- Predicting the Atlantic Meridional Overturning
- ² Circulation (AMOC) Variability Using Subsurface ³ and Surface Fingerprints

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In this study, a linear statistical predictive model of observed fingerprints 4 of Atlantic Meridional Overturning Circulation (AMOC) variability is de-5 veloped, which predicts a weakening of AMOC strength in the coming years. 6 Recent studies have suggested that the leading modes of North Atlantic sub-7 surface temperature (Tsub) and sea surface height (SSH) anomalies are in-8 duced by AMOC variations and can be used as fingerprints of AMOC vari-9 ability. Here, we show that in the GFDL coupled general circulation model 10 assimilated with observed subsurface temperature data, including recent Argo 11 network data (2003-2008), the leading mode of the North Atlantic Tsub anoma-12 lies is similar to that found with the objectively analyzed Tsub data and highly 13 correlated with the leading mode of altimetry SSH anomalies for the period 14 1993-2008. A statistical auto-regressive (AR) model is fit to the timeseries 15 of the leading mode of objectively analyzed detrended North Atlantic Tsub 16 anomalies (1955-2003) and is applied to assimilated Tsub and altimetry SSH 17 anomalies to make predictions. A similar statistical AR model, fit to the time-18 series of the leading mode of modeled Tsub anomalies from the 1000-years 19 GFDL CM2.1 control simulation, is applied to modeled Tsub, SSH, and AMOC 20 anomalies to make predictions. The two AR models show comparable skills 21 in predicting observed Tsub and modeled Tsub, SSH and AMOC variations. 22

1. Introduction

Recent studies have demonstrated tele-connections between the North Atlantic and 23 regional climate variability at multidecadal timescales [e.g. Enfield et al., 2001; Knight 24 et al., 2006; Zhang and Delworth, 2006]. Low frequency variability in the North Atlantic 25 is often thought to be linked to Atlantic Meridional Overturning Circulation (AMOC) 26 variability [Delworth and Mann, 2000; Knight et al., 2005; Zhang, 2008]. Griffies and 27 Bryan [1997] have shown that AMOC variations provide decadal predictability of simu-28 lated North Atlantic variability. However, estimating the AMOC variability has been a 29 major challenge. Instantaneous surveys across 25°N suggest a long-term slowdown of the 30 AMOC [Bryden et al., 2005], but these snapshots could be aliased by large intra-annual 31 variations [Cunningham et al., 2007]. To reconstruct the past variability of the AMOC 32 when no direct observations are available, as well as to evaluate future AMOC impacts, 33 it will be very useful to develop fingerprints for AMOC variations. The fingerprints need 34 to be quantities that can be derived from both climate models and observations. The 35 development of AMOC fingerprints would link the ocean circulation with variables that 36 are observed extensively. The identification of such AMOC fingerprints will contribute to 37 the interpretation of AMOC variations, and improve assessments of the impacts of AMOC 38 variability on global climate change. 39

Previous studies have suggested that basin averaged North Atlantic sea surface temperature (SST) anomalies could be taken as a fingerprint of the multidecadal AMOC variability [Latif et al., 2004; Knight et al., 2005]. The anti-correlated relationship between the tropical North Atlantic SST and subsurface temperature anomalies has also

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been shown as a signature of the AMOC variability [Zhang, 2007]. The North Atlantic 44 SST anomalies might be influenced by high frequency synoptic atmospheric variability 45 and changes in the radiative forcing [Mann and Emanuel, 2006], thus their linkage to the 46 AMOC variability is highly debated. A recent study [Zhang, 2008] found that the lead-47 ing mode of altimeter SSH data is highly correlated with that of instrumental subsurface 48 ocean temperature data in the North Atlantic, and both show opposite signs between the 49 subpolar gyre and the Gulf Stream path. Such a dipole pattern is a distinctive fingerprint 50 of AMOC variability, as shown by a millennial coupled ocean-atmosphere model (GFDL 51 CM2.1) simulation. The fingerprint using modeled and observed SSH/subsurface temper-52 ature data suggests that, contrary to previous interpretations, the recent slowdown of the 53 subpolar gyre is a part of a multidecadal variation and linked to a strengthening of the 54 AMOC. With recent advancement in measurement of subsurface oceans by the ARGO 55 network and satellite altimetry, it may be possible to monitor AMOC variability using this fingerprint. 57

In this paper, we extend the analysis of Zhang [2008] to include more recent mea-58 surements and highlight the link between these new measurements and the capability of 59 estimating AMOC variability. In particular, to obtain a continuously updated AMOC 60 variability and to establish a new framework for monitoring the AMOC variability in the 61 future using the observed subsurface temperature fingerprint, we take advantage of the 62 recent measurement of ocean subsurface ocean temperature by the ARGO network. We 63 employ the recent ARGO subsurface temperature data through the GFDL coupled data 64 assimilation (CDA) product [Zhang et al., 2007b]. Furthermore, we make predictions of 65

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the AMOC variability using a statistical auto-regressive (AR) model fit to the time-series 66 of the fingerprints of the AMOC. Schneider and Griffies [1999] apply discriminant analysis 67 to North Atlantic decadal variability of SSH and conclude that the *predictive power* of AR 68 models, as applied here, is comparable to that of climate models. Applying the AR model 69 to the assimilated subsurface temperature and altimetry SSH anomalies predicts a decline 70 of the AMOC in the coming decade. A similar statistical AR model, fit to the timeseries 71 of the leading mode of modeled subsurface temperature anomalies from a 1000-years con-72 trol simulation of the fully coupled ocean-atmosphere model (GFDL CM2.1, Delworth 73 et al. [2006]), is applied to modeled subsurface temperature, SSH, and AMOC anomalies 74 to make predictions. The two AR models show comparable skills in predicting observed 75 subsurface temperature and modeled subsurface temperature, SSH and AMOC variations. 76

2. Description of Data and Models

In this study, the observed North Atlantic ocean subsurface temperature data are de-77 rived from the publicly available yearly averaged dataset of objectively analyzed ocean 78 temperature anomalies [Levitus et al., 2005] based on instrumental data for the period of 79 1955-2003. A quadratic monotonic function is fit to the time series of the basin averaged 80 subsurface temperature anomaly in the North Atlantic to estimate the long term global 81 warming trend over the past decades. The subsurface temperature anomaly is detrended 82 by removing this quadratic regression fit at each grid point. This nonlinear detrended 83 North Atlantic subsurface temperature anomaly is used to define a fingerprint of AMOC 84 variability and to reconstruct the past AMOC variability using the method shown in 85 Zhang [2008]. 86

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To obtain a continuously updated AMOC variability, we take advantage of the recent 87 measurement of ocean subsurface ocean temperature by the Argo network. We employ the 88 recent Argo subsurface temperature data through the GFDL coupled data assimilation 89 (CDA) product [Zhang et al., 2007b] (briefly described in supplementary material). The 90 inclusion of high quality ARGO network observations has considerably increased the skill 91 of the assimilation [Chang et al., 2009]. Ongoing developments of assimilating the latest 92 ARGO network data into the coupled model with increased data record length in the 93 future have the potential for monitoring the current and future ocean climate. 94

The altimeter SSH data used in this study is obtained from AVISO (Archiving, Validation and Interpretation of Satellite Oceanographic data) [Le Traon et al., 1998] (briefly described in supplementary material). This altimetry SSH data is available from 1993-2008 and is used to define a fingerprint of the AMOC variability. To compare with the altimetry SSH data, we analyze the subsurface temperature data from the CDA product over the same period of 1993-2008.

3. AMOC Fingerprints

The spatial pattern of the leading empirical orthogonal function (EOF1) of detrended North Atlantic subsurface temperature anomalies at a depth of 400m (Tsub) displays a dipole pattern (Figure 1a), i.e. warming in the subpolar gyre and cooling near the Gulf Stream path; the principal component of the leading mode (PC1) of the Tsub is strongly correlated with that of the altimetry SSH for the period (1993-2003) (Figure 1d), as discussed in Zhang [2008]. Figure 1b shows the spatial pattern of the leading mode of CDA subsurface temperature at a depth of 400m for the period 1993-2008. The PC1

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is highly correlated with that of the objectively analyzed Tsub for the period 1993-2003 108 (Figure 1d). However, the spatial pattern shows differences in and around the Gulf Stream 109 region near the North American eastern coast. These differences can be attributed to the 110 inherent model biases of the coupled climate model (GFDL CM2.1) and the short length 111 of data-record for assimilation, which limits the convergence of the assimilation product. 112 The spatial pattern of the leading mode of altimetry SSH (Figure 1c) shows a similar 113 dipole pattern, i.e. increasing SSH in the subpolar gyre and reduced SSH near the Gulf 114 Stream path. A high correlation is also seen between PC1s of CDA Tsub and SSH (Figure 115 1d), establishing the robustness of the coherence between Tsub and SSH discussed in 116 Zhang [2008], where it was proposed that these fingerprints of the AMOC could be used 117 as proxies for estimating AMOC variability on decadal scales. An intensification of the 118 AMOC is associated with a weakening of the subpolar gyre and a southward shift of the 119 Gulf Stream and a strengthening of the northern recirculation gyre (NRG). The weaker 120 subpolar gyre is associated with warmer subsurface temperature and increased SSH over 121 subpolar North Atlantic, while colder subsurface temperature and lower SSH are seen in 122 Gulf Stream region associated with the southward shift of the Gulf Stream. 123

4. Predicting the AMOC Variability Using Subsurface Temperature and SSH Fingerprints

We now take a step further by forecasting the variability of the AMOC in the near future using linear statistical models. The two identified indices of AMOC variability, namely, SSH and Tsub PC1s, respectively provide slightly different initial conditions for conducting forecasts. Recent extensive observations of altimetry SSH and ARGO network

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are too short to reconstruct the AMOC variability in the past several decades. Our
approach here is to construct one AR model for AMOC variability using the much longer
standardized PC1 of the objectively analyzed North Atlantic Tsub anomalies (1955-2003),
and apply it to the standardized PC1s of the CDA Tsub and altimetry SSH anomalies to
conduct forecasts of near future AMOC variations.

Our application of the same statistical model for different standardized data is pinned 133 on the strong correlation between these data over the past 15 years, discussed in the 134 previous section, and also supported by the strong model evidence about the correlation 135 and physical link between the two variables [Zhang, 2008]. Hence, we assume that the AR 136 model parameters estimated from the PC1 of objectively analyzed Tsub anomalies are the 137 best estimate for the PC1s of the CDA Tsub and altimetry SSH anomalies in the North 138 Atlantic. In order to focus on the low frequency decadal variability of AMOC, we perform 139 a running mean smoothing with a bandwidth of five years on the three time-series before 140 we fit the model and make predictions. 141

A computation and comparison of the Schwarz Bayesian criterion (SBC) [Schwarz, 1978] using the ARfit software [Schneider and Neumaier, 2001] reveals that an AR model of order two (AR2) would serve as the best fit for the smoothed PC1 of the objectively analyzed detrended North Atlantic Tsub anomalies among the class of AR models of higher orders. A lower order AR model also has the advantage of reduced risk of overfitting associated with higher order models. Our chosen AR2 model can be represented as:

$$X_{t} = \phi_{1} X_{t-1} + \phi_{2} X_{t-2} + \epsilon_{t} \tag{1}$$

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where, X_t represents the value of the time-series at time t, ϵ represents white noise with a mean of zero, and ϕ_1 and ϕ_2 represent the auto-regressive parameters estimated from a least squares fit to the PC1 of the observed North Atlantic Tsub anomalies.

Validation of the AR2 model from hindcasts is shown in the supplementary material. 151 A similar AR2 model, fit to the first 500 years of modeled Tsub PC1 from the 1000-years 152 GFDL CM2.1 control simulation, is applied to the second 500 years of modeled Tsub PC1, 153 SSH PC1, and AMOC Index of the control simulation to make predictions. The two AR 154 models show comparable skills (Figure 2a) in predicting observed Tsub PC1 and modeled 155 Tsub PC1, SSH PC1 and modeled AMOC Index. This comparison justifies the application 156 of the AR2 model, constructed from Tsub PC1, to highly correlated quantities (SSH PC1 157 and AMOC Index) to make predictions. Figures 2b and 2c show examples of hindcasts 158 of standardized Tsub PC1 of the GFDL CM2.1 control simulation using the AR2 model, 159 and the comparison with modeled standardized AMOC anomalies. The modeled Tsub 160 PC1 is in phase with modeled AMOC variations. AR2 model skills are found to be better 161 than persistence and damped persistence (AR1) forecasts of GFDL CM2.1 AMOC index 162 from the GFDL CM2.1 control simulation. 163

Figure 2d shows the AR2 model predictions of PC1 of the objectively analyzed Tsub anomalies for the next ten years. Also, shown are the 66% and 95% prediction confidence intervals based on the stochastic prediction error and the sampling error of the least squares fit to estimate model parameters assuming Gaussian white noise (Wilks [1995], supplementary material). A decline in the time-series is predicted, implying a decline in AMOC strength in the near future [Zhang, 2008]. Both forecasts of PC1 of the CDA

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Tsub PC1 and altimetry SSH PC1 from 2008 onwards, using the same AR2 model (Figures 2e, f) predict a decline, implying a decline in AMOC strength in the coming years. It should be noted that all three forecasts have different initial conditions. A consistent prediction from all three independently derived timeseries indicates the robustness of the predictions. Predictions from the leading mode of variability from the SVD analysis of the cross-covariance matrix of CDA Tsub and altimetry SSH anomalies also reveal a decline in the AMOC in the coming years (not shown).

However, it should be noted that all class of sample AR model predictions asymptotically 177 lead to the mean of the sample time-series with increasing lead times, while the variance 178 of prediction approaches the variance of the sample time-series itself [Wilks, 1995]. Hence, 179 the statistical AR model for the AMOC then performs no better than the climatological 180 predictions. The large variance of the prediction as seen in the confidence intervals of 181 the forecasts at increasing lead times indicate that the possibility of a stronger AMOC in 182 the coming years cannot be completely ruled out. Dynamical constraints on the AMOC 183 variability could reduce the prediction uncertainty associated with stochastic AR models, 184 emphasizing the need for coupled climate models to predict the AMOC variability more 185 precisely. 186

5. Summary and Discussion

The potential impacts of AMOC on global and regional climate, including hemispheric scale surface temperature variations [Zhang et al., 2007a], Atlantic hurricane activities, Sahel and Indian summer monsoons [Knight et al., 2006; Zhang and Delworth, 2006], North American and West European precipitation [Enfield et al., 2001; Sutton and Hod-

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son, 2005], make it crucial to accurately monitor and predict the AMOC variability to 191 improve global and regional climate predictions. Recent modeling and observational stud-192 ies suggest the existence of the low frequency variability of the AMOC in the 20th century, 193 and its fingerprints are tangible in observational data. The task of estimating the AMOC 194 variability directly from observations suffers from poor sampling of direct observations of 195 the circulation in the past. Hence, we rely on its fingerprints. Here, we extend the anal-196 ysis initiated in [Zhang, 2008], to use the leading modes of the North Atlantic Tsub and 197 SSH anomalies as fingerprints of the AMOC by analyzing more up to date data including 198 the recent Argo sub-surface temperature data. Our analysis suggests that the current 199 Argo network, along with satellite altimetry SSH data could be used to estimate AMOC 200 variability. 201

A simple auto-regressive statistical model derived from these fingerprints predicts that the AMOC would decline in the near future. A weakening AMOC would tend to reduce oceanic heat transport and cool the North Atlantic, although radiative forcing changes could overwhelm that tendency. It should be noted, however, that our model is simply based on historical observations of only the past five decades, which is considerably short for estimating decadal scale variability, and our predictions should be considered with that caveat.

Global climate models predictions of the AMOC variability depend critically on the initial state of the AMOC in the model climate. However, model biases and lack of an accurate knowledge of the initial state of the global climate lead to large uncertainties in the prediction of AMOC variability in the real world and climate model predictions are

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expensive. While our predictions are clearly not near the ultimate goal of a prediction system for AMOC, they certainly serve as a first step in that direction. The robust fingerprints of the AMOC variability established by [Zhang, 2008] and in this study can be used to establish better initial conditions of the AMOC anomalies in coupled climate models. Constraining the AMOC variability in coupled climate models to that of the real world provides an opportunity to improve climate model predictions and projections.

²¹⁹ Observations of SST alone have a weak AMOC signal to background noise ratio, as ²²⁰ the surface is considerably influenced by the atmosphere and radiative forcings. Monitor-²²¹ ing the AMOC variability using subsurface measurements, emphasizes the necessity for ²²² subsurface observing networks like ARGO in addition to satellite network. Analyses of ²²³ the North Atlantic SSH and Tsub would provide independent indirect estimates of the ²²⁴ low-frequency AMOC variability to compare with direct observations using the ongoing ²²⁵ RAPID moorings measurements [Cunningham et al., 2007].

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6. Figure Captions

Figure 1. EOF1 of (a) Objectively analyzed Tsub anomalies at 400m for 1955-2003, (b) CDA Tsub anomalies at 400m for 1993-2008, (c) altimetry SSH anomalies for 1993-2008, and the corresponding (d) standardized PC1s. The cross correlations between PC1s are listed in (d).

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Figure 2. AR2 model predictions. (a) Skill of the AR2 model constructed from the ob-288 jectively analyzed Tsub PC1 to predict the objectively analyzed Tsub PC1 (black), skill 289 of the AR2 model constructed from Tsub PC1 of GFDL CM2.1 control simulation to pre-290 dict Tsub PC1 (blue), AMOC index (red) and SSH PC1 (green) of the control simulation, 291 and skill of persistence (dashed gray) and damped persistence (solid gray) forecast of the 292 AMOC index. (b, c) Ten years hindcasts (solid red line with triangles) of standardized 293 Tsub PC1 from GFDL CM2.1 control simulation (diamonds, solid green line) and the 66% 294 and 95% confidence intervals (dashed and solid red lines) starting at simulation year 503 295 and 516 respectively. The black line represents the modeled standardized AMOC index 296 in the control simulation. (d, e, f) Ten years predictions (solid red lines with triangles) of 297 standardized PC1s of objectively analyzed Tsub, CDA Tsub and altimetry SSH, and the 298 66% and 95% confidence intervals (dashed and solid red lines). 299

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CDA Tsub EOF1 (K)



a. Observed T400 EOF1 (K)

Figure 1.

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Figure 2.

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