

1 **Multi-century warming could exceed expectations from past carbon emissions**

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6 Some earth system modeling studies indicate that the warming due to past carbon emission is
7 proportional to that emission¹ and relatively stable on the multi-centennial to millennial
8 timescale^{2,3}. This claim implies that future warming will be due to future emissions and offers
9 the possibility of using the observed ratio of CO₂-attributable warming to cumulative carbon
10 emissions to estimate the future emissions cap needed to enforce a specific cap on global
11 warming. The IPCC 5th report likely-range for this transient climate response to cumulative
12 emissions (TCRE) is 0.8-2.5 K/Eg-C – too large a range to be very useful for this purpose⁴.
13 However, even if the historical TCRE were known precisely, its predictive accuracy would still
14 be a key concern.

15

16 Most of the studies finding stability of the long-term response to past emissions have been
17 performed with earth system models of intermediate complexity (EMICs)⁵. For the most part
18 these models do not simulate cloud feedback which is mainly responsible for the broad range of
19 equilibrium climate sensitivities (ECSs) in atmosphere-ocean global climate models
20 (AOGCMs)⁶. Another sensitivity parameter, the transient climate response (TCR), characterizes
21 the warming that occurs during emissions⁷, incorporating the cooling impacts of ocean heat
22 uptake magnitude and pattern. The cooling impact of the heat uptake pattern, known as ocean

23 heat uptake efficacy, is also influenced by cloud feedback⁸ and so may not be well-represented in
24 current EMICs.

25

26 The ECS/TCR ratio is a driver of long-term warming and it is important to assess the stability of
27 the TCRE with models that produce the full range of possible ECS/TCR ratios. In Fig. 1 we
28 show that the EMICs used in the IPCC 5th assessment have generally smaller ratios than the
29 AOGCMs used in that and earlier assessments. Fig. 1 also shows an estimate of the ECS/TCR
30 ratio based on 1971-2011 heat uptake observations, the IPCC 5th assessment estimate of
31 radiative forcing over this period and AOGCM heat uptake efficacies (Supplemental Section S1).
32 The median ECS/TCR estimate is just above 1.5 but the 90% confidence interval is significantly
33 right-skewed.

34

35 To demonstrate the influence of a high ECS/TCR ratio on TCRE stability, we use the GFDL
36 ESM2M model⁹ which has an ECS/TCR ratio of 2.1, at roughly the 85th percentile of the
37 AOGCM distribution shown in Fig. 1. We have evaluated GFDL ESM2M's ECS at 3.2 K using
38 a 5200-year quadrupled CO₂ run (Supplemental Section S2). Our value is 0.7 K larger than the
39 value cited by the IPCC 5th report which extrapolated using a 150-year run following abrupt
40 CO₂ quadrupling, suggesting that the latter run is too short to accurately estimate the ECS and
41 the ECS/TCR ratio¹⁰. To explore a more realistic warming trajectory, we also force the model
42 with a logistic-function carbon emission scenario meeting two constraints: it loosely fits the
43 historical land use and fossil fuel emission trajectory (Fig. 2) and the total emission is 1.8 Eg-C,
44 close to a high end estimate of preindustrial fossil fuel reserves (Supplemental Section S3).

45

46 The model responses to the emission pulse are shown in Fig. 2. The global temperature reaches
47 its largest values at the end of the 1100-year experiment. Significant warming occurs post-
48 emission in agreement with an earlier delta-pulse-forced simulation with this model¹⁰. The heat
49 uptake peaks slightly before atmospheric CO₂ in the 22nd century and then falls slowly to zero in
50 2800. At this point the warming is equal to the ECS scaled-down to the contemporaneous CO₂
51 level with a factor of $\ln(\text{CO}_2/\text{CO}_{2\text{PI}})/\ln(2)$, following the conventional form for CO₂ forcing.
52 Likewise the warming during the pulse agrees with the TCR scaled in an identical fashion.
53 Although, the model's ECS/TCR ratio is greater than two, the warming only increases 31%
54 between 2100 and 2800 because of declining atmospheric CO₂. There is no general reason that
55 the warming influence of declining ocean heat uptake should perfectly counteract the forcing
56 decline due to CO₂ uptake. Although both heat and carbon are transported into the ocean by the
57 same circulation, the uptake processes are different due to the differing effects of radiation,
58 geochemistry, and the capacities of land and atmospheric reservoirs (significant for carbon,
59 negligible for heat).

60

61 As a simple test of a TCRE-based prediction, we imagine predicting, when we are half way
62 through the emissions in 2050, the maximum warming over the simulation. If the TCRE is
63 stable, the maximum warming due to the pulse would simply be twice the CO₂ –attributable
64 warming at that time. Table 1 lists the relevant values. The predicted total warming based on
65 this TCRE-based method is 1.8 K but the actual maximum warming during the experiment is 2.3
66 K, 29% larger. The underestimate is not caused by carbon uptake. Table 1 shows that the
67 cumulative anthropogenic CO₂ airborne fraction peaks in 2050 so the maximum atmospheric
68 CO₂ increase is less than double the 2050 increase (the radiative forcing increase is even smaller

69 than this). Rather the model's high ECS/TCR ratio back-loads a relatively large equilibration
70 warming leading to a predictive failure of the TCRE.

71

72 To evaluate this potential underestimation of maximum warming when using the 2050 TCRE we
73 must calculate the ECS/TCR ratio from 2050 observations. Table 1 shows that the estimated
74 TCR is 1.3 K, near the actual value, 1.5 K. Calculation of the ECS is not possible without
75 knowledge of the ocean heat uptake efficacy but it is possible to approximate it with the effective
76 climate sensitivity using the formula given in Table 1 as has been done in several recent
77 studies^{11,12}. However, the 2050 effective sensitivity is only 60% the actual ECS value giving an
78 ECS/TCR estimate less than 1.5 and underestimating the potential for long-term warming. The
79 effective sensitivity of 1.9 K would suggest that the warming should not exceed that value since
80 the CO₂ does not exceed doubling, but the actual warming exceeds to this value for the last 600
81 years of the experiment, even as the CO₂ drops to 1.6 times preindustrial.

82

83 The reason for the inaccuracy of the effective sensitivity is that it treats heat uptake and radiative
84 forcing as if they have equivalent impacts on global temperature. In model-year 2050, the heat
85 uptake has 1.9 times the impact of radiative forcing on a per Wm⁻² basis, contributing to the
86 model's high ECS/TCR ratio. Heat uptake is more efficacious at cooling in the global mean than
87 CO₂ forcing is at warming because it is localized in higher latitudes. The group of climate
88 models analyzed in reference 8 simulate on average 1/3 greater impact for ocean heat uptake
89 relative to CO₂ forcing. As the ocean heat uptake declines over the centuries following
90 emissions, this ocean heat uptake efficacy boosts the warming influence of the decline.
91 Estimating the ECS with the effective sensitivity assumes that the ocean heat uptake efficacy is

92 unity, near the model minimum, low-biasing the ECS and ECS/TCR ratios⁸. A better estimate
93 could be obtained by using the AOGCM mean efficacy in the ECS formula given in Table 1
94 (further discussion in Supplemental Section S3).

95

96 It is clearly desirable to estimate ocean heat uptake efficacy from observations. An estimation
97 procedure using global temperature, radiative forcing and heat uptake in a multiple regression
98 has produced accurate results in an impulse-forced experiment¹⁰. However, in order for the
99 method to be accurate, collinearity between heat uptake and radiative forcing must be avoided.
100 Unfortunately, these two quantities have a high correlation, 0.98 between 1850 and 2050 in the
101 present simulation. It will be difficult to constrain the individual roles of radiative forcing and
102 ocean heat uptake using global values when they are increasing together as would be expected
103 during large emissions. Ocean circulation change has been identified as a contributing factor to
104 ocean heat uptake efficacy that might be constrained with observations¹³. The other major factor,
105 the regional variation of climate feedback, may be less amenable to observational constraint¹⁴.
106 More research is needed to develop observational constraints on ocean heat uptake efficacy.

107

108 The importance of the ECS/TCR ratio for the long-term warming problem identified here
109 suggests that larger ECS/TCR-ratio models should be used to complete the assessment of TCRE
110 stability and determine the emission cap needed to ensure a particular warming cap. This will
111 likely require the use of earth system models based on AOGCMs rather than EMICs while the
112 latter produce lower ECS/TCR ratios. Additionally, it is important that the ECS be accurately
113 calculated for AOGCMs. The experience with GFDL ESM2M indicates that the 150-year
114 simulation used in recent studies for this estimate is too short. We note that the experiment we

115 have performed here or other pulse emission experiments could be used to calculate a model's
116 ECS accurately with an interactive carbon cycle¹⁰.

117

118 Finally, it is important that the effective climate sensitivity not be confused the equilibrium
119 climate sensitivity as has been done in recent observational studies^{11,12}. The effective sensitivity
120 can grossly underestimate the ECS, leading in turn to an underestimate of the ECS/TCR ratio and
121 a potentially incorrect expectation about warming due to emissions. Model estimates of ocean
122 heat uptake efficacy should be used in these estimates until observationally-constrained values
123 can be obtained.

124

125 Acknowledgments

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128 the SNSF (Ambizione grant PZ00P2 142573).

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149 **Table and Figures**

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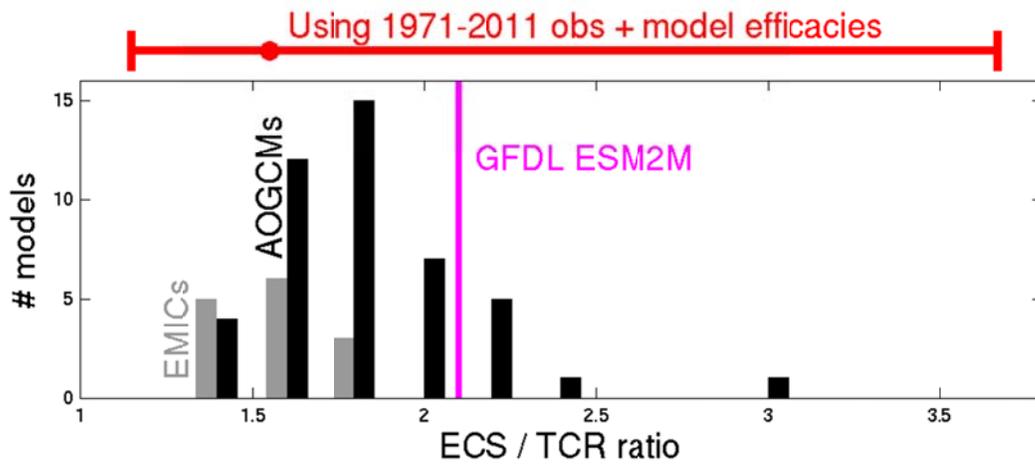
153 **Table 1.** Metrics estimated at the emissions halfway point in 2050 using 20-year means centered
 154 on that time: ΔT is the global warming, N is heat uptake (0.68 Wm^{-2}), R is radiative forcing (2.3
 155 Wm^{-2}), R_{2X} is doubled CO_2 radiative forcing (3.5 Wm^{-2}), and ε is ocean heat uptake efficacy.
 156 The ocean heat uptake efficacy is not known in 2050 but is diagnosed as $\varepsilon =$
 157 $(R/N)(1 - \Delta T / \Delta T_{EQ}) = 1.95$, where $\Delta T_{EQ} = \text{ECS} \cdot \ln(\text{CO}_2 / \text{CO}_{2PI}) / \ln(2)$, making use of the ECS
 158 calculated from an equilibrated quadrupled CO_2 run. Italicized quantities cannot be calculated
 159 from 2050 simulated observations.

160

Global Warming	ΔT	0.89 K
TCRE-predicted Max. Warming	$2\Delta T$	1.78 K
<i>Actual Max. Warming</i>	<i>$2.6\Delta T$</i>	<i>2.30 K</i>
Cumulative CO_2 Airborne Fraction		0.39
<i>Max. Cumulative Airborne Fraction</i>		<i>0.39</i>
Estimated TCR	$R_{2X}\Delta T/R$	1.35 K
Effective Climate Sensitivity	$R_{2X}\Delta T/(R-N)$	1.91 K
<i>Actual ECS (diagnosed ε)</i>	<i>$R_{2X}\Delta T/(R-\varepsilon N)$</i>	<i>3.15 K</i>

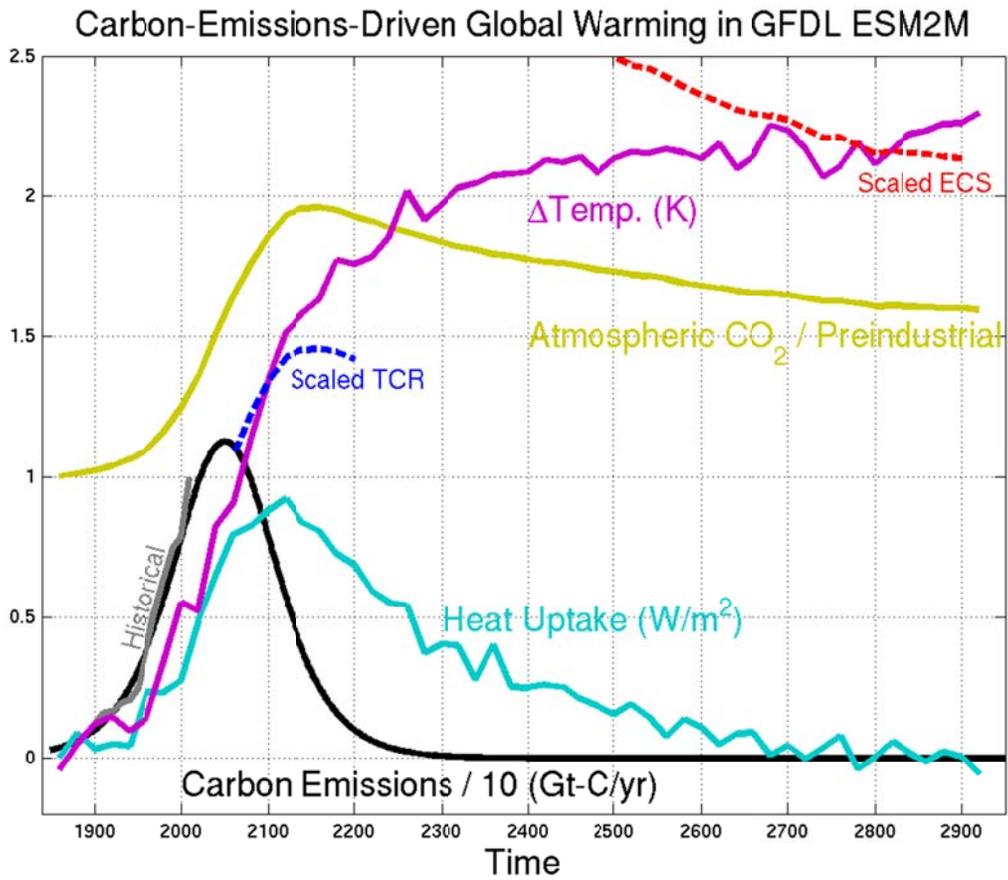
161

162 **Figure 1: ECS/TCR ratio from an observation-based estimate and multi-model ensembles**
 163 **of AOGCMs and EMICs.** GFDL ESM2M's ECS/TCR ratio is also indicated on the plot
 164 (magenta). The 45 AOGCMs values (black bars) are from IPCC (2013, Table 9.5) and Winton et
 165 al (2010, Table 2). The 15 EMIC values (gray bars) are from IPCC (2013, Table 9.6). One very
 166 high EMIC value (4.5) is not plotted. Model values are placed in 0.2-wide bins. The
 167 observation-based estimate is shown in red (see Supplemental Section S1 for details).



168

169 **Figure 2: Carbon emission pulse and simulated responses of atmospheric CO₂, heat uptake**
170 **and global surface temperature.** All data are 20-year averages. Dashed lines show the TCR
171 (blue) and ECS (red) scaled to the contemporaneous atmospheric CO₂ level, truncated for clarity.
172 Gray line shows historical fossil fuel and land use carbon emissions from
173 <http://foix21.iiasa.ac.at/web/home/research/modelsData/RCPDatabase/RCP.en.html>.
174



175

176 **Supplementary Sections**

177 **Section S1: ECS/TCR ratio estimate.** We use the formula $ECS/TCR=(1-\varepsilon N/R)^{-1}$ to estimate
178 this ratio (Winton et al 2010). The symbols are defined and data sources are given in Table S1.
179 The heat uptake efficacy is sampled from a Normal distribution fit to climate model values. The
180 heat uptake, N is sampled from a Normal distribution based on the IPCC 5th report observational
181 estimate for 1971-2011 (Rhein et al 2013). Natural variability is not included in the uncertainty
182 for this estimate. The radiative forcing uses the IPCC 5th report estimate for 2011 (Myhre et al
183 2013) scaled down to the period 1971-2011 based on time series given in Annex II of the report.
184 To account for a slight left-skew in the IPCC fifth report anthropogenic radiative forcing
185 distribution, we fit a flipped shifted lognormal distribution uniquely to the 5%, 50%, and 95%
186 values of the distribution. The 2011 values for these percentiles ($R_{5\%}=1.1$, $R_{50\%}=2.3$, and
187 $R_{95\%}=3.3 \text{ Wm}^{-2}$, respectively) were reduced by factor of 0.69 ($=1.59 \text{ Wm}^{-2}/2.29 \text{ Wm}^{-2}$), the ratio
188 of anthropogenic radiative forcings over 1971-2011 to that in 2011 based on the historical
189 forcing timeseries provided in the annex to the report. These three percentile values were then
190 reduced by 0.04 Wm^{-2} to account for the difference between the natural forcing over this period
191 (-0.33 Wm^{-2}) and the 1860-2011 volcanic forcing (-0.29 Wm^{-2}) taken from Annex II of the IPCC
192 5th report. The long-term volcanic forcing is taken as the appropriate reference value for
193 calculating the in-period natural forcing because the natural climate system includes volcanic
194 activity and consequently does not experience it as forcing (Gregory 2010). We do not include
195 any uncertainty for this small adjustment in the much-larger radiative forcing uncertainty. A
196 unique flipped lognormal distribution is then fitted to the resulting three percentile values. Ten
197 million samples from the component distributions were taken and the 5%, 50%, and 95% values
198 of the resulting ECS/TCR distribution are reported in Fig. 1. Setting $\varepsilon=1$ in the above procedure

199 gives an estimate of the ratio of the effective and transient climate sensitivities of 1.4. This is
200 between ratios obtained using effective and transient sensitivity best estimates from Otto et al
201 (2013) and Lewis and Curry (2014) of 1.5 and 1.2 respectively.

202

203 **Section S2: Earth System Model.** The GFDL ESM2M earth system model is used for the
204 simulations. Land ice cover is held fixed so conventional sensitivity metrics, rather than earth
205 system sensitivity, are calculated. A slightly altered version of the model, ESM2Mb, with
206 vegetation parameters retuned to reduce global biomass, was used to evaluate the ECS using a
207 5200-year 1% CO₂ increase to quadrupling experiment. The cited ECS of 3.15 K is half the
208 average warming over the last 1000 years of this experiment. The vegetation retuning has a
209 small influence on the carbon response (not a factor for the ECS calculation) and no impact on
210 the physical climate response. GFDL ESM2M has a low TCRC relative to other models due to
211 its low TCR and cumulative airborne fraction of anthropogenic CO₂ (Gillett et al 2013). Its low
212 TCR is in turn due to its high heat uptake efficiency (heat uptake per degree warming) and
213 efficacy combined with its mid-range ECS (Kuhlbrodt and Gregory 2012; Winton et al 2013;
214 Froelicher et al 2014). The simulation shown in Fig. 1 has a 20-year mean warming of 0.54 K in
215 2010. This is close to a central estimate of the CO₂ attributable warming of 0.62 K formed by
216 taking the ratio of the present-day CO₂ to total radiative forcing ($0.78=1.8 \text{ Wm}^{-2}/2.3 \text{ Wm}^{-2}$) times
217 the preindustrial to present day warming of 0.78 K, using numbers from the IPCC 5th report
218 (Myhre et al 2013; Hartmann et al 2013).

219

220 **Section S3: Forcing and Table 1 responses.** Carbon emissions are applied using a logistic
221 function for cumulative emissions: $1.8/(1+e^{-(t-2050)/40})$ Eg-C. The peak emission from this
222 formula occurs in the year 2050 and the total emission is 1.8 Eg-C. Parameters were chosen to

223 roughly fit historical fossil fuel, land use carbon and cement production emission while
224 supplying a total emission equivalent to three time the preindustrial atmospheric CO₂. The
225 cumulative emissions to 2011 are about 10% less than the value cited in the IPCC 5th report
226 (Ciais et al 2013). The total emission is comparable to a high-end estimate of preindustrial fossil
227 fuel reserves, 1.9 Eg-C cited in the IPCC 5th report Fig. 6.1 (Ciais et al 2013). Reserves are the
228 economically-available part of the much larger fossil fuel resource. There is a tendency for
229 reserves to grow when technology improves or prices rise over time. Using a high end estimate
230 of reserves partly accounts for the likelihood that emission will exceed reserves. We note that
231 our method places an indirect constraint on the rate of emissions which has been shown to have
232 some small influence on the peak warming in model studies (Zickfeld et al 2012; Krasting et al
233 2014).

234

235 Table 1 shows a warming in 2050 of 0.89 K. The warming of the natural system is also
236 relatively well known so we may consider it to be an observable. Of course the cumulative
237 carbon emissions are known to be 0.9 Eg-C at 2050 in the simulation. These emissions are also
238 tracked for the global economy and have relatively low uncertainty. But the situation is very
239 different for the CO₂-attributable part of the warming. This is known for our CO₂-only
240 simulation but it is not currently known for the actual system due to large uncertainty in the
241 warming due to non-CO₂ agents, primarily aerosols. For TCRE to be accurately estimated this
242 uncertainty must be reduced. Assuming this is has been done by 2050, the observationally-
243 estimated TCRE would simply be the CO₂-attributable warming divided by the historical
244 emissions. This is 0.99 K/Eg-C (= 0.89 K / 0.9 Eg-C) at 2050 in the simulation. We note that
245 this is lower than the value of 1.1 K/Eg-C estimated for this model by Gillet et al (2013)

246 consistent with the relatively lower rate of emissions in this experiment than implied in the 1%
247 CO₂ increase to doubling experiment. The lower rate of emissions contributes to the multi-
248 century warming by eliminating a peak warming that can occur late in the emission period under
249 high emission rates (Zickfeld et al 2013).

250

251 Table 1 shows the application of TCRE to estimate the total warming due to a total emission of
252 twice the historical emissions in 2050. If TCRE is stable, twice the historical warming would be
253 a good estimate. The underestimate of total warming under this assumption is due to the model's
254 high ECS/TCR ratio. We would like to estimate this ratio in 2050 from observables in order to
255 evaluate this risk of underestimation. Unfortunately, since $ECS/TCR=(1-\epsilon N/R)^{-1}$ (Winton et al
256 2010), observed quantities – heat uptake, and radiative forcing – only allow us to estimate the
257 ratio of the effective sensitivity to TCR, $(1-N/R)^{-1}$. We can use the climate model mean efficacy
258 (1.34) to obtain a better estimate of the ECS than the effective sensitivity which assumes an
259 efficacy of unity, raising our estimate of ECS/TCR from 1.42 to 1.65. This still falls
260 considerably short of the model's actual ratio of 2.10 due to the model's high-end efficacy.

261

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310

311 Table S1. Data sampled to generate the ECS/TCR ratio estimate shown in Fig. 1.

312

Name	Description	Distribution	Parameters	Source
ϵ	Heat uptake efficacy	Normal	Mean=1.34, St. Dev.=0.35	Winton et al 2010 Table 2
N	Heat uptake	Normal	Mean=0.43 Wm ⁻² , St. Dev.=0.073 Wm ⁻²	IPCC 2013 Chapter 3
R	Radiative forcing	Flipped-shifted log normal	5/50/95 percentiles = 0.73/1.56/2.26 Wm ⁻²	IPCC 2013 Chapter 8 and Annex II

313