

# <sup>1</sup> Climate Response to a Geoengineered Brightening of <sup>2</sup> Subtropical Marine Stratocumulus Clouds

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3 As a means of mitigating anthropogenic climate change, there have been  
4 suggestions to increase the albedo of low-level marine clouds through the aerosol  
5 indirect effects by deliberately injecting them with sea salt. However, the full  
6 climate response to this geoengineering scheme is currently poorly under-  
7 stood. We simulate cloud seeding in a coupled mixed-layer ocean-atmosphere  
8 general circulation model in order to identify the specific physical mechanisms  
9 through which seeding could operate. Seeding stratocumulus decks over three  
10 subtropical maritime regions produces strong local radiative deficits, both  
11 due to enhancement of the local cloud albedo and direct scattering of solar  
12 radiation by the added sea salt aerosols. Though the resulting cooling is fairly  
13 well spread over most of the globe, differential cooling over the equatorial  
14 Pacific Ocean induces a La Niña-like climate response, with tropical precip-  
15 itation changes resembling La Niña anomalies and teleconnections occurring  
16 in the North Pacific. Additionally, model runs in which only one of the three  
17 regions is seeded indicate some nonlinearity in the climate response. We iden-  
18 tify dynamical and thermodynamical constraints respectively on the tem-  
19 perature and hydrological cycle responses to cloud seeding, but the full re-  
20 sponse to such geoengineering remains poorly constrained.

## 1. Introduction

21 Enhancing the albedo and lifetime of marine boundary layer clouds by deliberately  
22 injecting them with sea salt aerosols has previously been proposed as a geoengineering  
23 method of mitigating temperature rise due to anthropogenic climate change [*Latham*,  
24 1990, 2002]. The added aerosols would act as cloud condensation nuclei, thereby inducing  
25 local cooling through the aerosol indirect effects. Initial model studies have suggested that  
26 such a cloud seeding scheme could effectively enhance the albedo of subtropical marine  
27 stratocumulus decks [*Latham et al.*, 2008] and that, if deployed at sufficiently large scale,  
28 could offset a significant fraction of the projected global warming [*Latham et al.*, 2008;  
29 *Jones et al.*, 2009; *Rasch et al.*, 2009]. These results must be taken in context, however,  
30 that the aerosol indirect effects are poorly understood [e.g. *Lohmann et al.*, 2010].

31 In addition to its intended result of minimizing global-mean temperature rise, cloud  
32 seeding would also produce unintended consequences, that is, changes to the climate  
33 that could be deleterious to human society and/or ecosystems. Alterations of local to  
34 regional precipitation patterns are of particular concern in this respect, which presents a  
35 considerable challenge given the difficulty of accurately resolving precipitation on these  
36 scales in current generation coarse-resolution climate models. Accurately constraining  
37 these unintended consequences therefore requires a solid theoretical understanding of the  
38 full climate response to cloud seeding.

39 This full response, however, has only just begun to be explored, with only a few general  
40 circulation model (GCM) studies published to date. Using a coupled atmosphere-ocean  
41 GCM (AOGCM), *Jones et al.* [2009] (hereafter referred to as J09) increased cloud droplet

42 number concentration ( $N_d$ ) to  $375 \text{ cm}^{-3}$  over three subtropical marine regions (Fig. 1 of  
43 J09) in addition to A1B emissions scenario greenhouse gas forcing. *Rasch et al.* [2009]  
44 (hereafter referred to as R09) imposed both doubled  $\text{CO}_2$  forcing ( $710 \text{ ppmv CO}_2$ ) and  
45 increased  $N_d$  to  $1000 \text{ cm}^{-3}$  between 850 and 1000 hPa over fixed percentages of the ocean  
46 surface (20, 30, 40, or 70% of total ocean area) in a series of AOGCM experiments, with  
47 seeding locations varying in time according to the location of the most susceptible clouds.

48 In the present study, we use an atmosphere general circulation model (AGCM) coupled  
49 to a mixed-layer ocean model to simulate the climate impacts of cloud seeding. After  
50 analyzing its effect on the radiative budget, we examine the thermal and hydrological  
51 responses to the radiative perturbation. We then explore the linearity of the climate re-  
52 sponse to cloud seeding by comparing the results of simulations with different geographical  
53 seeding areas. We conclude by discussing the implications of our results.

## 2. Methodology

54 We use a modified version of the Geophysical Fluid Dynamics Laboratory (GFDL)  
55 AM2.1 AGCM [*The GFDL Global Atmospheric Model Development Team*, 2004] to eval-  
56 uate the top-of-atmosphere (TOA) radiative imbalances caused by cloud seeding. The  
57 AGCM features a prognostic scheme of  $N_d$  that allows for explicit consideration of the  
58 size distributions and chemical compositions of multiple aerosol types including sea salt  
59 [*Ming et al.*, 2006, 2007]. We then couple the AGCM to a mixed-layer ocean model to  
60 simulate the resulting equilibrium climate response. A detailed description of the coupled  
61 model configuration can be found in *Ming and Ramaswamy* [2009]. Results are taken over  
62 the last 20 years of the 80-year coupled mixed-layer ocean-AGCM simulation. In order

63 to reliably discern the signal forced by cloud seeding from the climate system's natural  
64 variability, we calculate statistical significance by applying Student's  $t$  test at the 95%  
65 confidence level. All discussions of statistical significance refer to this criterion.

66 In this model, atmospheric sea salt concentrations are prescribed as a function of the  
67 satellite-retrieved surface wind speed [*Haywood et al.*, 1999]. We simulate cloud seeding by  
68 increasing sea salt aerosol concentrations fivefold for all size bins below the 850 hPa level  
69 within three regions located in the subtropical North Pacific (NP), South Pacific (SP), and  
70 South Atlantic (SA) (boxed regions in Fig. 1). These climatological subsidence regions  
71 are covered by persistent low level clouds and have relatively low aerosol burdens. Since  
72 cloud albedo change scales better with the fractional  $N_d$  change than the absolute change,  
73 the subtropical stratocumulus clouds have been identified previously as being particularly  
74 susceptible to seeding [*Latham et al.*, 2008]. The locations of the three seeding regions  
75 are similar to those used in J09 (Fig. 1 of J09), though ours cover nearly twice as much  
76 total area (Table 1). In addition to one non-seeded control run (CONT) and one run in  
77 which all three regions are seeded simultaneously (ALL) are three individual region runs  
78 in which only one of the three regions is seeded (NP, SP, and SA).

79 We keep fixed the levels of greenhouse gases and aerosol species (other than the afore-  
80 mentioned changes to sea salt) at pre-industrial (PI) levels. This choice makes it feasible  
81 to isolate the impacts of cloud seeding on the climate. By imposing cloud seeding as  
82 the sole perturbation to an otherwise equilibrium state, we can confidently attribute any  
83 simulated changes to seeding, rather than needing to untangle the confounding effects

84 of seeding and greenhouse gas forcing. The linearity (or lack thereof) of the combined  
85 response to both perturbations will be addressed in a future study.

### 3. Results

#### 3.1. Radiative flux perturbation

86 We quantify the effects of cloud seeding on the radiative budget in terms of radiative  
87 flux perturbation (RFP) [Haywood *et al.*, 2009]. That is, in the AGCM-only run we  
88 allow the entire atmosphere and land to respond to the injected aerosols while keeping  
89 sea surface temperatures (SST) fixed, and then take the TOA radiative flux difference  
90 between perturbation and control runs. RFP has been shown to be a good predictor of  
91 the resulting change in the surface temperature [Ming *et al.*, 2010; Persad *et al.*, 2012]  
92 and is a feasible way to quantify the aerosol indirect effects [Lohmann *et al.*, 2010].

93 The injected sea salt aerosols produce strong radiative deficits over the seeding regions.  
94 The mean in-region RFP is  $-8.5 \text{ W m}^{-2}$ , and the global-mean is  $-0.73 \text{ W m}^{-2}$ . The mean  
95 RFP over non-seeded areas is  $-0.20 \text{ W m}^{-2}$ , which is statistically insignificant, indicating  
96 that the radiative effects of cloud seeding are mostly confined locally. This is consistent  
97 with the lack of clear spatial signal outside of the seeding regions (Fig. 1).

98 Decomposing the all-sky RFP into clear- and cloudy-sky components sheds additional  
99 light on how the added sea salt affects the system. The clear-sky component captures  
100 any direct scattering of sunlight by the added particles, while cloudy-sky RFP measures  
101 the aerosol indirect effects (note that this would not hold if the aerosols were absorptive  
102 instead of scattering; see Persad *et al.* [2012]). Global-mean clear- and cloudy-sky RFP  
103 in ALL are  $-0.41$  and  $-0.32 \text{ W m}^{-2}$ , respectively. Thus, over half of the radiative effect of

104 seeding comes from direct scattering by the added sea salt. This is particularly significant  
105 given that, by specifying  $N_d$  directly, prior studies of the climate response to cloud seeding  
106 such as J09 and R09 do not capture this direct scattering component. The remaining RFP  
107 stems from the injected aerosol's microphysical effect on clouds. Averaged over all three  
108 seeding regions,  $N_d$  increases by 2.1 times over its control values, from  $69 \text{ cm}^{-3}$  in CONT  
109 to  $148 \text{ cm}^{-3}$  in ALL at the levels between 850-925 hPa (Table 1).

110 In each region, RFP is weakest nearest neighboring continental landmasses (Fig. 1) due  
111 to two factors. First, like many others, this AGCM is known to under-represent marine  
112 stratocumulus decks near land [*The GFDL Global Atmospheric Model Development Team*,  
113 2004]. As such, cloudy-sky RFP and thus all-sky RFP are likely underestimated for all  
114 perturbation runs. Second, higher baseline aerosol concentrations near land cause  $N_d$  to  
115 be higher there in CONT. Thus, the fractional increase in  $N_d$  (and therefore absolute  
116 increase in cloud albedo) is less than in cleaner conditions farther out to sea.

### 3.2. Changes in temperature

117 We now consider the response of the coupled mixed-layer ocean-AGCM to the RFP,  
118 beginning with surface temperature. Despite the highly localized RFP, temperature re-  
119 sponds in ALL relatively evenly over most of the globe (Fig. 2). It is known that the  
120 tropical free troposphere cannot sustain strong temperature gradients [e.g. *Sobel et al.*,  
121 2001; *Kang et al.*, 2009]. Due to the tight convective coupling between the surface and the  
122 free troposphere, this argument of weak temperature gradient also holds for the surface,  
123 albeit to a lesser extent than in the free troposphere. Thus, cooling beneath the bright-  
124 ened clouds is bound to be re-distributed through the rest of the tropics. The cooling also

125 extends to the mid- and high-latitudes, with the notable exception of the rather strong  
126 warming over the North Pacific, which will be discussed below.

127 Global-mean temperature change ( $\delta T$ ) from CONT to ALL is -0.53 K, resulting in a  
128 climate sensitivity is  $0.72 \text{ K m}^2 \text{ W}^{-1}$ . This is 65% (81%) of the sensitivity of an experiment  
129 performed with the same coupled model, but forced with PI to present-day anthropogenic  
130 GHG (aerosol) forcing [*Ming and Ramaswamy, 2009*]. The relatively low sensitivity to  
131 cloud seeding is likely partly due to seeding being highly localized in the tropics, compared  
132 to the globally uniform GHG or mid-latitude anthropogenic aerosols.

133 Though relatively smooth, the temperature response is not spatially homogeneous. As  
134 two of the seeding areas are over the eastern tropical Pacific, the equatorial Pacific cools  
135 more in the east than in the west (Fig. 2). This enhances the climatological equatorial  
136 SST gradient, thereby strengthening the Walker circulation. The annual-mean 300-hPa  
137 zonal winds over the equatorial Pacific become more westerly, while near-surface winds  
138 become more easterly. Thus, seeding has shifted the tropical Pacific to a La Niña-like  
139 state. The strengthening of the Walker circulation is consistent both with this La Niña-  
140 like response and with the decrease in global-mean temperature, which tends to enhance  
141 the tropical circulation based on a thermodynamic argument [*Ming et al., 2010*]. This  
142 large-scale circulation change does not occur in all individual region runs and profoundly  
143 alters the regional precipitation patterns, as detailed in the subsequent sections.

144 As previously noted, much of the North Pacific warms, which is striking in light of the  
145 pronounced cooling virtually everywhere else. Directly adjacent sits a region of excep-  
146 tionally strong cooling, centered over northwestern Canada (Fig. 2). This dipole pattern



147 is a characteristic of the Pacific-North America oscillation (PNA), a large-scale climate  
 148 variability mode [e.g. *Horel and Wallace, 1981*]. It has been shown that PNA is corre-  
 149 lated with ENSO – the negative (positive) PNA phase with La Niña (El Niño) [*Horel and*  
 150 *Wallace, 1981*]. 500-hPa geopotential heights in ALL are anomalously high in the North  
 151 Pacific and low over Alaska and northwestern Canada, resembling the negative phase of  
 152 PNA. Thus, the seemingly spurious region of warming appears to stem from the La Niña-  
 153 like tropical condition caused by the preferential cooling of the equatorial East Pacific. A  
 154 similar temperature dipole occurs in the ALL simulation of J09 (Fig. 3 of J09) and in  
 155 both the 20% and 70% simulations in R09 (Fig. 1 of R09), all three of which also feature  
 156 seeding over the subtropical South Pacific.

### 3.3. Changes in precipitation

157 The response of precipitation to cloud seeding depends simultaneously on multiple fac-  
 158 tors, including the global-mean  $\delta T$  and changes to SST patterns in both the Pacific and  
 159 Atlantic. The global-mean precipitation change ( $\delta P$ ) is -1.2% ( $-0.035 \text{ mm day}^{-1}$ ), which is  
 160 relatively small, as the global-mean precipitation is tightly controlled by the atmospheric  
 161 energy balance [*Allen and Ingram, 2002*]. The so called hydrological sensitivity (i.e. the  
 162 global-mean  $\delta P$  divided by the global-mean  $\delta T$ ) is  $2.2\% \text{ K}^{-1}$ .

163 The tropical precipitation changes closely resemble climatological precipitation anoma-  
 164 lies due to La Niña events (Fig. 2). Specifically, rainfall decreases across the central  
 165 and eastern equatorial Pacific, while a dipole pattern in rainfall emerges in the western  
 166 equatorial Pacific. Rainfall increases strongly over the maritime continent but decreases  
 167 significantly directly northeast. A similar dipole pattern occurs in vertical velocity,  $\omega$ .

168 This indicates an increased tropical overturning circulation. Interestingly, despite the  
169 clear negative phase PNA temperature pattern, no PNA-like trend occurs in precipitation.  
170  $\delta P$  over the North Pacific and Alaska – modest decreases interspersed with statistically  
171 insignificant changes – is typical of most mid- to high-latitude regions.

172 The precipitation response over the Amazon Rainforest, given its importance ecologi-  
173 cally and to the carbon cycle, is particularly critical to understand. The need becomes  
174 even more acute in light of the starkly differing responses to cloud seeding in model studies  
175 to date. In J09, precipitation decreased sharply over much of the Amazon (Fig. 4(b) of  
176 J09). However, J09 used a model unique amongst current-generation GCMs in that the  
177 Amazon dries out almost completely in global warming simulations [*Cox et al.*, 2008; *Har-*  
178 *ris et al.*, 2008]. This model bias likely accounts for some of the precipitation reductions.  
179 In contrast, rainfall increased moderately there in R09 (Fig. 3 of R09).

180 Meanwhile, most of the precipitation response in our ALL simulation over the Amazon  
181 is not statistically significant (Fig. 2). Multiple opposing factors are at play. On the one  
182 hand, the La Niña-like tropical precipitation response likely acts to increase rainfall over  
183 the Amazon [*Foley et al.*, 2002]. On the other hand, rainfall over the Amazon depends  
184 also on SST patterns in the tropical Atlantic. The tropical Atlantic cools more in the  
185 south than in the north, a pattern that has been shown to enhance subsidence over the  
186 Amazon [*Fu et al.*, 2001]. As an additional consideration, the global-mean temperature  
187 decrease also acts to decrease precipitation overall.

### 3.4. Nonlinearity with respect to the seeding regions

188 The temperature response varies significantly among the individual region runs and  
189 does not scale linearly with global-mean RFP for each run. Mean in-region RFP for NP,  
190 SP, and SA are  $-9.5$ ,  $-8.7$ , and  $-7.3 \text{ W m}^{-2}$ , respectively. The corresponding global-mean  
191 RFP values are  $-0.36$ ,  $-0.41$ , and  $-0.31 \text{ W m}^{-2}$  (Table 1). Global-mean  $\delta T$  values for  
192 NP, SP, and SA are  $-0.15$ ,  $-0.42$ , and  $-0.06 \text{ K}$ , respectively. Thus the SP region is the  
193 most potent at reducing the global-mean temperature, while SA has an almost negligible  
194 effect. This is borne out in their respective climate sensitivities (Table 1). The resulting  
195 linear sum of global-mean  $\delta T$  for NP, SP, and SA (the SUM case) is  $-0.63\text{K}$ , which is  
196 19% greater than that of ALL. J09 ran analogous individual region simulations, obtaining  
197 similar results, including the relative strength (weakness) of SP (SA) in reducing global-  
198 mean temperature and a similar degree of nonlinearity in global-mean temperature change  
199 in their ALL vs. SUM experiments.

200 Analyzing the zonal-mean temperature changes helps shed further light on the non-  
201 linearity (Fig. 3). All three individual region runs diverge substantially from ALL in  
202 the northern high latitudes, adding up to a temperature spike in SUM near  $70^\circ\text{N}$ . So  
203 whereas zonal-mean temperature in SUM is less than or equal to that of ALL at nearly  
204 all latitudes south of  $50^\circ\text{N}$ , SUM is warmer than ALL north of  $50^\circ\text{N}$ . This means that  
205 cooling is somehow enhanced in the northern high latitudes in ALL compared to SUM.  
206 Surface albedo feedback, often invoked as an important nonlinear phenomenon near the  
207 poles, does not play a major role in this nonlinearity, as fractional change in global-mean  
208 surface albedo in SUM and ALL are nearly the same (0.7% and 0.8% respectively).

209 The temperature response over the tropical Pacific varies among the different runs.  
210 In SP, the equatorial Pacific zonal SST gradient is enhanced similarly to ALL, thereby  
211 likewise triggering a La Niña-like precipitation response. In contrast, much of the temper-  
212 ature change is not statistically significant for the central and western equatorial Pacific  
213 in NP and for the eastern tropical Pacific in SA. As such, little can be said about the  
214 effects of seeding in NP and SA on the equatorial Pacific SST gradient. In light of this,  
215 we note that neither of these runs' climate responses appears at all La-Niña-like.

216 In the individual region runs of J09, only SA caused significant rainfall reduction in  
217 Amazonia. In all of our individual region runs including SA, the rainfall changes over the  
218 Amazon are statistically insignificant. One might expect Amazonian rainfall to be highly  
219 sensitive to seeding in SA, given the aforementioned role of the Atlantic meridional SST  
220 gradient. But unlike in ALL, little can be said about the role of this gradient in SA, as  
221  $\delta T$  in the tropical north Atlantic is mostly statistically insignificant.

222 The individual region simulations suggest that climate response does not depend solely  
223 on global-mean RFP; rather, both the magnitude of RFP and its geographical location  
224 are critical. In particular, the climate is about 5 times more sensitive to the forcing  
225 over SP than to the one over SA (Table 1). It has been shown that the anomalous flow  
226 caused by an external forcing, at least to the first order, conforms to the climatological  
227 circulation [*Vecchi and Soden, 2007*]. Also, the bulk of the tropical circulation occurs in  
228 the Pacific. This could mean that the impact of a forcing over SP is more likely to be  
229 felt outside the seeding region through adjusting the circulation than a forcing of similar  
230 magnitude located over SA. On another note, global-mean temperature is observed to

231 correlate strongly with the phase of the El Niño-Southern Oscillation (ENSO) [*Trenberth*  
232 *et al.*, 2002; *Foster and Rahmstorf*, 2011]. This appears consistent with our model results,  
233 in that the SST variation over the eastern equatorial Pacific can influence the global-mean  
234  $\delta T$ . However, one has to interpret this with caution in light of the different time scales.

#### 4. Discussion

235 Both our study and J09 demonstrate that the eastern equatorial Pacific is a very effective  
236 seeding region in terms of the magnitude of  $\delta T$ . Furthermore, we have demonstrated that  
237 seeding there directly alters the equatorial Pacific SST gradient that lies at the heart of  
238 the tropical dynamics. This presents a conundrum for any would-be geoengineers: the  
239 region in which cloud seeding would most effectively mitigate global-mean temperature  
240 rise is also a region in which seeding would very likely produce intense regional climate  
241 changes elsewhere.

242 Constraining the climate response to cloud seeding at regional scales using GCMs is  
243 complicated by model idiosyncracies, such as the drying out of the Amazon in the model  
244 used by J09 and the lack of marine low clouds near continents in the present study. How-  
245 ever, several features, such as the dynamical constraint in the tropics on SST gradients,  
246 the thermodynamic constraints on global-mean  $\delta P$  and tropical circulation, and the sen-  
247 sitivity of the climate to equatorial Pacific SSTs, are well-established theoretical results  
248 that should be robust across GCMs. They therefore provide good starting points for  
249 constraining the climate response to cloud seeding.

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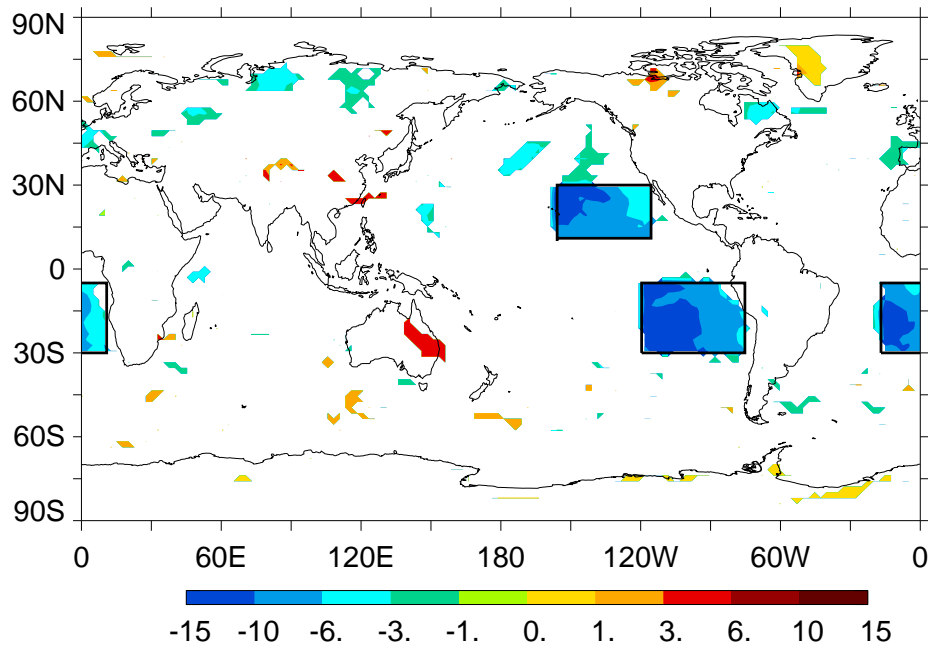
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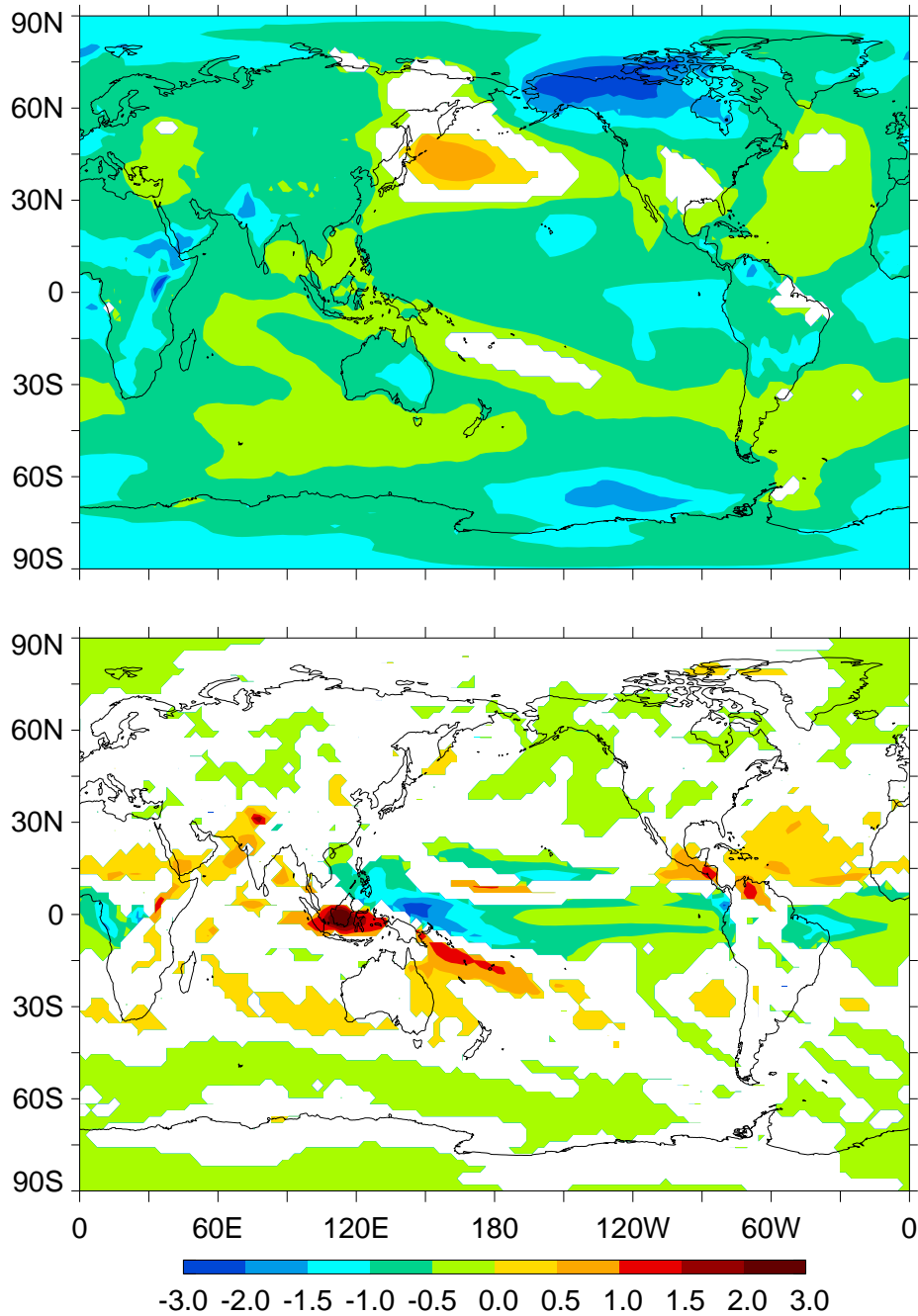
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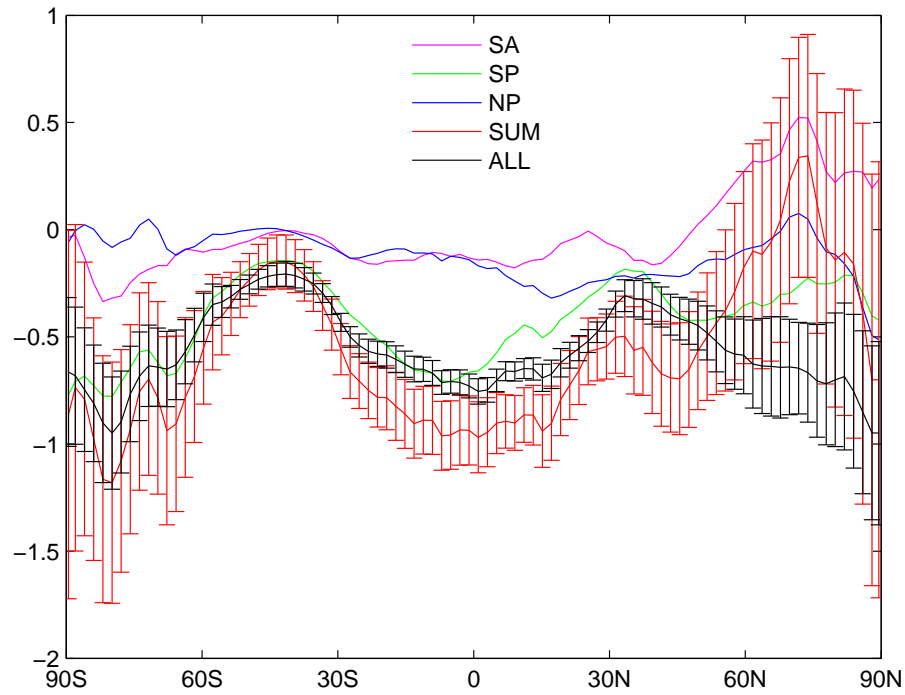




**Figure 1.** Annual-mean radiative flux perturbation ( $\text{W m}^{-2}$ ) in ALL. Areas shaded white are not statistically significant at the 95% confidence level. The three seeding regions, located in the subtropical North Pacific (NP), South Pacific (SP), and South Atlantic (SA), are boxed. Only the ocean portion of the SP box is seeded.



**Figure 2.** Annual-mean changes in (top) surface temperature (K) and (bottom) precipitation ( $\text{mm day}^{-1}$ ) in ALL. Areas shaded white are not statistically significant at the 95% confidence level.



**Figure 3.** Zonal-mean changes in surface temperature (K) in SA, SP, NP, the linear sum of SA+SP+NP (SUM), and ALL. Error bars on SUM and ALL denote 95% confidence intervals.

**Table 1.** Surface area for each seeding region (% of the total earth surface area), mean fractional change in  $N_d$  within the seeding region(s) between 850-1000 hPa, mean RFP within the seeding region(s) ( $\text{W m}^{-2}$ ), global-mean RFP ( $\text{W m}^{-2}$ ), global-mean  $\delta T$  (K), and climate sensitivity  $\lambda$  ( $\text{K m}^2 \text{W}^{-1}$ ). The  $\delta T$  and  $\lambda$  values in parentheses in ALL are for SUM; SUM and ALL are identical in all other categories.

Run	Area	$\delta N_d$	In-Region RFP	Global-mean RFP	$\delta T$	$\lambda$
ALL	6.4	2.1	-8.5	-0.73	-0.53 (-0.63)	0.73 (0.86)
NP	1.9	2.5	-8.8	-0.36	-0.15	0.42
SP	2.6	2.1	-8.8	-0.41	-0.42	1.02
SA	1.8	1.9	-7.2	-0.31	-0.06	0.19