Tropical Climate Change Control of the Lower Stratospheric Circulation

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X - 2 LIN ET AL.: ROBUST CORRELATION OF BDC AND SURFACE The behavior of the Brewer-Dobson circulation is investigated using a suite 3 of global climate model simulations with different forcing agents, in conjunc-4 tion with observation-based analysis. We find that the variations in the Brewer-5 Dobson circulation are strongly correlated with those in the tropical-mean 6 surface temperature through changes in the upper tropospheric temperature 7 and zonal winds. This correlation is seen on both interannual and multi-decadal 8 timescales, and holds for natural and forced variations alike. The circulation 9 change is relatively insensitive to the spatial pattern of the forcings. Con-10 sistent changes in the Brewer-Dobson circulation with respect to those in the 11 tropical-mean surface temperature prevail across timescales and forcings, and 12 constitute an important attribution element of the atmospheric adjustment 13 to global climate change. 14

1. Introduction

The Brewer-Dobson circulation (BDC) is a major feature of the Earth's climate system, 15 consisting of the slow overturning motion of the stratosphere, with ascent in the tropics 16 and descent in the extratropics [Holton et al., 1995; Randel and Jensen, 2013; Butchart, 17 2014]. This airflow determines the meridional thermal structure of the stratosphere, and 18 impacts the transport and distribution of important climate-influencing constituents in 19 the lower stratosphere including water vapor, volcanic aerosols and ozone [Randel and 20 Jensen, 2013; Butchart, 2014]. Recent studies suggested that the stratosphere-troposphere 21 dynamical coupling could be a source of climate predictability [Thompson et al., 2002; 22 Cohen et al., 2007], and changes in the aforementioned stratospheric species may have 23 significantly altered the climate over the past few decades [Solomon et al., 2010; Dessler 24 et al., 2013; Robock, 2000; Forster and Shine, 1997; Polvani et al., 2011]. It is therefore 25 essential to understand how the BDC would behave in response to anthropogenic climate 26 forcings and in the context of natural variability. 27

Previous modeling studies identified a long-term strengthening trend of the BDC as 28 a result of greenhouse gases (GHGs)-induced warming [Butchart et al., 2010; Butchart, 20 2014]. The strengthening is more pronounced for its shallow branch (below 30 hPa) [Lin 30 and Fu, 2013. Yet, the BDC responses to other forcing agents such as anthropogenic 31 aerosols and major volcanic eruptions have not been investigated fully with very few 32 exceptions [Tilmes et al., 2009]. On interannual timescales, observations and simulations 33 indicated a more vigorous BDC in the lower stratosphere during the warm phase of the El 34 Niño-Southern Oscillation (ENSO) [Randel et al., 2009; Calvo et al., 2010; Simpson et al., 35

DRAFT

X - 4 LIN ET AL.: ROBUST CORRELATION OF BDC AND SURFACE

³⁶ 2011]. In this study, we show that the close linkage between the BDC shallow branch and
³⁷ tropical-mean surface temperature (TT) is not unique to ENSO or GHG forcing, and may
³⁸ be applicable to other timescales and externally forced components.

2. Data and Method

We make use of the simulations conducted with the NOAA/Geophysical Fluid Dynamics 30 Laboratory (GFDL) global climate model CM3 [Donner et al., 2011]. The GFDL CM3 40 model is a fully coupled atmosphere-ocean climate model with a model top at 0.01 hPa 41 $(\sim 86 \text{ km})$. It has 48 vertical layers, of which 25 layers are located above 100 hPa, and 42 a horizontal resolution of ~ 200 km. Its tropospheric and stratospheric chemistry scheme 43 is fully interactive. It also implements an explicit treatment of aerosol-cloud interaction 44 [Ming et al., 2006, 2007]. CM3 is one of the Coupled Model Intercomparison Project 45 phase 5 (CMIP5) models in support of the Intergovernmental Panel on Climate Change 46 (IPCC) Fifth Assessment Report. The atmospheric component of CM3 with simpler 47 tropospheric chemistry takes part in the second Chemistry Climate Model Validation 48 Activity (CCMVal-2), which forms the basis of the recent scientific assessment of ozone 49 depletion conducted by the World Meteorological Organization (WMO) and the United 50 Nations Environment Programme (UNEP) [WMO, 2011], and performs as well as or 51 better than its peers in many aspects [SPARC CCMVal, 2010]. 52

We analyze a 1700-year control simulation in which all forcings are fixed at the 1860 (pre-industrial) levels, and a suite of historical simulations forced with different forcing combinations. These historical simulations include: all forcing runs (AllForc), natural forcing runs (Natural), anthropogenic forcing runs (Anthro), anthropogenic aerosol forcing

LIN ET AL.: ROBUST CORRELATION OF BDC AND SURFACE X - 5

only runs (Aerosol), and greenhouse gases and stratospheric ozone only runs (WMGGO3).
These historical experiments are configured following the Coupled Model Intercomparison
Project Phase 5 (CMIP5) guidelines [*Taylor et al.*, 2012]. Each historical experiment
consists of three ensemble members and covers 1860-2004. In our analysis, each member
is treated as an independent sample. More details of this model and simulations can be
found in *Donner et al.* [2011] and *Austin et al.* [2013].

We also examine the simulations conducted with CM2.1, an earlier generation GFDL coupled model [*Delworth et al.*, 2006]. It is one of the CMIP3 models used for the IPCC Fourth Assessment Report. It has 24 levels in the atmosphere with a model top at 3 hPa (\sim 40km). Its horizontal resolution is \sim 200 km. CM2.1 does not include interactive chemistry in the stratosphere, and the stratospheric ozone concentration is prescribed. Nor does it consider aerosol-cloud interactions. We analyze the CM2.1 historical simulations (1861-2000) in three experiments: Natural, Aerosol and WMGGO3. Each experiment consists of three ensemble members.

We analyze the ERA-interim reanalysis data for 1979-2012 [*Dee et al.*, 2011]. The Transformed Eulerian Mean (TEM) velocity from the ERA-interim reanalysis is calculated using 6-hourly data following its definition [*Andrews et al.*, 1987]. *Seviour et al.* [2012] found that the climatology of the BDC is well represented in this reanalysis dataset. The reanalysis data is compared with simulations by the atmospheric component of CM3 (namely AM3) driven by the observed sea surface temperatures (SSTs) and all forcing agents.

The strength of the BDC is commonly represented by the mass flux calculated from the TEM velocity [Andrews et al., 1987, see appendix for the calculation of the mass flux]. We define the shallow branch of the BDC as the upward mass flux across 70 hPa but not reaching 30 hPa, and the deep branch as the mass flux that rises above 30 hPa [Lin and Fu, 2013]. We compute the time series of annual mean mass fluxes transported by the shallow and the deep branch of the BDC, as well as annual mean surface temperature averaged over $20^{\circ}S - 20^{\circ}N$.

3. Results

We first examine the BDC in the CM3 control simulation. Figure 1 shows the squared coherence between the strength of the BDC and TT, which measures the correlation between the two time series at different frequencies. The BDC shallow branch shows strong correlations with TT at all frequencies, while the coherence between the BDC deep branch and TT is much lower. No appreciable phase difference is found between the BDC shallow branch and TT (not shown).

We then analyze the CM3 historical simulations driven by different combinations of 91 forcing agents. Note that this coherence/phase analysis would require a long time series 92 or a large number of ensembles to resolve the full spectrum, and hence it is not suitable 93 for historical simulations or reanalysis products. We therefore focus on variations of two 94 timescales in the following text. The deviations from five-year running means provide 95 a measure of interannual variations, and the averages of consecutive (non-overlapping) 96 five-year segments are used to describe variations on decadal to multi-decadal timescales. 97 Correlation coefficients are calculated between the BDC and TT on these two timescales 98

⁹⁹ and summarized in Table 1. Strong correlations are found between the BDC shallow ¹⁰⁰ branch and TT on both timescales in all experiments.

On the interannual timescale, the variations in TT is dominated by ENSO with a 101 distinct spatial structure over the central and eastern Pacific, but ENSO may not be 102 the only contributor to the correlation between TT and the BDC shallow branch. We 103 select ENSO-neutral years as those in which the magnitude of the annual mean Nino3.4 104 index [Trenberth and Stepaniak, 2001] is less than 0.2°C. This subset of ENSO-neutral 105 years exhibits similar relationship between the BDC and TT (Fig. 2(a) and Table 1), 106 indicating non-ENSO processes underlying interannual variability behave in a manner 107 similar to ENSO. The correlations between the BDC and TT on the interannual timescale 108 in the forced simulations are similar to those in the unforced control simulations. This is 109 not surprising given that most of the interannual variations are internally generated. 110

On the decadal to multi-decadal timescales, external forcing agents give rise to varia-111 tions that are often larger than the internal (unforced) ones. In particular, major volcanic 112 eruptions cause a cooling of the subsequent few years at the surface, while anthropogenic 113 GHGs (aerosols) give rise to a secular warming (cooling) trend, which is most apprecia-114 ble over the second half of the 20th century. The volcanic aerosols also heat the lower 115 stratosphere radiatively, while GHGs cool the stratosphere, and anthropogenic aerosols 116 have little radiative effect on the stratosphere. Despite these different temporal and spa-117 tial variations, the forced simulations invariantly show the strength of the BDC shallow 118 branch being strongly correlated with TT as shown in Fig. 2(b). 119

DRAFT

To shed light on the robustness of the CM3 results with regards to model formulation, we repeat the same analysis for CM2.1. As shown in Table 1, the correlation between the BDC shallow branch and TT in CM2.1 largely resemble those in CM3. But the magnitudes of the changes in the shallow branch in CM2 is weaker than those in CM3 (not shown) for reasons detailed in the next section.

In addition to model simulations, we also examine the ERA-interim reanalysis data 125 (1979-2012) for possible observational evidence. Due to the relatively short time span 126 of the reanalysis data, we only analyze the interannual variations as shown in Fig. 3. 127 For further comparison, we also present the results based on the three-member ensemble 128 simulated with AM3, which is driven by the observed SSTs and all forcing agents. Note 129 that the Quasi-Biennial Oscillation (QBO), though absent in the model simulations, can 130 modulate the strength of the BDC in the real world (and reanalysis) [Holton and Tan, 131 1980, thus giving rise to interannual variations that are independent of TT. For a cleaner 132 comparison with model simulations, we remove the QBO signal in the monthly mass flux 133 from reanalysis by regressing upon a pair of QBO indices [Randel and Wu, 1996]. As shown 134 in the figure, the reanalysis gives a very similar result to the AM3 simulations. Without 135 removing the QBO signal in the reanalysis data, the correlation coefficient between TT 136 and the BDC shallow branch would be lower (r = 0.56). 137

The correlation coefficients between the BDC deep branch and TT are always lower than those between the shallow branch and TT (Table 1), and the responses in the BDC deep branch to changes in TT are generally weaker (Fig. S1 vs. Fig. 2). The correlation

DRAFT

¹⁴¹ between the BDC deep branch and TT is stronger in CM2.1 than in CM3. The difference
¹⁴² between these two models will be discussed in the next section.

4. Discussion

The influence of TT on the BDC shallow branch is realized through modulating zonal 143 wind structures in the upper troposphere/lower stratosphere (UTLS). This is because the 144 BDC is driven by the dissipation of Rossby waves and gravity waves in the stratosphere 145 [Holton et al., 1995; Butchart, 2014], and the propagation and dissipation of these waves 146 are modulated by zonal wind structures [Andrews et al., 1987]. As the tropical surface 147 warms, stronger zonal winds are seen in the subtropical UTLS (Fig. S2 (a), (b) and 148 Fig. S5). This leads to an upward shift of the critical layer, where wave dissipation 149 preferentially occurs [Randel and Held, 1991], and hence stronger wave dissipation in the 150 lower stratosphere (Fig. S2 (c), (d) and Fig. S6). This stronger wave dissipation then 151 drives a stronger BDC. This critical layer control mechanism has been invoked to explain 152 the strengthening of the BDC caused by GHGs [Garcia and Randel, 2008; Shepherd and 153 McLandress, 2011] and during the warm phase of ENSO (El Niño) [Calvo et al., 2010]. 154 The reanalysis data provides further observational evidence (Fig. S3). 155

The zonal wind changes in the subtropical UTLS largely result from changes in the tropics-to-mid-latitudes temperature gradient following the thermal wind balance. Owing to moist convection, the tropical surface and free troposphere temperatures are tightly coupled in the sense that the vertical temperature gradient follows approximately the moist adiabatic lapse rate. As a result, when TT varies, greater temperature changes are seen aloft. The largest temperature responses are seen around 200 hPa in the tropics,

X - 10 LIN ET AL.: ROBUST CORRELATION OF BDC AND SURFACE

¹⁶² roughly doubling those at the surface (Fig. S2). Note that the 200 hPa level corresponds ¹⁶³ to the tropopause over mid-latitudes, where temperature does not vary much with that ¹⁶⁴ at the surface (Fig. S4). Thus a warmer surface would lead to a strong increase of ¹⁶⁵ the tropics-to-mid-latitudes temperature gradient at this tropical UT/mid-latitude LS ¹⁶⁶ region. During El Niño events, the narrowing of the tropical belt introduces additional ¹⁶⁷ meridional temperature gradients throughout the troposphere by modulating the transient ¹⁶⁸ eddy momentum flux [*Seager et al.*, 2003; *L'Heureux and Thompson*, 2006].

The strengthened BDC following a warmer surface, in turn, cools the tropics and warms the extratropics in the stratosphere through the anomalous vertical motion. The colder tropics and warmer extratropics above 100 hPa help to confine the zonal wind anomalies within the lower stratosphere. Therefore, the wave dissipation at middle/upper stratosphere and the BDC deep branch are relatively insensitive to changes in TT. In addition, the radiative effect of GHGs, ozone and volcanic aerosols in the stratosphere could further modify the temperature gradient and zonal wind structure there.

Previous studies have discussed the different responses in the zonal mean circulation to 176 El Niño, greenhouse gases and aerosols, especially in the context of the Hadley circulation 177 [Lu et al., 2008; Ming and Ramaswamy, 2011]. Our results, however, suggest a consistent 178 response in the BDC shallow branch with respect to changes in the tropical-mean surface 179 temperature regardless of the imposed forcings. The spatial distribution of the forcing and 180 the detailed surface temperature patterns, which lead to diverse responses in the Hadley 181 circulation, remain secondary in this regard. Indeed, we see similar temperature and zonal 182 wind patterns in the UTLS region in response to changes in TT on different timescales 183

¹⁸⁴ and under different forcings, despite very different responses at the surface and in the ¹⁸⁵ middle/upper stratosphere (Fig. S4, S5, S6). Following these similar UTLS temperature ¹⁸⁶ and zonal wind changes is a similar response in the BDC shallow branch among different ¹⁸⁷ forcings (Fig. 2(b)).

To further illustrate the insensitivity of the BDC to the spatial pattern of the surface temperature, we perform an atmosphere-only model experiment in which SST is uniformly increased by 4K while keeping all forcing agents constant. The resulting UTLS temperature and zonal wind changes agree well with the decadal-to-multi-decadal variations under different forcings (Fig. S5 and S6). The strengthening of the BDC shallow branch per unit surface warming in this idealized experiment also matches those seen for different forcings (Fig. 2).

In this chain of events linking changes in TT and the BDC, the vertical amplification 195 of temperature in the tropics plays an important role as it determines the meridional 196 temperature gradient in the subtropical UTLS. While it is a robust feature seen in ob-197 servations and model simulations [Fu and Johanson, 2005; Ramaswamy et al., 2006], the 198 magnitudes of the vertical amplification varies from model to model, and models generally 199 overestimate the amplification when compared with available observations [Fu et al., 2011; 200 *Po-Chedley and Fu*, 2012. This difference in the temperature response in the tropical up-201 per troposphere is evidently factored in the BDC response. Lin and Fu [2013] compared 202 the trends in the BDC over the 21st century simulated by a group of chemistry climate 203 models with identical external forcing and similar SSTs. They found that the models with 204 stronger warming in the tropical upper troposphere also simulate stronger acceleration of 205

the BDC (see their Figs. 11 and 12). Note that this study does not address to what extent the discrepancy in the upper tropospheric warming among models is caused by that in the surface warming or by that in the free tropospheric amplification.

For the two models employed here, CM3 shows a stronger vertical amplification than 209 CM2.1, presumably due to the difference in the cumulus parameterization. The BDC shal-210 low branch strengthening per unit surface warming in CM3 is also larger than in CM2.1, 211 a finding that is consistent with Lin and Fu [2013]. Furthermore, CM2.1 has a weaker 212 climatological BDC shallow branch and less wave dissipation in the lower stratosphere 213 than CM3. Thus one would expect fewer changes in the wave dissipation following the 214 shift of the critical layer, rendering the BDC shallow branch less sensitive to changes in 215 the subtropical jets. This weaker response in the BDC shallow branch in CM2.1 may be 216 related to its stronger response in the deep branch, as the weaker temperature gradient 217 in the lower stratosphere would allow the subtropical wind anomalies to penetrate deeper 218 into the stratosphere. 219

5. Summary and Implication

We present a robust correlation between the BDC shallow branch and TT that is seen on a range of timescales from interannual to multi-decadal and for natural and forced variations alike. Within a particular model (and possibly in the real world), the lower stratopsheric circulation shows consistent changes with respect in TT for different external forcings. This invariant influence of changes in the tropical-mean surface temperature upon the lower stratospheric circulation is realized through the strong and robust changes in the temperature and zonal wind structures in the subtropical UTLS. Therefore, the

LIN ET AL.: ROBUST CORRELATION OF BDC AND SURFACE X - 13

variations in the BDC on a wide range of timescales can be perceived simply as responsive 227 to changes in the atmospheric zonal-mean thermal and wind structures that follow, at least 228 to the first order, changes in the tropical-mean surface temperature without knowing 229 details of the forcings that drive these changes. Note that while the radiative forcings 230 cannot be directly measured and hence bear persistent uncertainties in the magnitudes and 231 spatial distributions, observations of the surface temperature are much more feasible and 232 reliable, and model simulations of the surface temperature are relatively well constrained. 233 Given the robustness of the underlying physical mechanism, we can gain more confidence 234 in the climate model projection of the long-term trends of the stratospheric circulation, 235 composition and its downward impacts on the troposphere and surface by comparing with 236 the short-term observations. On the other hand, one would expect different response in the 237 stratospheric circulation to changes in the tropical-mean surface temperature for different 238 models and observations, in light of their discrepancies in the tropical upper tropospheric 239 temperature change. Further investigation is needed to reconcile this uncertainty among 240 models and observations. 241

Appendix A: Diagnosis of the BDC in model simulations

Because the BDC is a very slow Lagrangian circulation, direct measurement of its strength is difficult. It is commonly approximated by the diabatic circulation (which dynamically balances the diabatic heating in the meridional plane), or the residual circulation represented by the Transformed Eulerian Mean (TEM) stream function Ψ^* [Andrews *et al.*, 1987]. The strength of the BDC is then represented by the mass flux transported by the TEM velocity. The TEM velocity can be calculated following its definition [An-

drews et al., 1987; Hardiman et al., 2010] or the "downward control principle" [Haynes 248 et al., 1991, both of which inconveniently require knowledge of high frequency data (four 249 times daily or higher). In this study, we diagnose TEM velocity by solving the TEM 250 thermodynamic equation, a method requiring only monthly data. 251

The TEM thermodynamic equation is:

$$\bar{\theta}_t - (a\rho_0 \cos\phi)^{-1} \Psi_z^* \bar{\theta}_\phi + (a\rho_0 \cos\phi)^{-1} \Psi_\phi^* \bar{\theta}_z - \bar{Q} = -\rho_0^{-1} [\rho_0(\overline{v'\theta'}\bar{\theta}_\phi/a\bar{\theta}_z + \overline{w'\theta'})]_z \quad (A1)$$

in which overbars denote zonal means, primes denote deviation from zonal means, and 252 subscripts denote derivatives. Q is the diabatic heating rate, and other variables follow 253 their conventional definitions. The right-hand side of the above equation is usually small 254 and omitted, and all variables on the left-hand side except Ψ^* can be read from monthly 255 mean model outputs. A straightforward integration of this equation is not possible due to 256 numerical instabilities. Previous studies employed iterative methods to solve this equation 25 [Solomon et al., 1986; Rosenlof, 1995], which do not guarantee the convergence to the 258 solution. Following Santee and Crisp [1995], we first take the derivative of the entire 259 equation with respect to ϕ . The resulting equation can be easily solved using the finite 260 differential method with the boundary condition $\Psi^* = 0$ at poles. Mass flux is calculated 261 from monthly Ψ^* and then averaged annually. 262

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We further diagnose wave forcing X from the TEM momentum equation:

$$\bar{u}_t - (\rho_0 \cos\phi)^{-1} \Psi_z^* [(a\cos\phi)^{-1} (\bar{u}\cos\phi)_\phi - f] + (\rho_0 a\cos\phi)^{-1} \Psi_\phi^* \bar{u}_z = X$$
(A2)

The dissipation of Rossby waves in the stratosphere deposits easterly momentum and 264 decelerates the mean flow there. Stronger wave dissipation is indicated by a more negative 265

X and would lead to a stronger BDC. Note that X includes the contributions from both resolved and subgrid waves, and is equivalent to the divergence of the Elassen-Palm (EP) flux for resolved waves [Andrews et al., 1987].

Appendix B: Calculation of the cross-spectrum

The coherence and the phase of two time series are estimated from the cross spectrum analysis [von Storch and Zwiers, 1999]. The squared coherence between two time series x and y is:

$$Coh^2 = |\overline{F_{xy}}|^2 / (\overline{P_x P_y}) \tag{B1}$$

in which F_{xy} is the cross-spectrum density between x and y, P_x and P_y are the power 269 spectra of x and y, respectively. The overbar represents averaging over segments. The 270 1700 year timeseries is divided into 105 segments, each consists of 32 years and overlaps 271 by 16 years with the adjecent segments. The last 4 years are discarded. Each segment 272 is weighted with the Hamming window and zero-padded to form a 256-year-segment, and 273 the spectrum is estimated using Welch's method for each segment with a bandwith of 274 1/256 cycle per year. The degree of freedom for the spectral estimates is $n = f_{\omega} N/M_{sp}$, 275 N = 1700 is the total sample size, $M_{sp} = 128$ is the number of spectral estimates, and 276 $f_{\omega} \approx 1.3$ is the factor used to compensate for smoothing done by the Hamming window. 277 This give a degree of freedom $n \approx 17$. The 95% confidence interval for a zero squared 278 coherence is $1 - 0.05^{1/(n-1)} \approx 0.17$ [*Emery and Thomson*, 2001]. 279

Acknowledgments. The model simulation used in this paper is available at NOAA/GFDL's data portal. European Centre for Medium-Range Weather Forecasts

DRAFT

X - 16 LIN ET AL.: ROBUST CORRELATION OF BDC AND SURFACE

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329

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DRAFT

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Figure 1. Squared coherence between the strength of the Brewer-Dobson circulation and the tropical-mean surface temperature. The black line is for the shallow branch of the Brewer-Dobson circulation, and the red line for the deep branch. The dashed line marks the critical value for the 95% significance level test of zero coherency. Results are based on the 1700-year pre-industrial control simulation. See appendix for details of the cross-spectrum calculation.



Figure 2. Scatter plot of the strength of the Brewer-Dobson circulation shallow branch versus the tropical-mean surface temperature in CM3. (a) For the interannual timescale in the control simulation. ENSO-neutral years are marked by red dots. (b) For the decadal to multi-decadal timescale in the control and historical forced simulations. Climatological means are removed. The gray line marks the result from the an idealized experiment in which SST is globally uniformly increased by 4K.



Figure 3. Scatter plot of the strength of the Brewer-Dobson circulation shallow branch versus tropical-mean surface temperature (a) from the ERA interim reanalysis for 1981-2010, and (b) from the three-member ensemble of AM3 AllForc simulation for 1974-2003. Only the interannual timescale is considered here. Correlation coefficients are given in the lower-right corner of each panel. Note that the QBO-related signals are removed from the reanalysis data.

Table 1. Correlation Coefficients between the strength of the Brewer-Dobson circulation and the tropical-mean surface temperature. Correlations are calculated for variations on the interannual and the decadal to multi-decadal timescales (See text for definitions). For the interannual timescale, correlations are also calculated using a subset of ENSO-neutral years with results shown in parentheses. Correlations that are not statistical significantly different from zero at the 99% confidence level are underlined. Red noises in time series are taken into account. Note that the QBO-related signals are removed from the reanalysis data.

		Shallow branch		Deep branch	
		Interannual	Decadal to	Interannual	Decadal to
			Multi-decadal		Multi-decadal
ERA-i		0.62	-	-0.26	-
AM3	AllForc	0.64	-	0.57	-
		0.63	-	0.23	-
		0.60	-	0.30	-
CM3	Control	0.68(0.56)	0.59	0.27(0.25)	0.18
	AllForc	0.75(0.59)	0.80	0.23(0.29)	0.49
	Natural	0.67(0.46)	0.83	$0.31 \ (\underline{0.24})$	0.36
	Anthro	0.66(0.49)	0.86	0.34(0.31)	0.73
	Aerosol	0.67(0.50)	0.96	$0.31 \ (\underline{0.25})$	0.58
	WMGGO3	0.67(0.59)	0.98	$0.22 \ (\underline{0.18})$	<u>0.90</u>
CM2.1	Natural	0.75(0.66)	0.70	0.48(0.43)	0.09
	Aerosol	0.71(0.67)	0.60	0.60(0.69)	0.31
	WMGGO3	0.78(0.54)	0.95	0.64(0.42)	0.91

Tropical Climate Change Control of the Lower Stratospheric Circulation: Supplementary Materials

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Figure S1. Scatter plot of the Brewer-Dobson deep branch versus the tropical-mean surface temperature in CM3. (a) For the interannual anomalies in the control simulations. ENSO-neutral years are maked by read dots. (b) For the multi-decadal timescale in the control and historical forced simulations. Climatological means are removed. The gray line marks the result from the an idealized experiment in which SST is globally uniformly increased by 4K.



Figure S2. Response in zonal mean temperature, zonal wind and wave forcing to changes in the tropical mean surface temperature. (a) and (b) Regression of zonal mean temperature (color shading) and zonal wind (black contours) upon tropical mean surface temperature from the control simulation. Contour interval for zonal wind regression is 1.5 m/s/K, with negative contours in dashed lines, and the zero contour is omitted. The climatology of zonal mean zonal wind (light gray contours) is also plotted for comparison. Contour interval for zonal wind climatology is 7.5 m/s. Zero wind and easterlies are omitted for clarity. (c) and (d) Regression of zonal mean zonal wind (contours) and wave forcing (color shading) upon the tropical mean surface temperature from the control simulation. See Appendix A for the definition of the wave forcing. Contour interval for zonal wind regression is 1 m/s/K, with negative contours in dashed lines and the zero contour is omitted. (a) and (c) are for the interannual timescale, and (b) and (d) for the decal to multi-decadal timescale. Note that the strong signals in the wind regression near the equator are not statistically significant.



Figure S3. Response to changes in tropical mean surface temperature on the interannual timescale in the ERA-interim reanalysis for 1981-2010. (a) Regression of zonal mean temperature (color shading) and zonal wind (black contours) upon the tropical mean surface temperature. Contour interval for zonal wind regression is 1.5 m/s/K, with negative contours in dashed lines, and the zero contour is omitted. Zonal mean zonal wind climatology is plotted in gray contours for comparison. Contour interval for zonal wind climatology is 7.5 m/s, and only westerlies are plotted for clarity. (b) Regression of zonal mean surface temperature. Contour interval is 1.5 m/s/K, with negative contours in dashed lines, and the zero contour shading) upon the tropical mean surface temperature. Contour interval is 1.5 m/s/K, with negative contours in dashed lines, and the zero contour shading) upon the tropical mean surface temperature. Contour interval is 1.5 m/s/K, with negative contours in dashed lines, and the zero contour shading) upon the tropical mean surface temperature. Contour interval is 1.5 m/s/K, with negative contours in dashed lines, and the zero contours in dashed lines, and the zero contour is omitted. The QBO signals are removed before the regression.



Figure S4. Regression of temperature at surface (dashed lines) and at 200 hPa (solid lines) upon TT. (a) For variations on the interannual timescale, the results using ENSO-neutral years are in red. (b) For variations on the decadal to multi-decadal timescale, results from simulations with different forcings are plotted in different colors. For comparison, the temperature changes at the surface and the 200 hPa level (normalized by the tropical surface temperature change) from the idealized 4KSST experiment is also plotted in gray.



Figure S5. Regression of zonal mean temperature (color shading) and zonal wind (black contours) upon tropical mean surface temperature on the decadal to multi-decadal timescale from the historical simulations with (a) all forcings, (b) natural forcings, (c) anthropogenic forcings, (d) anthropogenic aerosol forcings, and (e) GHGs and ozone

forcings. (f) Zonal mean temperature and zonal wind changes per unit surface temperature change from the idealized 4KSST experiment. Contour interval for zonal wind regression is 0.75 m/s/K, with negative contours in dashed lines, and the zero contour is omitted. Zonal mean zonal wind climatology is plotted in gray contours for comparison. Contour interval for zonal wind climatology is 7.5 m/s, and only westerlies are plotted for clarity.



Figure S6. As in Fig. S5 except for wave forcing X (color shading) and the zonal mean zonal wind (contours). Contour interval for zonal wind regression is 0.75 m/s/K, with negative contours in dashed lines, and the zero contour is omitted. See Appendix A for the definition of the wave forcing.