 2013-2014 DJF season. The ensemble mean b) and one "best" member c) of the predicted storm track anomalies initialized on  $1^{st}$  November 2013. The black contour denotes the climatological storm tracks. Units are hPa.



FIG. 10. The box and whisker plots for the pattern correlation coefficients (left column) between each ensemble member and observed storm track anomalies over the North Pacific and North American region (25°N-70°N, 150°W-50°W), the spatial mean storm track anomalies of each ensemble member and ensemble mean for one region (35°N-60°N,110°W-90°W) over the midwestern North America (middle column) and the other (35°N-50°N, 140°W-120°W) in the North Pacific (right column) respectively. The ensemble mean values are denoted as the red cycle symbols, and the observed values are denoted as the black cross symbols. The hindcast is the same as Fig. 9.



FIG. 11. a) The spatial structure of the leading predictable component (shading) that maximized the average predictability time of 300-hPa zonal winds in the hindcasts for the DJF season, which is called PrC1. The black contour denotes the climatological 300-hPa zonal winds (in m s<sup>-1</sup>). The shading unit is m s<sup>-1</sup> per unit standard deviation. b) Percent of variance of storm tracks explained by PrC1. c) The ensemble mean time series of PrC1 averaged over lead time 1-5 months (red solid) and 6-10 months (blue solid) as a function of time, the time series of the ERA Interim data projected onto PrC1 (black solid). d) The anomaly correlation coefficients (ACC) between forecasts and observations (red squares) and associated 95% error bars as a function of the forecast lead time. The green line denotes the ACC for the NINO34 index.



FIG. 12. As in Fig. 11 but for the JAS season.



FIG. 13. a) The spatial structure of the leading predictable component (shading) that maximized the average predictability time of storm tracks in the hindcasts for the DJF season. The black contour denotes the climatological storm tracks (in hPa). The  $1^{st}$  (dots),  $50^{th}$  (solid) and  $99^{th}$  (dashed) percentile values of 6-hourly SLP in the composite El Niño years (red) and La Niña years (blue) for observation b) and model c) along the crossline with maximum storm track predictable signals (the thick line in a). Note the hindcasts shown here were initialized on  $1^{st}$  December of the composite years.



FIG. 14. The  $1^{st}$  (dots),  $50^{th}$  (solid) and  $99^{th}$  (dashed) percentile values of 6-hourly 2-m air temperature (top), 10-m wind speed (middle) and daily precipitation (bottom) in the composite El Niño years (red) and La Niña years (blue) for observation (left) and model (right) in the crossline with maximum storm track predictable signals (the heavy line in the upper panel of Fig. 13). Note the hindcasts shown here were initialized on  $1^{st}$  December of the composite years.



FIG. 15. The rainy day ratio during the composite El Niño years (red) and La Niña years (blue) for observation (left) and model (right) in the crossline with maximum storm track predictable signals (the heavy line in the upper panel in Fig. 13).