



## Surface ozone-temperature relationships in the eastern US: A monthly climatology for evaluating chemistry-climate models

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### ABSTRACT

We use long-term, coincident O<sub>3</sub> and temperature measurements at the regionally representative US Environmental Protection Agency Clean Air Status and Trends Network (CASTNet) over the eastern US from 1988 through 2009 to characterize the surface O<sub>3</sub> response to year-to-year fluctuations in weather, for the purpose of evaluating global chemistry-climate models. We first produce a monthly climatology for each site over all available years, defined as the slope of the best-fit line ( $m_{O_3-T}$ ) between monthly average values of maximum daily 8-hour average (MDA8) O<sub>3</sub> and monthly average values of daily maximum surface temperature ( $T_{max}$ ). Applying two distinct statistical approaches to aggregate the site-specific measurements to the regional scale, we find that summer time  $m_{O_3-T}$  is 3–6 ppb K<sup>-1</sup> ( $r = 0.5–0.8$ ) over the Northeast, 3–4 ppb K<sup>-1</sup> ( $r = 0.5–0.9$ ) over the Great Lakes, and 3–6 ppb K<sup>-1</sup> ( $r = 0.2–0.8$ ) over the Mid-Atlantic. The Geophysical Fluid Dynamics Laboratory (GFDL) Atmospheric Model version 3 (AM3) global chemistry-climate model generally captures the seasonal variations in correlation coefficients and  $m_{O_3-T}$  despite biases in both monthly mean summertime MDA8 O<sub>3</sub> (up to +10 to +30 ppb) and daily  $T_{max}$  (up to +5 K) over the eastern US. During summer, GFDL AM3 reproduces  $m_{O_3-T}$  over the Northeast ( $m_{O_3-T} = 2–6$  ppb K<sup>-1</sup>;  $r = 0.6–0.9$ ), but underestimates  $m_{O_3-T}$  by 4 ppb K<sup>-1</sup> over the Mid-Atlantic, in part due to excessively warm temperatures above which O<sub>3</sub> production saturates in the model. Combining  $T_{max}$  biases in GFDL AM3 with an observation-based  $m_{O_3-T}$  estimate of 3 ppb K<sup>-1</sup> implies that temperature biases could explain up to 5–15 ppb of the MDA8 O<sub>3</sub> bias in August and September though correcting for excessively cool temperatures would worsen the O<sub>3</sub> bias in June. We underscore the need for long-term, coincident measurements of air pollution and meteorological variables to develop process-level constraints for evaluating chemistry-climate models used to project air quality responses to climate change.

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### 1. Introduction

Surface ozone (O<sub>3</sub>) is a secondary pollutant that is produced by the photochemical oxidation of carbon monoxide (CO), methane (CH<sub>4</sub>), and non-methane volatile organic compounds (NMVOCs) by OH in the presence of nitrogen oxides (NO<sub>x</sub> ≡ NO + NO<sub>2</sub>). As surface O<sub>3</sub> is a public health concern (Bernard et al., 2001; Levy et al., 2001), air quality managers seek to be informed as to how

surface O<sub>3</sub> will evolve in the future. Chemistry-climate models (CCMs) are increasingly being applied to project air quality under various global change scenarios, but model biases in representing present-day meteorology and chemical environments raise concern as to their ability to project accurately the response of air pollution to changes in climate and emissions (Fiore et al., 2009; Murazaki and Hess, 2006; Reidmiller et al., 2009). Here we assess the capability of a CCM to represent the surface O<sub>3</sub> response to interannual variations in temperature. In contrast to many prior studies which compare simulated and observed O<sub>3</sub> or temperature individually, we hypothesize that this more process-oriented evaluation of CCM representation of the surface O<sub>3</sub> response to changes in average temperatures is more relevant to assessing model skill at projecting the O<sub>3</sub> response to climate change. Similar mechanistic air quality model evaluation approaches have

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previously been applied to gauge the O<sub>3</sub> response to simulated NO<sub>x</sub> emission reductions over the eastern US (Gilliland et al., 2008; Godowitch et al., 2008).

Observational studies indicate strong correlation between surface temperature and O<sub>3</sub> concentrations on multiple time scales (Bloomer et al., 2009; Camalier et al., 2007; Cardelino and Chameides, 1990; Clark and Karl, 1982; Korsog and Wolff, 1991), including between monthly average O<sub>3</sub> and monthly average temperatures in the warm season over the eastern US (Lin et al., 2001; National Research Council (U.S.). Committee on Tropospheric Ozone Formation and Measurement, 1991). A warming climate is expected to exacerbate O<sub>3</sub> pollution in densely populated regions of the US, such as over the Northeast where climate models consistently show annual temperature increases of at least 2 K over the 21st century (Christensen et al., 2007), possibly offsetting the benefits from emissions reductions, increasing the number of high-O<sub>3</sub> days, and lengthening the O<sub>3</sub> season (Bloomer et al., 2010; Hogrefe et al., 2004; Jacob and Winner, 2009; Kunkel et al., 2008; Murazaki and Hess, 2006; Nolte et al., 2008; Racherla and Adams, 2006; Wu et al., 2008). This “climate change penalty” has been previously defined equivalently within the context of both O<sub>3</sub> and NO<sub>x</sub>: (a) the reduced benefits of emission controls due to the increase in O<sub>3</sub> in a warmer climate; (b) the additional decreases in NO<sub>x</sub> emissions needed to counter any climate-induced increase in O<sub>3</sub> in order to meet established air quality goals, under the assumption that NO<sub>x</sub> is the limiting precursor for O<sub>3</sub> formation (Wu et al., 2008).

Temperature is a useful proxy to synthesize the complex effects of meteorological and chemical factors influencing O<sub>3</sub> concentrations. Over the eastern United States, these effects, expressed as the total derivative (d[O<sub>3</sub>]/dT), reflect at least three components:

$$\begin{aligned} d[\text{O}_3]/dT = & (1)\partial[\text{O}_3]/\partial[\text{stagnation}]*\partial[\text{stagnation}]/\partial T \\ & + (2)\partial[\text{O}_3]/\partial[\text{PAN}]*\partial[\text{PAN}]/\partial T \\ & + (3)\partial[\text{O}_3]/\partial[\text{isoprene}]*\partial[\text{isoprene}]/\partial T + \dots \end{aligned}$$

(1) association of warm temperatures with stagnant air masses enabling accumulation of local chemistry precursors that feed O<sub>3</sub> formation in the planetary boundary layer (Jacob et al., 1993; Leibensperger et al., 2008; Olszyna et al., 1997); (2) thermal decomposition of peroxyacetylnitrate (PAN) at high temperatures, thus decreasing NO<sub>x</sub> and HO<sub>x</sub> sequestration at low temperatures (Cardelino and Chameides, 1990; Sillman and Samson, 1995); and (3) increasing biogenic emissions of isoprene, an abundant and highly reactive NMVOC precursor for O<sub>3</sub> formation under high-NO<sub>x</sub> conditions (Guenther et al., 1993; Lamb et al., 1987; Meleux et al., 2007). In addition, both anthropogenic and natural emissions of NO<sub>x</sub> may increase with temperature (Brühl and Crutzen, 1988; Logan, 1983; Yienger and Levy, 1995), and from wildfires in the western US (Jaffe, 2011). The sign of other temperature dependent processes is less certain as they have shown regionally variable effects on O<sub>3</sub> concentrations. These include humidity in the Mid-Atlantic (generally negative over rural areas, but mixed effects over polluted regions) (Camalier et al., 2007; Davis et al., 2011; Dawson et al., 2007) and mixing depths in southern California (little effect) (Aw and Kleeman, 2003) and in the eastern US (positive ozone dependency on mixing depth in low ozone regions and vice-versa) (Dawson et al., 2007). Here we focus on d[O<sub>3</sub>]/dT, which can be determined from available long-term meteorology and underscore a need for future work to identify observed constraints on the individual processes (partial derivatives) contributing to d[O<sub>3</sub>]/dT.

Past studies have found d[O<sub>3</sub>]/dT to be approximately linear over the temperature range of 290–305 K (Bloomer et al., 2009; Camalier et al., 2007; Mahmud et al., 2008; Sillman and Samson, 1995). The slope of this linear relationship, hereafter  $m_{\text{O}_3-T}$

(Steiner et al., 2010), has been referred to as the “climate change penalty factor” (Bloomer et al., 2009) in reference to the increase in O<sub>3</sub> associated with increasing temperature. Using historically observed relationships for projecting future changes assumes stationarity in chemical environments (e.g. emissions of both anthropogenic and biogenic origin) and neglects known complex chemistry-weather feedbacks (Lin et al., 1988; National Research Council (U.S.). Committee on Tropospheric Ozone Formation and Measurement, 1991; Steiner et al., 2006; Weaver et al., 2009). Significant spatial and temporal variability in the O<sub>3</sub>-temperature relationship occurs across the eastern US due to variations in chemical and meteorological environments that strongly influence surface O<sub>3</sub> formation (Bloomer et al., 2010; Camalier et al., 2007; Jacob et al., 1995; Klonecki and Levy, 1997). While these relationships will evolve with future changes in emissions and climate, we suggest that the ability of a model to resolve these present-day relationships is a useful first test to build confidence in its application for projecting future air quality responses to climate change.

Since a global CCM is expected to resolve synoptic, though not local, scales (e.g. Fiore et al., 2003), we construct monthly, site-level and regional O<sub>3</sub>-temperature climatologies from the US Clean Air Status and Trends Network (CASTNet) in the eastern US (Sections 2 and 3) where pollution episodes are large-scale (Logan, 1989) and observational records are longest. We note that differences between urban and rural O<sub>3</sub>-temperature sensitivities (Sillman and Samson, 1995) may complicate characterization of broad spatial patterns in these relationships. These observation-based climatologies are then used to evaluate the GFDL AM3 CCM (Sections 2 and 4) and further applied to quantify any contribution from AM3 temperature biases in the eastern US to the summertime AM3 O<sub>3</sub> bias (Section 5), which has also been noted in present day regional (Nolte et al., 2008) and global (Fiore et al., 2009; Murazaki and Hess, 2006; Reidmiller et al., 2009) chemical transport models, before concluding (Section 6).

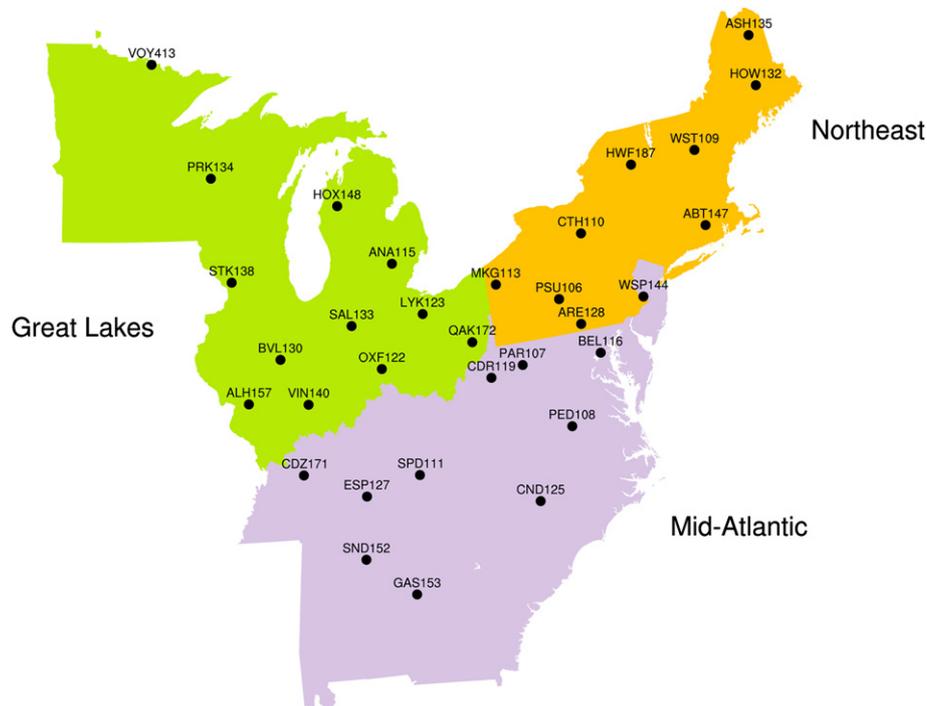
## 2. Datasets and statistical approach

### 2.1. CASTNet observations

Operating since 1987, the CASTNet (Clarke et al., 1997) is an observational network that is spatially designed to be regionally representative of rural conditions,<sup>3</sup> potentially more suitable to compare with a coarse horizontal resolution (in this case, roughly 2°) global climate model than other available datasets. The CASTNet measures both pollutants and weather variables; we use co-located, hourly surface O<sub>3</sub> and temperature. Temperature is at 2-m, measured with platinum resistance (accuracy, ±0.5 K; precision, ±1.0 K) and O<sub>3</sub> with ultra-violet absorbance (accuracy and precision, ±10%).<sup>4</sup> We use monthly mean maximum daily surface temperature (hereafter denoted as  $T_{\text{max}}$ ) and monthly mean maximum daily 8-hour average (MDA8) O<sub>3</sub> calculated from hourly observations, requiring at least 20 days of data per month. Each of these 20 days must have at least 18 hourly temperature and O<sub>3</sub> values in addition to 6 out of 8 hourly O<sub>3</sub> observations to calculate an 8-hour O<sub>3</sub> average. The choice of monthly mean values reflects our focus on inter-annual variability, which should be more relevant to evaluating model O<sub>3</sub> responses to shifts in climate (average weather) rather than to synoptic or diurnal variability.

<sup>3</sup> EPA, CASTNet Facts Sheet; [http://epa.gov/castnet/javaweb/docs/CASTNET\\_factsheet\\_2007.pdf](http://epa.gov/castnet/javaweb/docs/CASTNET_factsheet_2007.pdf).

<sup>4</sup> EPA, CASTNet Quality Assurance, 3rd Quarterly Report, 2010; [http://epa.gov/castnet/javaweb/docs/QA\\_Quarterly\\_2010\\_Q3.pdf](http://epa.gov/castnet/javaweb/docs/QA_Quarterly_2010_Q3.pdf).



**Fig. 1.** Geographic locations of CASTNet observation sites in three eastern US regions: Great Lakes, Northeast, and the Mid-Atlantic where all site selection criteria are met (Section 2). All sites have at least seven years of data from 1988–2009; all sites reside at elevations greater than 2 m and less than 600 m; all sites are at least 50 km from oceanfront; when more than one CASTNet site lies within a model grid box, the site with the longest record is used.

## 2.2. Model description

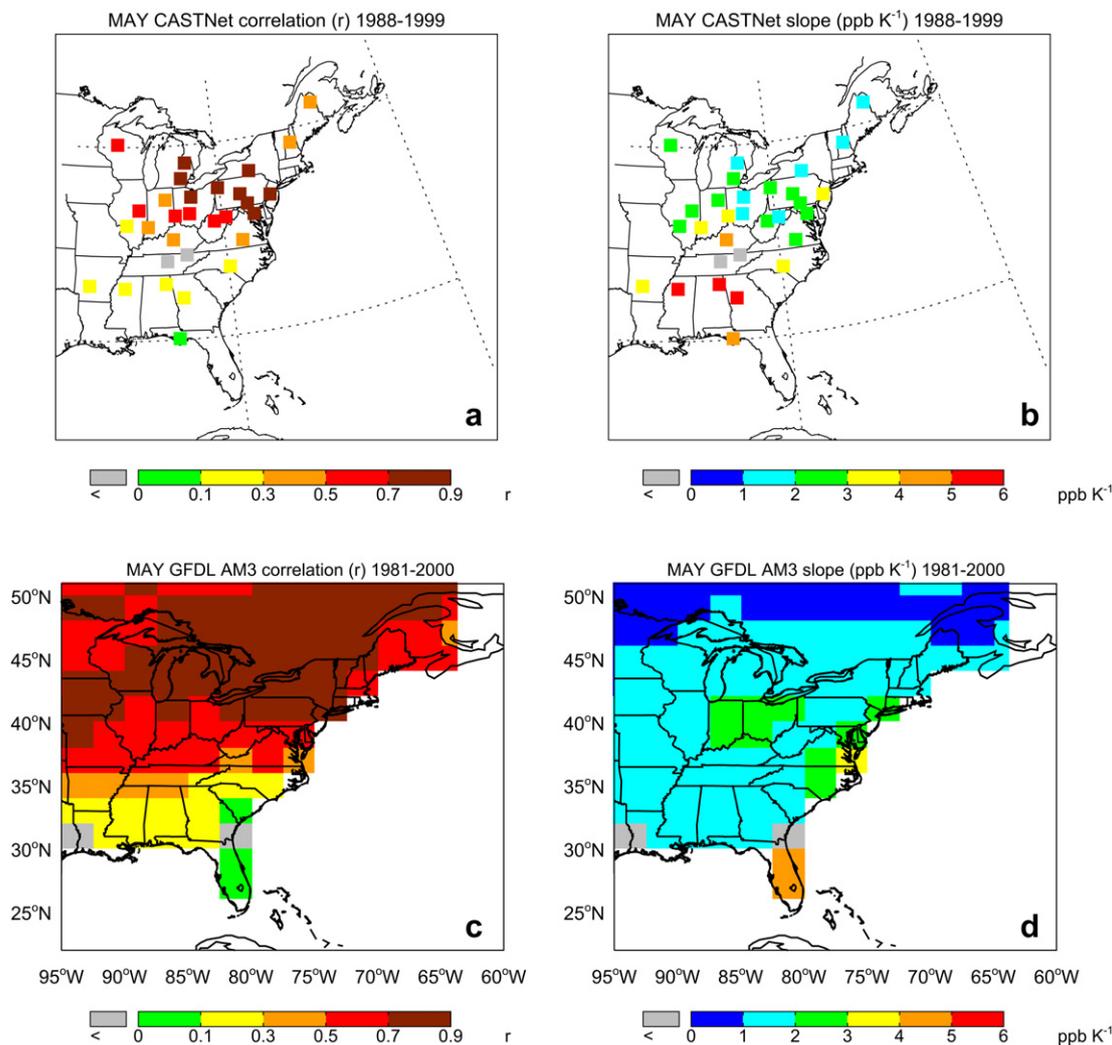
The GFDL AM3 CCM includes fully coupled stratospheric and tropospheric (both aerosol and  $\text{NO}_x$ -hydrocarbon- $\text{O}_3$ ) chemistry and aerosol-cloud interactions within a general circulation model (GCM). As described by Donner et al. (2011), AM3 includes several new physical parameterizations relative to the previous generation (AM2) models and is coupled to a land model (LM3) that includes dynamic vegetation and hydrology (Shevliakova et al., 2009). The tropospheric chemistry and stratospheric chemistry derive from MOZART-2 (Horowitz et al., 2003) and AMTRAC (Austin and Wilson, 2006), respectively, as described by Naik et al. (in preparation) who evaluate global trace gas distributions over recent decades with in situ measurements.

The dynamical core for AM3 is finite-volume, implemented on a cubed sphere (Putman and Lin, 2007). The native cubed sphere grid is C48 horizontal resolution ( $48 \times 48$  cells per face, with the size of the grid cell ranging from  $\sim 163$  km at the corners to  $\sim 231$  km near the face centers) and 48 vertical layers (top level centered at 1.7 Pa). We analyze simulated hourly surface  $\text{O}_3$  and temperature fields that have been re-gridded to a latitude-longitude grid with  $2^\circ \times 2.5^\circ$  horizontal resolution.

Our simulation follows the specifications for the GFDL AM3 “AMIP” simulations for CMIP5 as described by Donner et al. (2011), except for interactive isoprene emissions as described below. Briefly, AM3 is driven with observed sea surface temperatures and sea ice (Rayner et al., 2003) and aerosol and  $\text{O}_3$  precursor emissions from the Atmospheric Chemistry and Climate Model Intercomparison Project (ACCMIP) historical dataset (Lamarque et al., 2010). Thus, we do not expect the model to correspond to the actual synoptic meteorology during the simulation period; certain aspects of interannual variability (those not forced by sea surface temperatures) will not be captured by the model. Greenhouse gas concentrations are set to spatially uniform values for radiation (Meinshausen et al., 2011); for chemically reactive species, those

values are additionally applied as lower boundary conditions. We conduct a 21-year simulation from 1981–2000 and analyze results from 1981–2000 to allow for a one-year initialization. At the time of the simulations, ACCMIP emissions reflecting the  $\text{NO}_x$  emission reductions between the late 1990s and early 2000s (Frost et al., 2006) were not yet available so we focus on the period where emissions were relatively constant, and year-to-year variability in the model can be attributed largely to changes in average weather and associated feedbacks. Indeed, we find little change in eastern US (bounded by  $60^\circ$  W– $95^\circ$  W and  $25^\circ$  N– $50^\circ$  N) surface anthropogenic  $\text{NO}_x$  emissions over this period, with annual emissions of 4.23, 4.40, and 4.29  $\text{Tg N yr}^{-1}$  in 1980, 1990, and 2000, respectively. Given the small magnitude of these changes, we expect the  $\text{O}_3$ -temperature relationship to reflect weather variability in the model over these two decades rather than the  $\text{O}_3$  response to changes in  $\text{NO}_x$  emissions.

In order to allow isoprene emissions to respond to fluctuations in solar radiation and temperature, and thereby represent a potentially important positive feedback that enhances  $\text{O}_3$  levels in warmer years, we implement a version of Model of Emissions of Gases and Aerosols from Nature (MEGAN) (Guenther et al., 2006), following the approach used in MOZART-4 (Emmons et al., 2010). Emission capacities for five vegetation types are included (version 2.1). Global distributions of 17 plant functional types (PFT) and corresponding leaf area index are based on AVHRR and MODIS data, as used in the NCAR Community Land Model (CLM) (Lawrence and Chase, 2007) and mapped to the five MEGAN vegetation types (see Table 8 of Emmons et al., 2010). Note that these vegetation types and leaf area indices are independent of those within the LM3 dynamic vegetation model. Our implementation uses the model surface air temperature and downward short-wave visible radiation flux each time step, and also includes a dependence on the observed climatological surface air temperature and total downward solar radiation from the previous month. These climatological fields are taken from Sheffield et al. (2006) and are averaged from 1980 to 2000 separately for each



**Fig. 2.** Pearson correlation coefficient ( $r$ ) of monthly mean surface MDA8  $O_3$  (ppb) and monthly mean daily maximum surface temperature (K) over all years from a) individual CASTNet sites (1988–1999) and c) in the GFDL AM3 CCM (1981–2000) in May. The slope of the best-fit line between monthly mean MDA8  $O_3$  and monthly mean daily maximum surface temperature ( $m_{O_3-T}$ ;  $\text{ppb K}^{-1}$ ) from b) individual CASTNet sites and d) in the GFDL AM3 CCM; all CASTNet sites have at least 7 years of data; grey cells denote where  $m_{O_3-T}$  minus twice the standard error is less than zero and where modeled  $m_{O_3-T}$  is less than zero.

month. Annual isoprene emissions for our 1981–2000 period range from 368–405 Tg C globally, and 16–23 Tg C within the United States. The only other emissions tied to the model meteorology are lightning  $\text{NO}_x$ , but these should have minimal influence in eastern US surface air (Fang et al., 2010).

### 2.3. Statistical approach

We characterize monthly  $O_3$ -temperature relationships by: (1) Pearson correlation coefficients ( $r$ ) and (2) the slope of the regression line ( $m_{O_3-T}$ ) calculated from monthly mean  $T_{\text{max}}$  and monthly mean MDA8  $O_3$  from the CASTNet sites and the GFDL AM3 CCM. The Spearman's rank correlation (Wilks, 2006), a non-parametric statistic (i.e. does not assume Gaussian characteristics), for all correlation analyses yielded little numerical difference from the Pearson correlation coefficients. For calculating  $m_{O_3-T}$ , we use the reduced major axis (RMA) method, ideally suited for the presence of measurement uncertainties in both  $x$ - and  $y$ - variables (Ayers, 2001; Cantrell, 2008; Davis, 1986). Unless otherwise noted, all observed data are from 1988–1999, prior to the implementation of the  $\text{NO}_x$  control SIP Call to avoid aliasing the impacts of  $\text{NO}_x$  emission changes on  $O_3$  into our estimate of the  $O_3$  response to

temperature (Frost et al., 2006; Gego et al., 2008; Gego et al., 2007). Since  $\text{NO}_x$  emissions change little in the AM3 simulation (Section 2.2), we use the full 20 years (1981–2000).

In order to be included in our analysis, we require that individual sites meet several conditions: (1) site elevation must be below 600 meters to avoid including free tropospheric air that is not representative of surface conditions (Wunderli and Gehrig, 1991), (2) sites must be approximately 50 km from oceanfront to remove local land-sea meteorological effects, and (3) sites must have data record lengths of at least seven years for each of the three periods we analyze: 1988–1999, 2000–2009, or 1988–2009. We sample the model at the grid box that contains the site latitude and longitude. When more than one site falls within the same model grid box, the site with the longest observational record is used. We then estimate monthly  $O_3$ -temperature relationships using three different groupings of monthly mean observations at the sites meeting our selection criteria across all available years: (1) at individual CASTNet sites over the period of 1988–1999; (2) aggregating the valid data under approach (1) into three regions (Fig. 1); and (3) regionally averaged across all sites in Fig. 1 for each year when at least 75% of the sites within the region report valid data and meet our selection criteria. Site groupings for the regional scale

estimates are motivated by past statistical analyses (Chan, 2009; Eder et al., 1993; Lehman et al., 2004).

### 3. Observed monthly climatologies of the MDA8 $O_3$ - $T_{max}$ relationship

#### 3.1. Climatology for individual CASTNet sites in May and July

We begin by assessing the relationships between MDA8  $O_3$  and  $T_{max}$  at individual CASTNet sites. Here we limit our evaluation to two months during the eastern US  $O_3$  season: (1) May, during which we expect a seasonal transition in the photochemical environment controlling  $O_3$  formation (e.g. changing solar zenith angles, photolysis rates, humidity, and biogenic VOC emissions) (Jacob et al., 1995; Kleinman, 1991; Klonecki and Levy, 1997) and (2) July, when maximum  $O_3$  concentrations typically occur in the eastern US, (e.g. Bloomer et al., 2010). The  $O_3$ -temperature correlations at each CASTNet site meeting the predefined selection criteria (Section 2.3) range from  $r < 0$  to  $+0.9$  in the Mid-Atlantic and generally increase with latitude to 0.3–0.9 in the Great Lakes and the Northeast regions in May (Fig. 2a). This gradient is consistent with that found for a statistical model built on several meteorological variables (predominantly temperature and relative humidity; see Fig. 2, Davis

et al., 2011). A smaller range of 0.3–0.9 with no latitudinal trend is observed in July over the eastern US (Fig. 3a).

Values of May and July  $m_{O_3-T}$  across individual CASTNet sites vary over the eastern US, however a smaller range of slopes exists over the Great Lakes and Northeast regions in May ( $m_{O_3-T} = 1-3 \text{ ppb K}^{-1}$ ; Fig. 2b). Observed May and July  $m_{O_3-T}$  tend to decrease with increasing latitude from 4–6  $\text{ppb K}^{-1}$  in the south-eastern US to 1–2  $\text{ppb K}^{-1}$  in May and 2–3  $\text{ppb K}^{-1}$  in July (Fig. 3b) at the Ashland, Maine CASTNet site. This decrease in  $m_{O_3-T}$  from south to north also is consistent with the findings of Davis et al. (2011). The estimated  $m_{O_3-T}$  in the northern portion of the domain are more robust in terms of statistical significance as evidenced by the higher correlation coefficients in this region.

#### 3.2. Seasonal variations in regional relationships between MDA8 $O_3$ and $T_{max}$

We compile monthly mean MDA8  $O_3$  and  $T_{max}$  across all sites within each region (Fig. 1) to construct regional relationships by month for the Northeast, Mid-Atlantic, and Great Lakes (Fig. 4). We consider two time periods: 1988–1999 as in Figs. 2 and 3, as well as 2000–2009, to examine the impact of the 1998  $NO_x$  State Implementation Plan (SIP) Call which reduced summer time power plant

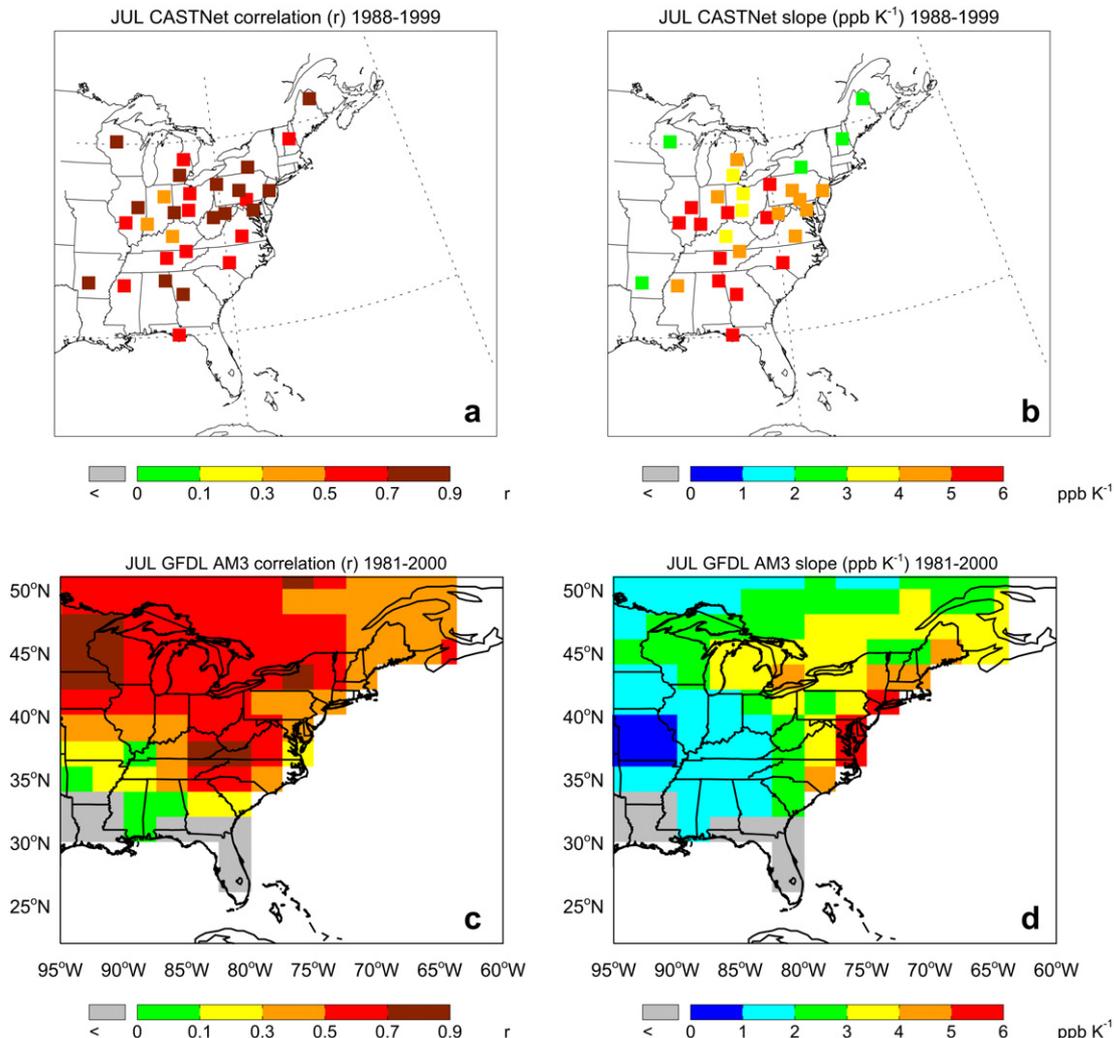
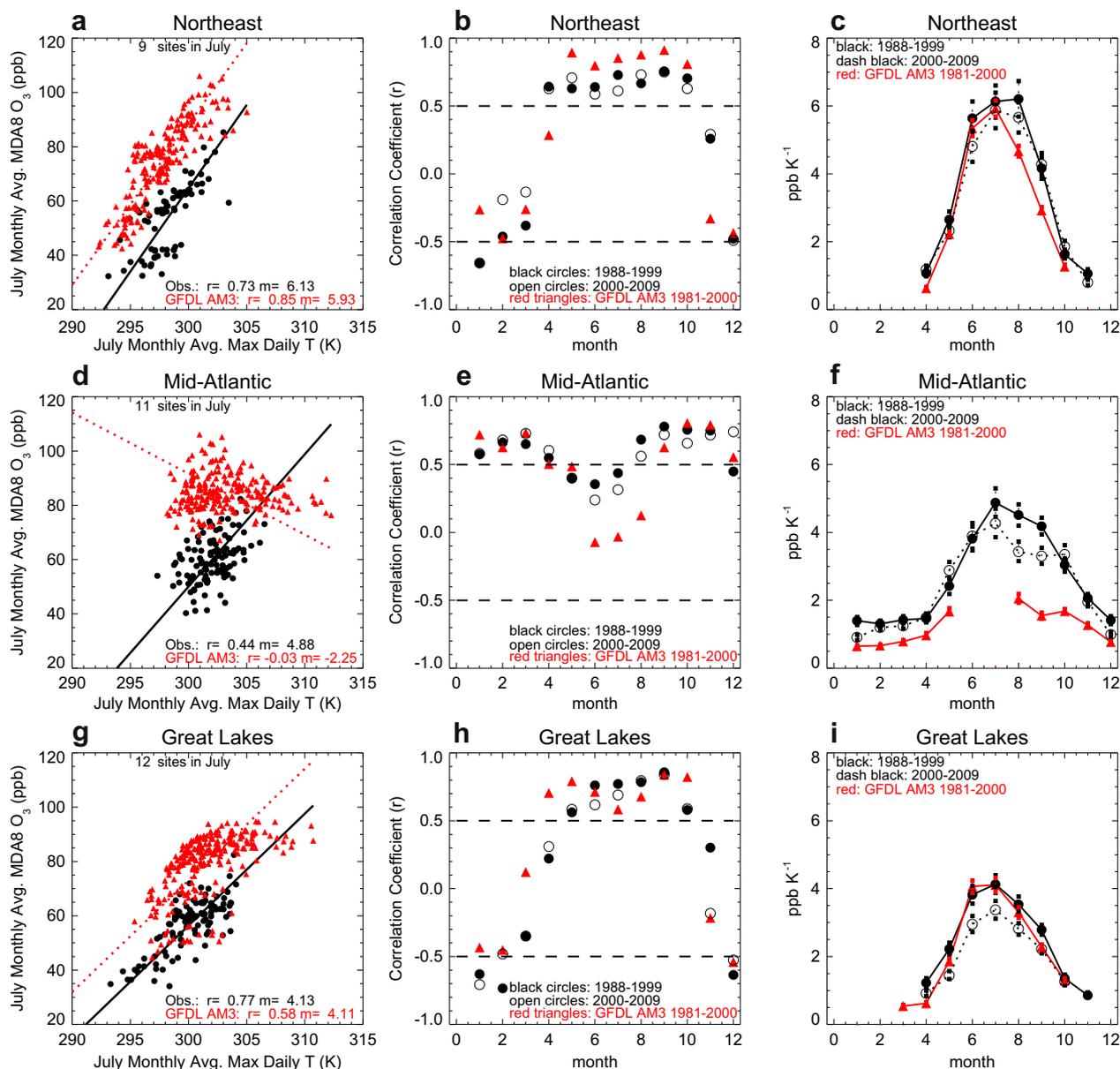


Fig. 3. As for Fig. 2, but for July.



**Fig. 4.** Relationships between monthly mean surface MDA8 O<sub>3</sub> (ppb) and monthly mean daily  $T_{\max}$  (K) from all CASTNet site locations in each region for 1988–1999 (solid black circles); 2000–2009 (open black circles); and from the GFDL AM3 model for 1981–2000 (red triangles). Scatter plots of July monthly mean MDA8 O<sub>3</sub> concentration (ppb) and July daily  $T_{\max}$  (K) with linear regression fits (RMA method; Section 2) representing the corresponding  $m_{O_3-T}$  (left column). Also shown are monthly values of Pearson correlation coefficients ( $r$ ; middle column) and  $m_{O_3-T}$  (right column);  $m_{O_3-T}$  is not shown for months where  $r < 0$ ; vertical lines indicate  $\pm 1$  standard error on  $m_{O_3-T}$  (ppb K<sup>-1</sup>). The dashed lines at  $r = \pm 0.5$  indicate where at least 25% of the year-to-year variance in the surface O<sub>3</sub> is associated with temperature variability (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article).

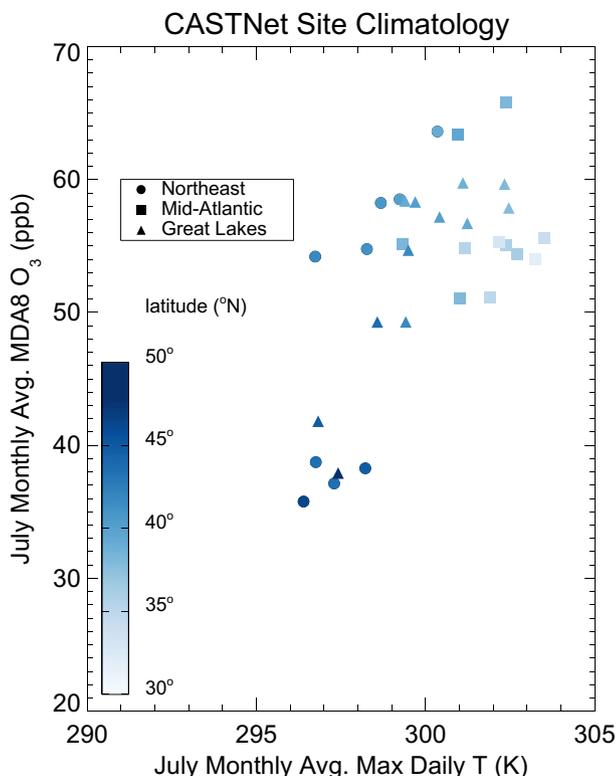
emissions in the eastern US by roughly 50% between 1999 and 2003 (Frost et al., 2006).

We first illustrate our approach by estimating  $m_{O_3-T}$  for July monthly mean MDA8 O<sub>3</sub> versus July mean  $T_{\max}$  at each CASTNet site for each year during the period 1988–1999 (Fig. 4). Strong linearity exists between daily  $T_{\max}$  and MDA8 O<sub>3</sub> over the Northeast ( $r = 0.7$ ; Fig. 4a) and the Great Lakes ( $r = 0.8$ ; Fig. 4g), while a weaker relationship is present in the Mid-Atlantic ( $r = 0.4$ ; Fig. 4d).

Throughout the year we find that O<sub>3</sub>-temperature correlations in the Mid-Atlantic (Fig. 4e) are weakest in May, June, and July, ( $r < 0.5$ ) and highest in the autumn, winter, and spring ( $r = 0.6$ – $0.7$ ). In contrast, O<sub>3</sub> and temperature are most strongly correlated in the warmer months in the Northeast (Fig. 4b) and the Great Lakes ( $r = 0.6$ – $0.7$ ; Fig. 4h), and anti-correlated during winter. Hot summer temperatures in the Mid-Atlantic region are

not a reliably strong predictor of high levels of O<sub>3</sub>, possibly reflecting offsetting effects of hot and cloudy conditions, a hypothesis previously put forth for the O<sub>3</sub>-temperature relationship observed at a site in suburban Georgia (Cardelino and Chameides, 1990). Additionally, summer temperatures in the Mid-Atlantic may regularly exceed the range over which O<sub>3</sub> responds linearly to temperature; this O<sub>3</sub> production “plateau” has been attributed to declining impacts of PAN decomposition at higher temperatures (Steiner et al., 2010).

In all regions, the observed  $m_{O_3-T}$  for 1988–1999 has a distinct seasonal cycle, with the highest sensitivities (3–6 ppb K<sup>-1</sup>) present during the summer and early autumn (Fig. 4c, f, and i). Mean  $m_{O_3-T}$  for the O<sub>3</sub> season matches well with the  $\sim 3$  ppb K<sup>-1</sup> calculated by Bloomer et al. (2009) over the Great Lakes, Northeast, and Mid-Atlantic. Furthermore, we find that a decrease of roughly



**Fig. 5.** CASTNet climatology (1988–2009 average) of average July surface MDA8  $O_3$  (ppb) versus average July daily maximum temperature (K); each symbol corresponds to each CASTNet site from one of the three regions in Fig. 2; circles are Northeast, squares are Mid-Atlantic, and triangles are Great Lakes; shading corresponds to the latitude ( $^{\circ}$ N) of each CASTNet site.

$1 \text{ ppb K}^{-1}$  in  $m_{O_3-T}$  during the  $O_3$  season from 1988–1999 to 2000–2009 emerges, consistent with the decrease attributed to the  $NO_x$  SIP call emission reductions previously documented (see Fig. 3, Bloomer et al., 2009).

We note an apparent discrepancy between the  $m_{O_3-T}$  in July in the Northeast ( $2\text{--}6 \text{ ppb K}^{-1}$  across the individual CASTNet sites in Fig. 3b) versus the  $6 \text{ ppb K}^{-1}$  estimate from the regionally aggregated data in Fig. 4c. Since the estimate in Fig. 4c includes data from all the individual sites, we hypothesize that the larger slope reflects the spatial gradients in the  $O_3$  and  $T_{\text{max}}$  relationship across the region rather than a regionally representative  $O_3$  response to temperature. We next attempt to separate the influence of these spatial gradients from the impact of year-to-year weather fluctuations on  $O_3$ . As a first step, we examine climatologies of July monthly mean MDA8  $O_3$  and  $T_{\text{max}}$  at CASTNet sites over the eastern US (Fig. 5). We find large variability in the mean July MDA8  $O_3$  and  $T_{\text{max}}$  within each of the three regions, including a strong dependence on latitude. In an attempt to filter out this spatial variability, we average the MDA8  $O_3$  and  $T_{\text{max}}$  over all CASTNet sites in each region to create regional average records of  $O_3$  and temperature for each month within each year. Averaging only occurs when at least 75% of the sites in each region (Fig. 1) report data for that month. Given the scarcity of temporally coincident observations from sites for the 1988–1999 period, we increase the sample size by expanding to use the full 1988–2009 period. These regionally averaged monthly mean values are then used to estimate  $m_{O_3-T}$  for each month (Fig. 6). Note that our dataset is shorter than the full 22 year record due to our data screening criteria.

Using this method, the observed  $m_{O_3-T}$  in the both the Mid-Atlantic and the Great Lakes are within  $1 \text{ ppb K}^{-1}$  of our estimates when we allow each site to contribute individually to the

$O_3$ -temperature relationship, indicating that the relationships in these regions calculated using the methodology from Fig. 4 primarily reflect the year-to-year variations of MDA8  $O_3$  with  $T_{\text{max}}$  which we seek to characterize. For a quantitative comparison between methods (Fig. 4 vs. Fig. 6), the seasonality of  $m_{O_3-T}$  calculated with observations from 1988–2009 with the methodology used in Fig. 4 is presented as dashed lines (Fig. 6c, f, and i). Over the Northeast, the two methods yield values of  $m_{O_3-T}$  that differ by up to  $2 \text{ ppb K}^{-1}$  (solid vs. dashed black lines in Fig. 6c). The lower July sensitivity in Fig. 6c is more consistent with what one would estimate from averaging the values of  $m_{O_3-T}$  at the individual sites in the Northeast (Fig. 3b). The larger standard errors in Fig. 6 versus Fig. 4 during the warmer months of the year points to a need for longer datasets to improve statistical power.

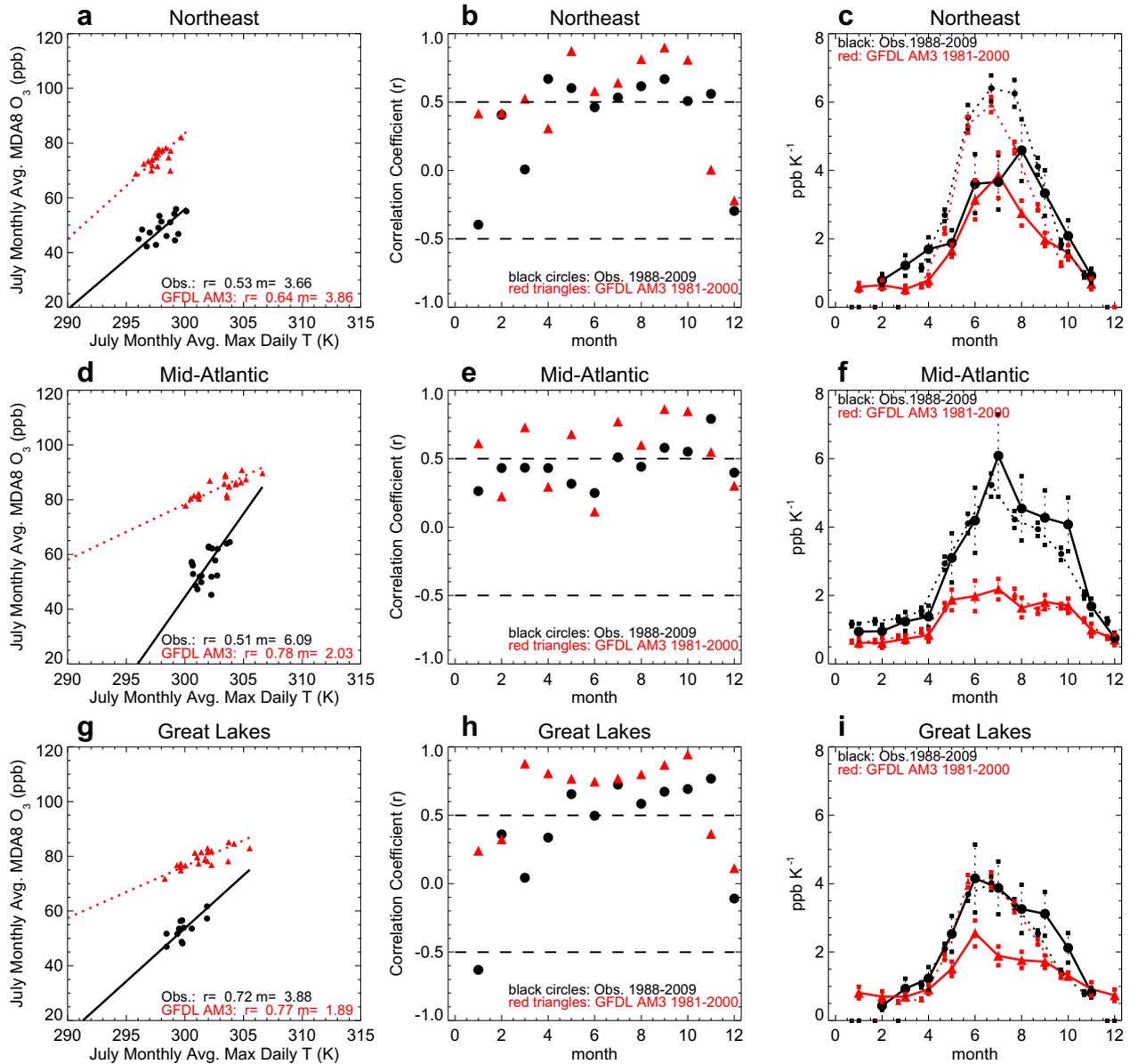
#### 4. Evaluating modeled monthly climatologies of the MDA8 $O_3$ - $T_{\text{max}}$ relationship

Here we evaluate a 20-year simulation with the GFDL AM3 CCM with our observation-derived monthly climatology of  $O_3$ -temperature statistics in Section 3. Modeled and observed MDA8  $O_3$  and  $T_{\text{max}}$  are compared at individual sites in Figs. 2 and 3. In May, the model (Fig. 2c) captures the broad range of observed correlation coefficients from south to north ( $r < 0$  to  $+0.9$ ) over the eastern US (Fig. 2a). North of roughly  $37^{\circ}$  N, the model reproduces the range of observed  $m_{O_3-T}$  in May (compare Fig. 2d and b) and generally captures the north-south gradients along the Eastern Seaboard in July (compare Fig. 3d and b) where Camalier et al. (2007) found the highest observed response of  $O_3$  to temperature over the eastern US. The high correlation between  $O_3$  and temperature in summer ( $r = 0.3\text{--}0.9$ ) is reproduced by the GFDL AM3 CCM only in the northern half of the domain (Fig. 3c) and  $m_{O_3-T}$  is underestimated in the Mid-Atlantic US, particularly in the southern and central portions of the region, in May (Fig. 2d) and July (Fig. 3d).

We assess the GFDL AM3 CCM at the regional scale by compiling monthly mean MDA8  $O_3$  and  $T_{\text{max}}$  across all grid boxes that contain CASTNet sites to construct regional relationships by month (Fig. 4). Here we compare the model simulation (1981–2000) to the observation period prior to the  $NO_x$  SIP Call (1988–1999). We note July  $O_3$  biases of up to  $+10$  to  $+30 \text{ ppb}$  over all regions (Fig. 4a,d, and g). Summertime  $O_3$  biases over the eastern US have been noted in previous global and regional modeling studies (Fiore et al., 2009; Nolte et al., 2008; Reidmiller et al., 2009). The range of modeled monthly mean  $T_{\text{max}}$  is also greater than observed at the CASTNet sites in both the Great Lakes and the Mid-Atlantic, with some months exceeding the warmest observed  $T_{\text{max}}$  by over 5 K. At monthly mean temperatures above 305 K, simulated MDA8  $O_3$  ceases to respond linearly to increasing  $T_{\text{max}}$  (Fig. 4d, g). These “plateaus” in MDA8  $O_3$  may reflect the decreasing lifetime of PAN at incrementally higher temperatures (e.g. Steiner et al., 2010). This saturation effect manifests in the low correlations and slopes noted below for the model.

Over the Northeast and the Great Lakes, the model reproduces the observed correlation coefficients (Fig. 4b, h) and  $m_{O_3-T}$  (Fig. 4c, i) throughout the year. In the Mid-Atlantic, the AM3 reproduces  $m_{O_3-T}$  in the winter and early spring and simulates the observed summertime decrease in  $O_3$ -temperature correlations, but correlations are excessively weak (July CASTNet:  $r = 0.4$ ; model:  $r = 0.0$ ; Fig. 4e). Additionally, the model underestimates  $m_{O_3-T}$  in late summer and autumn by as much as  $3 \text{ ppb K}^{-1}$  (Fig. 4f).

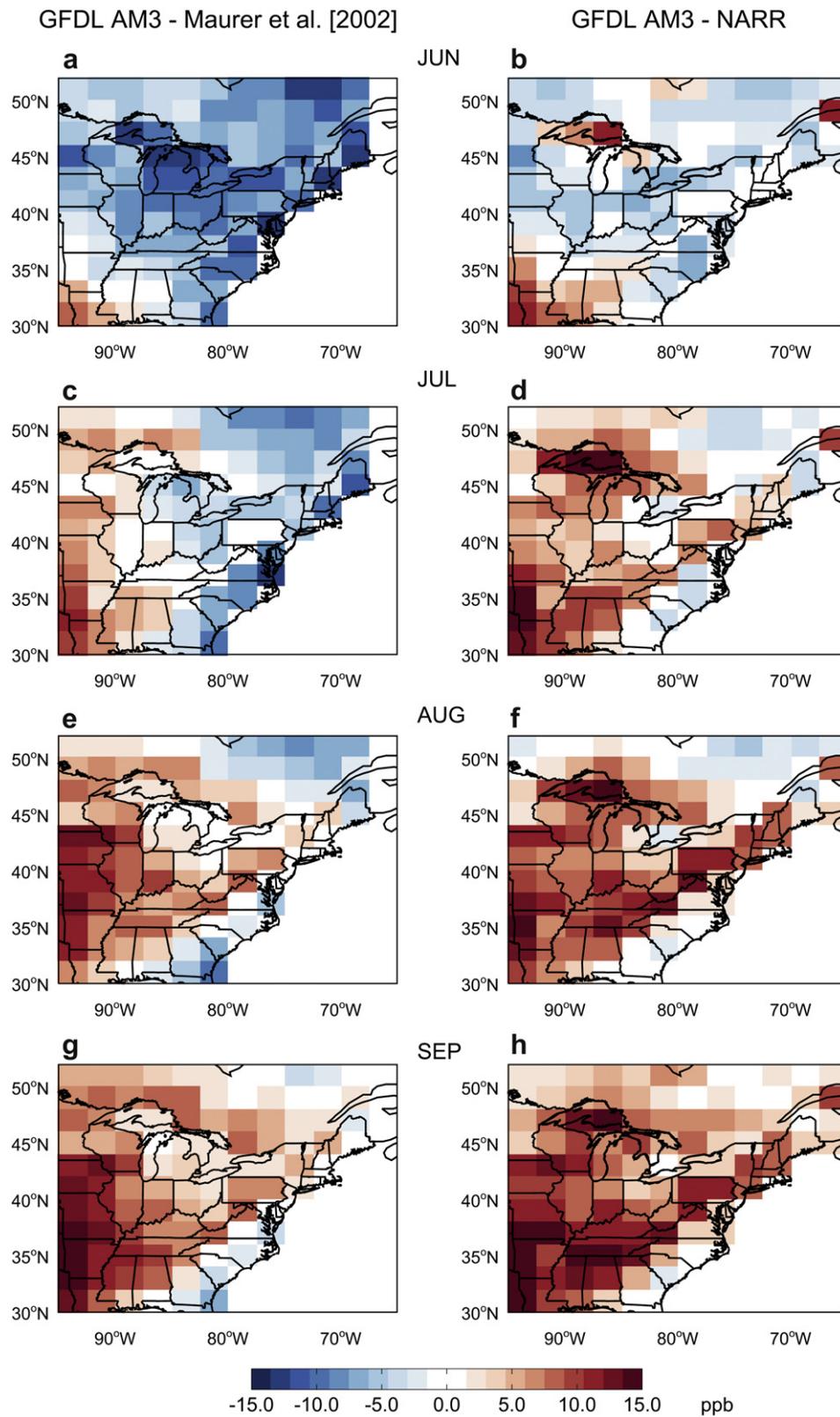
Ideally the model should capture spatial variability in the climatic MDA8  $O_3$  and  $T_{\text{max}}$  relationships, which likely results from regional differences in precursor emissions and the photochemical regime, and so we conduct the same comparisons in the model as previously done for the observations in Figs. 4 and 6. We average



**Fig. 6.** Relationships between monthly regional averages of MDA8 O<sub>3</sub> (ppb) and of daily T<sub>max</sub> (K) for individual years from 1988–2009 (solid black circles) and from the GFDL AM3 model for 1981–2000 (red triangles). For each year, we require that 75% of all regional sites within a region for the specified month meet the selection criteria (Section 2); this criteria results in a record length shorter than the full 22 years. Scatter plots of July monthly mean MDA8 O<sub>3</sub> concentration (ppb) and July daily T<sub>max</sub> (K) with linear regression fits ( $m_{O_3-T}$ ; left column). Also shown are monthly values of Pearson correlation coefficients ( $r$ ; middle column) and  $m_{O_3-T}$  (right column); dotted lines use the methodology from Fig. 4 but applied to the full 1988–2009 dataset;  $m_{O_3-T}$  is not shown for months where  $r < 0$ ; vertical lines indicate  $\pm 1$  standard error on  $m_{O_3-T}$  (ppb K<sup>-1</sup>). The dashed lines at  $r = \pm 0.5$  indicate where at least 25% of the variance in the surface O<sub>3</sub> is associated with temperature variability (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.).

over all data modeled at CASTNet site locations within each region to estimate regionally average climatologies (Fig. 6). Despite up to a +10 to +20 ppb O<sub>3</sub> bias, the model captures  $m_{O_3-T}$  over the Northeast, and with the exception of the timing of the highest  $m_{O_3-T}$  occurring one month early in the model, the seasonality of  $m_{O_3-T}$  over the Northeast is generally well simulated (Fig. 6c). Values of  $m_{O_3-T}$  are also well reproduced in the winter and early spring over the Mid-Atlantic (Fig. 6f) and the Great Lakes (Fig. 6i), but are lower by roughly 2–4 ppb K<sup>-1</sup> in the Mid-Atlantic and 1–2 ppb K<sup>-1</sup> in the Great Lakes in the summer (consistent with Figs. 2d and 3d over the Mid-Atlantic) and early autumn. For comparison, the dashed lines in Fig. 6 are monthly values of  $m_{O_3-T}$  calculated using the methodology from Fig. 4, but extended to the full record length. In the Northeast, we find that the model represents both the spatial

gradients across O<sub>3</sub> and temperature (Fig. 4a–c) as well as the role of year-to-year weather changes on O<sub>3</sub> (Fig. 6a–c). Whereas spatial gradients of O<sub>3</sub> and temperature over the Mid-Atlantic and Great Lakes are well captured by the model (Fig. 4), in these regions the response of O<sub>3</sub> to year-to-year fluctuations in temperature is only weakly reproduced. We find that over these same two regions, monthly mean T<sub>max</sub> is at times 5 K too warm with respect to the CASTNet sites (Fig. 6d, g). When the modeled monthly mean temperatures that are above the warmest observed monthly mean T<sub>max</sub> are removed from the data (not shown) over the Mid-Atlantic, a sensitivity of 2.5 ppb K<sup>-1</sup> is calculated for July ( $r = 0.7$ ). The O<sub>3</sub>-temperature sensitivity increases by 5 ppb K<sup>-1</sup> in the summer months over the Great Lakes when temperatures above 305 K are excluded.



**Fig. 7.** June, July, August, and September excess modeled  $O_3$  (ppb) attributed to eastern US monthly (1981–2000 average) maximum daily surface temperature biases in the GFDL AM3 CCM; left column uses temperature bias of GFDL AM3 versus Maurer et al. (2002); right column uses bias of GFDL AM3 versus NARR; temperature biases are multiplied by a conservative, observationally-derived estimate for  $m_{O_3-T}$  for  $3 \text{ ppb K}^{-1}$  over the eastern US (Figs. 4 and 6).

Fundamentally different meteorological processes modulate O<sub>3</sub> levels in the southern and the northern regions of the eastern US (Camalier et al., 2007). Migratory high-pressure systems strongly influence summer O<sub>3</sub> variability over this domain, except in the south where the stagnant Bermuda high-pressure system tends to limit day-to-day changes (Vukovich, 1995). The model best simulates the O<sub>3</sub>-temperature relationship over the Northeast and most of the Great Lakes. In these regions, summertime pollutant ventilation is known to be driven by northeastward propagating cyclones with associated cold fronts extending south to roughly 35° N (Leibensperger et al., 2008; Logan, 1989; Vukovich, 1995); below this latitude, both deep convection and inflow from the Gulf of Mexico have been identified as important pollutant ventilation mechanisms (Li et al., 2005). The better-represented O<sub>3</sub>-temperature relationships north versus south of 35° N may indirectly confirm model skill at resolving synoptic scale meteorological systems. In contrast, the lack of skill in the Mid-Atlantic region may point to inadequate representation of both regional circulation and convective ventilation, a notorious problem for global climate models.

A strong linear dependence of O<sub>3</sub> on humidity (anticorrelation) in the southern US has been noted (Camalier et al., 2007; Dawson et al., 2007; Davis et al., 2011). Davis et al. (2011) found the regional model, Community Multiscale Air Quality (CMAQ), to underestimate this predominantly inverse relationship between O<sub>3</sub> and relative humidity over the Mid-Atlantic. A similar problem could be limiting the success of the GFDL AM3 CCM to reproduce observed O<sub>3</sub> levels in this region, though the observed effects of humidity on O<sub>3</sub> have varying sign and have been perceived as slight in comparison to that of temperature (Jacob and Winner, 2009).

## 5. Estimating the impact of model biases in climatological T<sub>max</sub> on MDA8 O<sub>3</sub>

Excess summertime surface O<sub>3</sub> formation in the eastern US is a pervasive problem in gridded global (Fiore et al., 2009; Murazaki and Hess, 2006; Reidmiller et al., 2009) and regional (Nolte et al., 2008) models and raises questions about the accuracy of their estimates of future O<sub>3</sub> concentrations. Here, we use the O<sub>3</sub>-temperature relationship derived from observations (conservatively estimating a 3 ppb K<sup>-1</sup> sensitivity; Figs. 4c, f, i and 6c, f, i), to investigate the potential contribution of model biases in monthly climatological temperatures to excess surface O<sub>3</sub> over our study domain. To evaluate modeled T<sub>max</sub> biases where CASTNet sites do not exist, we utilize 20 years (1981–2000) of monthly mean T<sub>max</sub> from two independent gridded datasets: The University of Washington (UW) (Maurer et al., 2002) and the North American Regional Reanalysis (NARR) (Mesinger et al., 2006). The UW data are hourly observations from the National Oceanic and Atmospheric Administration/National Climatic Data Center Co-op stations spatially interpolated and gridded to 1/8° × 1/8° horizontal resolution. The NARR data are the product of assimilating the NCEP/Department of Energy global reanalysis (Kanamitsu et al., 2002) with a version of the NCEP Eta model at 32 km × 32 km horizontal resolution.

The estimated impact of the AM3 bias in monthly climatological (1981–2000 average) T<sub>max</sub> is shown in Fig. 7. Both the NARR and the UW indicate that GFDL AM3 temperatures are too cool in June and thus would act to decrease O<sub>3</sub> levels in this month such that a negative O<sub>3</sub> bias would be expected if temperature biases were the driving factor. In July, the UW temperature record suggests the GFDL AM3 CCM is too cool, yet both the NARR and the CASTNet observations suggest the opposite. The NARR output is 3-hourly while the UW data are calculated from hourly data, so NARR should be inherently cooler than UW; we suggest this discrepancy between the datasets requires additional study.

Given the general rural location of the CASTNet sites in the urbanized eastern US, they may be biased cool (relative to a regional average). The largest model summer T<sub>max</sub> biases occur over the Great Lakes and the inland Mid-Atlantic. Fig. 7 indicates that these biases for the months of August and September are a possible source of up to 10–15 ppb of the excess modeled O<sub>3</sub>, ~30–50% of the O<sub>3</sub> bias in some grid boxes. Since the modeled regional O<sub>3</sub> response to T<sub>max</sub> in the summer over both the Mid-Atlantic and the Great Lakes is underestimated in the model (Fig. 6f, i), we consider the estimates in Fig. 7 as an upper limit to the contribution of temperature biases to the O<sub>3</sub> bias (using the modeled m<sub>O<sub>3</sub>-T</sub> of 2 ppb K<sup>-1</sup> would lower our estimate to 6–10 ppb). Clearly, excessively warm temperatures are not the only factor contributing to the model O<sub>3</sub> bias as +30 ppb biases occur over some areas with well-simulated T<sub>max</sub>, particularly in the Mid-Atlantic. Modeled O<sub>3</sub> production chemistry likely contributes; surface O<sub>3</sub> over the eastern US is highly sensitive to the treatment of isoprene nitrate (RONO<sub>2</sub>) chemistry and whether isoprene nitrates represent a terminal or interim sink for NO<sub>x</sub> (Fiore et al., 2005; Horowitz et al., 2007; Ito et al., 2009; Wu et al., 2007).

## 6. Conclusions

Our study characterizes climatological monthly O<sub>3</sub>-temperature relationships from long-term observations over the eastern US for the purpose of evaluating chemistry-climate models (CCMs) that are used to project future air quality. We consider temperature as a proxy to synthesize the complex effects of several temperature-dependent meteorological and chemical processes influencing O<sub>3</sub> concentrations. We report the slope of the O<sub>3</sub>-temperature relationship, m<sub>O<sub>3</sub>-T</sub>, at both site-level and regional scales, as derived from measurements of monthly mean daily maximum temperatures (T<sub>max</sub>) and monthly mean daily maximum 8-hour average (MDA8) O<sub>3</sub> concentrations over all available years. By segregating the observations into two time periods (1988–1999 and 2000–2009), we confirm the previously noted ~1 ppb K<sup>-1</sup> regional decrease in m<sub>O<sub>3</sub>-T</sub> between these periods attributed to power plant NO<sub>x</sub> emission reductions (Bloomer et al., 2009).

Our evaluation of the GFDL AM3 CCM shows modeled MDA8 O<sub>3</sub> biases in summer (ranging from +10 to +20 ppb in the Northeast and +10 to +30 ppb in the Great Lakes and Mid-Atlantic). Simulated monthly mean T<sub>max</sub> (1981–2000 average) is at times 5 K too warm with respect to observations in the latter two regions. Despite these biases, GFDL AM3 reproduces the general spatial and temporal characteristics of m<sub>O<sub>3</sub>-T</sub> and associated correlation coefficients in the Northeast, although it underestimates m<sub>O<sub>3</sub>-T</sub> by 2–4 ppb K<sup>-1</sup> in the summer over the Mid-Atlantic, where simulated correlation coefficients are weak. The skill of the model in the northern half of the study domain may derive from the ability of the GFDL AM3 CCM to simulate the fundamental meteorological and chemical processes that modulate the surface O<sub>3</sub> response to temperature in that region. These processes include stagnation and ventilation events resulting from migrating synoptic systems across the eastern US (Logan, 1989; Vukovich, 1995; Leibensperger et al., 2008). By contrast, deficient representation of O<sub>3</sub>-temperature relationships in the mid-Atlantic may reflect, at least partially, inadequate representation of convection and inflow from the Gulf of Mexico (Li et al., 2005).

We illustrate how the observationally derived O<sub>3</sub>-temperature relationships can be applied to estimate the contribution of modeled temperature biases to excess surface O<sub>3</sub> over our study domain. In order to assess model temperature biases over the full domain (rather than solely in the limited locations of the CASTNet sites), we evaluate model monthly (1981–2000 average) T<sub>max</sub> with two gridded data sets, both of which suggest summer T<sub>max</sub> biases of up to 5 K

in August and September for the Mid-Atlantic and the Great Lakes. Multiplying these modeled  $T_{\max}$  biases by our observation-based  $O_3$  sensitivity of  $3 \text{ ppb K}^{-1}$ , we estimate a maximum contribution of 10–15 ppb  $O_3$  from simulated  $T_{\max}$  biases, and conclude they are not the major driver of the large-scale  $O_3$  bias.

The larger standard errors in  $m_{O_3-T}$  calculated from the regionally averaged observed data (Fig. 6) as compared to regional  $m_{O_3-T}$  constructed from monthly data at each site (Fig. 4) highlights the need for longer data records to improve statistical power and better quantify robust relationships between MDA8  $O_3$  and  $T_{\max}$ . As such, we stress the importance of continuing to maintain long-term, quality controlled, co-located meteorology and air quality measurement networks in the eastern US such as that of the CASTNet, for their crucial importance in documenting relationships and trends therein. These observation-derived relationships are fundamentally tied to the meteorological and chemical regimes from which they are derived and thus are not appropriate for projecting future  $O_3$  air quality directly by statistical downscaling from a future temperature distribution. Rather, these observation-based relationships provide useful constraints to evaluate CCM skill at resolving processes relevant to applying CCMs to project the response of air quality to future changes in climate.

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## Appendix. Supplementary materials

An archive of MDA8  $O_3$ – $T_{\max}$  statistics, calculated with the methodologies used herein, with measurements from EPA CASTNet sites across the continental US and Alaska are available for download from GFDL/NOAA at [http://www.gfdl.noaa.gov/atmospheric-physics-and-chemistry\\_data](http://www.gfdl.noaa.gov/atmospheric-physics-and-chemistry_data).

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